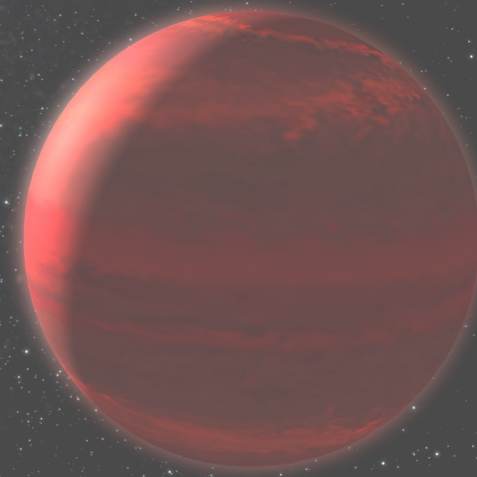


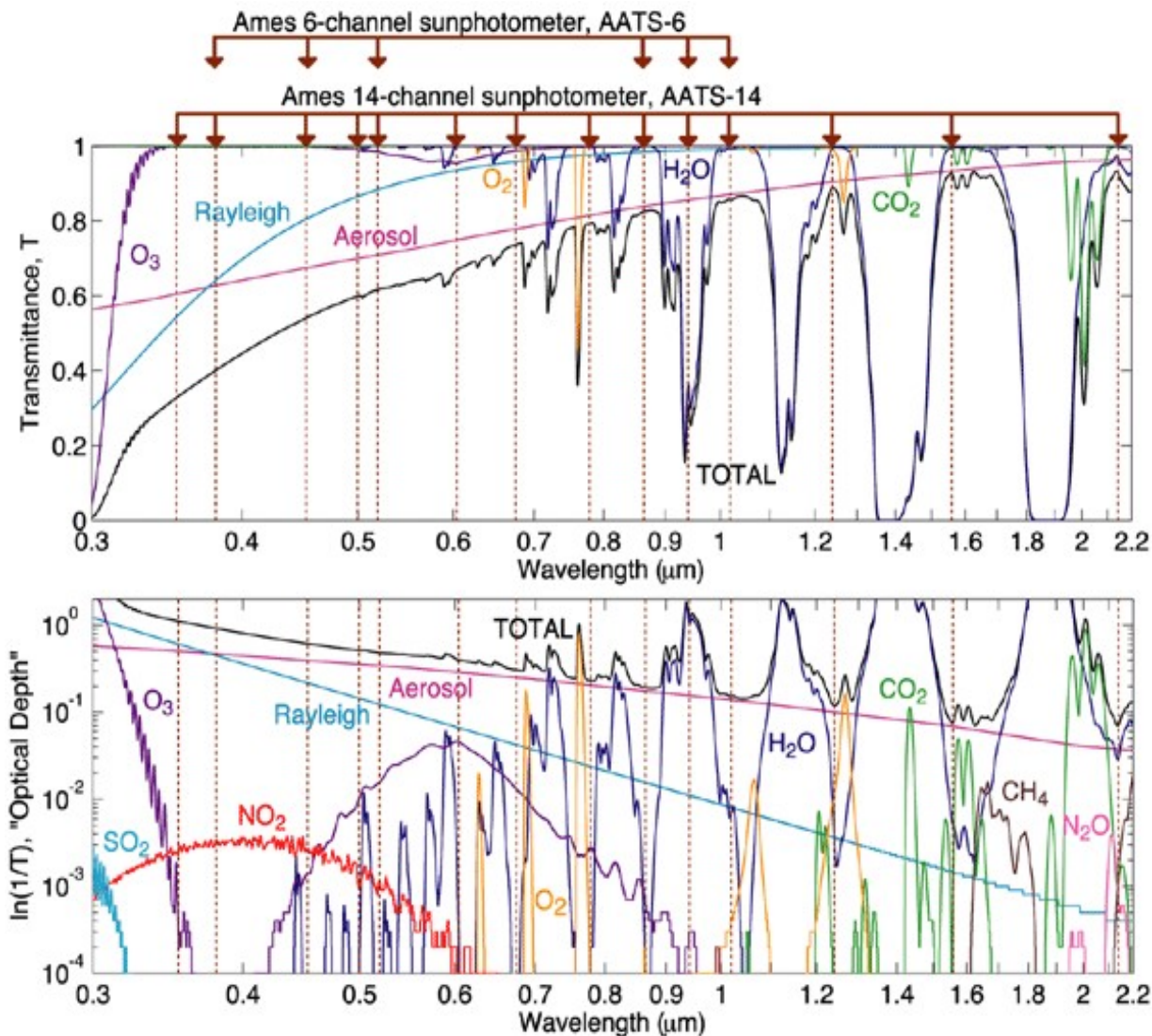
Direct imaging of habitable “Earth-like” planets with ELTs

**SCEXAO team
Olivier, Frantz, Nem**



Contact: guyon@naoj.org

We would love to do Spectroscopy



Credit: NASA/Ames Airborne Tracking Sunphotometer (AATS)

Atmosphere transmission:
 O_2 (see Kawahara et al. 2012)

H_2O

CO_2

CH_4

Polarimetry

Cloud cover, variability

Rotation period

Reflectivity from ground in
atmosphere transparency
bands

(Ice cap, desert, ocean etc...)

