Directly imaging habitable exoplanets

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Outline

Introduction & fundamentals

- Scientific motivation
- Fundamentals: contrast, separation, visible & IR
- Current exoplanet imaging

Technology

- Overcoming diffraction
- Coronagraphy
- Wavefront control

Habitable planets: Scientific Opportunities

- SPACE: Direct imaging of Earth-like planets around Sun-like stars
- GROUND: Imaging habitable planets around M-type stars with ELTs

Planets identified – we are now starting to identify Earth-size planets



Kepler exoplanet candidates



CREDIT: PHL @ UPR Arecibo (phl.upr.edu) Jan 2014

Observation techniques

Techniques to detect exoplanets around main sequence stars (many of them covered in this course):

Radial velocity: measure small shift in star's

spectra to compute its speed along line of sight.

Astrometry: measure accurate position of star on sky to identify if a planet is pulling the star in a small periodic orbit around the center of mass

Transit photometry: if planet passes in front of its star, the star apparent luminosity is reduced

Microlensing: planet can bend light, and amplify background starlight through gravitational lensing

Direct imaging (with telescope or interferometer): capture high contrast image of the immediate surrounding of a star







Potentially habitable planet :

- Planet mass sufficiently large to retain atmosphere, but sufficiently low to avoid becoming gaseous giant
- Planet distance to star allows surface temperature suitable for liquid water (habitable zone)

Habitable zone = zone within which Earth-like planet could harbor life

Location of habitable zone is function of star luminosity L. For constant stellar flux, distance to star scales as L^{1/2}

Examples:

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Sun \rightarrow habitable zone is at ~1 AU
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Rigel (B type star)

Proxima Centauri (M type star)



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Proxima Centauri (M type star): 0.123 solar mass



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Proxima Centauri (M type star): 0.123 solar mass 1/600 Sun luminosity



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Location of habitable zone is function of star luminosity L. For constant stellar flux, distance to star scales as L^{1/2}

Examples:

Sun \rightarrow habitable zone is at ~1 AU

Rigel (B type star): 18 solar mass 100000x Sun luminosity → habitable zone is at ~300 AU

Proxima Centauri (M type star): 0.123 solar mass 1/600 Sun luminosity → habitable zone is at ~0.04 AU





Planet Mass (Earth Units)

phl.upr.edu, Jan 2014

Direct imaging of Exoplanets (incl. Habitable planets) allows ...

Orbit

- Atmosphere composition
- Continents vs. Oceans ?
- **Rotation period**
- Weather patterns
- Planetary environment : Planets + dust



Spectroscopy of Earth-like planets ... may allow detection of life

Spectroscopy can identify biomarkers: molecular species, or combinations of species that can only be explained by biological activity

On Earth: water +
$$O_2 + O_3 + CH_4$$

Spectra of Earth obtained through Earthshine observation also reveals vegetation's red edge !



FIG. 7.—Earth's observed reflectance spectrum, at visible and near-infrared wavelengths, created from a composite of the data in this paper (0.8–2.4 μ m) and the data presented in Paper I (0.5–0.8 μ m). The strongest molecular signatures are indicated, as are the wavelengths where Rayleigh scattering and vegetation reflection are most significant.

Turnbull et al. 2006



Habitable exoplanet characterization





Exoplanets imaging angular separation, contrast: why is it difficult ?

What would our solar system look like from 10pc away ?

- Sun would be $m_v = 4.8$ star (faint naked-eye star)
- Sun diameter would be 0.001" (diffraction limit of a 200m telescope in the near-IR, or 100m in visible)
- Sun-Earth separation would be 0.1" (diffraction limit of a 2-3m telescope in the near-IR, or 1m in visible)
- Earth diameter = 0.00001" (diffraction limit of a 20km diameter telescope in near-IR, or 10km in visible)
- In the visible:
 - Earth at 1e-10 contrast would be $m_v \sim 30$ sources (very faint, would be challenging even for Hubble without the host star)
 - Jupiter in the visible would be ~10x brighter than Earth, at 0.5"
 - Zodiacal light would be several 100x brighter than Earth when integrated, and brightest near Sun
- In the near IR (~2 um): similar contrasts
- In the thermal IR (~10 um):
 - Contrasts are much more favorable
 - Earth is brightest planet, at ~1e-6 contrast







Exoplanets: Contrast ratio, visible vs. infrared, giant vs rocky

In the visible, planets are very faint unless they are very close to their star (luminosity goes as d⁻²)

Planets in or near habitable zone cannot be imaged from the ground, and would require dedicated space telescope+instrument.

