

# The Path to Direct Imaging and Spectroscopy of habitable Planets with Large Ground-Based Telescopes

Olivier Guyon

*University of Arizona*

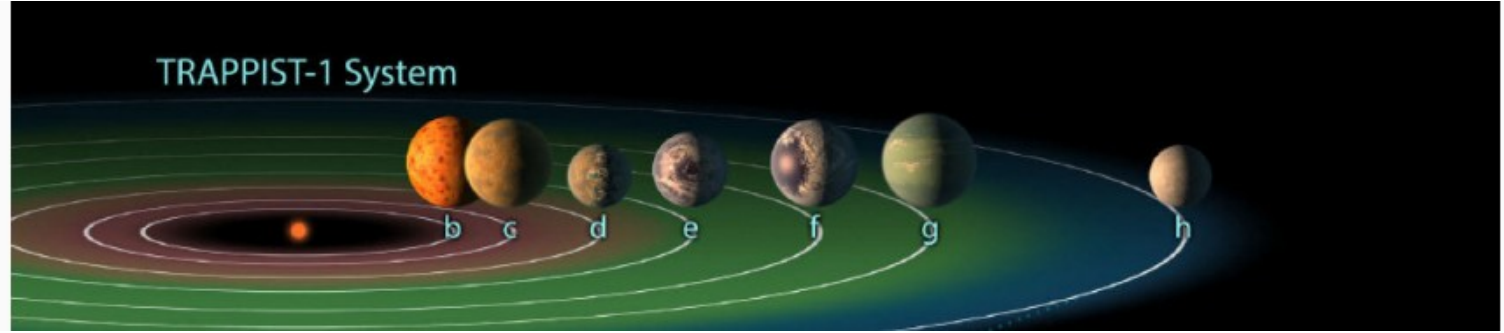
*Subaru Telescope*

*NINS Astrobiology Center (Japan)*



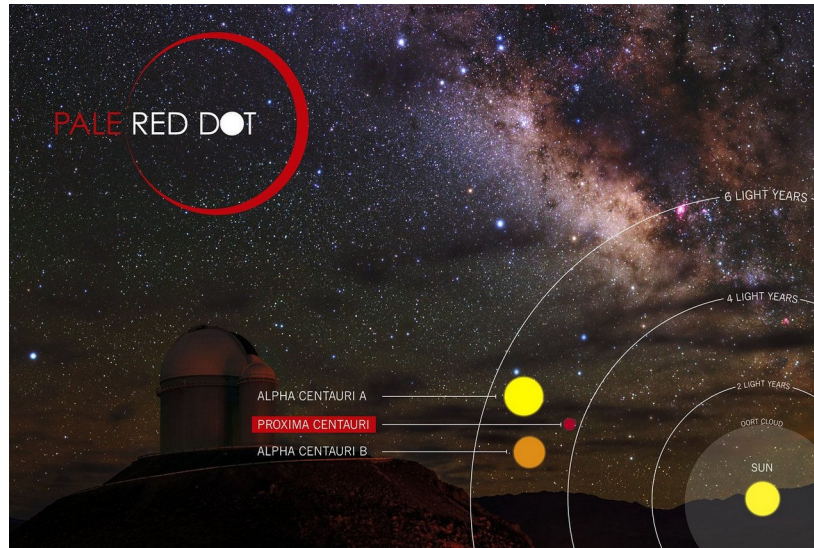
# Spectacular discoveries around nearby stars

Trappist-1 system  
7 planets  
~3 in hab zone  
likely rocky  
40 ly away



Proxima Cen b planet  
Possibly habitable

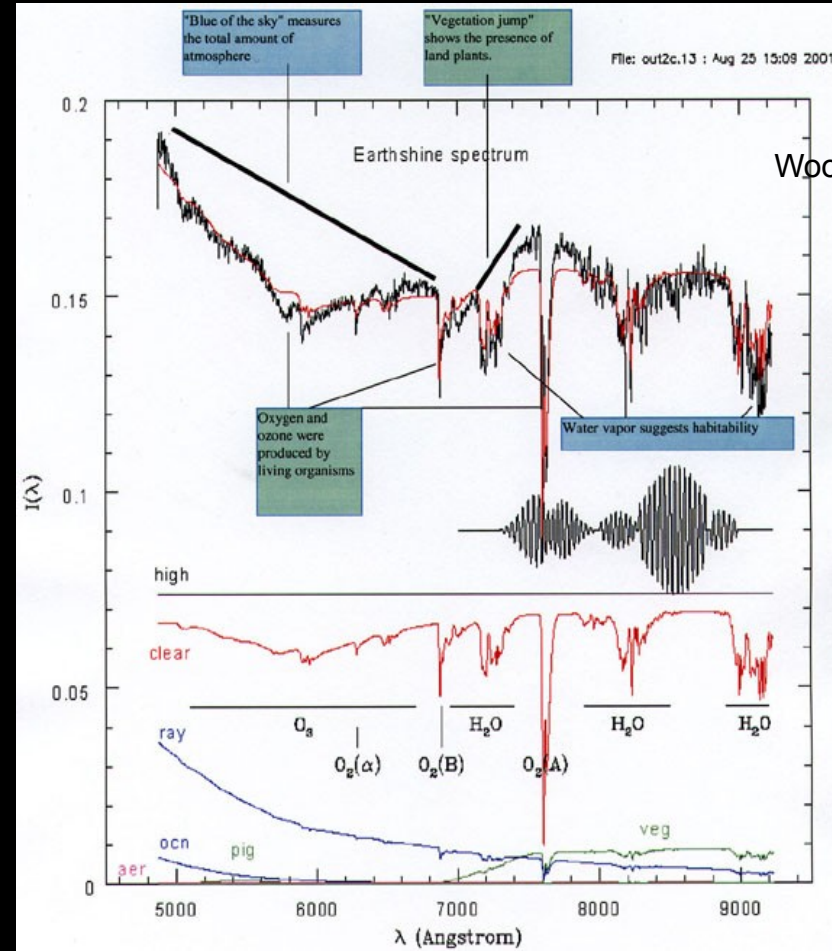
Closest star to our solar system (only 4.2 light years away)



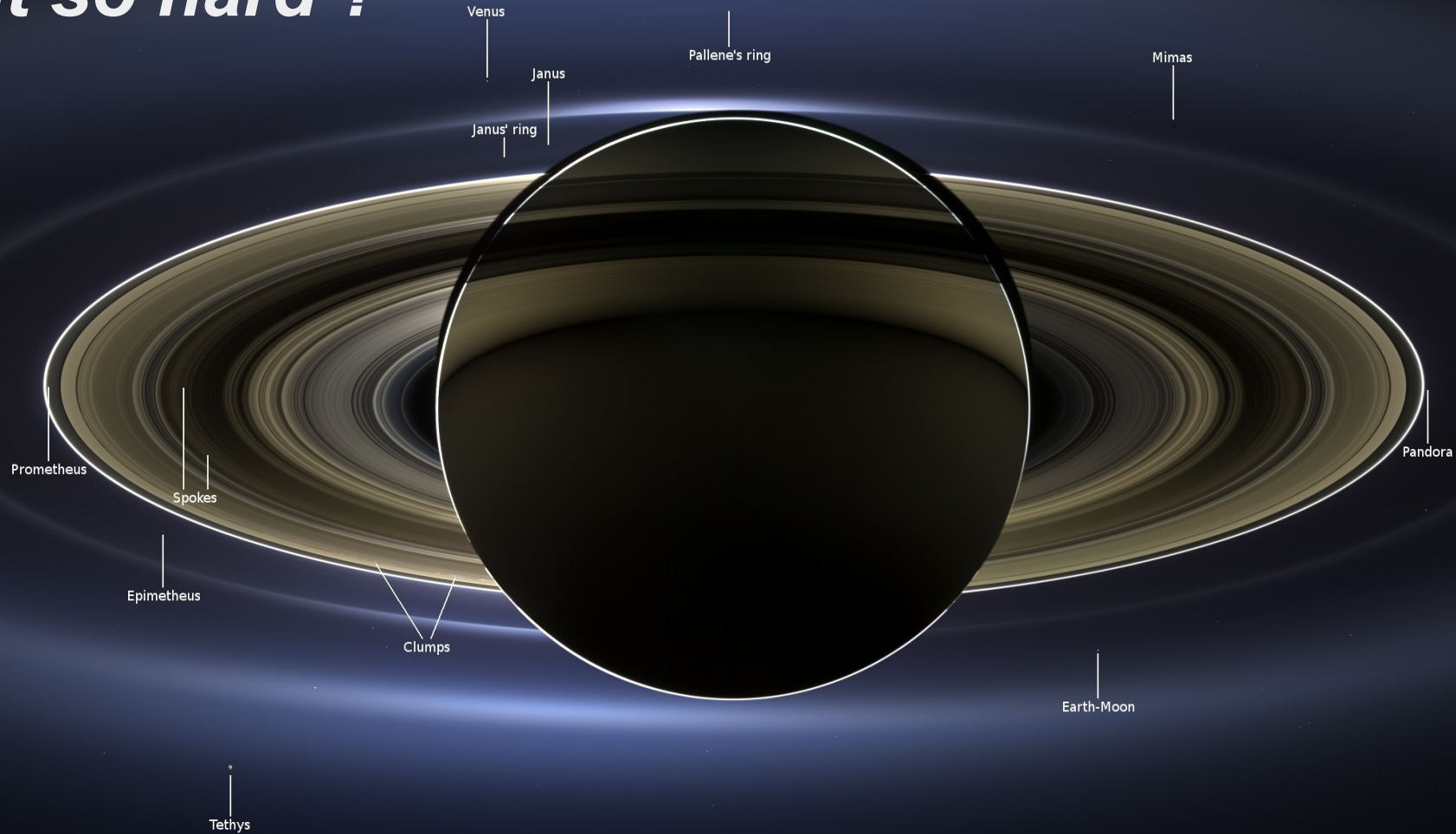
# Why should we image planets ?

## Imaging allows spectroscopy to measure atmosphere composition

Spectrum of Earth (taken by looking at Earthshine) shows evidence for life and plants



# *Taking images of habitable exoplanets: Why is it so hard ?*



A photograph taken from space showing the planet Saturn in the upper left corner, with its characteristic rings. A bright, curved line of light, likely the Earth's horizon or a satellite's path, arcs across the middle of the frame. In the lower right, a small, bright blue-white point of light is indicated by a white arrow pointing upwards. The background is a deep, dark blue-black space.

Saturn

↑  
Earth

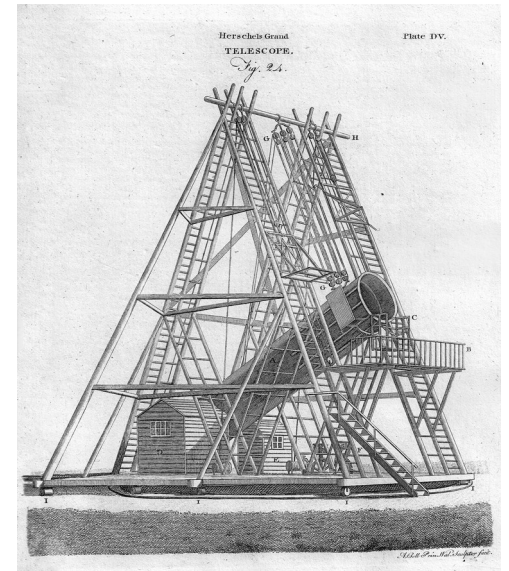
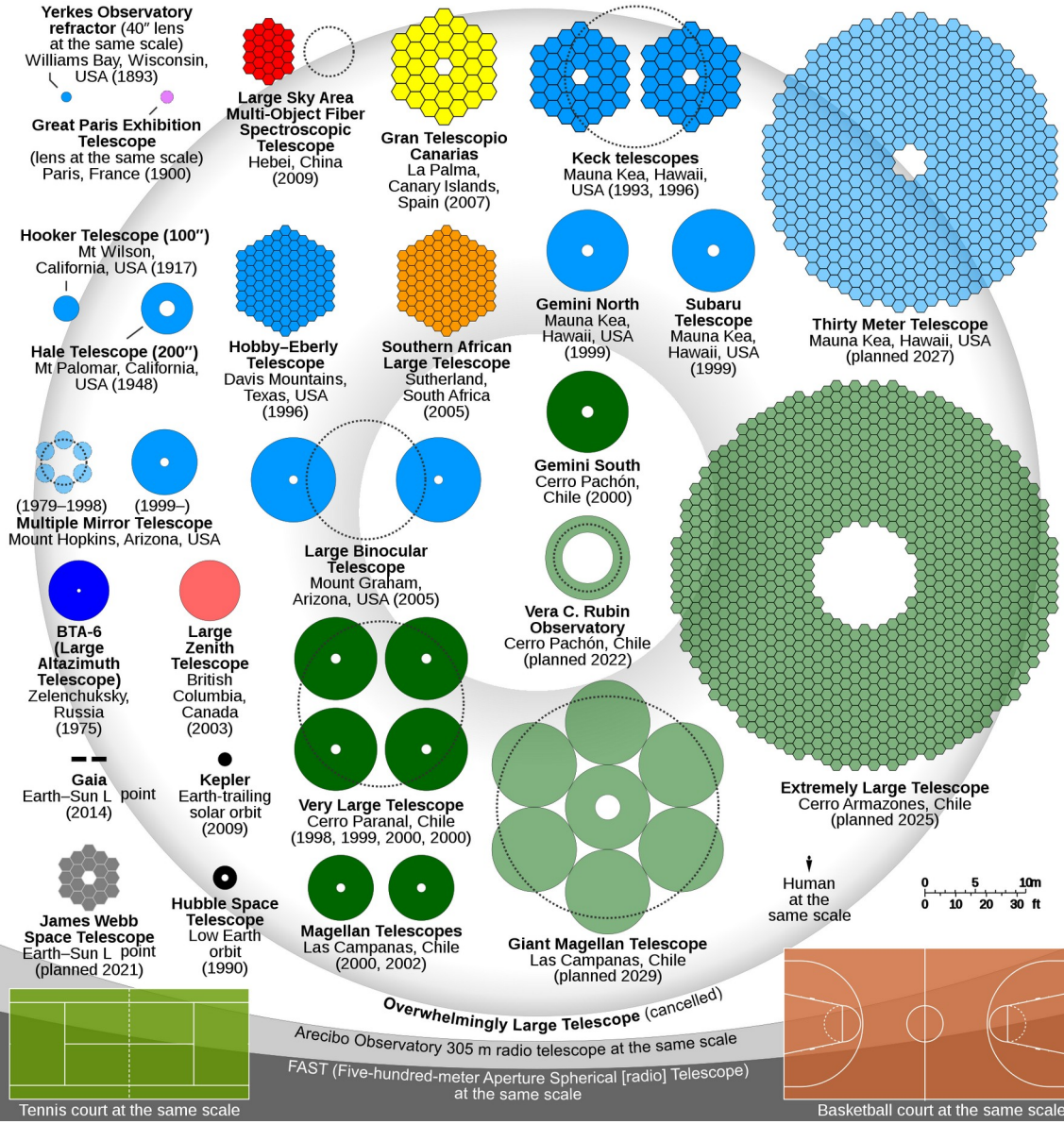
# Why Build Bigger Telescopes ?

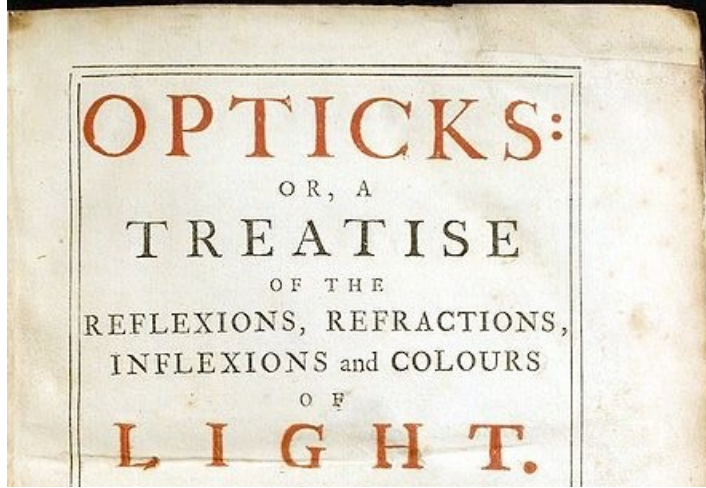
**More light**

Collecting area goes as  $D^2$

**Sharper images**

Angular resolution goes as  $1/D$

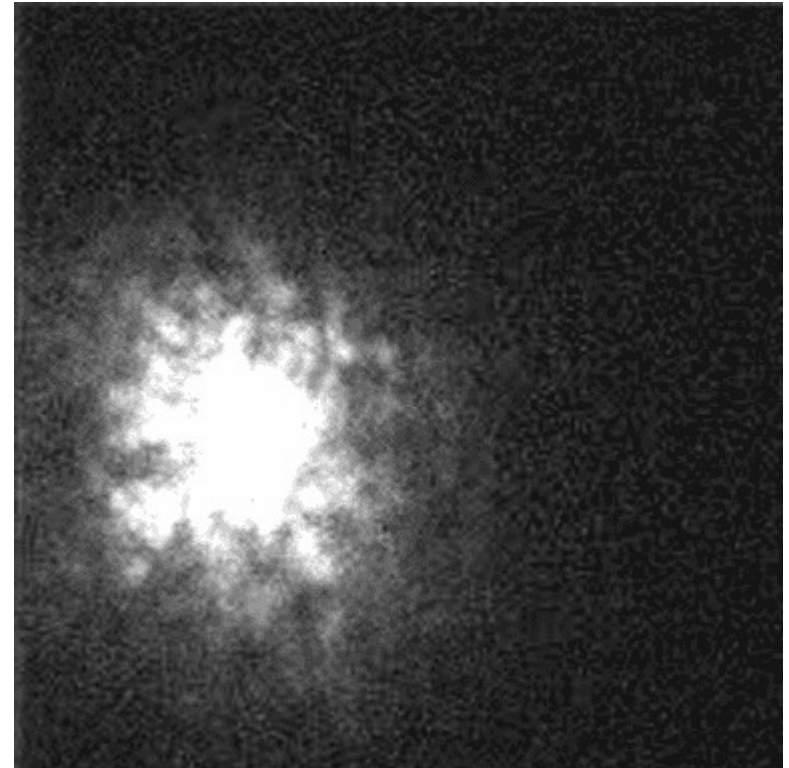




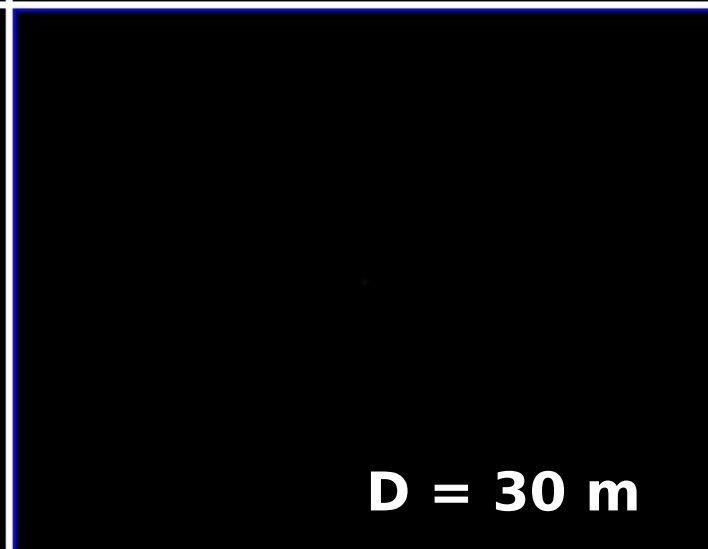
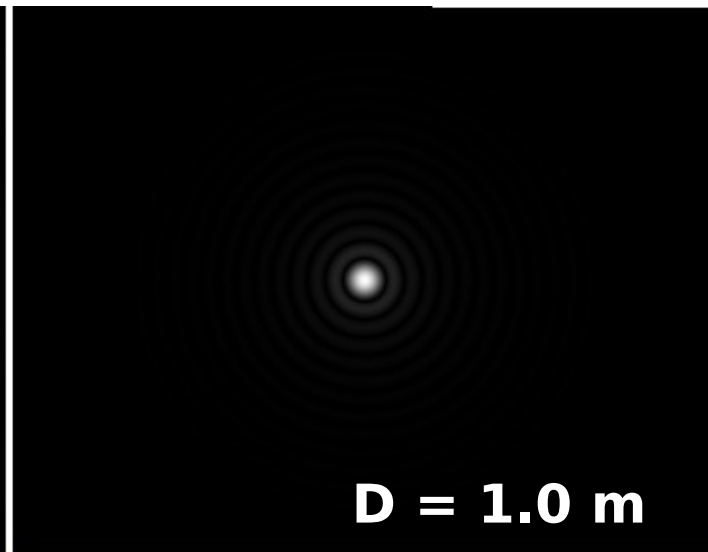
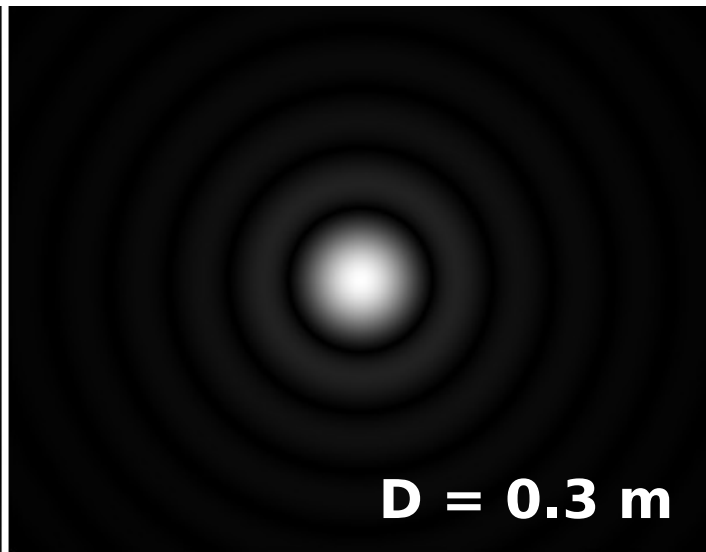
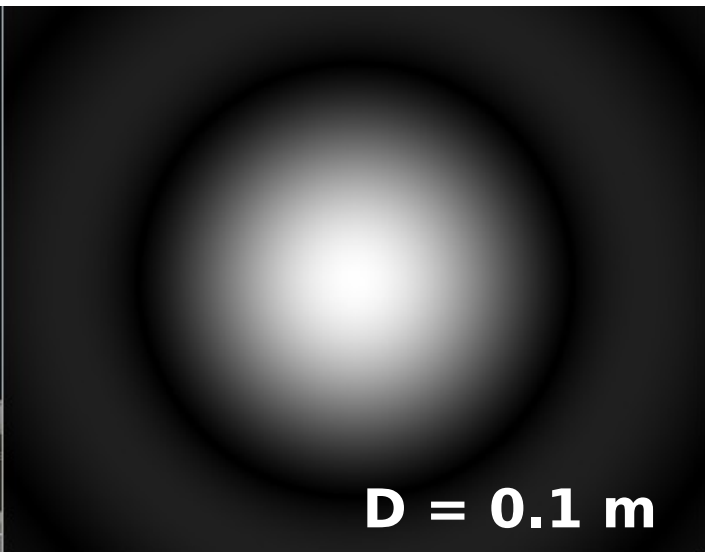
# Atmospheric Turbulence

“ . . . the Air through which we look upon the Stars, is in a perpetual Tremor . . . all these illuminated Points [in the focal plane] constitute one broad lucid Point, composed of these many trembling Points confusedly and insensibly mixed with one another by very short and swift Tremors **and thereby cause the Star to appear broader than it is**, and without any trembling of the whole. Long telescopes may cause Objects to appear brighter and larger than short ones can do, but they cannot be so formed as to take away the confusion of the Rays which arises from the Tremors of the Atmosphere. **The only remedy is a most serene and quiet Air, such as may perhaps be found on the tops of the highest Mountains above the grosser Clouds.**”

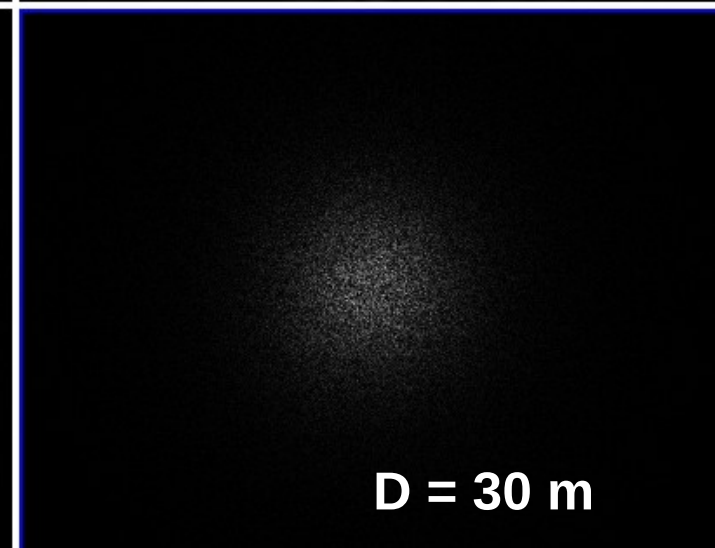
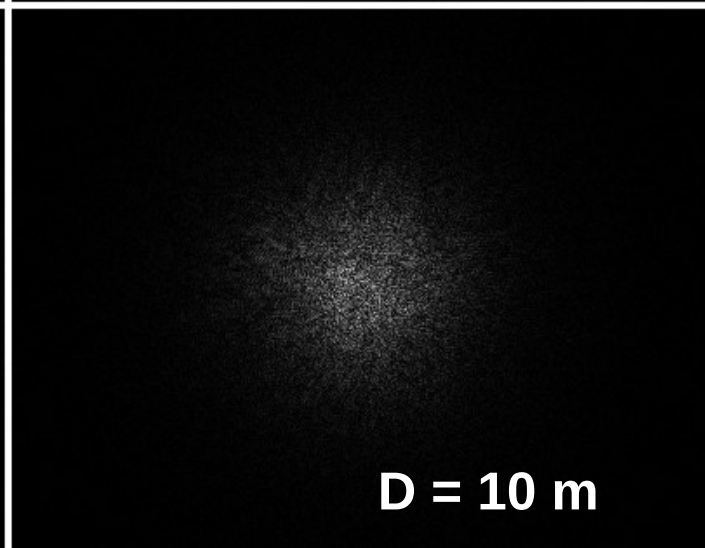
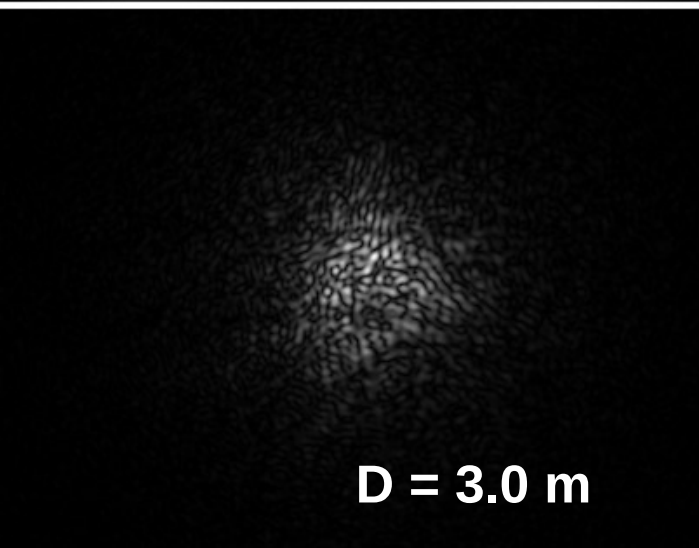
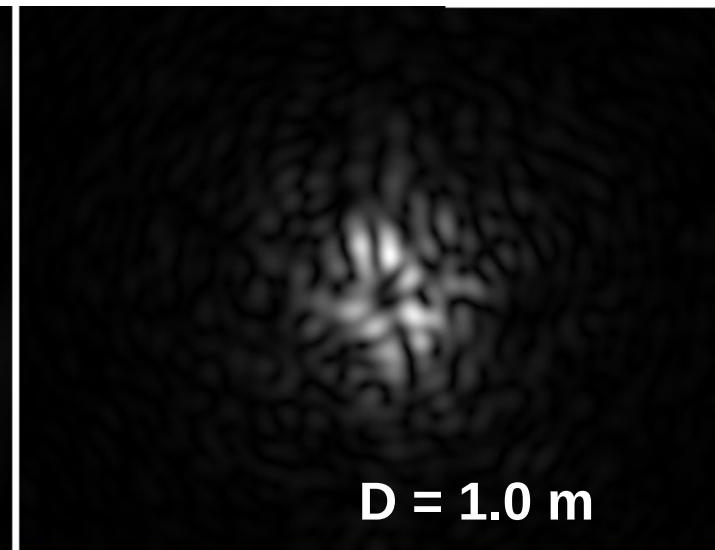
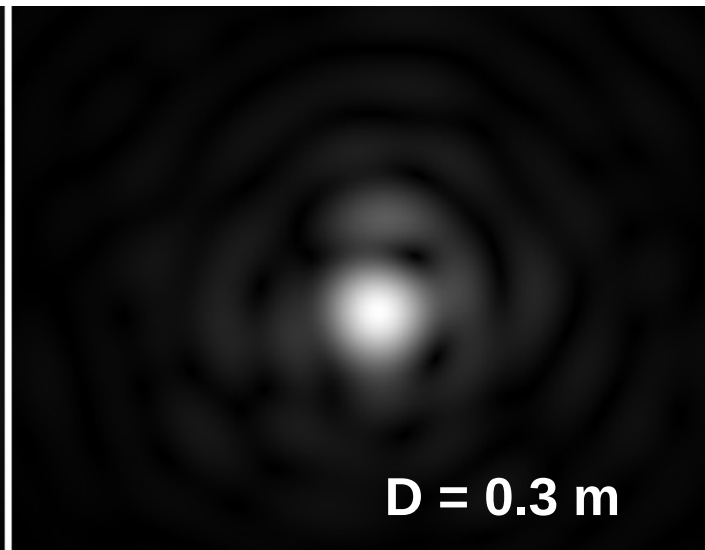
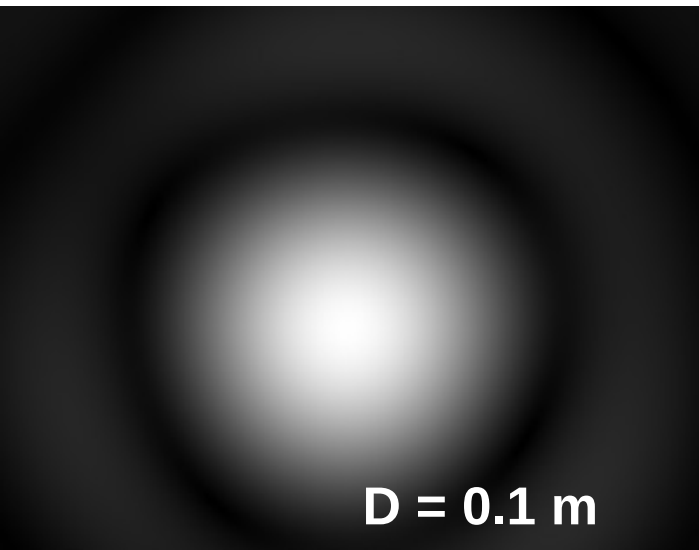
Newton, 1704



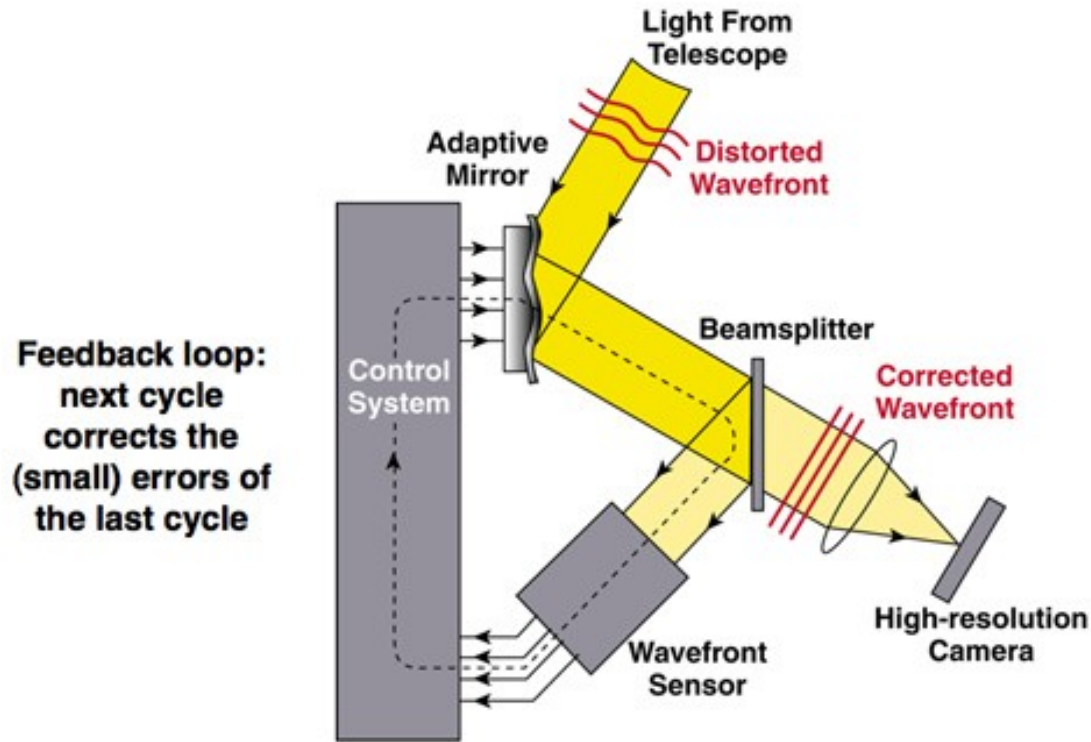
Star image at WHT (D=4.2m)



# Imaging through Atmospheric turbulence



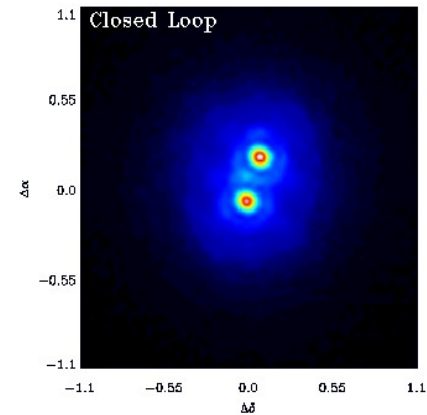
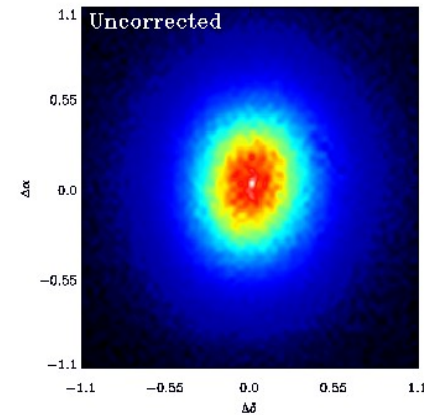
# Adaptive Optics



## *CFHT Adaptive Optics Bonnette*

Double star, separation=0.276"  
Seeing=0.7" @ 0.5mic

Magnitude=10.7  
Strehl Ratio=30%



Wavefront Error (nm)

**Easier**

"Low order AO"

Narrow field  
LGS in near-IR

Narrow field  
NGS in near-IR

Narrow field  
visible AO

High contrast  
"Extreme-AO"

Laser Tomography  
AO (LTAO)

Ground-layer  
AO

Multi Conjugate  
AO (MCAO)

Multi Object  
AO (MOAO)

1 DM OK  
>1 DM needed

1 guide star OK  
>1 guide star OK  
usually also  
needed  
# of DM actuators  
# of WFS elements

Optics size, optical layout complexity

AO loop  
speed

Need  
more  
photons

**Challenging**

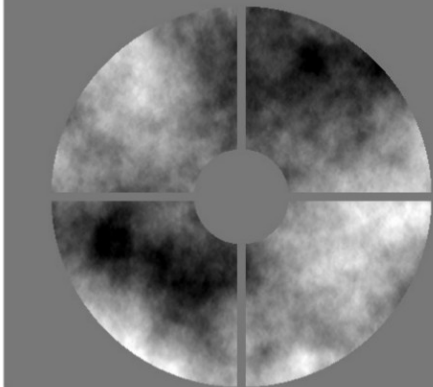
10 nm 0"

10"

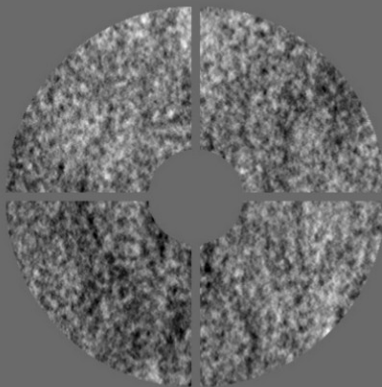
**Field of view** 1'

11

1186 nm RMS



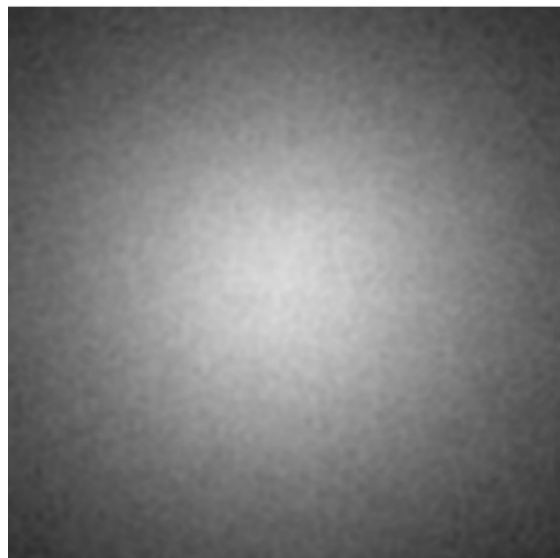
141 nm RMS



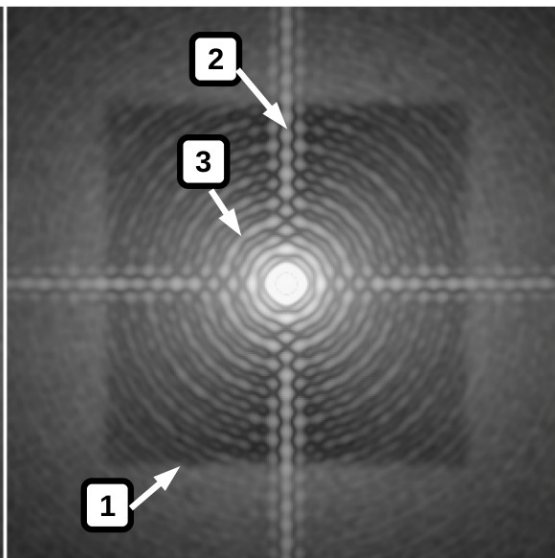
- 1: ExAO control radius
- 2: Telescope spider diffraction
- 3: Diffraction rings
- 4: Ghost spider diffraction
- 5: "butterfly" wind effect
- 6: Coronagraphic leak (low order aberrations)

Monochromatic PSFs, 1.65 $\mu$ m  
No photon noise  
10m/s wind speed, single layer  
4ms wavefront control lag

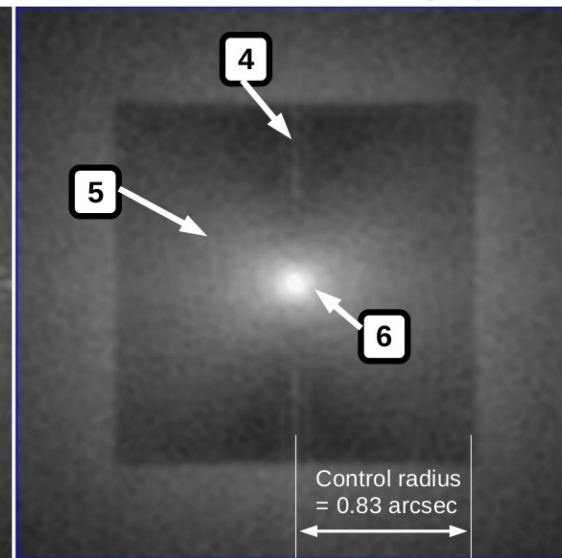
No AO correction



Extreme-AO correction



Extreme-AO + coronagraph



-4.7

-4.4

-4.1

-3.8

-3.5

-3.2

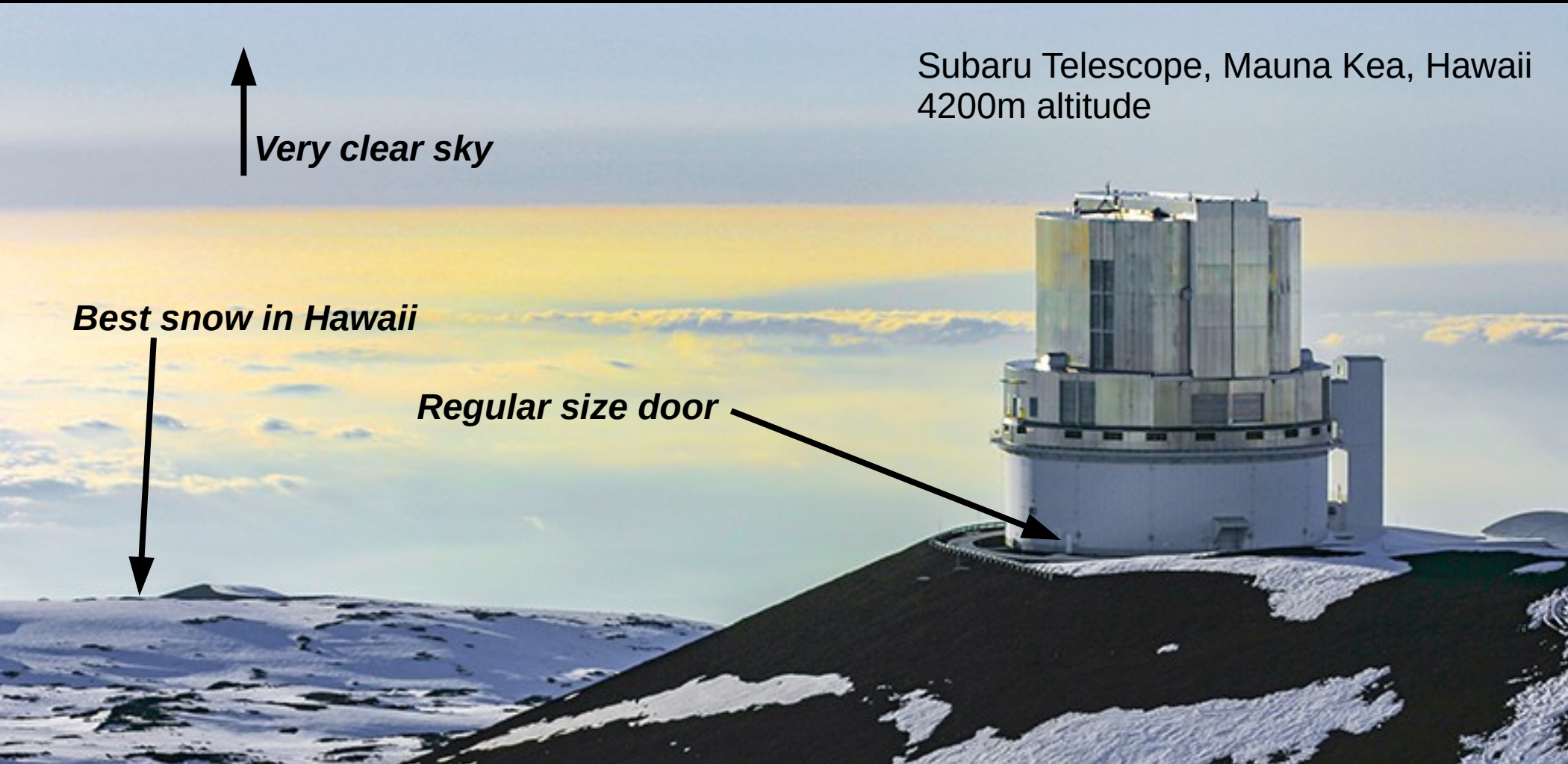
-2.9

-2.6

-2.3

Contrast (10 bands / sec)

Subaru Telescope (8.2m diameter) has an exoplanet-imaging instrument (SCEXAO)  
The instrument team is developing advanced Extreme-AO techniques



Subaru Telescope, Mauna Kea, Hawaii  
4200m altitude

*Very clear sky*

*Best snow in Hawaii*

*Regular size door*

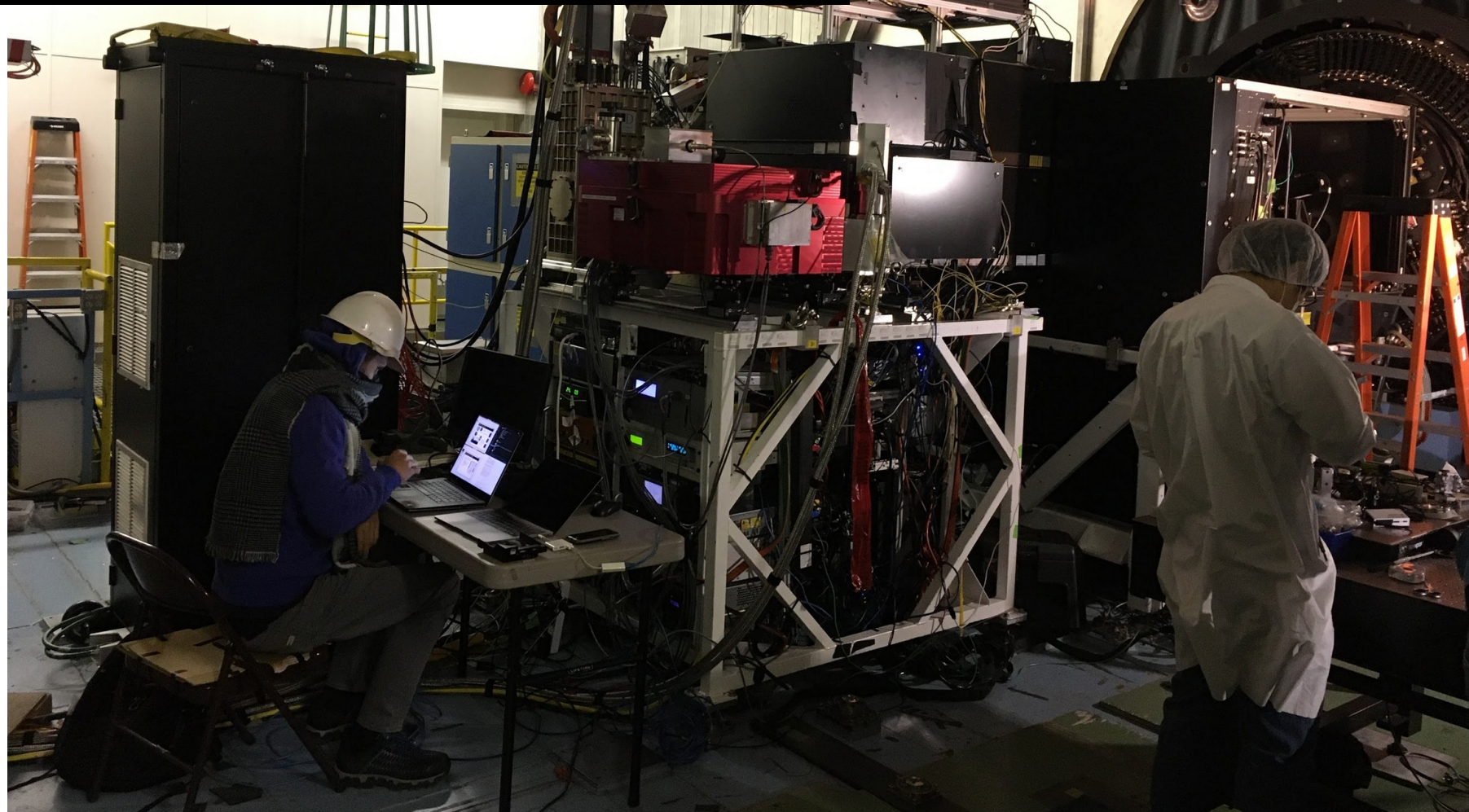


Subaru Telescope (view from inside dome)