In the near-IR, giant and young planets ("young Jupiters") can be imaged:

- AO systems work well in the near-IR
- Giant planets emit their own light (thermal emission)
- Young planets are still very hot, and slowly cool after formation

But, habitable planets are not bright in near-IR

In the Thermal IR (~10 um & longer), contrast is more favorable. Older giant planets can be imaged (this is one of the key science goals of JWST), and habitable planets are near their thermal emission peak



2M1207 exoplanet (Chauvin et al., ESO, 2004) Probably the first direct image of an exoplanet

> HR8799: first image of exoplanetary sytem with multiple planets (Marois et al. 2009)



Exoplanets & dust disks

Protoplanetary disk: Disk in the process of forming planets

Debris disk: Disk generated by collision between small bodies

Ability to image planets and disks → study planetary formation and evolution of planetary systems

Beta Pic exoplanet and dust disk (Lagrange et al. 2009)





Kalas et al., HST image

Why coronagraphy ?

Conventional imaging systems are not suitable for high contrast (even if perfect) due to diffraction





Why do we need coronagraphs ?

Coronagraph can only remove known & static diffraction pattern BUT:

- static & known diffraction can be removed in the computer
- coronagraphs don't remove speckles due to WF errors

Fundamental reasons:

(1) Photon Noise(2) Coherent amplification between speckles and diffraction pattern

Practical reasons:

- (3) Avoid detector saturation / bleeding
- (4) Limit scattering in optics -> "stop light as soon as you can"

Coherent amplification between speckles and diffraction pattern

Final image = PSF diffraction (Airy) + speckle halo

This equation is true in complex amplitude, not in intensity.

Intensity image will have product term -> speckles are amplified by the PSF diffraction.

Aime & Soummer 2004



FIG. 3.—PDF of the light intensity at four different constant background intensity levels I_c and a single value of $I_s = 0.1$. High values of I_c correspond to locations near the perfect PSF maxima (rings), and low values of I_c correspond to locations near the zeros of the perfect PSF or far from the core. For $I_c = 0$ we have the pure speckle exponential statistics. The width of the distribution increases with an increase in the level of I_c . This explains speckle pinning; speckle fluctuations are amplified by the coherent addition of the perfect part of the wave.

3

Coherent amplification between speckles and diffraction pattern

Final image = PSF diffraction (Airy) + speckle halo

Image =
$$|A_{PSF} + A_{speckles}|^2$$

= $|A_{PSF}|^2 + |A_{speckles}|^2 + 2 |A_{PSF}| |A_{speckle}| \cos(\theta)$

With PSF >> Speckles, this term dominates speckles

Coronagraph concepts & systems

Types of coronagraphs

Coronagraph systems & instruments



Olivier's thumb... the slimplest coronagraph Doesn't work well enough to see planets around other stars

What is light: particle or wave ?



1807: Thomas Young publishes his double-slit experiment result ... cannot be explained by Newton's corpuscular theory of light

1818: French academy of science committee launches a competition to explain nature of light



Augustin-Jean Fresnel submits wave theory of light

Simeon-Denis Poisson finds a flaw in Fresnel's theory: According to Fresnel's equations, a bright spot should appear in the shadow of a circular obstacle \rightarrow this absurd result disproves Fresnel's theory

Dominique-Francois-Jean Arago, head of the committee, performs the experiment He finds the predicted spot \rightarrow Fresnel wins the competition

Water waves diffract around obstacles, edges, and so does light



Waves diffracted by coastline and islands



Ideal image of a distant star by a telescope Diffraction rings around the image core