Photograph by Enrico Sachetti

# SCEXAO

Subaru Coronagraphic Extreme Adaptive Optics  
すばるコロナグラフ極限補償光学装置





Olivier Guyon

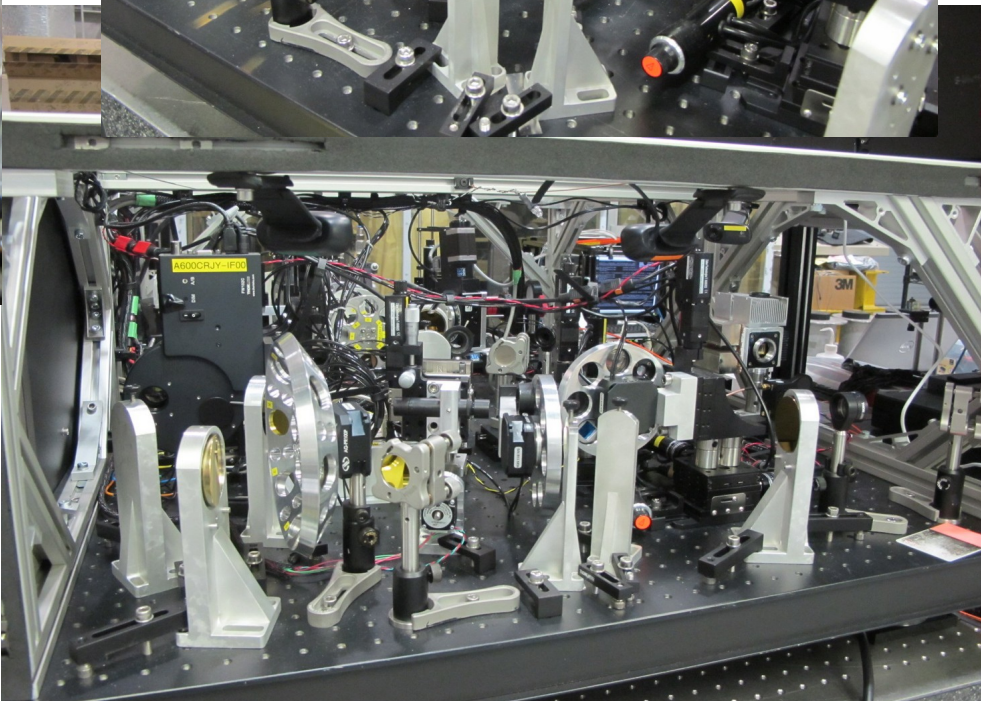
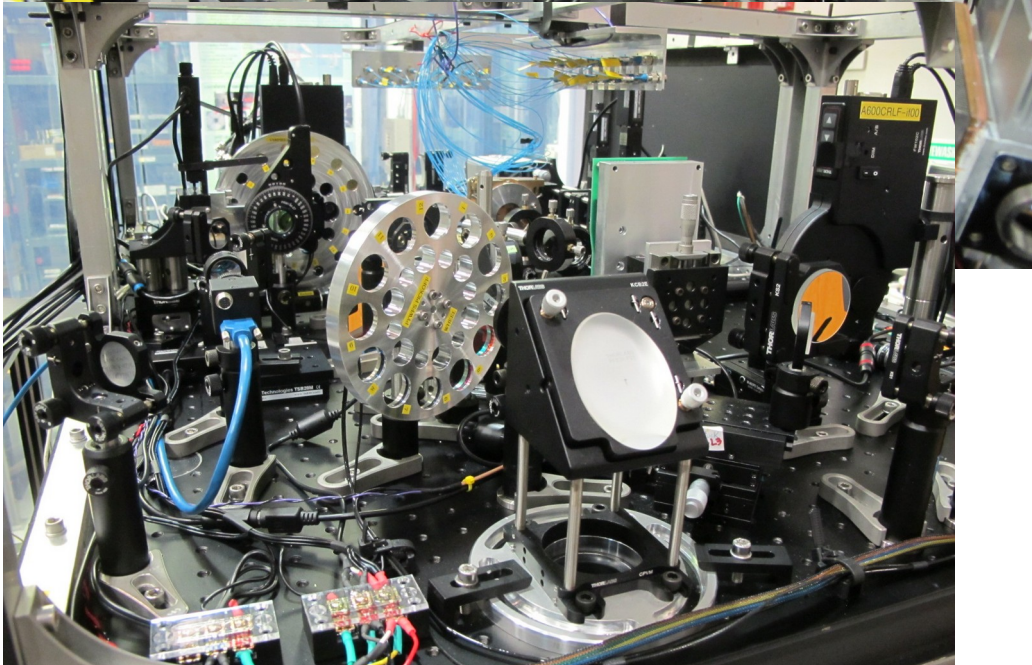
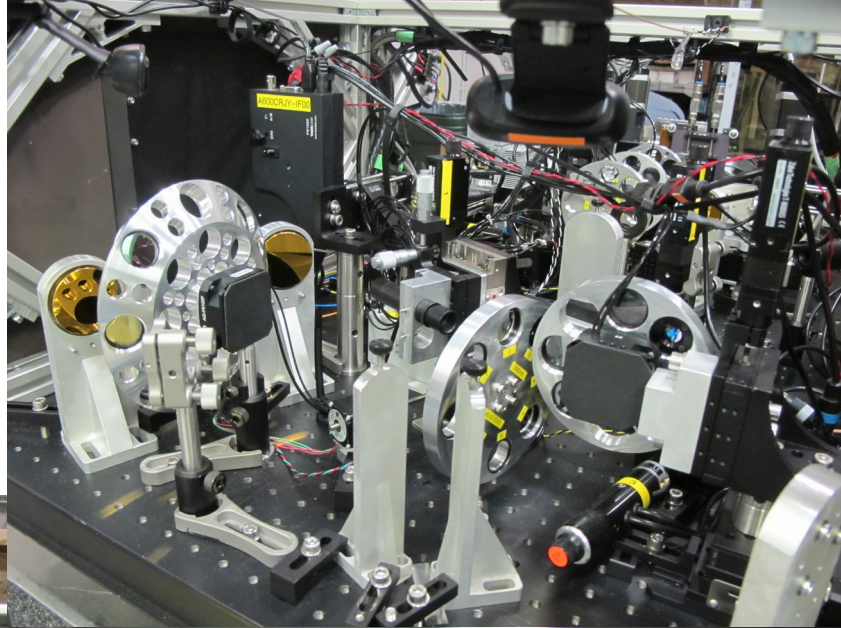
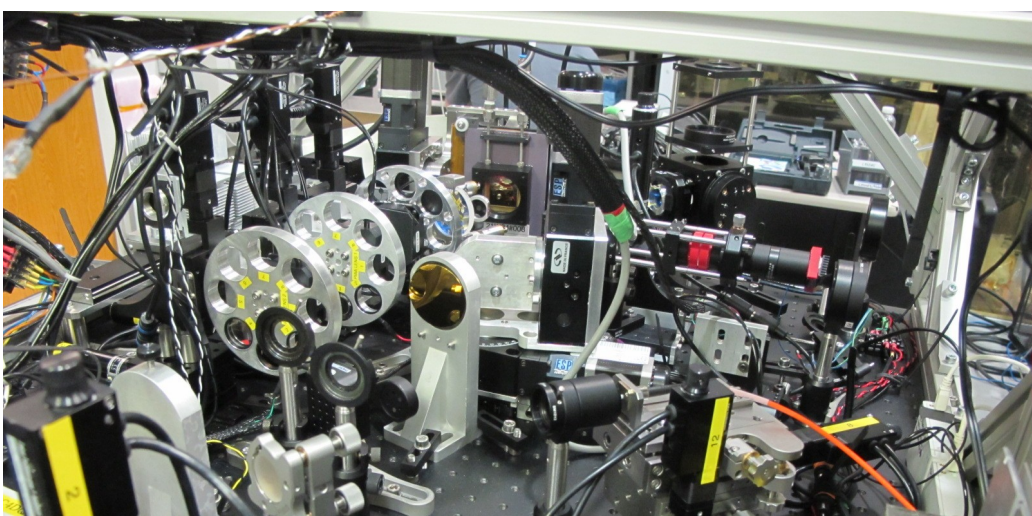


Summit (8893099)



Barnaby Norris





# Imaging exoplanets requires 3 techniques to be combined:

- (1) Extreme-AO corrects atmospheric turbulence
- (2) A coronagraph masks the light of the bright star
- (3) Smart image processing to recognize planets

Simulated images below show how Extreme-AO and Coronagraphy deliver high contrast image of a star

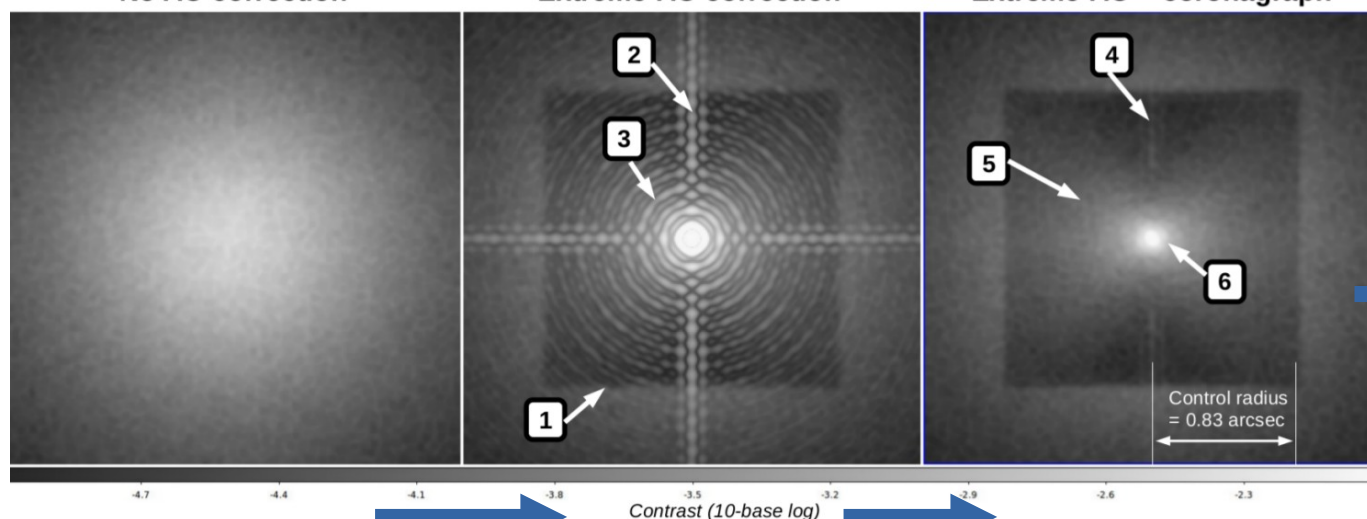
- 1: ExAO control radius
- 2: Telescope spider diffraction
- 3: Diffraction rings
- 4: Ghost spider diffraction
- 5: "butterfly" wind effect
- 6: Coronagraphic leak (low order aberrations)

Monochromatic PSFs, 1.65um  
No photon noise  
10m/s wind speed, single layer  
4ms wavefront control lag

No AO correction

Extreme-AO correction

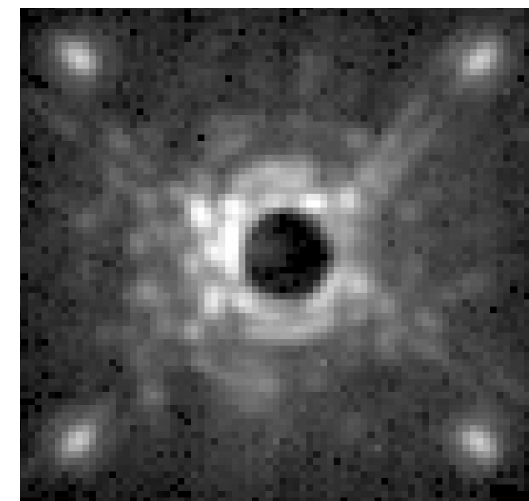
Extreme-AO + coronagraph



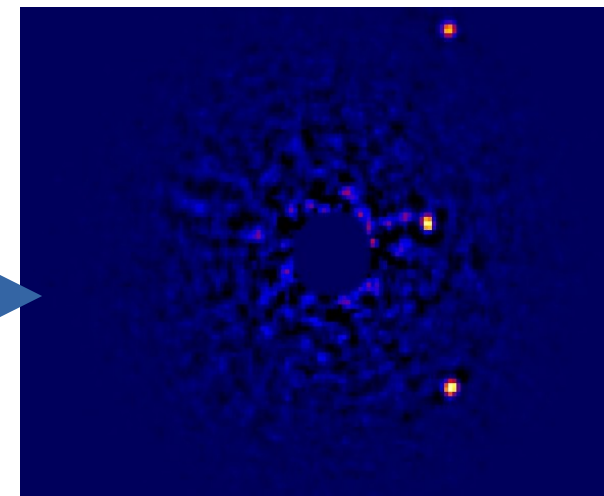
(1)

(2)

(3)

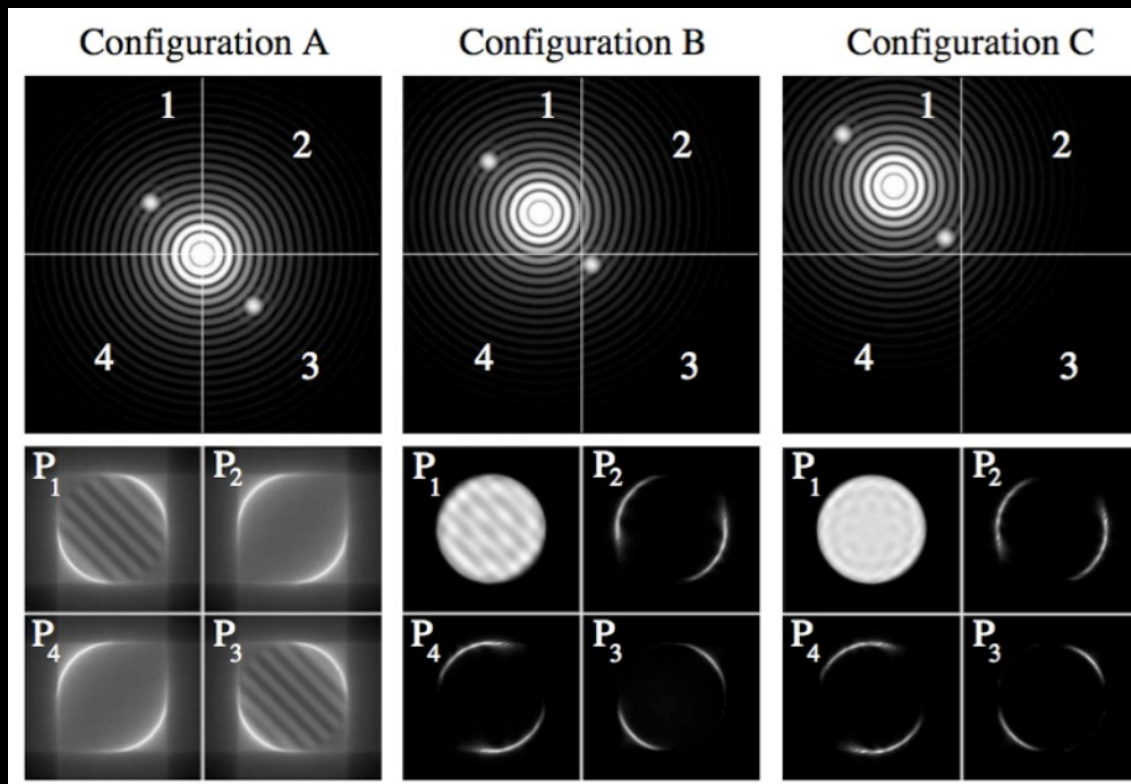
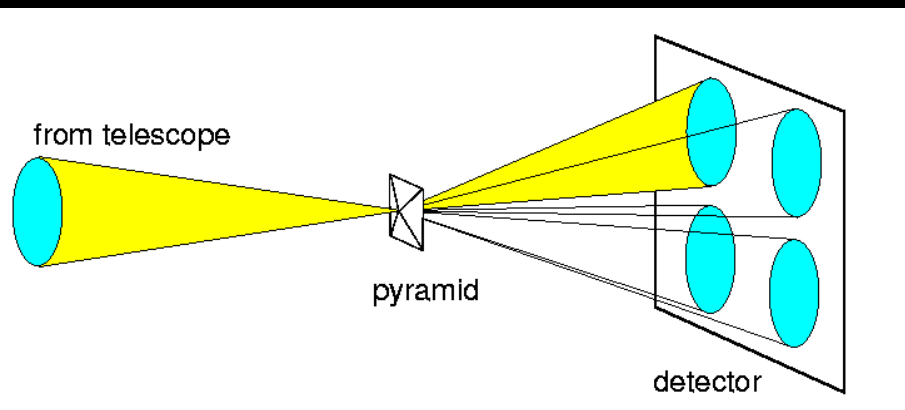


Raw on-sky image  
Subaru Telescope/SCEXAO



HR8799 system (b,c,d)  
Subaru Telescope/SCEXAO

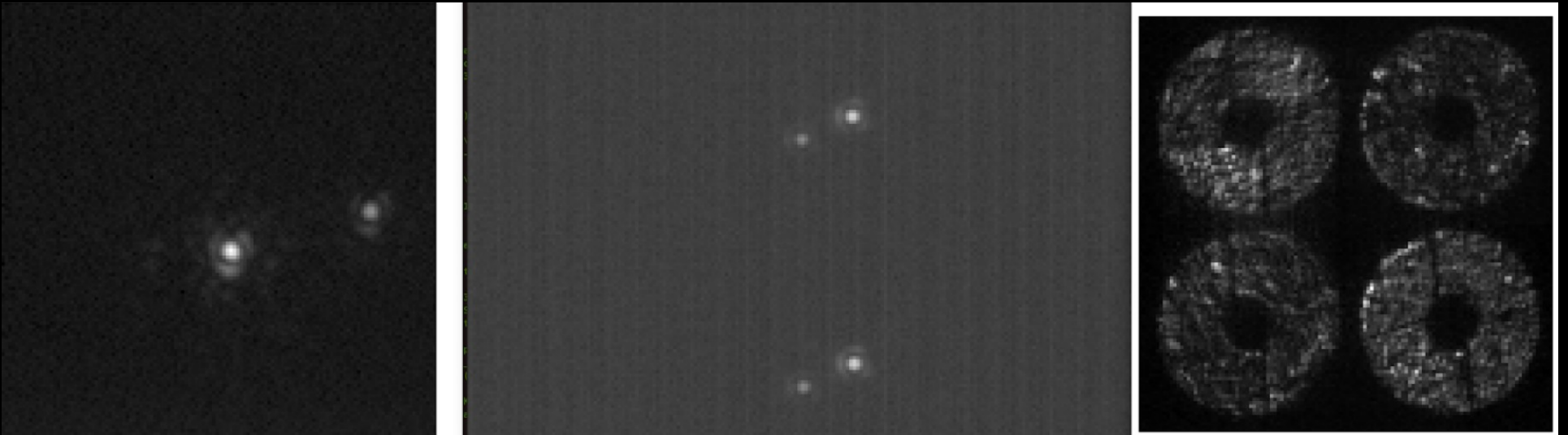
# Pyramid WFS



# On-sky camera images (snapshot)

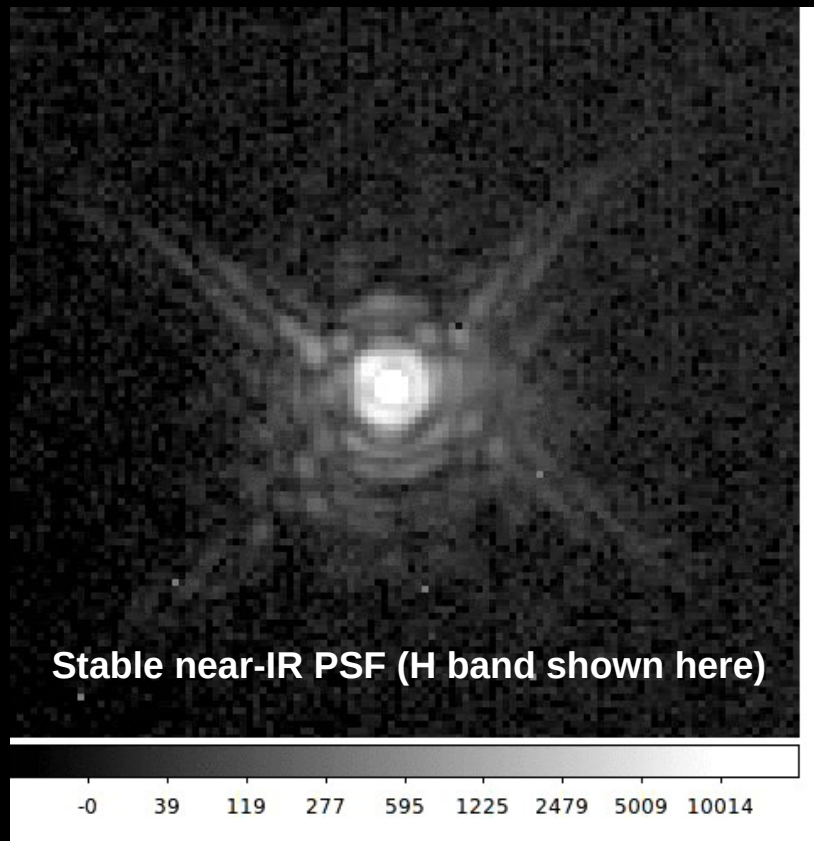
SCExAO example

Visible image (left), NearIR image (center), Visible pyramid WFS (right)

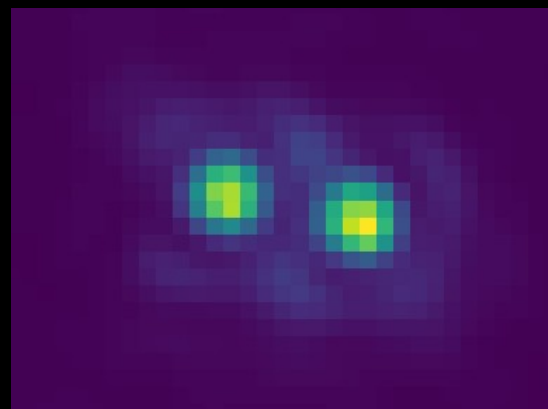
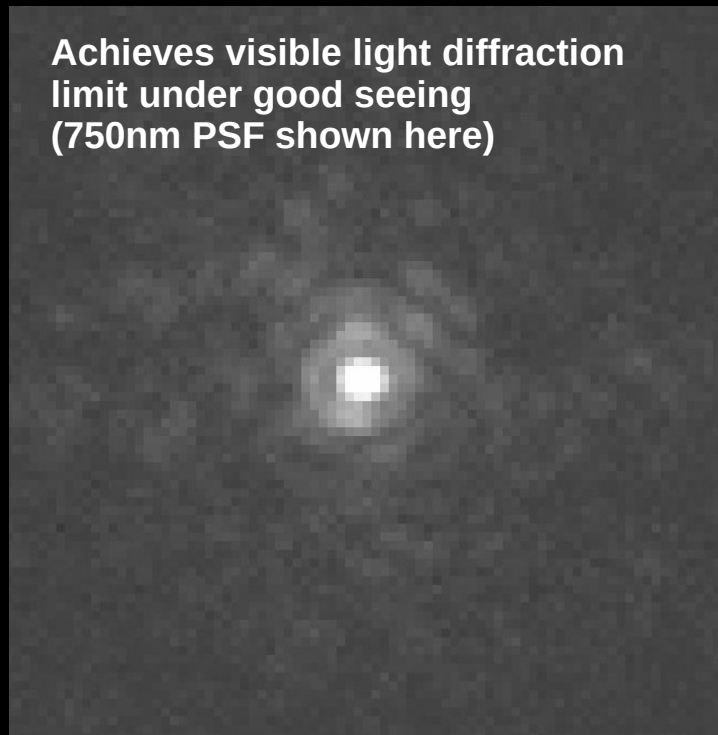


Star: Sigma Ori, 0.3" separation

AO loop runs at 0.5 kHz to 3.5 kHz  
14,400 sensors → 2000 actuators



Achieves visible light diffraction  
limit under good seeing  
(750nm PSF shown here)



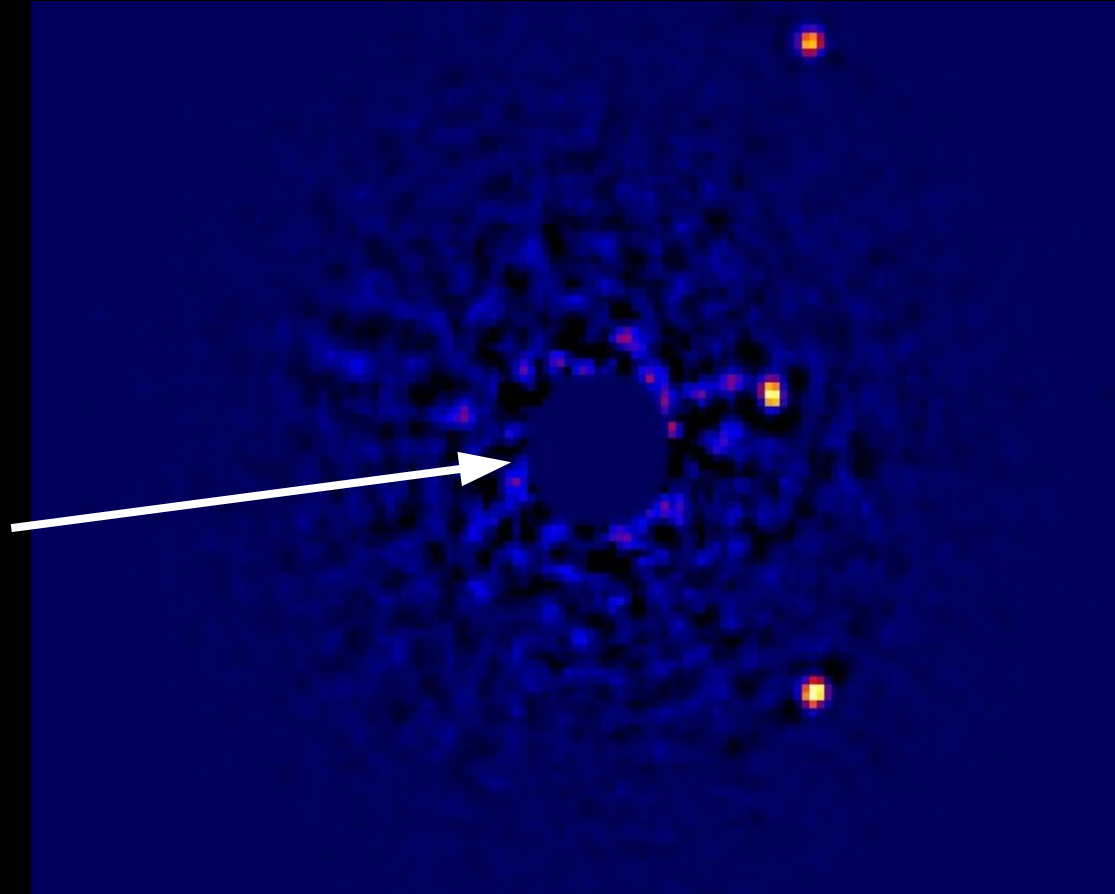
Capella  
36mas separation

# HR8799 system

Four planets, orbital periods on the order of 100yr

Each planet 5 to 7 Jupiter Mass

The central bright star is missing from the image: it has been successfully blocked by our optics, and removed by image processing



Subaru Telescope/ SCExAO (data reduction: T. Currie)

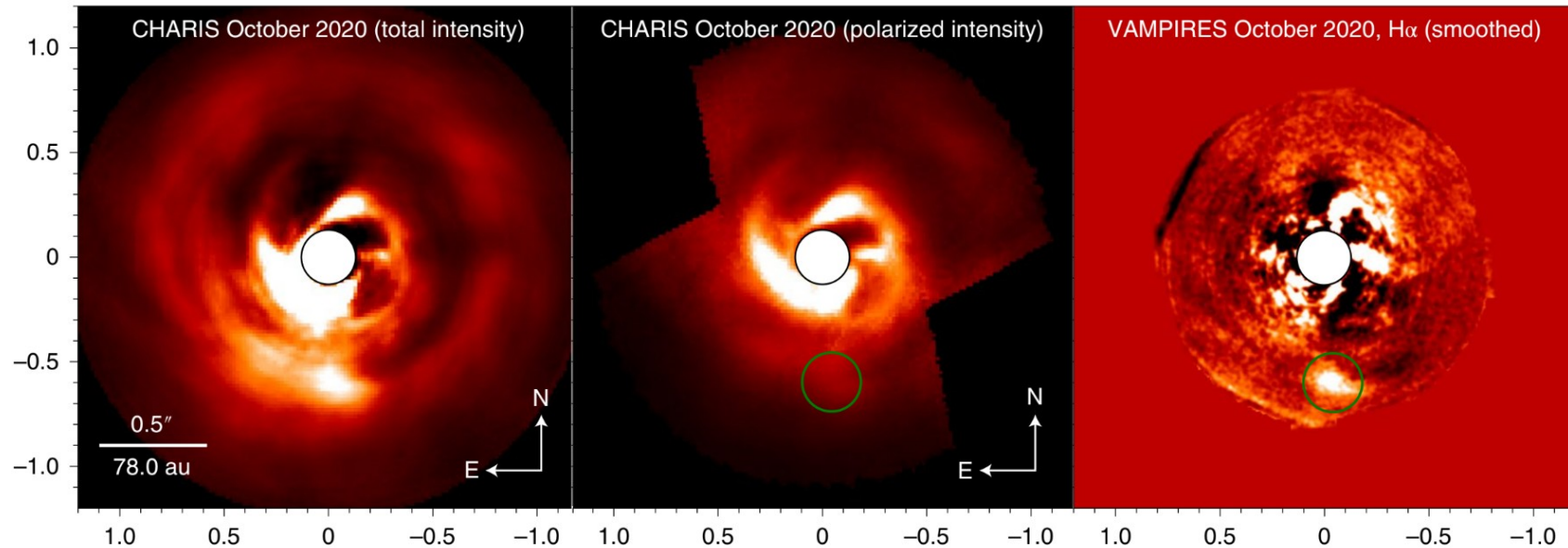
# AB Aur b protoplanet

Planet is still forming from gravitational collapse of gas cloud

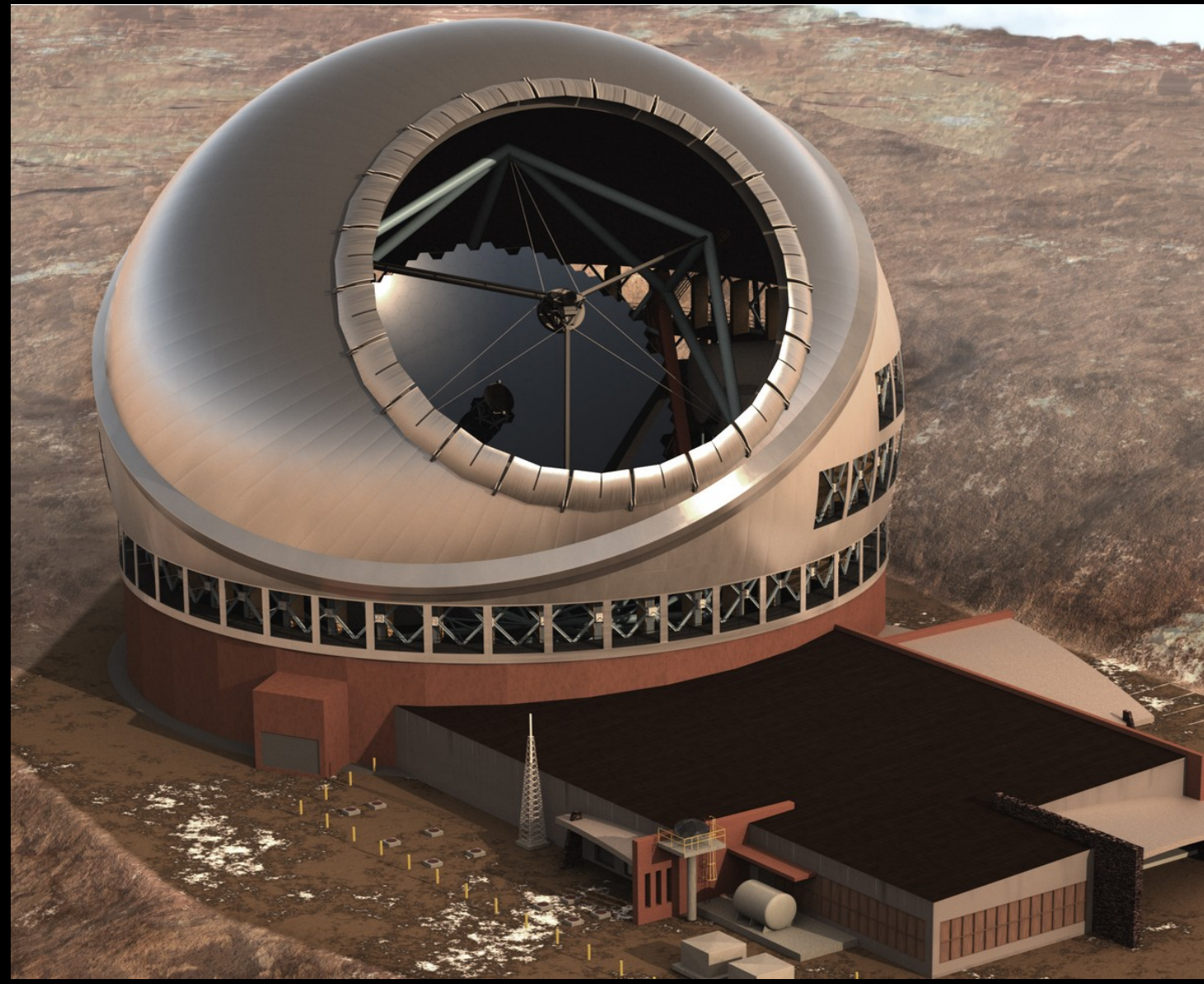
Mass  $\sim 9x$  Jupiter

## ARTICLES

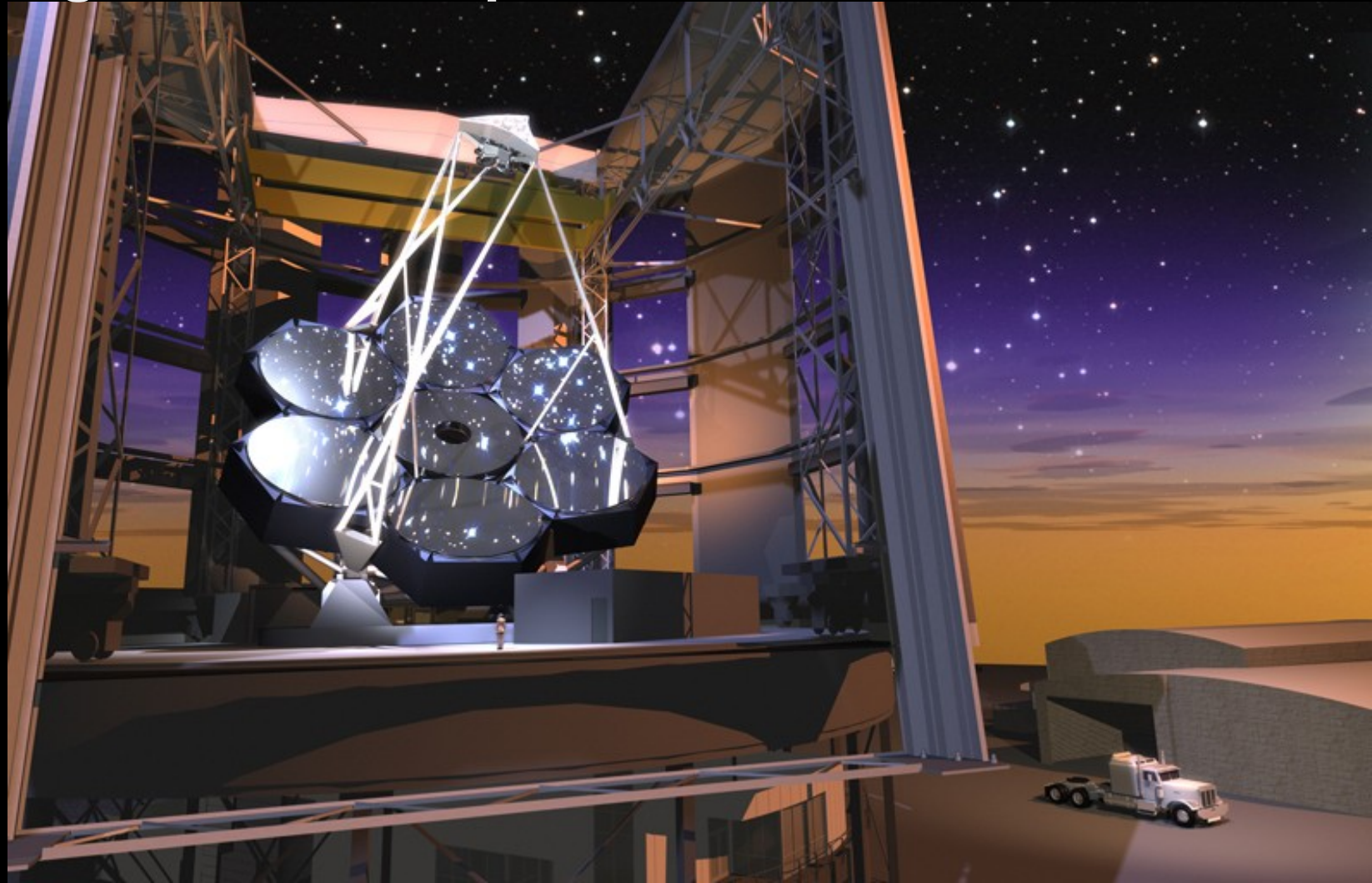
## NATURE ASTRONOMY



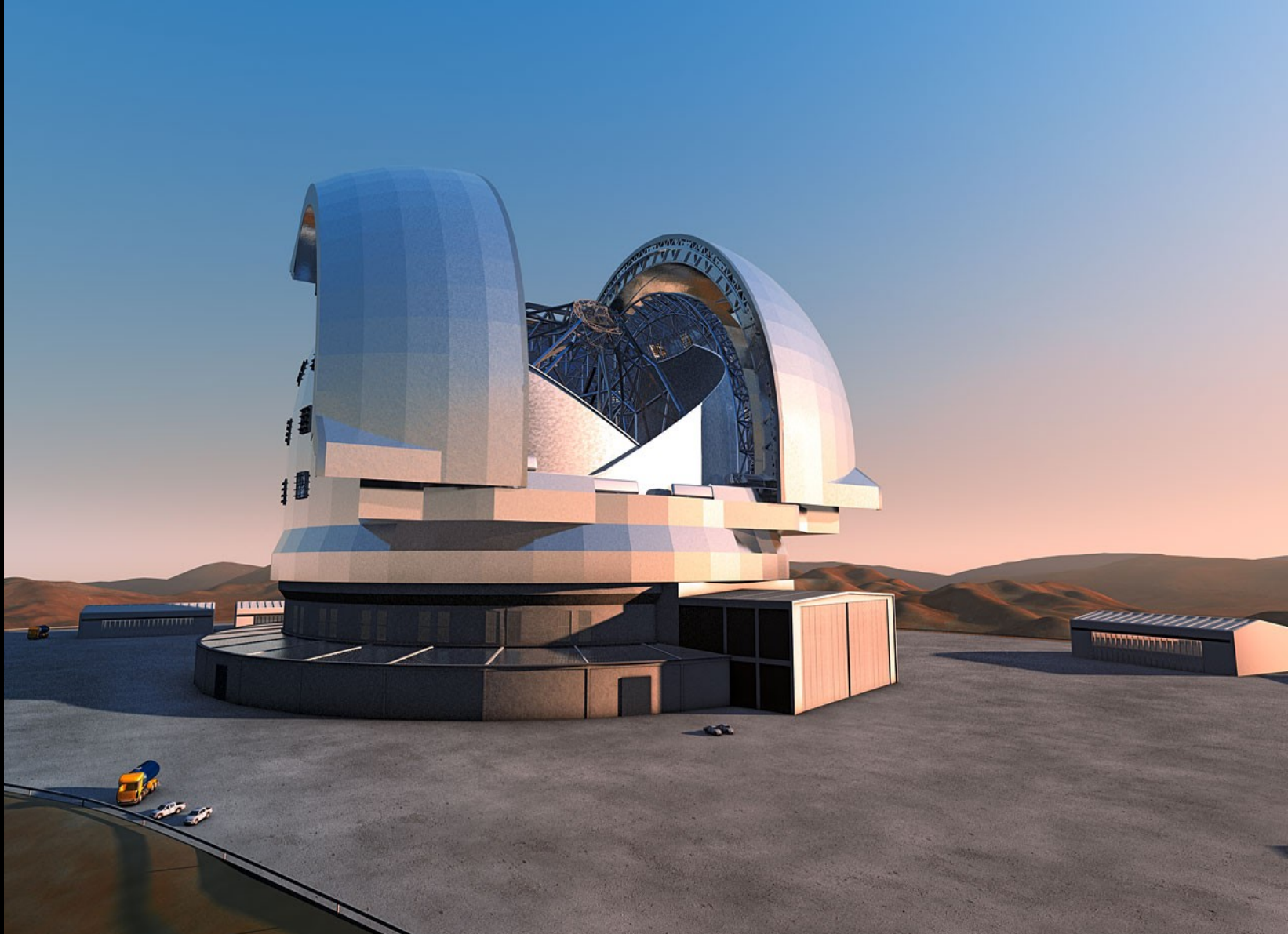
# Thirty Meter Telescope



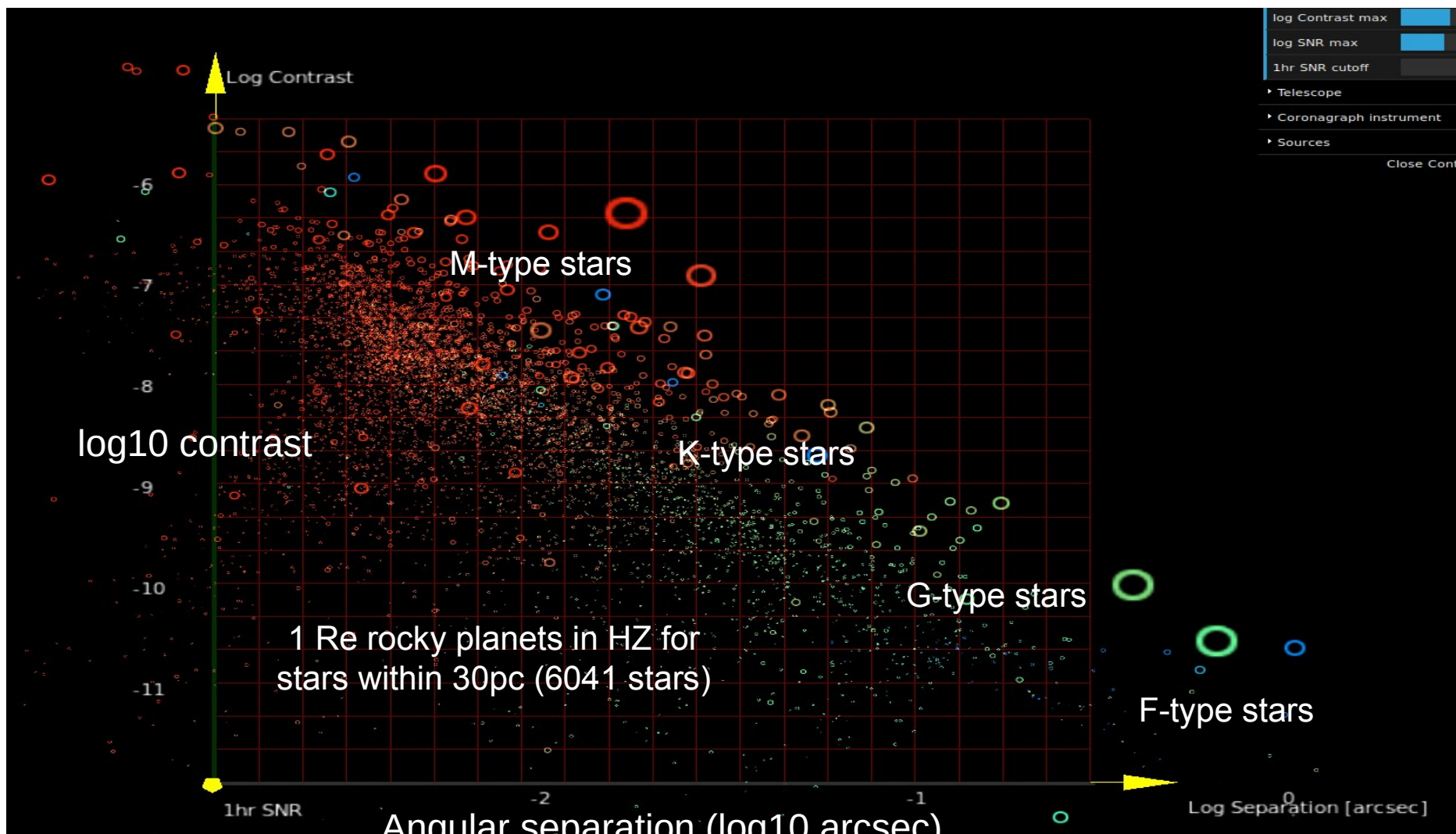
# Giant Magellan Telescope



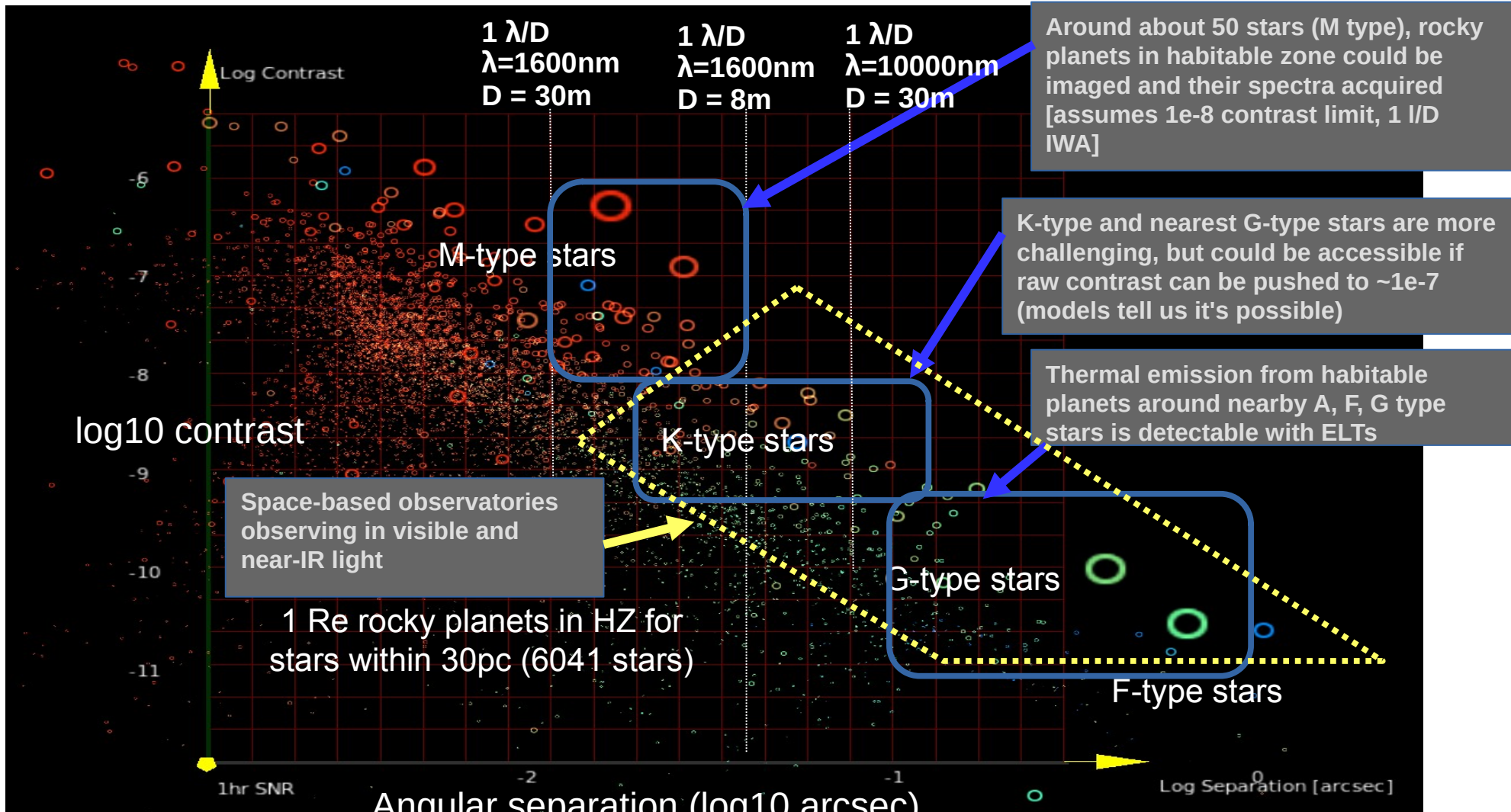
# European Extremely Large Telescope



# Contrast and Angular separation



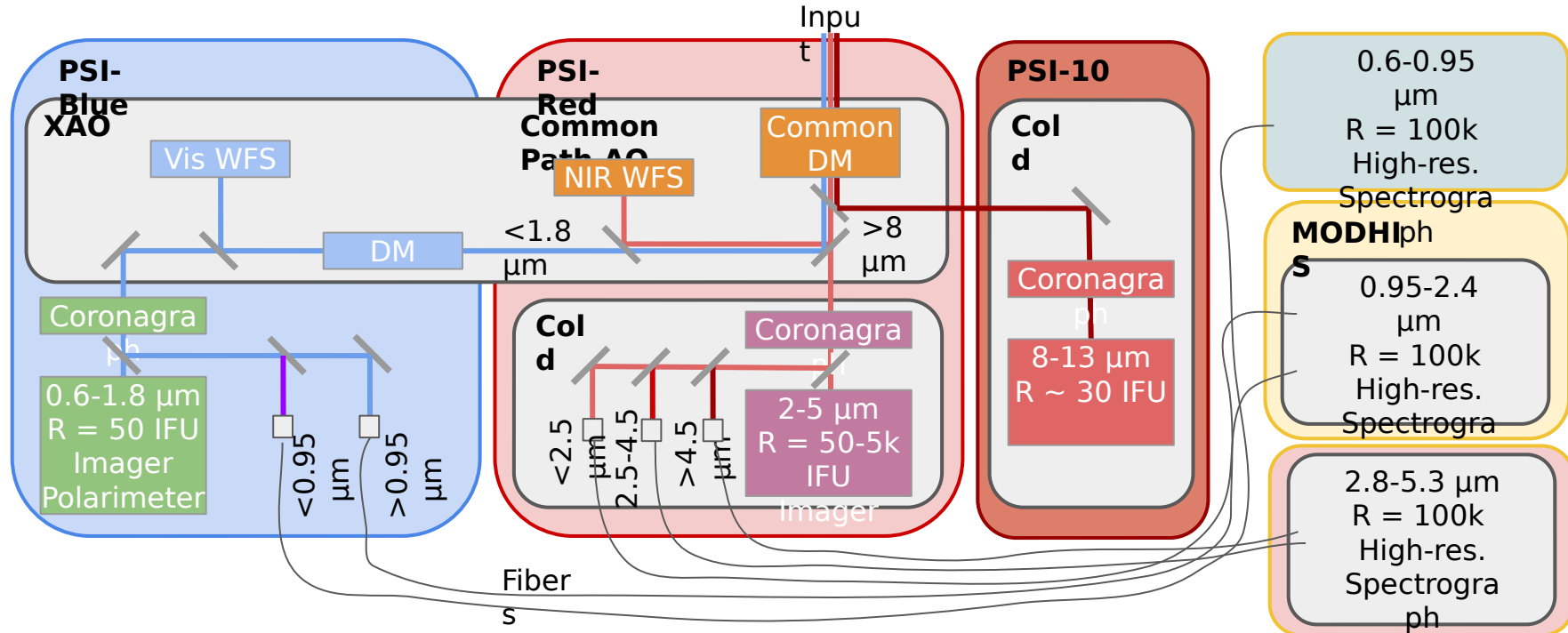
# Contrast and Angular separation

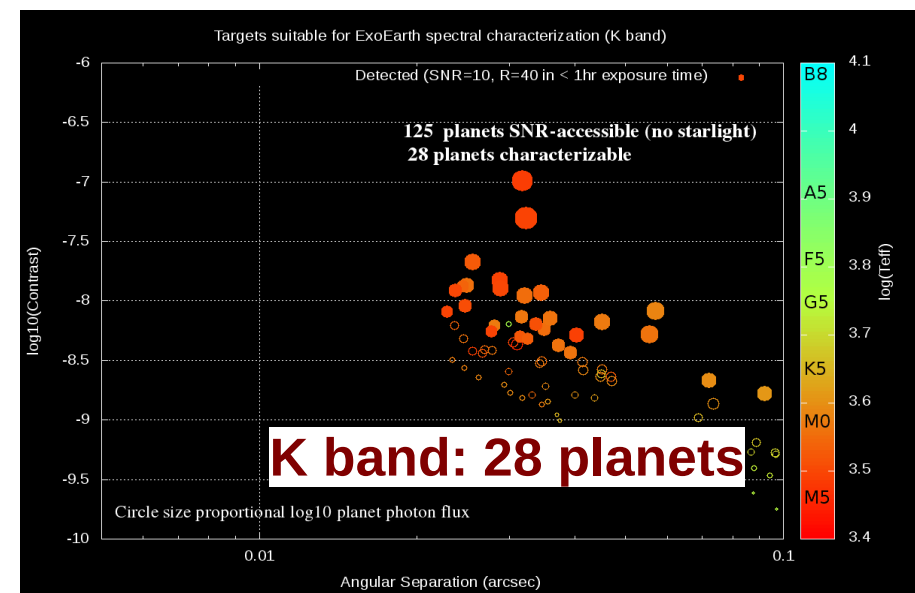
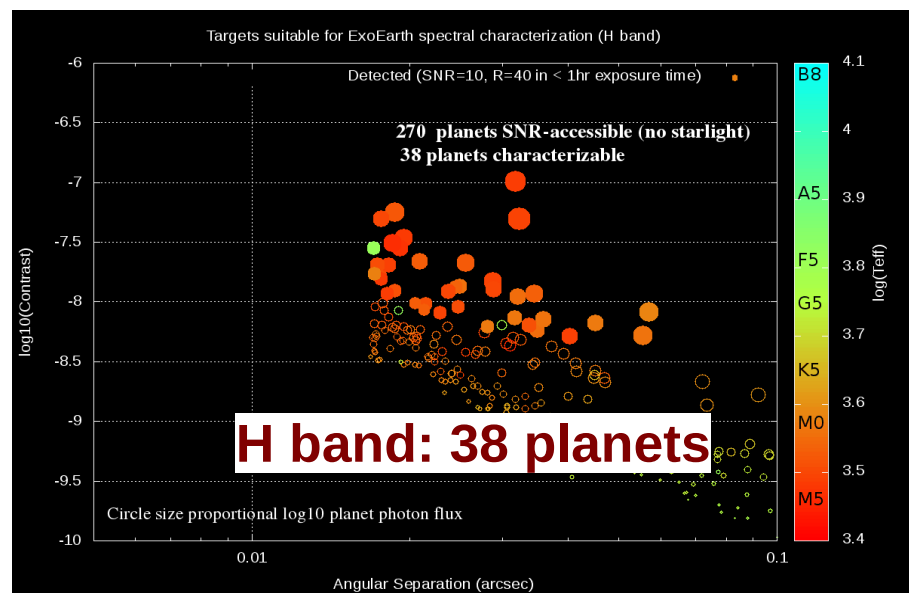
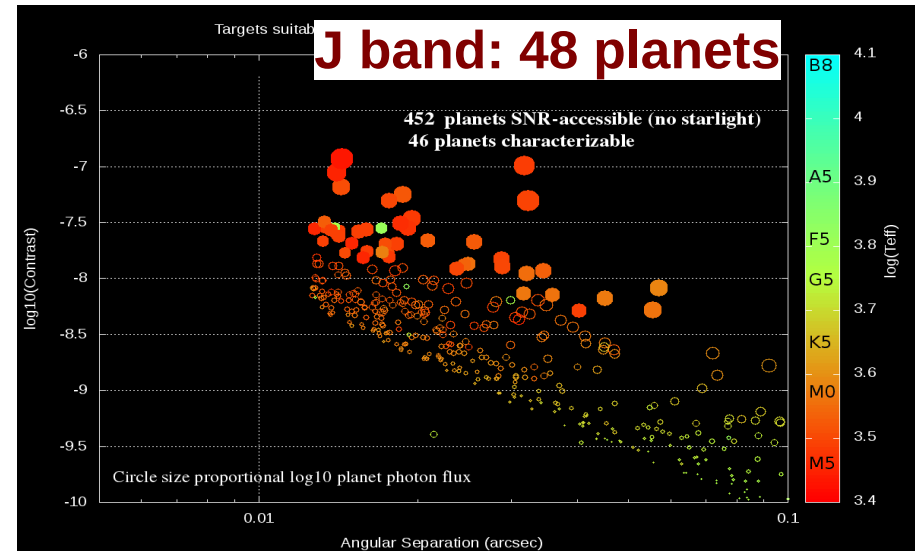
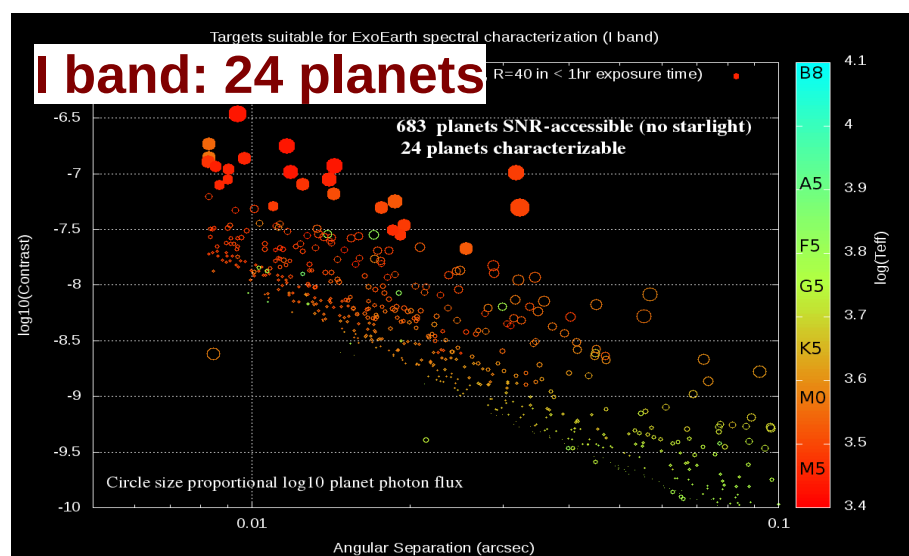


# TMT Planetary Systems Imager (PSI)

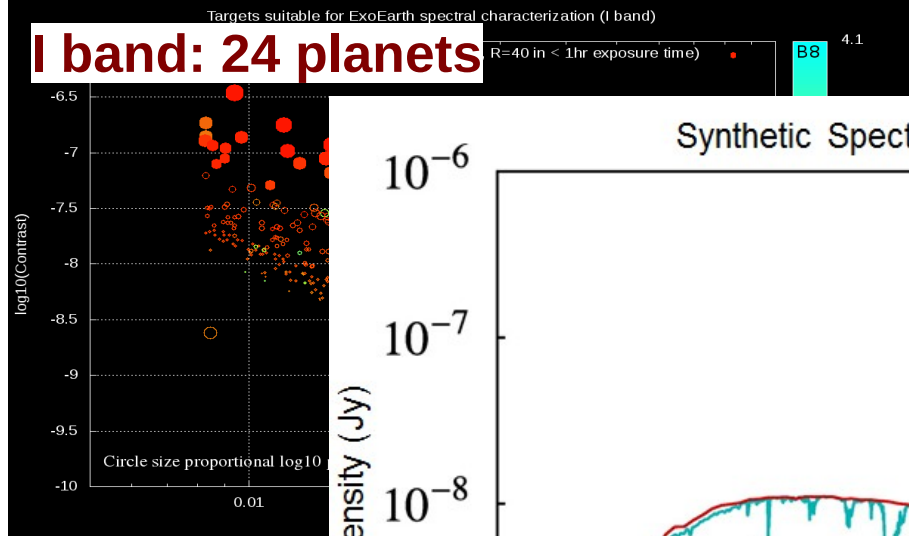