Pupil plane complex amplitude ↔ focal plane complex amplitude

 $\stackrel{|}{\rightarrow}$ Fourier transform \leftarrow Inverse Fourier transform

Coordinates in pupil plane: x,y

Coordinates in focal plane : u,v

* denoting convolution (product = convolution in Fourier transform)



Full set of equations (explained in next slides):

Entrance pupil of telescope: $P_1(x,y)$

Focal plane complex amplitude (before focal plane mask): $F_1(u,v)$ $F_1(u,v) = FT (P_1(x,y))$

Focal plane mask complex amplitude transmission: M(u,v)Focal plane complex amplifude (after focal plane mask): $F_2(u,v)$

$$F_{2}(u,v) = F_{1}(u,v) \times M(u,v) = FT(P_{1}(x,y)) \times M(u,v)$$

Exit pupil plane:

 $P_2(x,y) = FT^{-1}(F_2(u,v)) = FT^{-1}(FT(P_1(x,y) \times M(u,v))) = P_1(x,y) * FT^{-1}(M(u,v))$ With * denoting convolution

 $P_{3}(x,y) = L(x,y) \times P_{2}(x,y)$

$P_{3}(x,y) = L(x,y) \times (P_{1}(x,y) * FT^{-1}(M(u,v)))$

 $F_{3}(u,v) = FT(L(x,y)) * (F_{1}(u,v) \times M(u,v))$

Coronagraphy problem: minimize $P_3(x,y)$ for on-axis point source

Pupil plane complex amplitude \leftrightarrow focal plane complex amplitude

 \rightarrow Fourier transform

 $\leftarrow \text{Inverse Fourier transform}$

Coordinates in pupil plane: x,y

Coordinates in focal plane : u,v

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Focal plane image = FT of pupil complex amplitude

Entrance pupil of telescope: $P_1(x,y)$

Focal plane complex amplitude (before focal plane mask): $F_1(u,v)$ $F_1(u,v) = FT (P_1(x,y))$

> |F₁(u,v)| $P_1(x,y)$ FT FT-1

Pupil plane complex amplitude \leftrightarrow focal plane complex amplitude

 $\stackrel{\prime}{\rightarrow}$ Fourier transform \leftarrow Inverse Fourier transform

Coordinates in pupil plane: x,y

Coordinates in focal plane : u,v

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Inserting an opaque mask in the focal plane

Focal plane mask complex amplitude transmission: M(u,v) Focal plane complex amplifude (after focal plane mask): $F_2(u,v)$ $F_2(u,v) = F_1(u,v) \times M(u,v) = FT(P_1(x,y)) \times M(u,v)$



Pupil plane complex amplitude \leftrightarrow focal plane complex amplitude

 \rightarrow Fourier transform

 $\leftarrow \text{Inverse Fourier transform}$

Coordinates in pupil plane: x,y

Coordinates in focal plane : u,v

* denoting convolution (product = convolution in Fourier transform)



Lyot Coronagraph : light distribution in output pupil plane

)

Exit pupil plane:

$$P_2(x,y) = FT^{-1}(F_2(u,v))$$

 $= FT^{-1}(FT(P_1(x,y) \times M(u,v)) = P_1(x,y) * FT^{-1}(M(u,v))$




Lyot Coronagraph explained by Fourier transforms

Pupil plane complex amplitude \leftrightarrow focal plane complex amplitude

 $\stackrel{\prime}{\rightarrow}$ Fourier transform \leftarrow Inverse Fourier transform

Coordinates in pupil plane: x,y

Coordinates in focal plane : u,v

* denoting convolution (product = convolution in Fourier transform)



Lyot Coronagraph : Lyot stop (L)

$$\begin{split} \mathsf{P}_{3}(x,y) &= \mathsf{L}(x,y) \times \mathsf{P}_{2}(x,y) \\ \mathbf{P}_{3}(x,y) &= \mathsf{L}(x,y) \times (\mathsf{P}_{1}(x,y) * \mathsf{FT}^{-1}(\mathsf{M}(u,v))) \\ \mathsf{F}_{3}(u,v) &= \mathsf{FT}(\mathsf{L}(x,y)) * (\mathsf{F}_{1}(u,v) \times \mathsf{M}(u,v)) \end{split}$$