*Northern Hemisphere  
Broad wavelength coverage & spectroscopy*

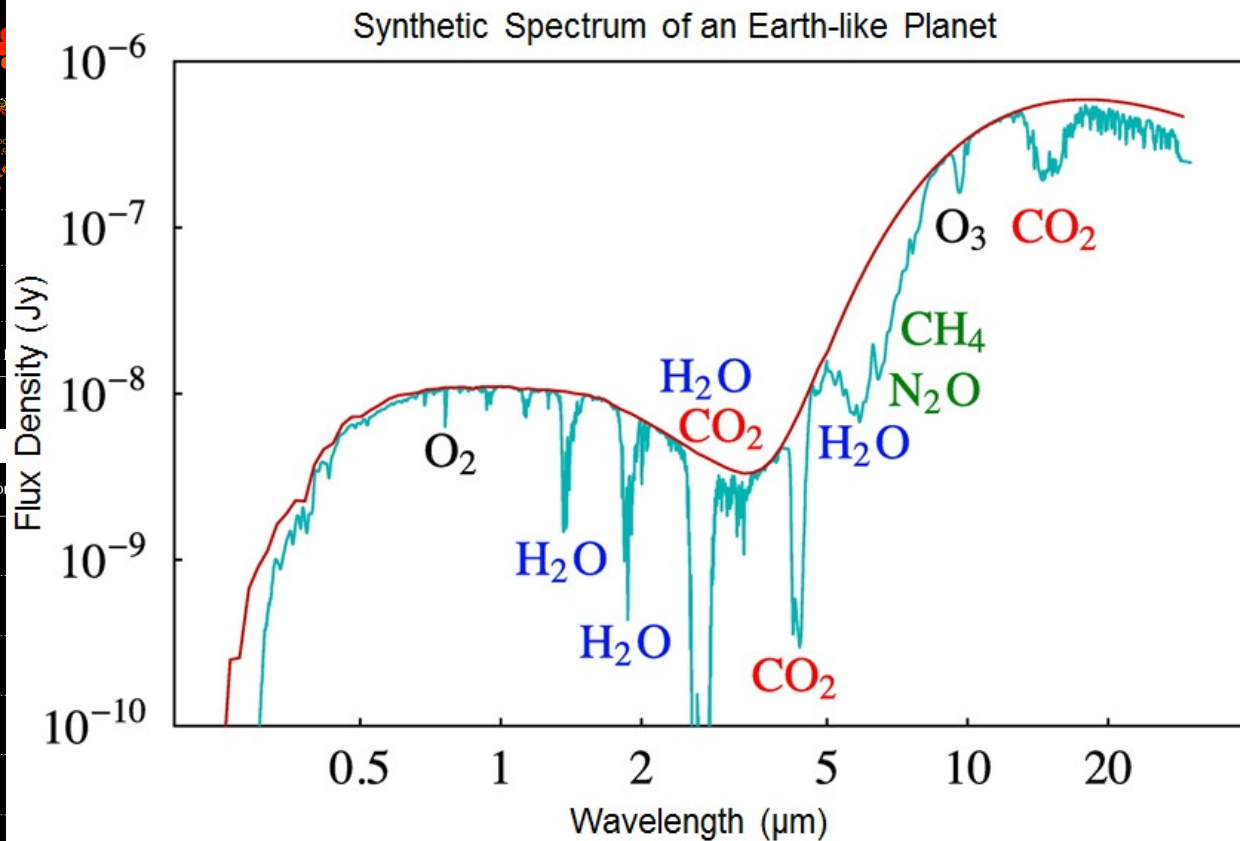
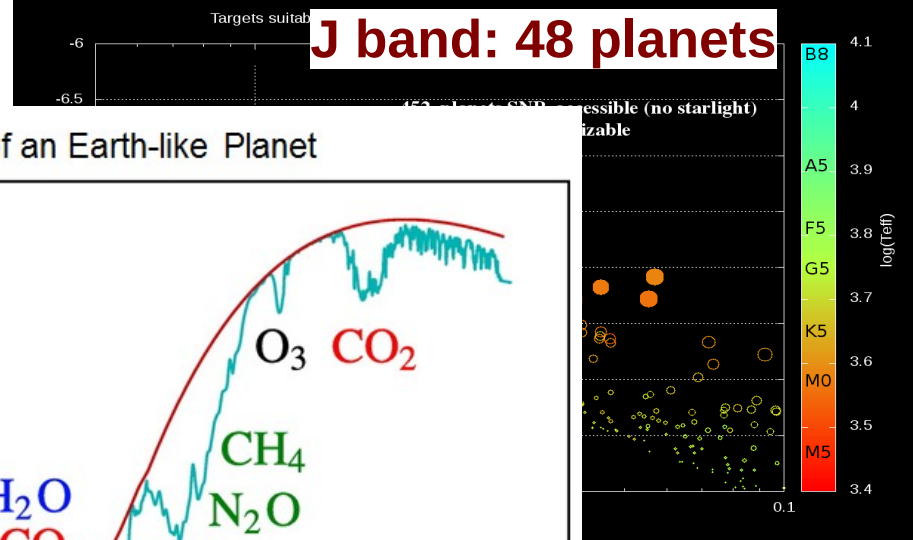




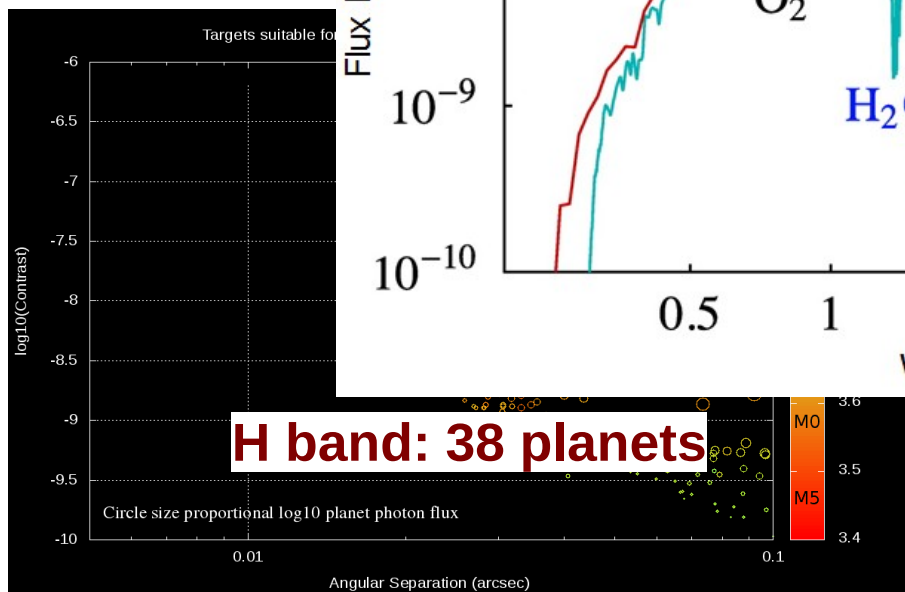
I band: 24 planets



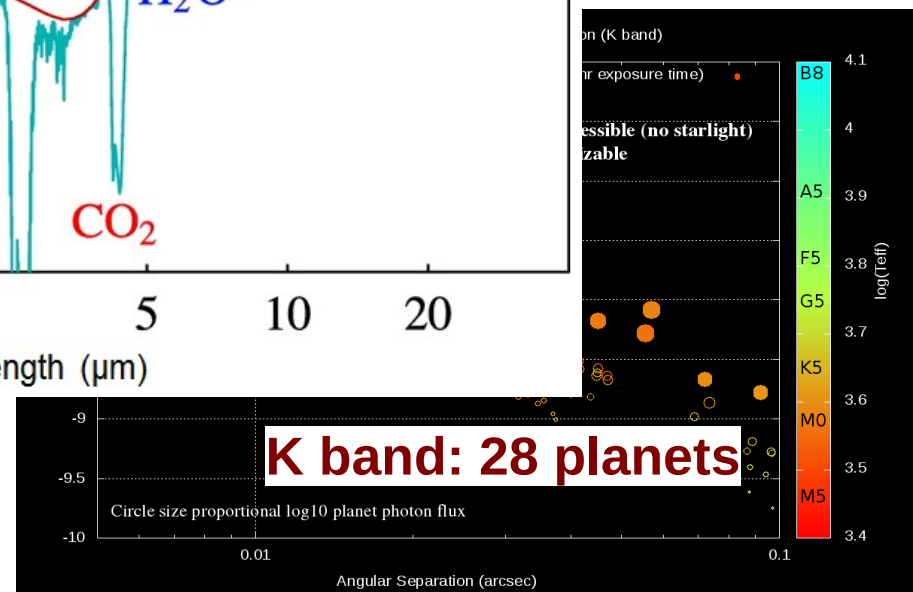
J band: 48 planets



H band: 38 planets



K band: 28 planets



# **AO & Infrastructure Upgrades at Subaru Telescope**

# Major upgrades are bringing SCExAO closer to TMT-PSI

Upgrades to 1<sup>st</sup> stage AO correction will boost performance:

[spring 2023] 1<sup>st</sup> stage Deformable Mirror: 188 elements → 3228 elements  
Validating DM technology envisioned for TMT-PSI

[spring 2023] Adding high-order NearIR wavefront sensing

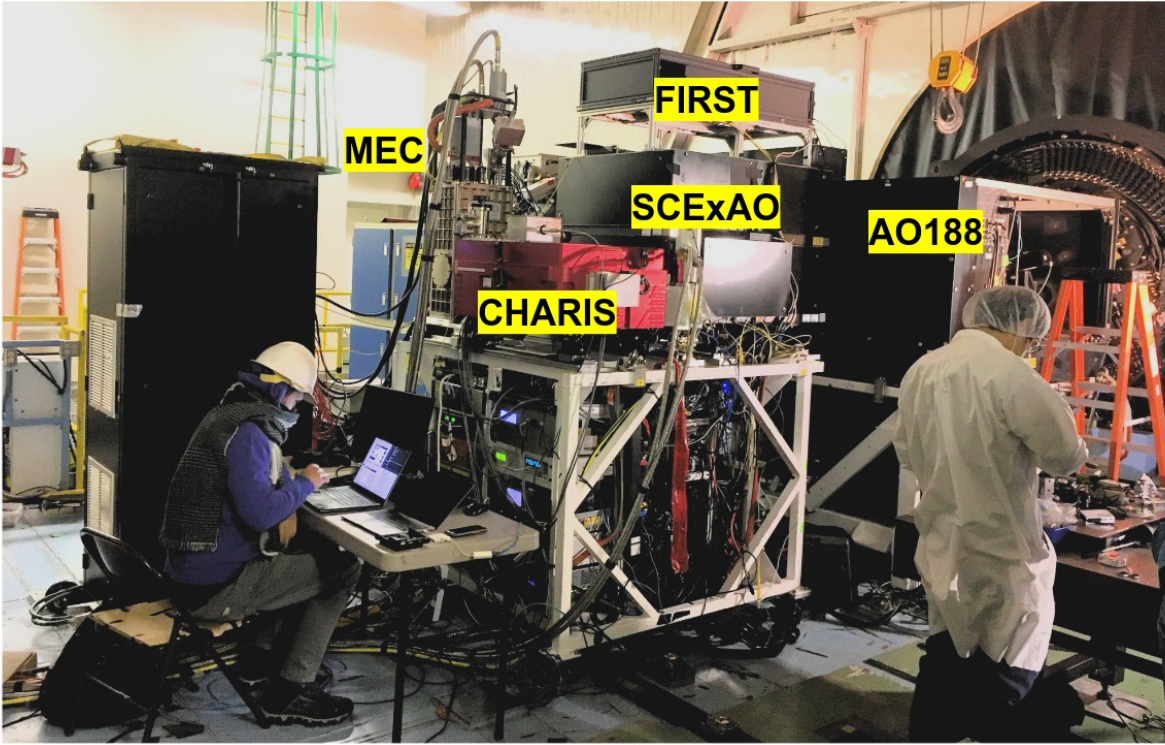
[late 2023] Adding 1<sup>st</sup> stage high order visible WFS

## Infrastructure:

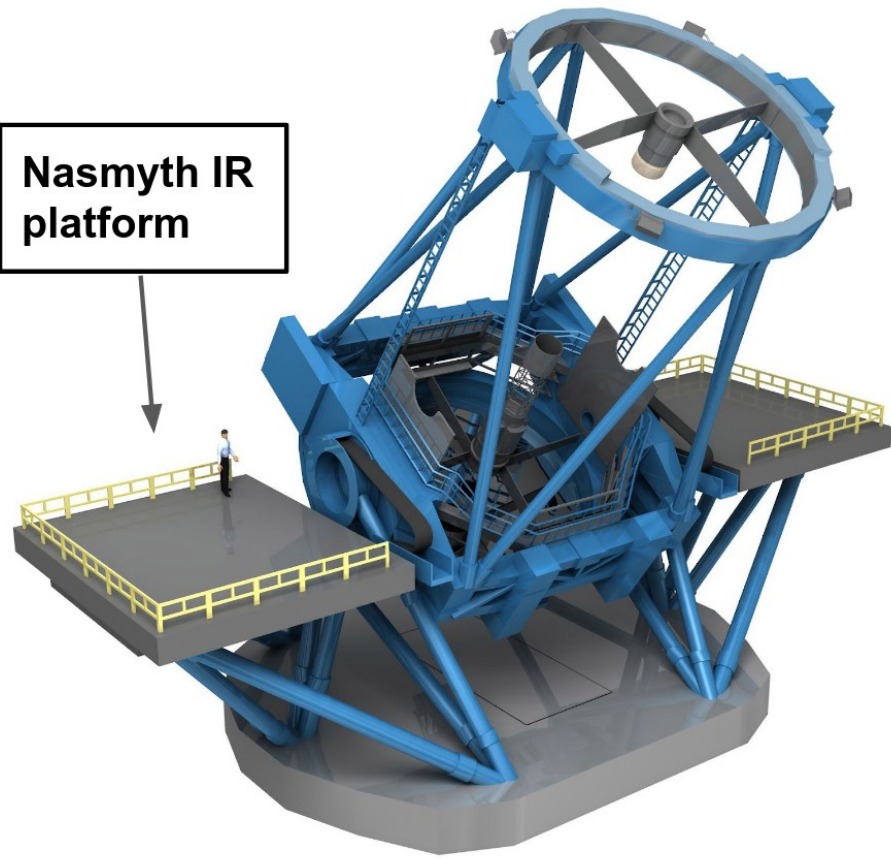
[2024] Beam switcher → easier operation + supports advanced modes

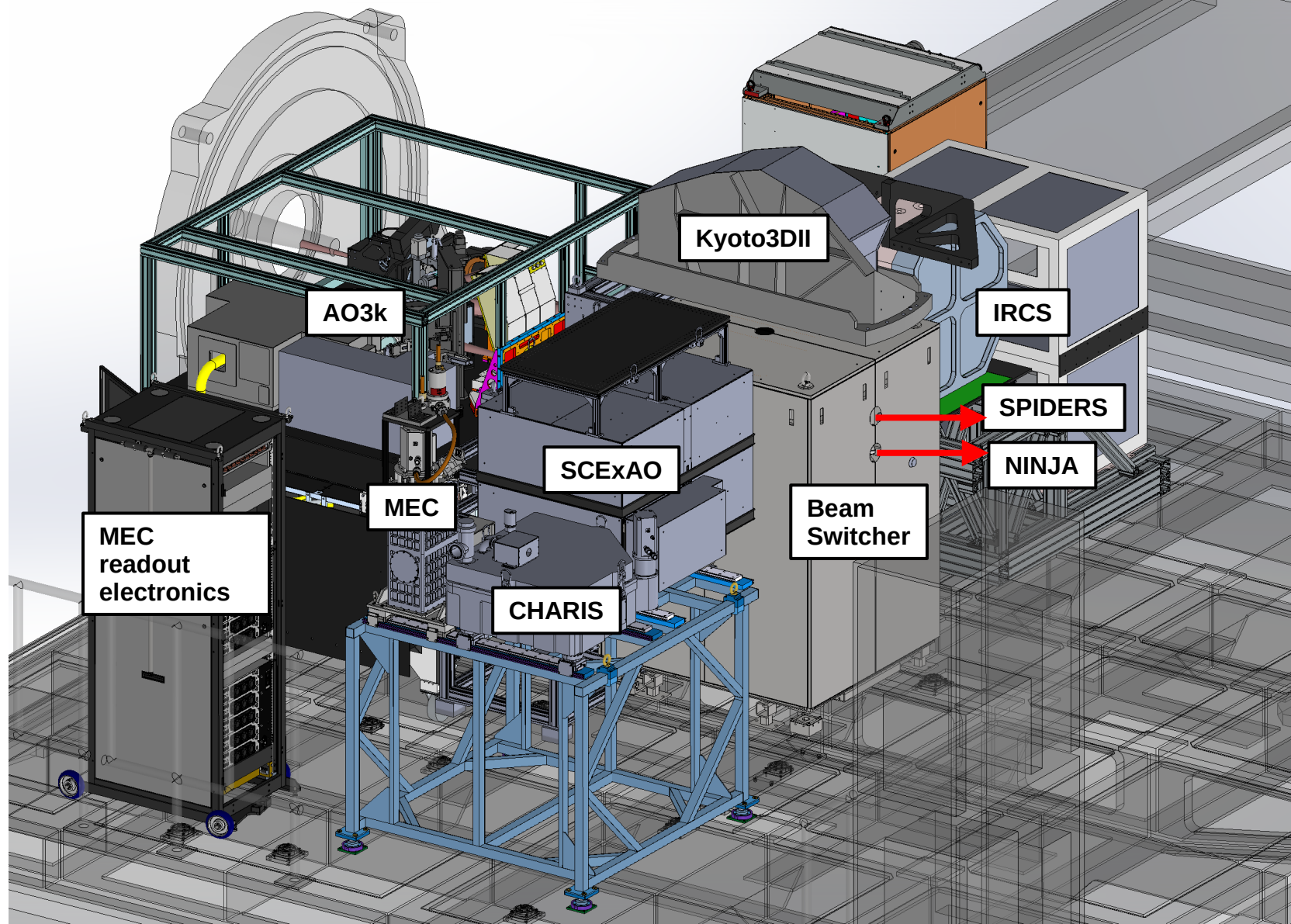
[2023+] Laser tomographic AO → access to fainter sources

[2026+] Adaptive Secondary Mirror



Nasmyth IR platform

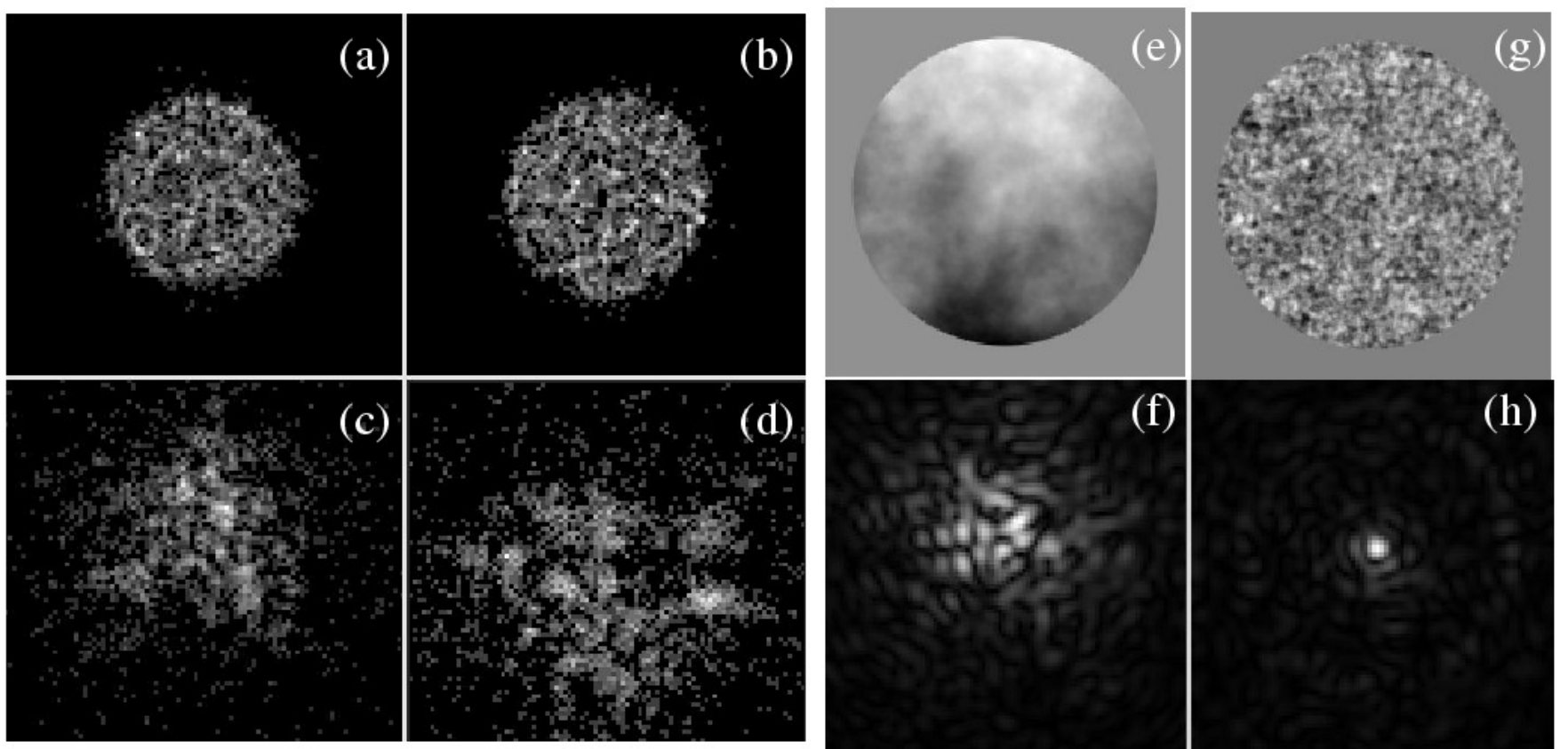




# Advanced Control Techniques for Extreme AO

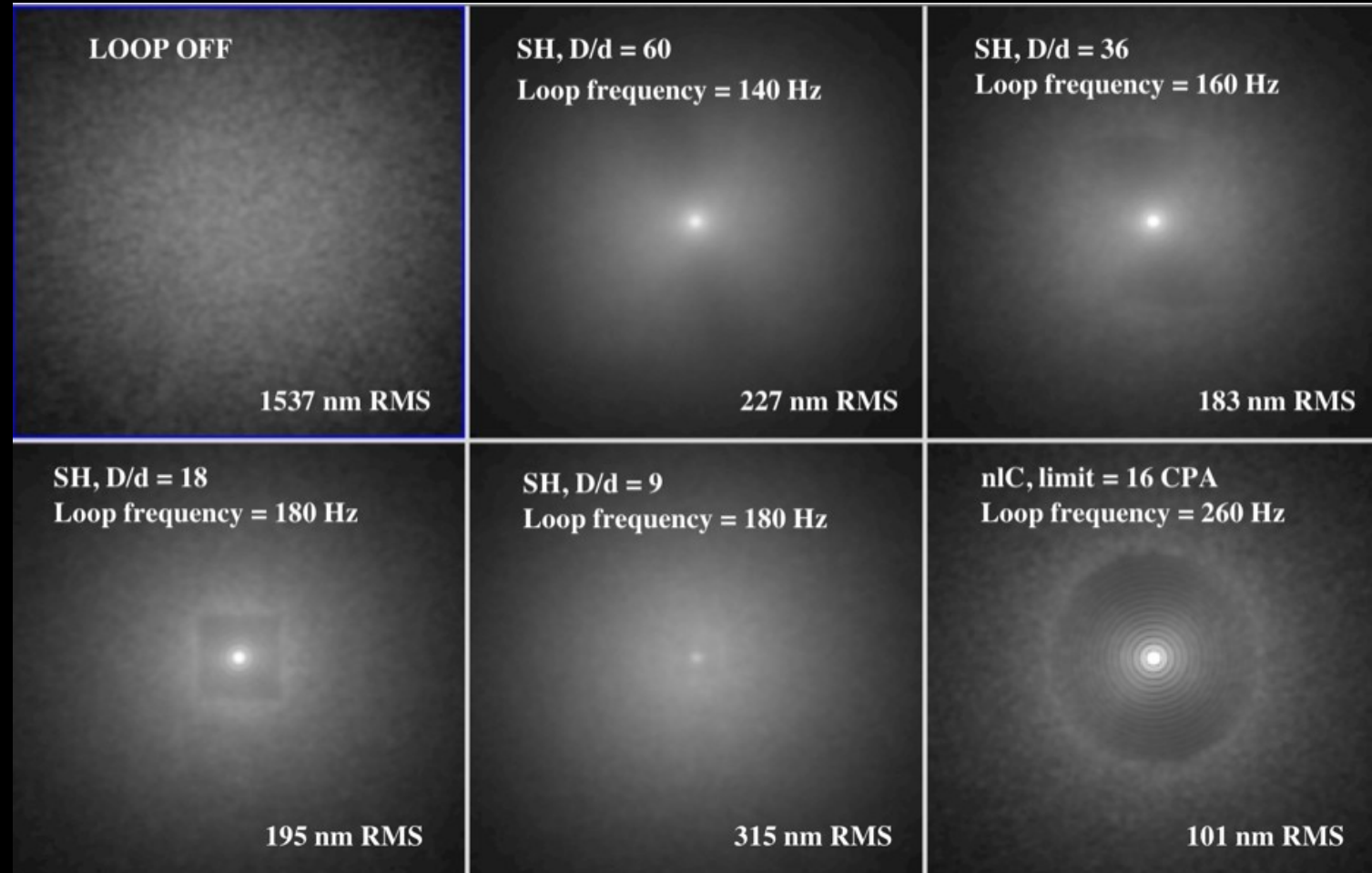
# Phase Diversity / Curvature WFS: Reconstruction Simulation

20,000 ph total: 609nm  $\rightarrow$  34.4nm RMS



# non-linear Curvature WFS: AO loop Simulation

Computer Simulations  
showing contrast gain  
with high sensitivity  
WFS (non-linear  
curvature)



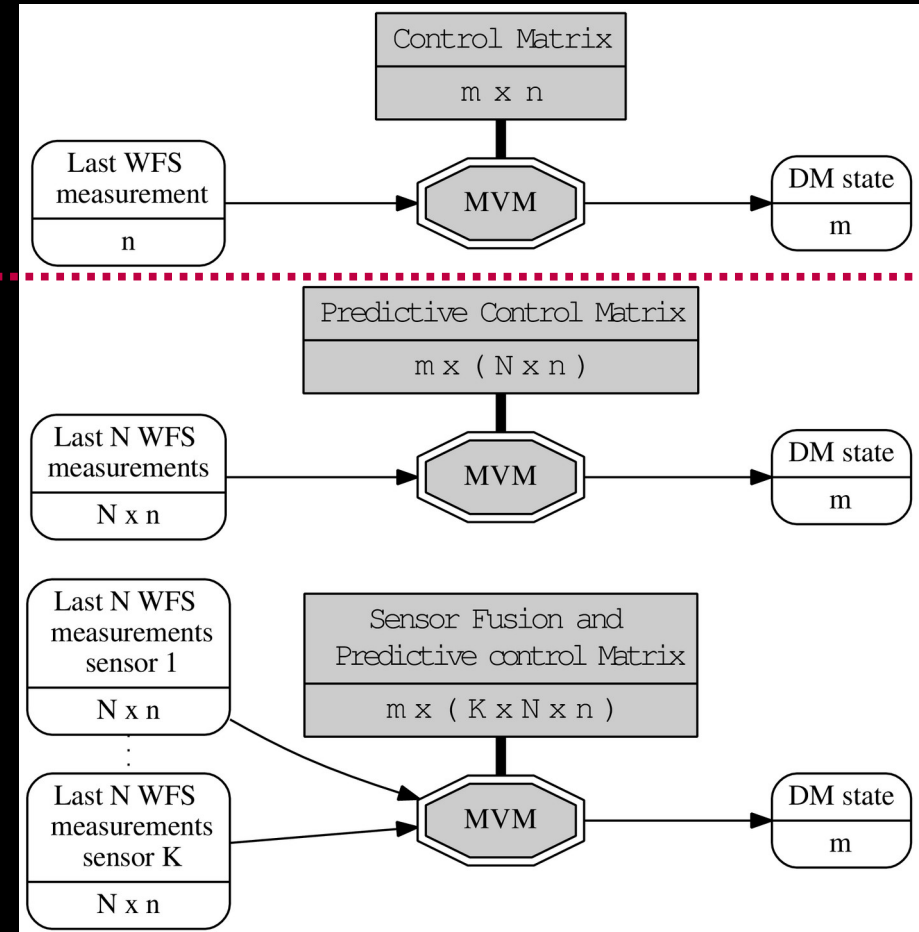
# Predictive Control and Sensor Fusion

## *Conventional AO:* Measured RM/CM

## *Advanced AO control:*

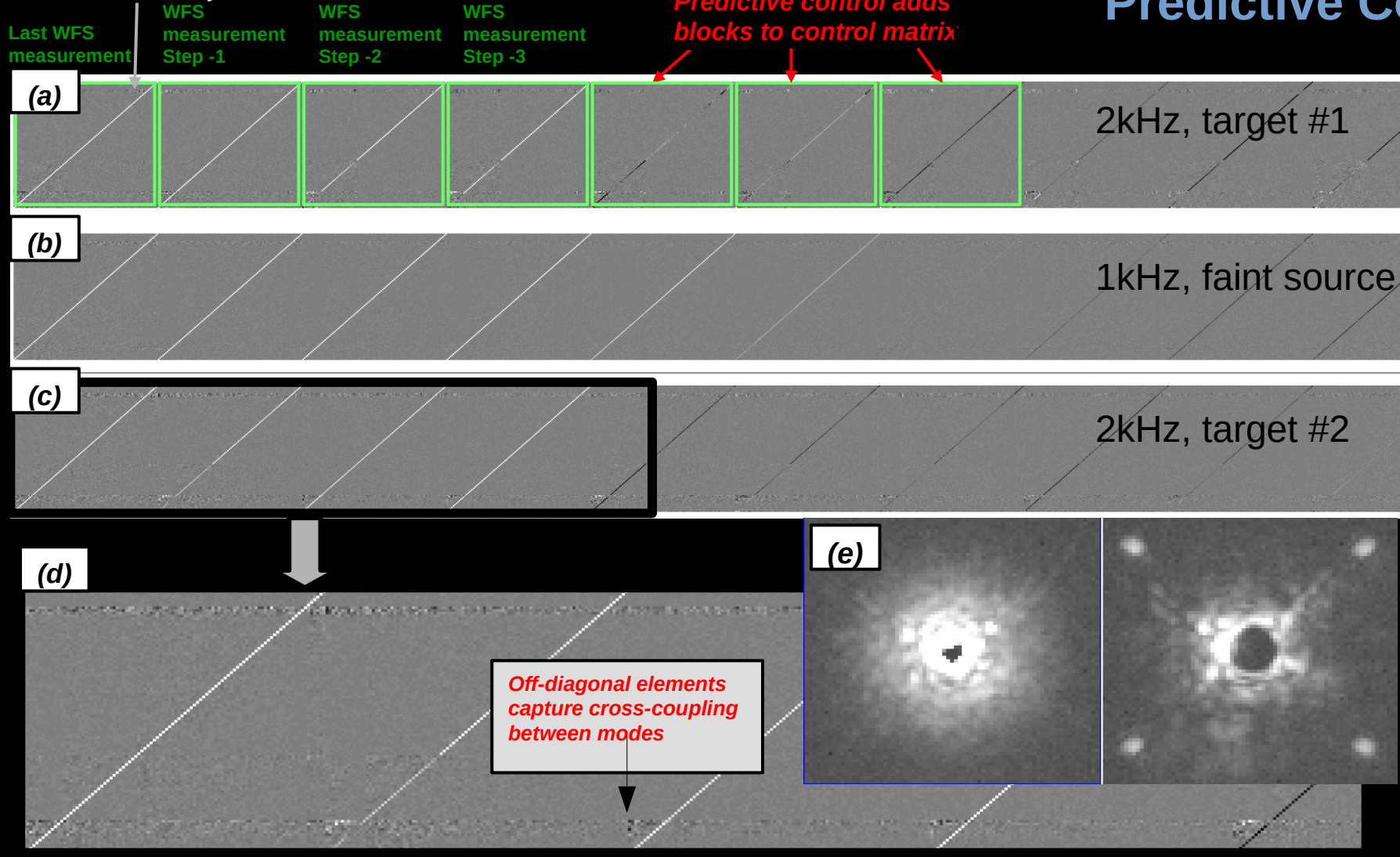
Using past measurements (predictive control) and other measurements (sensor fusion) → control matrix is very big, and usually impossible to measure

We derive CM from WFS(s) telemetry

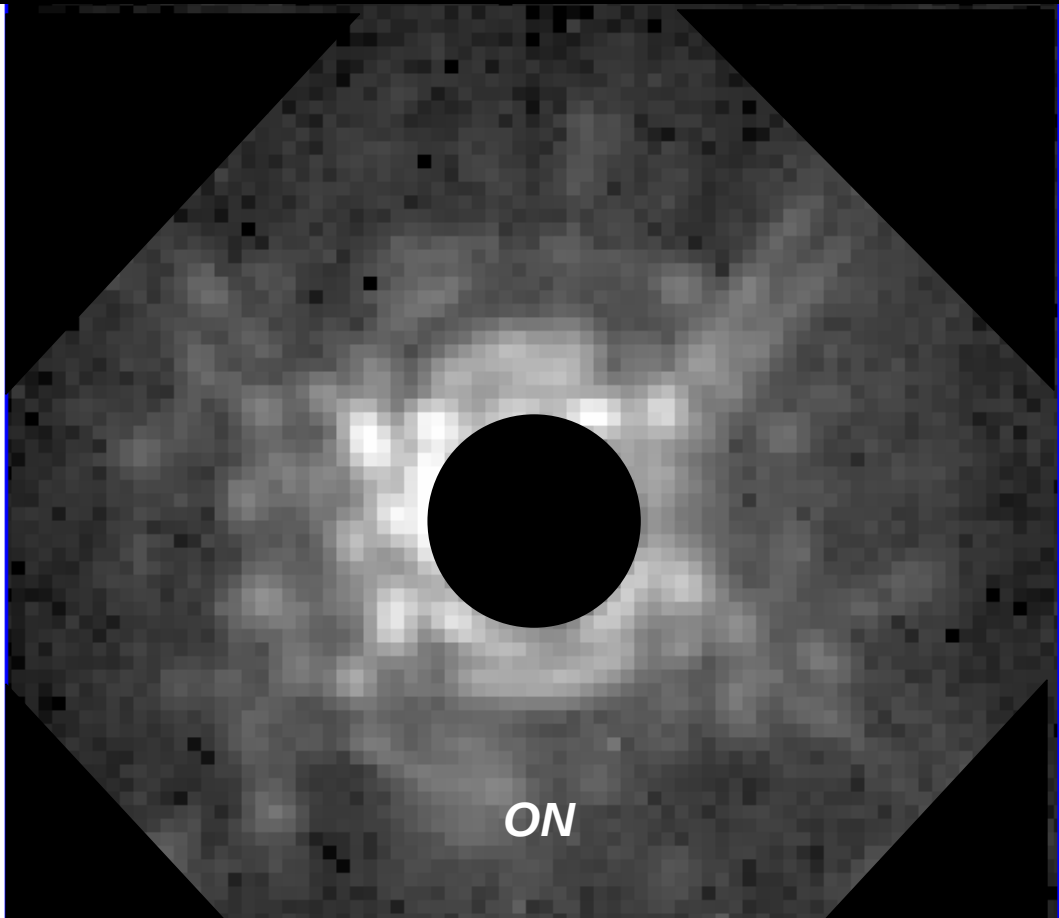
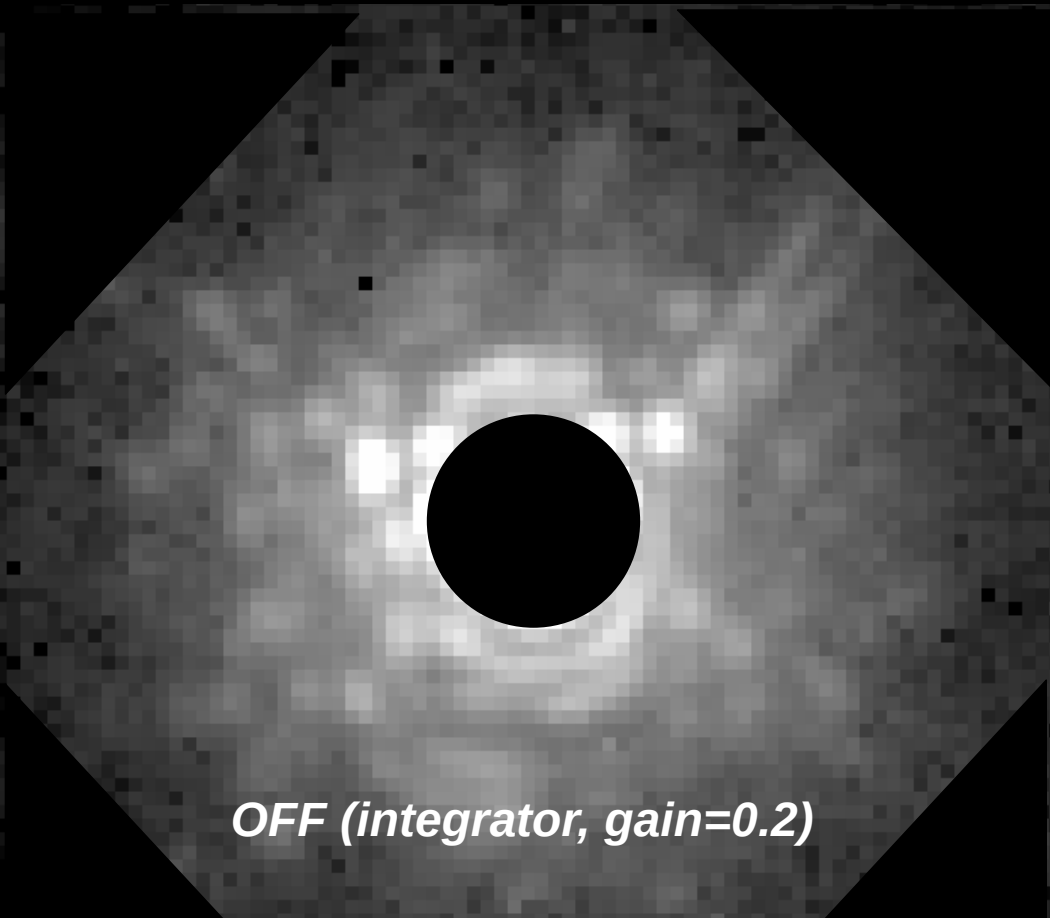