Numerical simulation of final image for 10:1 contrast



A more fancy coronagraph design



Phase Induced Amplitude Apodized Complex Mask Coronagraph (PIAACMC)



Lyot Coronagraph : optimizations

Conventional Lyot coronagraph is limited in performance:

- cannot reach extremely high contrast (some light in pupil)
- tradeoff between throughput and contrast
- not able to get high contrast close to the optical axis

Optimization goal: make pupil dark inside Lyot mask

Possible optimizations include:

(1) Redesign of focal plane mask

- Band-limited
- Use phase as well as (or instead of) amplitude
- (2) Apodize entrance aperture



Pupil Apodization

Since Airy rings originate from sharp edges of the pupil, why not change the pupil ?

Conventional Pupil Apodization/ Shaped pupilCPAKasdin et al. 2003Make the pupil edges fainter by absorbing light, either with a continuous
or ''binary'' (shaped pupil) mask

Achromatic Pupil Phase Apodization PPA

Yang & Kostinski, 2004 Same as CPA, but achieved by a phase apodization rather than amplitude

Phase Induced Amplitude Apodization Coronagraph PIAAC

Guyon, 2003 Perform amplitude apodization by remapping of the pupil with aspheric optics

Phase Induced Zonal Zernike Apodization PIZZA

Martinache, 2003 Transform a pupil phase offset into an amplitude apodization thanks to a focal plane Zernike mask

Conventional Pupil Apodization (CPA)

- Many pupil apodizations have been proposed.
- Apodization can be continuous or binary.
- + Simple, robust, achromatic
- low efficiency for high contrast



Jacquinot & Roisin-Dossier 1964 Kasdin et al. 2003, ApJ, 582, 1147 Vanderbei et al. 2003, ApJ, 590, 593 Vanderbei et al. 2003, ApJ, 599, 686 Vanderbei et al. 2004, ApJ, 615, 555



Fig. 9.—Top: Asymmetric multiopening mask designed to provide high-contrast, 10^{-10} , from $\lambda/D = 4$ to $\lambda/D = 100$ in two angular sectors centered on the x-axis. Ten integrations are required to cover all angles. Total throughput and pseudoarea are 24.4%. Airy throughput is 11.85%. *Bottom*: Associated PSF. (Note that this mask was originally designed for an elliptical mirror. It has been rescaled to fit a circular aperture.)

Pupil Phase Apodization (PPA)

Achromatic solutions exist.



Yang & Kostinski 2004, ApJ, 605, 892 Codona & Angel 2004, ApJ, 604, L117

Fig. 9.—Broad-bandwidth light reduction effect on one quadrant of focal plane. The simulation is based on a rectangular spectrum distribution with total bandwidth of $0.6\lambda_0$. (a) log₁₀ relative intensity image when phase $\phi(x, y) = a \tan[0.5 - \epsilon)2\pi x/D] + a \tan[0.5 - \epsilon)2\pi y/D]$, with a = 1 and $\epsilon = 0.005$, is applied to a square pupil. (b) The thicker line represents the log₁₀ relative intensity along the diagonal line crossing the second and the fourth quadrants in (a). The thinner line represents the one without phase modulation. (c) Same as (a), but with phase $\phi(x, y)$ from eq. (11), with a = 3 and $\epsilon = 0.001$, applied to a square pupil. (d) Same as (b), but for the quadrants in (c). One can see that the reduction level of 10^{-12} , with an inner working distance of about $3.5\lambda_0/D$, can still be kept with a broad bandwidth of $0.6\lambda_0$ in the second quadrant.

Phase-Induced Amplitude Apodization Coronagraph (PIAAC)

Lossless apodization by aspheric optics.