# Predictive Control

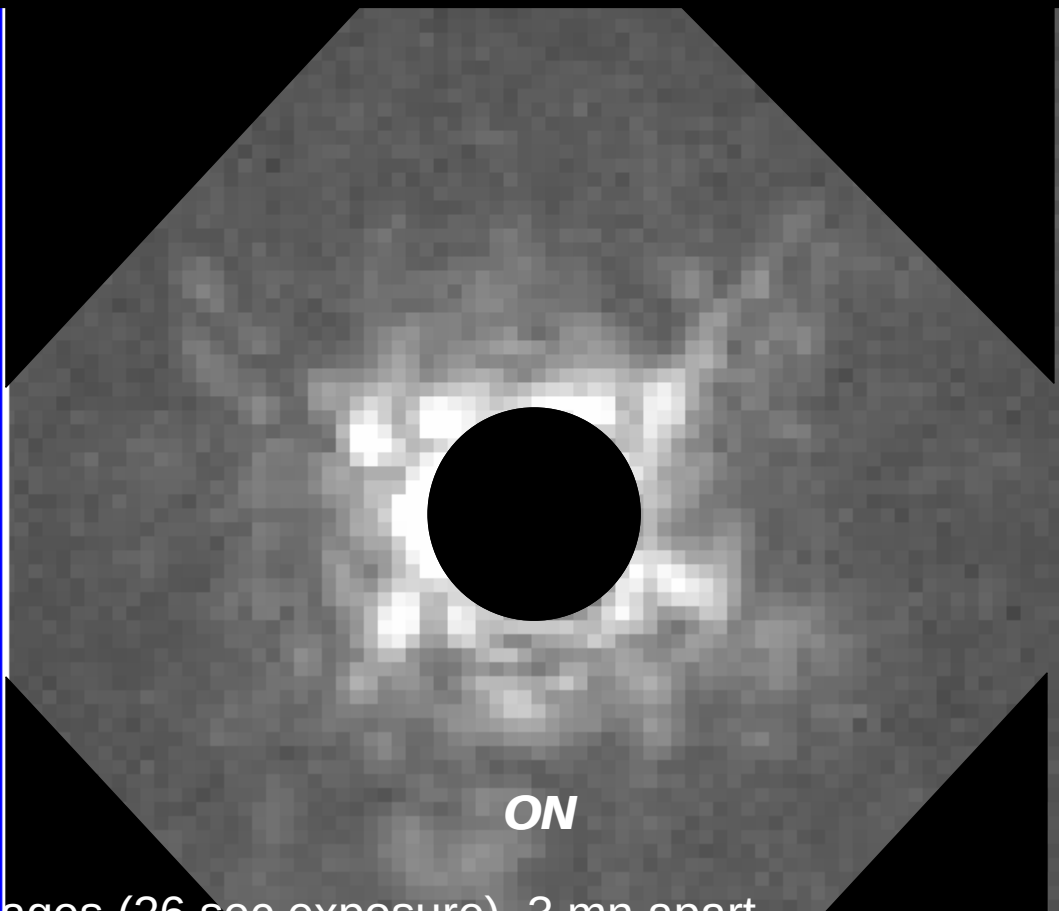
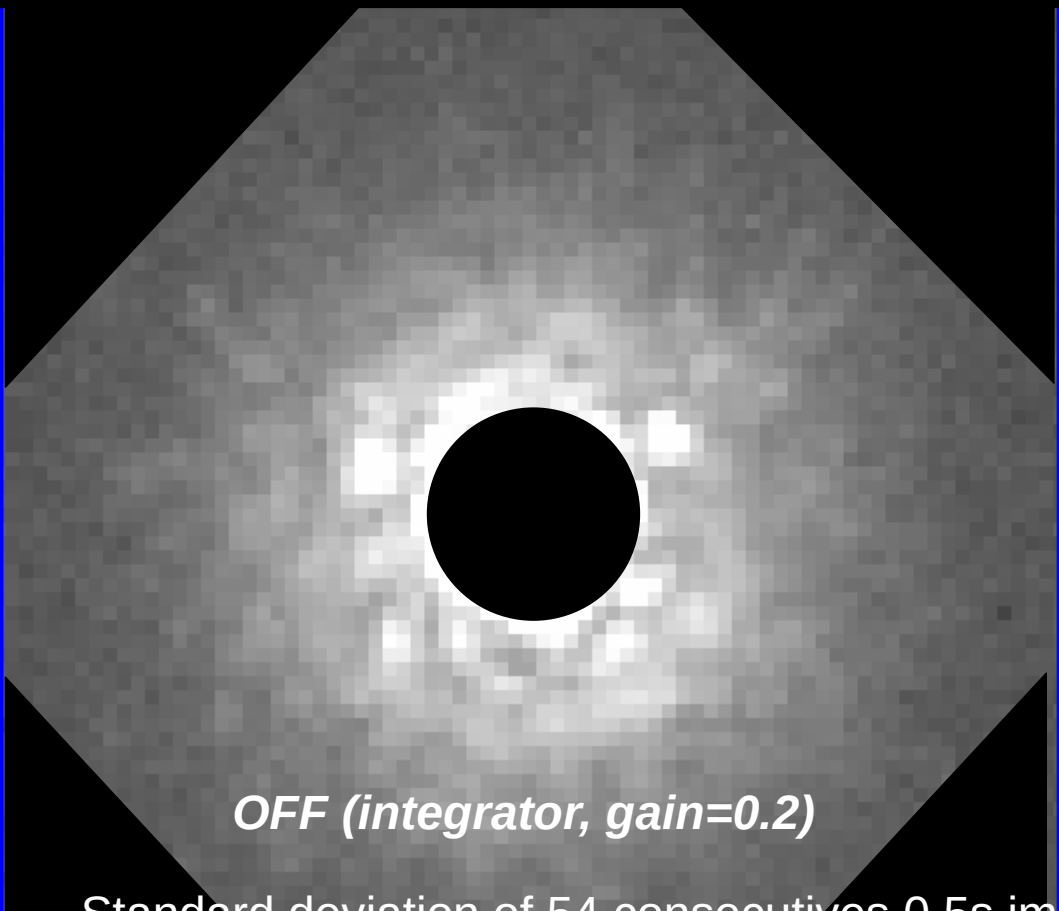
Conventional AO would have control matrix  
=  $100 \times 100$  Identity matrix



Machine Learning based predictive control  
First on-sky results (2 kHz, 50 sec update)  
→ 2.5x raw contrast improvement

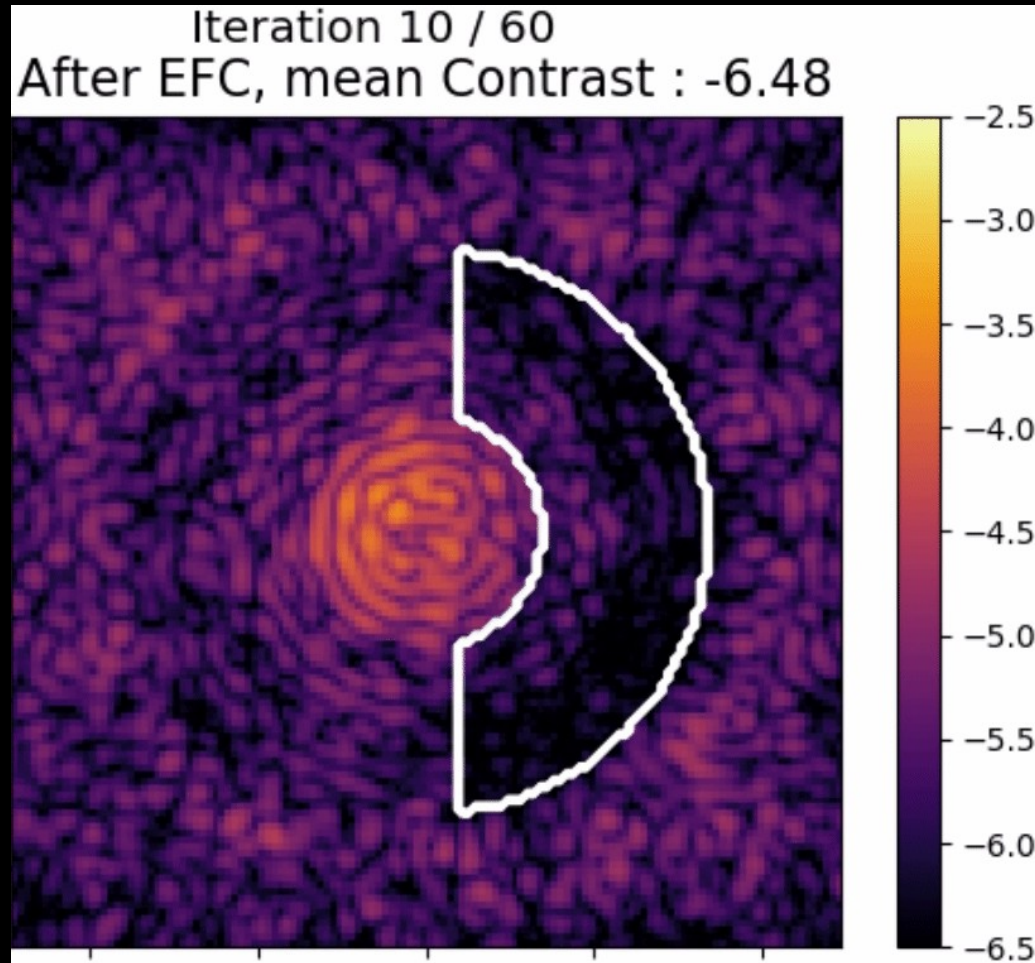


# Improved Image Stability



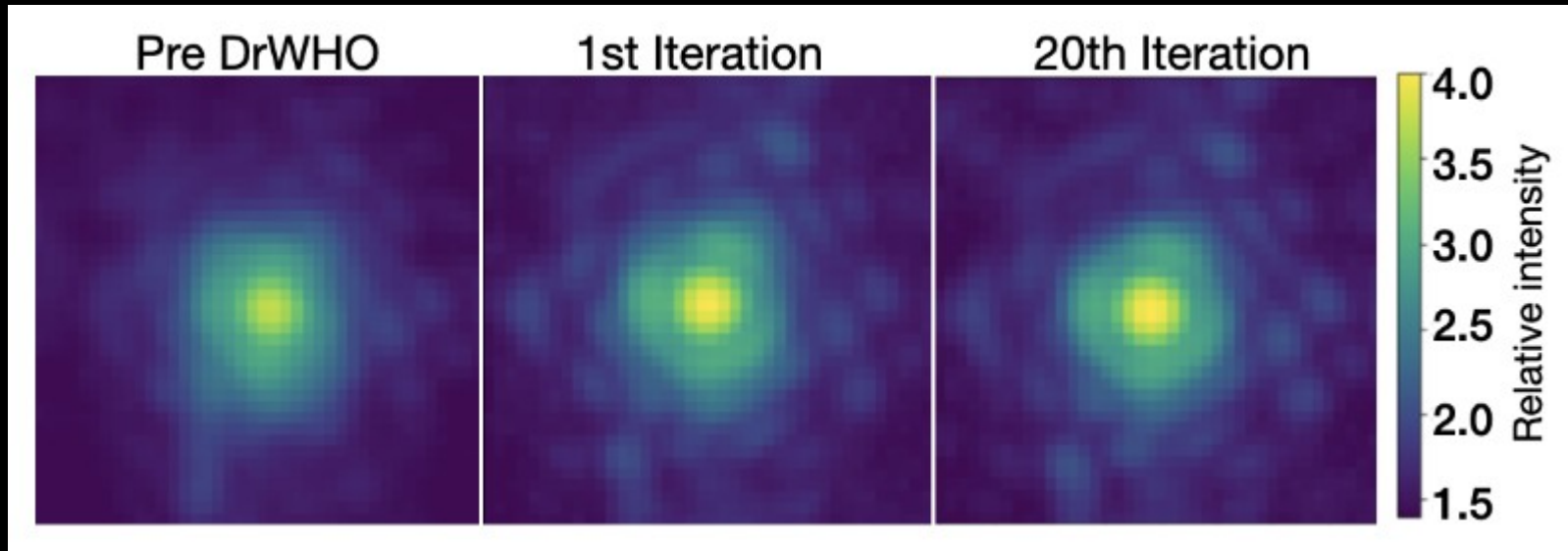
Standard deviation of 54 consecutive 0.5s images (26 sec exposure), 2 mm aperture

# Using Focal Plane Image for AO



Electric Field Conjugation  
(K. Ahn, 2021)

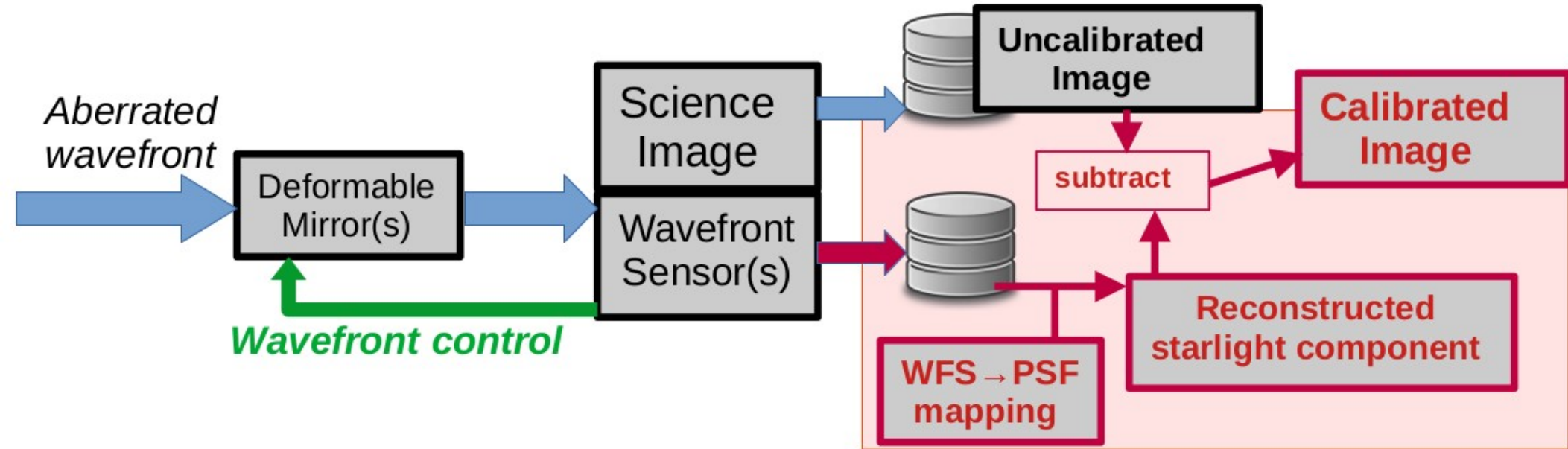
# Improving Image Quality by “Learning” from Focal Plane Image



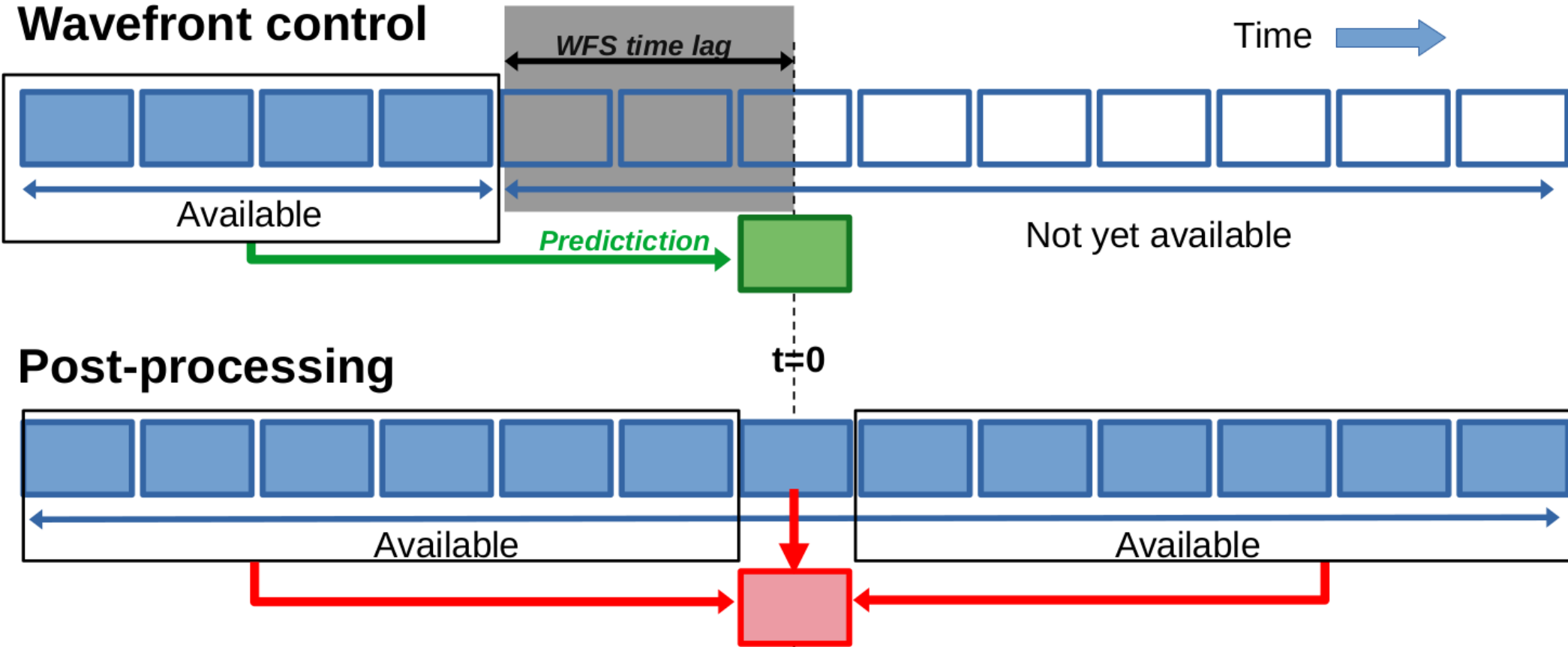
Evolution of the on-sky PSF before running the algorithm, after the first iteration, and the after last iteration. Each image is 0.25 arcsec (40x40 pixels) across, acquired at  $\lambda = 750$  nm, 30 sec exposure time (computed by co-addition of 15,000 frames acquired at 500 Hz)

# Self-Calibrating High Contrast Imaging

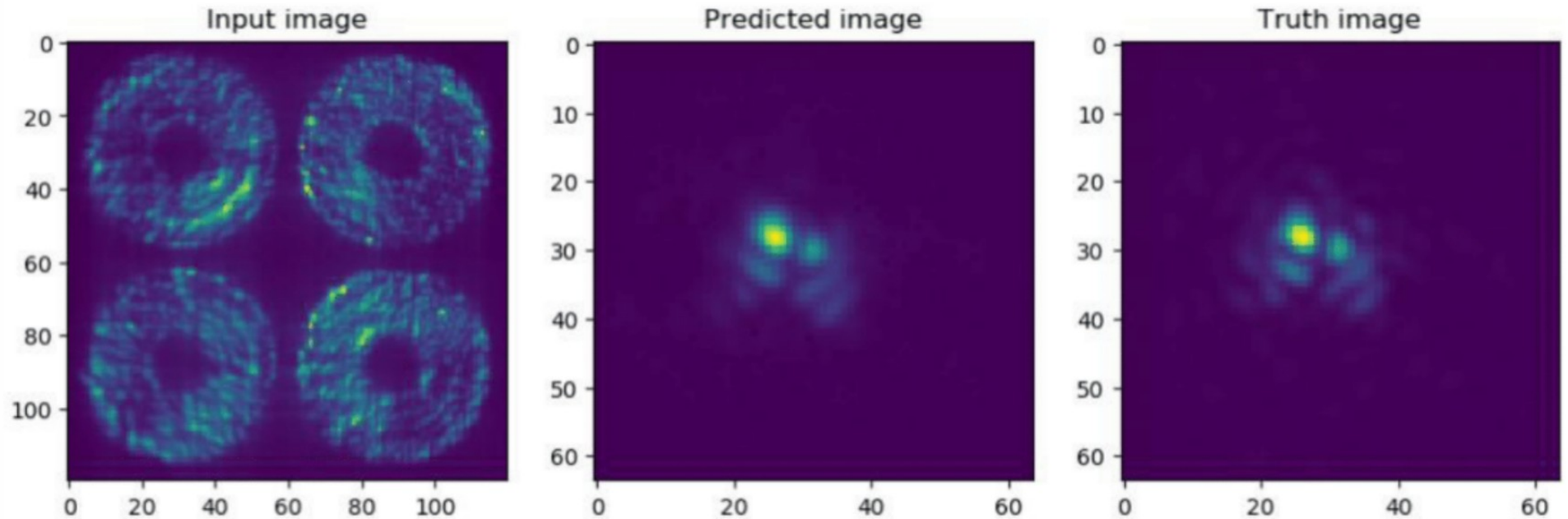
# Principle



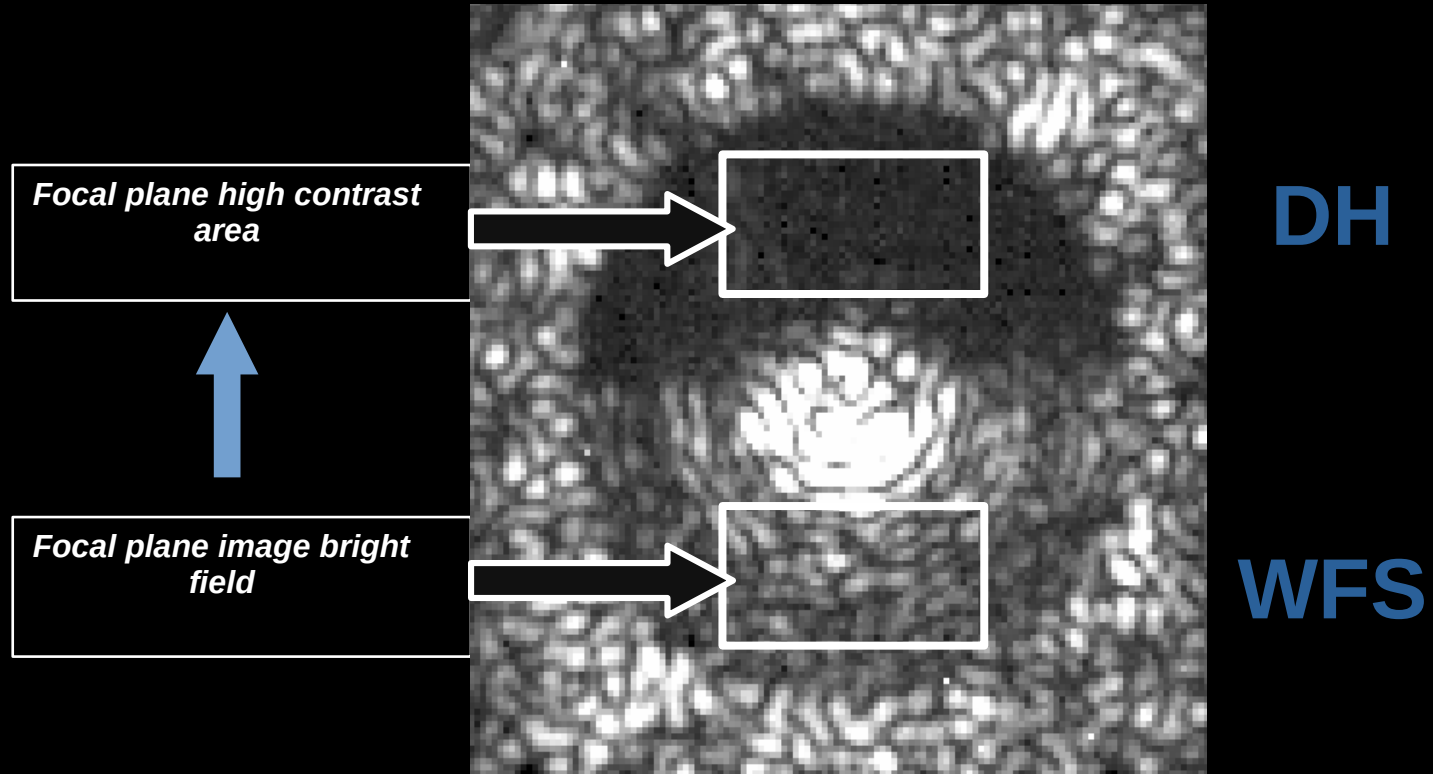
# Why is Post-processing calibration better than AO control ?



# Encouraging work: Neural Net PSF reconstruction



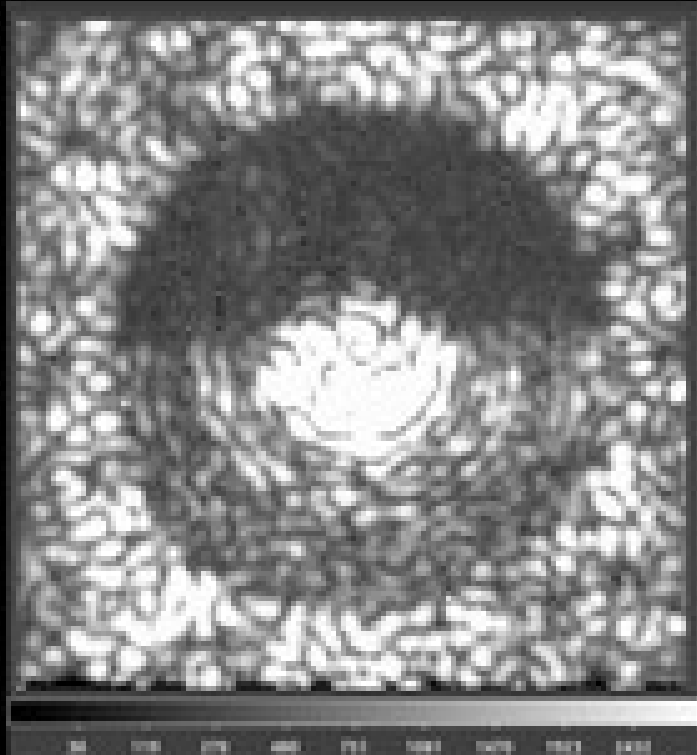
Credit: Barnaby Norris & Alison Wong



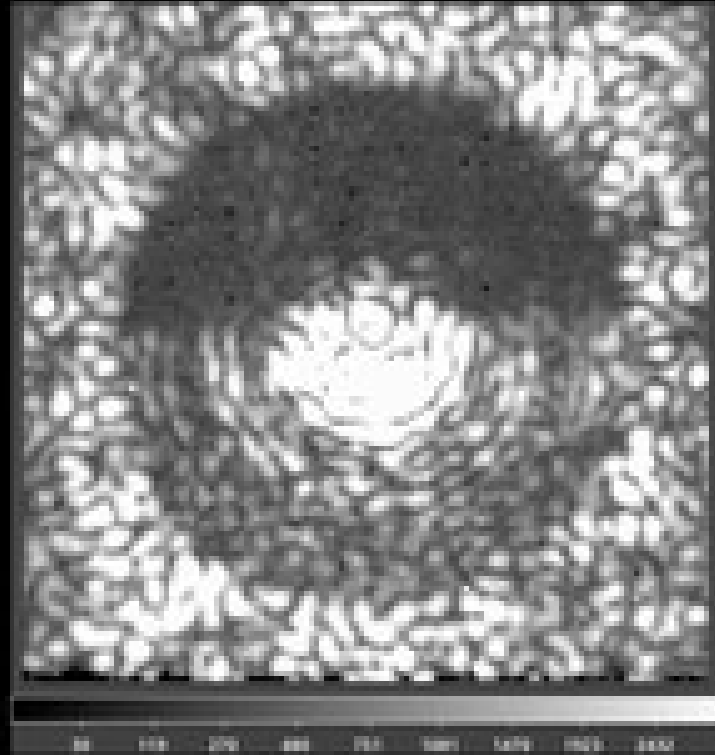
# Experimental validation (lab)

1550nm, 25nm BW, Lyot Coronagraph, 7 kHz frame rate

UNCALIBRATED



CALIBRATED

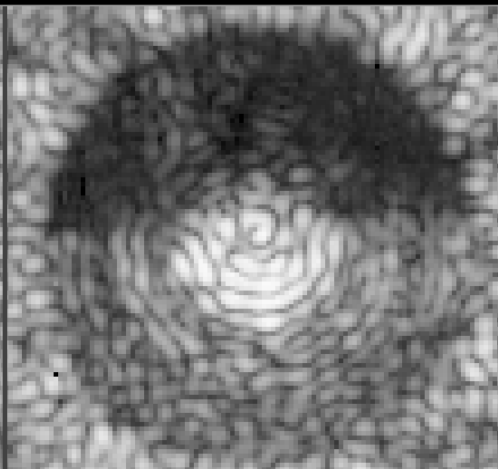
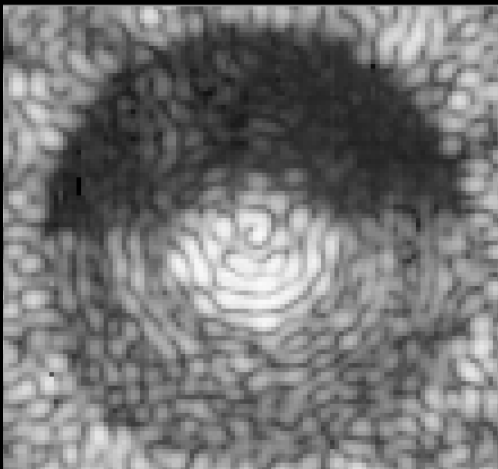


# 30x gain in speckle variance

UNCALIBRATED

CALIBRATED

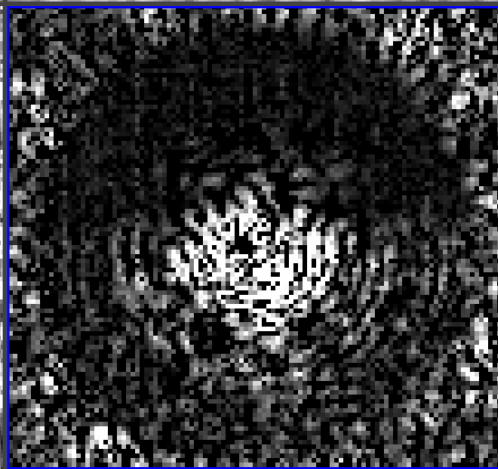
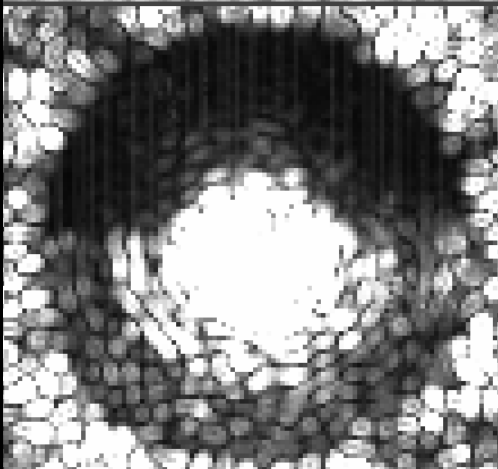
Average  
(dark removed)



DH area

Input sensing  
area

Variance  
(RON+ PHN removed)



DH area :

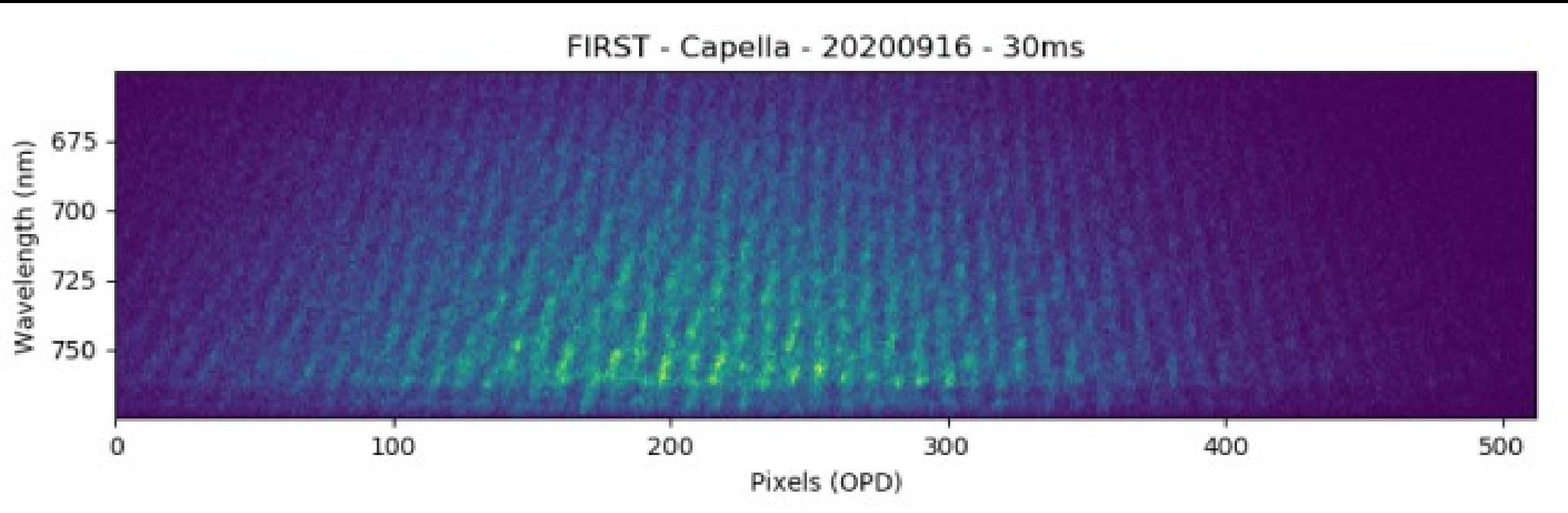
$$\sigma_{\text{all}}^2 / \sigma_{\text{cluster}}^2 = 30.7$$

Input sensing area :

$$\sigma_{\text{all}}^2 / \sigma_{\text{cluster}}^2 = 35.7$$

# Photonic Nulling

# Interferometric WFSs



Credit: S. Vievard and V. Deo

On-sky demonstration of interferometric WFS

→ provides path to high sensitivity chromatic WF measurement

## Integrated-photonics concept for high-contrast imaging

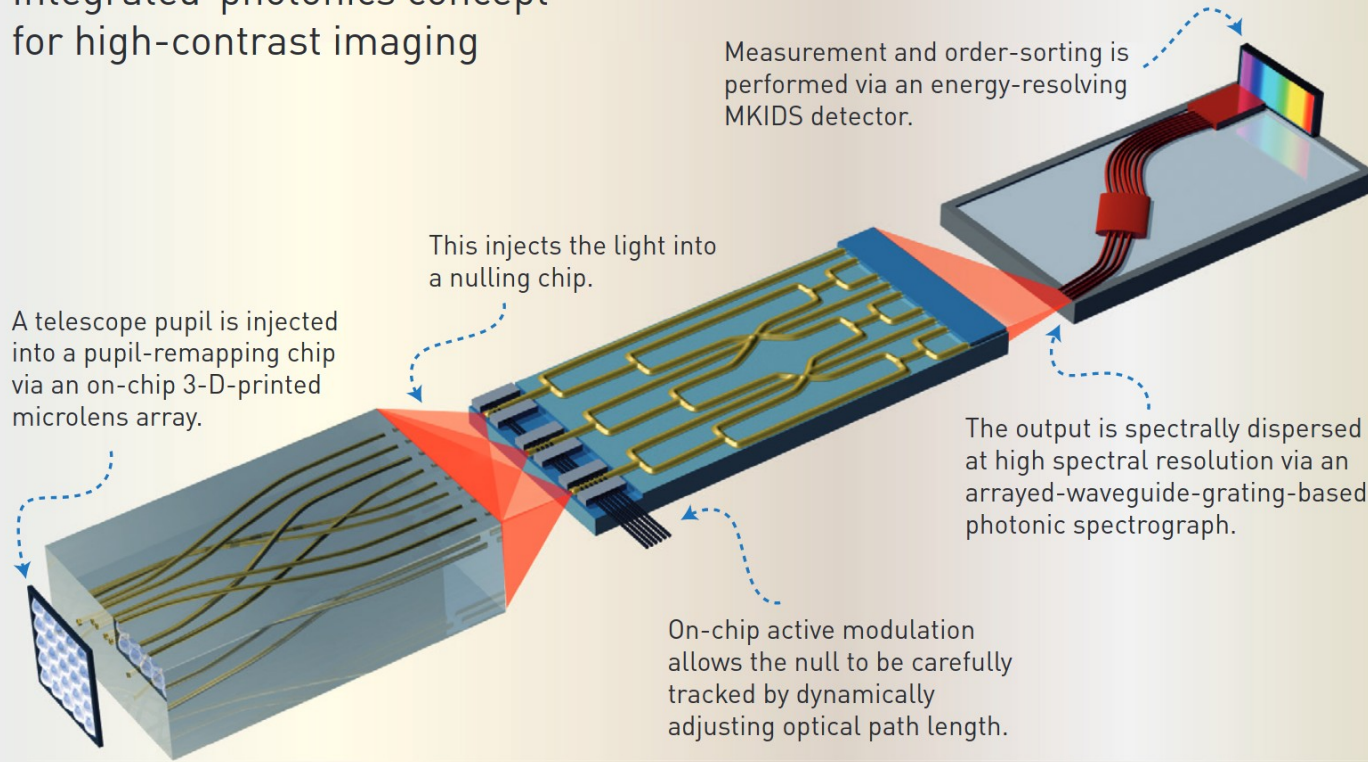


Illustration by Phil Saunders

Key advantages:

Access to very small  
separation (better than  
coronagraphy)

High sensitivity  
wavefront sensing  
integrated within chip

Spectroscopy at output

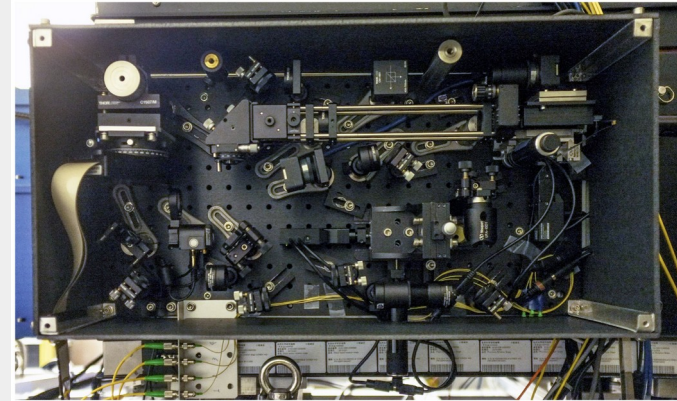
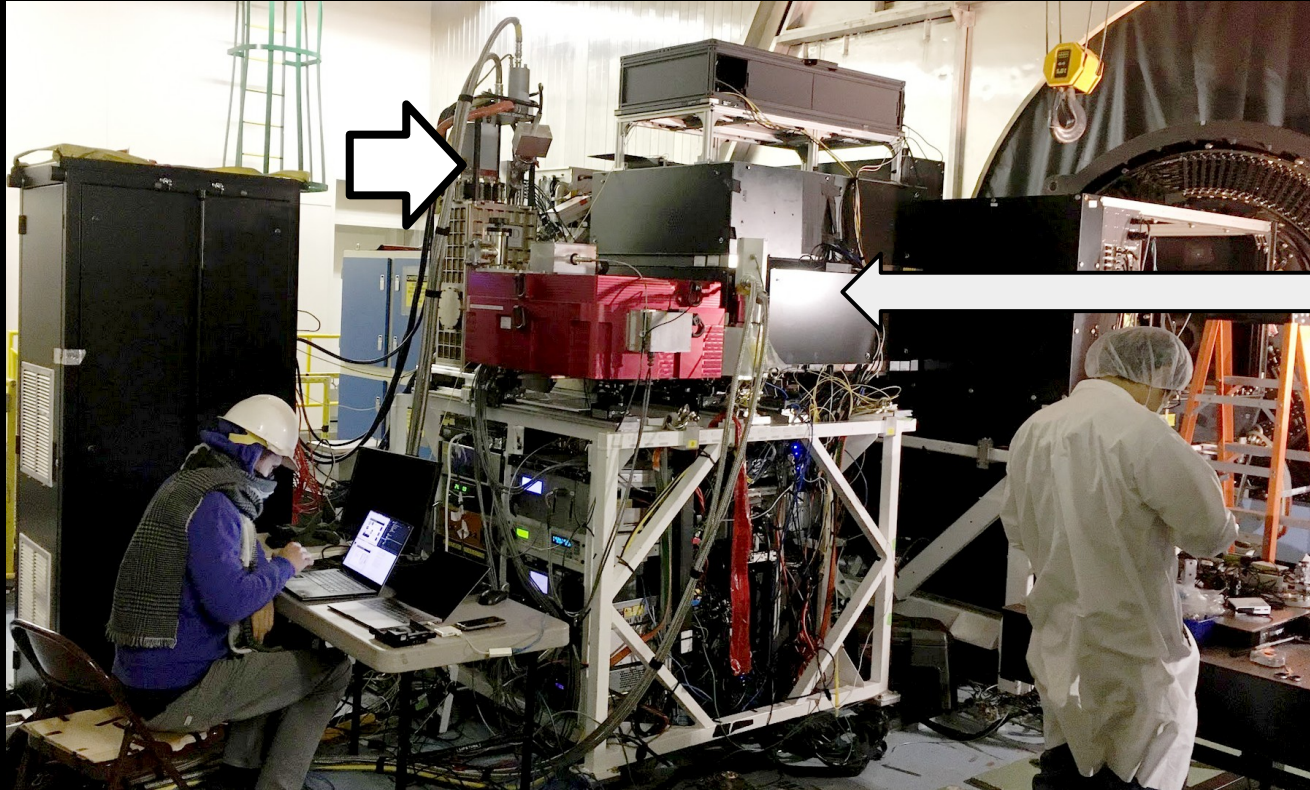
**“Astrophotonics: The Rise of Integrated Photonics in Astronomy”**

Norris & Bland-Hawthorn.

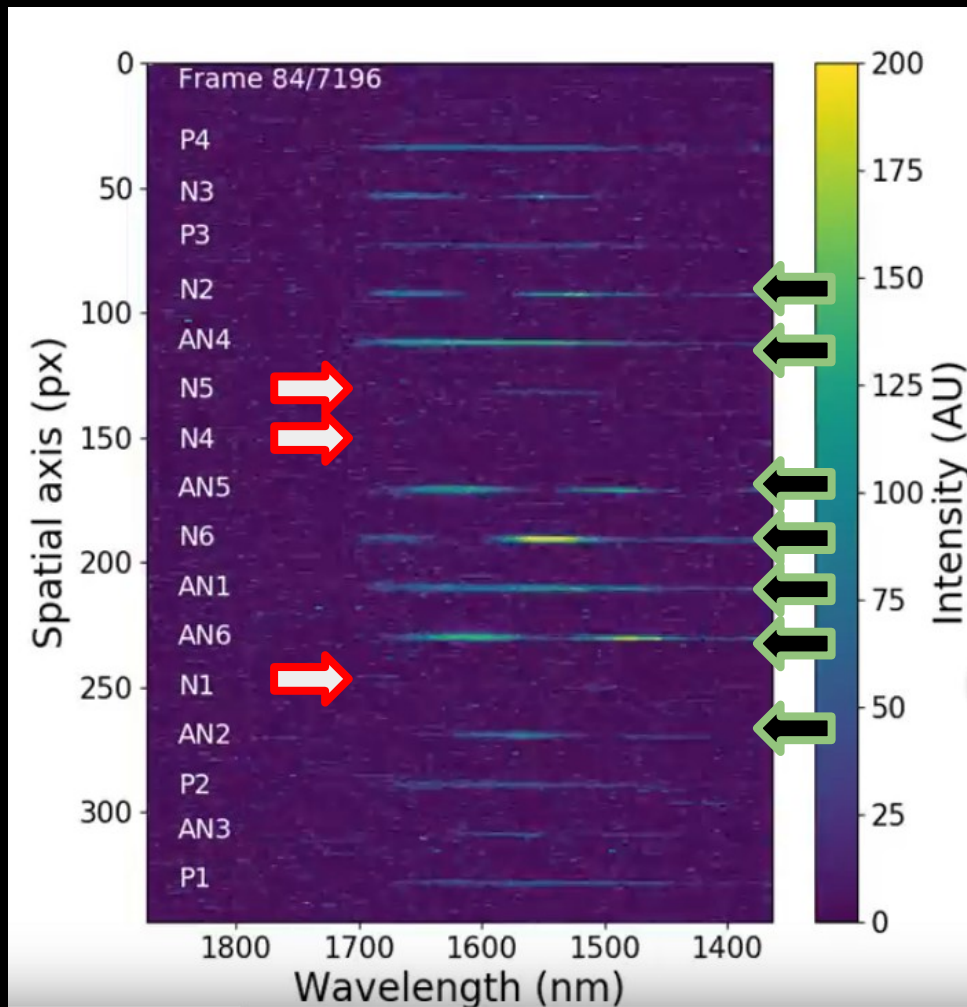
**Optics and Photonics News (2019)**

[https://www.osa-opn.org/home/articles/volume\\_30/may\\_2019/features/astrophotonics\\_the\\_rise\\_of\\_integrated\\_photonics\\_in/](https://www.osa-opn.org/home/articles/volume_30/may_2019/features/astrophotonics_the_rise_of_integrated_photonics_in/)

# GLINT module @ Subaru/SCExAO



# GLINT module @ Subaru/SCEXAO



**Null output:** starlight is almost completely removed by destructive interference, providing deep contrast. This is where planet light and spectra are extracted

**Fringe tracking output:** Bright starlight interference efficiently encode residual small (nm-level) optical aberration  
Feed this information in real-time to upstream deformable mirror for correction  
Use this information to calibrate how much starlight is left in null outputs

*Scalable photonic-based nulling interferometry with the dispersed multi-baseline GLINT instrument"*

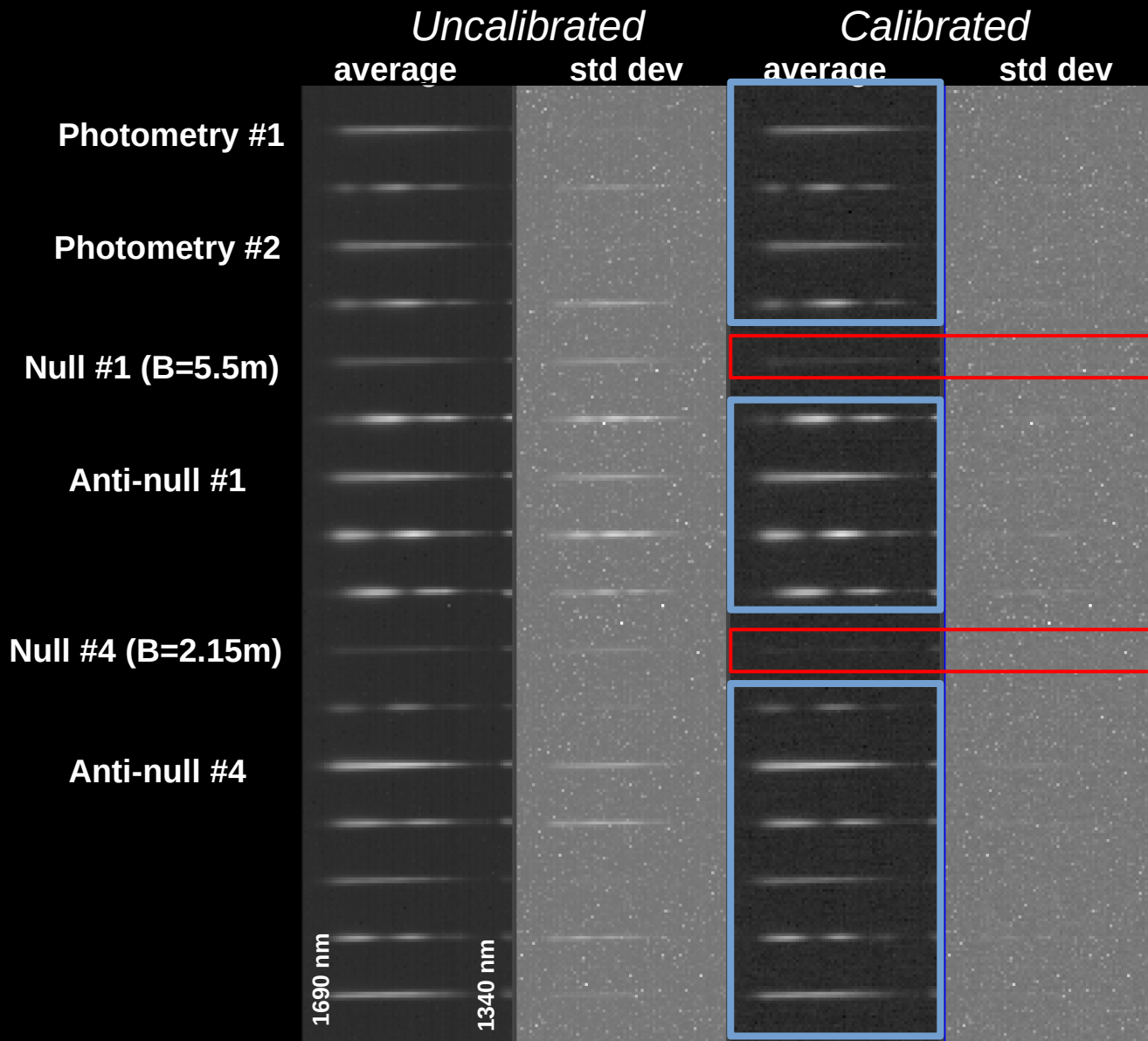
*Martinod, Norris, Tuthill...Guyon et al.*

**Nature Communications (2021)**

link: <https://www.nature.com/articles/s41467-021-22769-x>

**GLINT – on-sky  
Alpha Boo**

**1.4 kHz frame  
rate**



# Conclusions

We are already on the path to imaging habitable planets with 30m-class telescopes. Habitable planets around nearby M and K type stars are most accessible for imaging and spectroscopy. Spectra will be acquired in visible and NearIR.

SCEXAO is leading the way in prototyping key technologies on this path – and enabling new science along the way. Rapidly evolving technology landscape – important to keep up and validate on-sky.

SCEXAO is an open platform → please consider joining the path  
Email me at: [guyon@naoj.org](mailto:guyon@naoj.org)