Light intensity

Guyon, Belikov, Pluzhnik, Vanderbei, Traub, Martinache ... 2003-present



"Interferometric" coronagraphs

= Nulling interferometer on a single pupil telescope

- Creates multiple (at least 2) beams from a single telescope beam
- Combines them to produce a destructive interference on-axis and constructive interference off-axis

Achromatic Interferometric Coronagraph Common Path AIC

AIC CPAIC

Baudoz et al. 2000, Tavrov et al. 2005

Destructive interference between pupil and flipped copy of the pupil Achromatic PI phase shift and geometrical flip performed by going through focus

Visible Nulling Coronagraph, X & Y shear, 4th order VNC

Shao et al., Menesson et al. 2003

Destructive interference between 2 copies of the pupil, sheared by some distance. 4th order null obtained by cascading 2 shear/null

Pupil Swapping Coronagraph

PSC

Guyon & Shao, 2006 Destructive interference between pupil and a copy of the pupil where 4 quadrants have been swapped Visible Nuller Coron. (VNC)





second order null phase offset prop. to pupil shear x source offset

4th order null

Small shear : high throughput, low IWA Large shear : low throughput, small IWA The 2 shears can also be colinear

Sounding rocket (PICTURE)

Mennesson, Shao ... 2003, SPIE 4860, 32



External Occulter



A properly placed and shaped occulter can drop a deep shadow of starlight over a telescope while allowing planet light to pass unimpeded.







Coronagraph systems

What is a high contrast imaging system (ground or space) ?

Imaging system optimized to provide high contrast at small angular separation.

Key elements:

- **Coronagraph** or nulling interferometer to optically remove starlight and isolate planet light (overcomes diffraction)

 Wavefront correction system to reduce and calibrate residual wavefront errors For coronagraphs: Extreme-AO system to flatten wavefront For interferometers: Optical pathlength sensing / correction (+ AO on individual apertures of the interferometer)

- Science detector (+ differential detection technique) for imaging, spectroscopy and polarimetry

(note: the science detector can be part of the wavefront control system, and measure residual scattered light to be removed)

From conventional AO to Coronagraphic Extreme-AO

We use a non-extreme AO system image as starting point Example of a very good PSF with a current AO system: LBT AO image



Residual atmospheric speckle halo

REDUCED BY FAST, ACCURATE AND EFFICIENT AO SYSTEM

MUST BE REMOVED BY CALIBRATION SYSTEM OR DIFFERENTIAL IMAGING (actively or in post processing)

Control radius of AO DEFINED BY NUMBER OF ACTUATORS IN DM: MAY BE INCREASED WITH MORE ACTUATORS IF REQUIRED

Current and future high contrast systems - ground

NICI on Gemini South telescope – ongoing, large survey completed 85-element curvature AO system + Lyot coronagraph Differential imaging capability (methane absorption line) HiCIAO on Subaru Telescope – ongoing survey **188-element curvature** AO system + Lyot coronagraph Differential imaging capability (methane absorption line) → Subaru Coronagraphic Extreme AO (upgrade of HiCIAO) – on sky since 2012 Small inner working angle PIAA coronagraph Pointing sensing and control with coronagraphic low order WFS Speckle control using focal plane image as sensor 32x32 MEMS deformable mirror (upgraded 2013 to 2000 elements) Includes Integral Field Spectrograph to help remove speckles and acquire spectra P1640 + Palm300 on Palomar 5-m telescope - on sky since 2012 3000 element high order AO system + Lyot coronagraph Includes Integral Field Spectrograph to help remove speckles and acquire spectra **Gemini Planet Imager (GPI)** – large survey starts observations in 2014 ExAO system using 64x64 MEMS DM + coronagraph Includes calibration interferometer to accurately measure residual speckles Includes Integral Field Spectrograph to help remove speckles and acquire spectra ESO's SPHERE on VLT – large survery starts observations in 2014 ExAO system + coronagraph Highly stable bench Includes Integral Field Spectrograph to help remove speckles and acquire spectra Includes differential polarimetric imager

Current and future high contrast systems - ground



Gemini Planet Imager



SPHERE (European Southern Observatory)



PALM-3000 installed at the Cass focus of the Hale Telescope at Palomar Mountain. Photo: Scott Kardel.

PALM3000/P1640 (Palomar 5-m Telescope)



Subaru Coronagraphic Extreme-Ag

The Subaru Coronagraphic Extreme Adaptive Optics (SCExAO) system





Wavefront control for High contrast imaging

Ground-based systems

Residual speckle field is brighter than planets(s) Systems often operate in **speckle noise limited regime**

 \rightarrow calibrating speckles is extremely important

Space-based ultra-high contrast systems

Detection is close to the **photon noise limit** of the planet(s)

 \rightarrow speckles need to be reduced at or below the planet image surface brightness level

Wavefront control is essential, and differential imaging/calibration will not work if speckle halo is brighter than planet

 \rightarrow need to build extremely stable system





Relationship between speckle and wavefront errors

pupil plane complex amplitude

$$W(\vec{u}) = \mathcal{A}(\vec{u}) e^{i\phi(\vec{u})}$$

Cosine aberration in pupil phase

$$\phi(\vec{u}) = \frac{2\pi h}{\lambda} \cos\left(2\pi \vec{f} \vec{u} + \theta\right) \longrightarrow I(\vec{\alpha}) = PSF(\vec{\alpha}) + \left(\frac{\pi h}{\lambda}\right)^2 [PSF(\vec{\alpha} + \vec{f}\lambda) + PSF(\vec{\alpha} - \vec{f}\lambda)]$$

-0.15

-0.1

-0.05

0.05

Ó

0.1

0.15

0

EXAMPLE:

Earth-like planet around Sun-like star is \sim 1e-10 contrast In visible light, h=1.6e-12 m (0.0012 nm) = 1e-10 speckle

1e-10 speckle (or 1e-10 contrast planet) around Sun at 10pc = 0.1 ph/sec/m²/um On a 4-m telescope, with 10% efficiency and a 0.5 um spectral band: Earth = 0.6 ph/sec To measure phase and amplitude of speckle requires ~10 photon 10 photon = 16 sec \rightarrow This spatial frequency needs to be stable to 1/1000 nm over ~ minute $\begin{bmatrix} 6\\1 \end{bmatrix}$

Focal plane AO and speckle calibration



Use Deformable Mirror (DM) to add speckles

SENSING: Put "test speckles" to measure speckles in the image, watch how they interfere

<u>CORRECTION</u>: Put "anti speckles" on top of "speckles" to have destructive interference between the two (Electric Field Conjugation, Give'on et al 2007)

<u>CALIBRATION</u>: If there is a real planet (and not a speckle) it will not interfere with the test speckles

Fundamental advantage: Uses science detector for wavefront sensing: "What you see is EXACTLY what needs to be removed / calibrated"

Active speckle control (Martinache et. al)

Active MEMS DM to replace a passive ADI approach at small angular separation



SCExAO's PIAA coronagraph permits speckle control from 1.5 to 14 λ /D Raw contrast ~ 3e-4 inside the DM control region Martinache et al, 2012, PASP, 124, 1288

High contrast images obtained in NASA labs Example: PIAA coronagraph lab results



Coronagraphy testbeds for high contrast (< 1e-8) work need to achieve high stability

High Contrast Imaging Testbed (HCIT) is a vacuum facility at NASA JPL



NASA Ames testing PIAA coronagraph / WFC architectures & MEMs DMs.



Technology: components



PIAACMC optimized focal plane mask F/20 beam, 10% bandwidth around 0.5 μm SiO2, 20 zones, 4 μm max deviation

Thickness [µm]





Habitable planet imaging: Scientific opportunities

Space allows access to very high contrast (no atmosphere), but aperture size is limited

Ground-based telescopes can be very large (\sim 30m), but the contrast is limited due to atmosphere

Exo-Earth targets within 20 pc





Contrast

Reflected light planets

Assuming that each star has a SuperEarth (2x Earth diameter) at the 1AU equivalent HZ distance

(assumes Earth albedo, contrast and separation for max elongation)



Proxima Centauri





Alpha Centauri A





Proxima Centauri

lan Morison

Imaging habitable planets from space and ground



----- Space ------

Habitable planets can be imaged around nearby Sun-like stars with 2-4m telescope

----- Ground ------

Next generation of 30-m telescopes will image habitable planets around nearby low-mass stars