Reflected light planets

First cut limits meant to exclude clearly impossible targets

→ used to identify potential targets → instrument requirements

FIRST CUT LIMITS

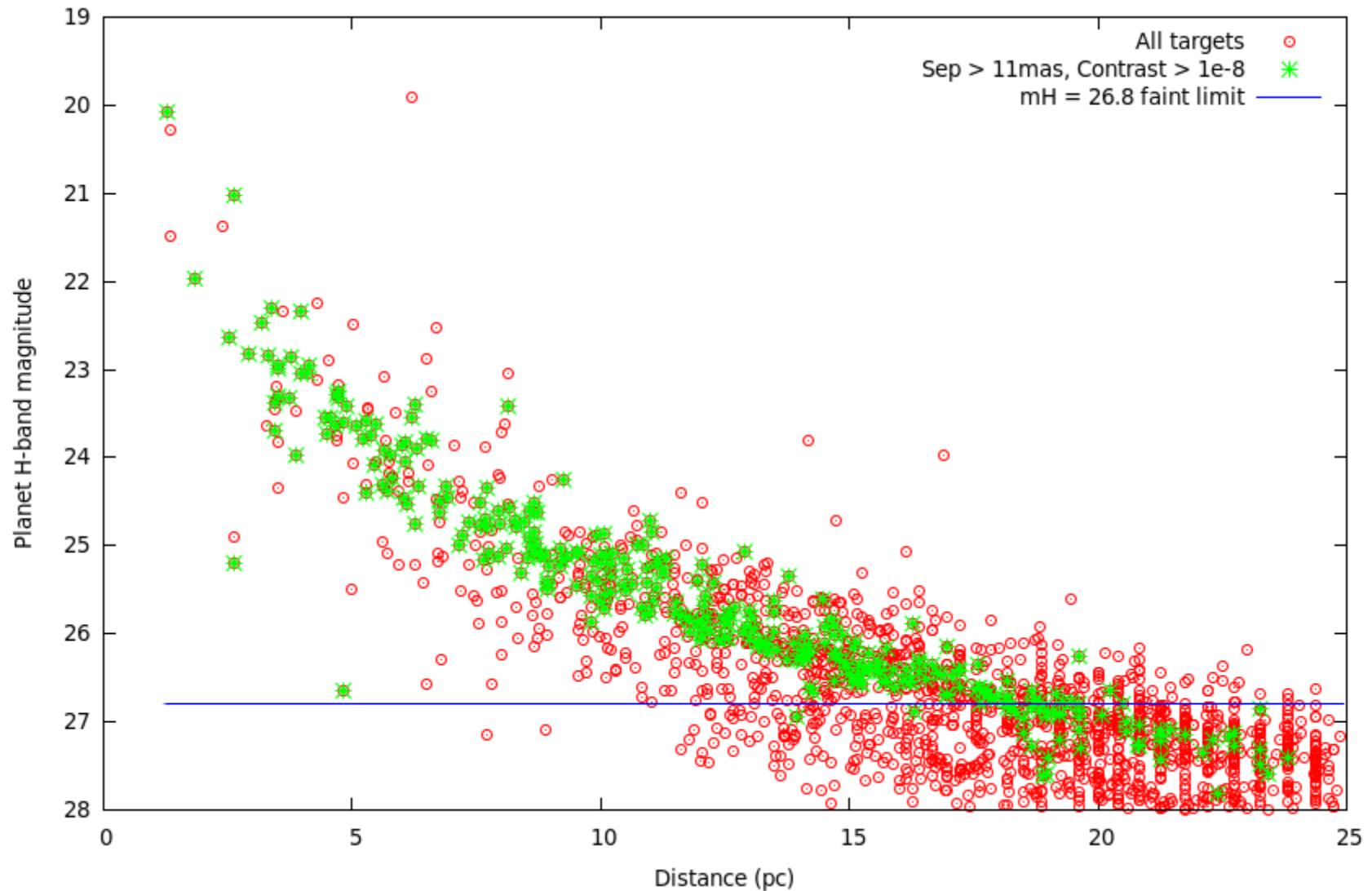
	Limit/constraints	Comments
Angular Separation	Must be $> 1.0 \lambda/D$	Limit imposed by coronagraph (see section 4). Corresponds to 11 mas on a 30-m telescope in H band.
Contrast	Must be $> 1e-8$	High contrast imaging limit (see section 5)
Star brightness	Must be brighter than $m_R = 15$	Required for high efficiency wavefront correction (see section 5)
Planet Brightness	Must be brighter than $m_H = 26.8$	Faint detection limit

background-limited SNR > 10 in H band image in 1 hr on 30-m telescope (assuming 15% efficiency)

Reflected light planets

274 targets survive the first cut

Strong correlation between planet apparent brightness and system distance

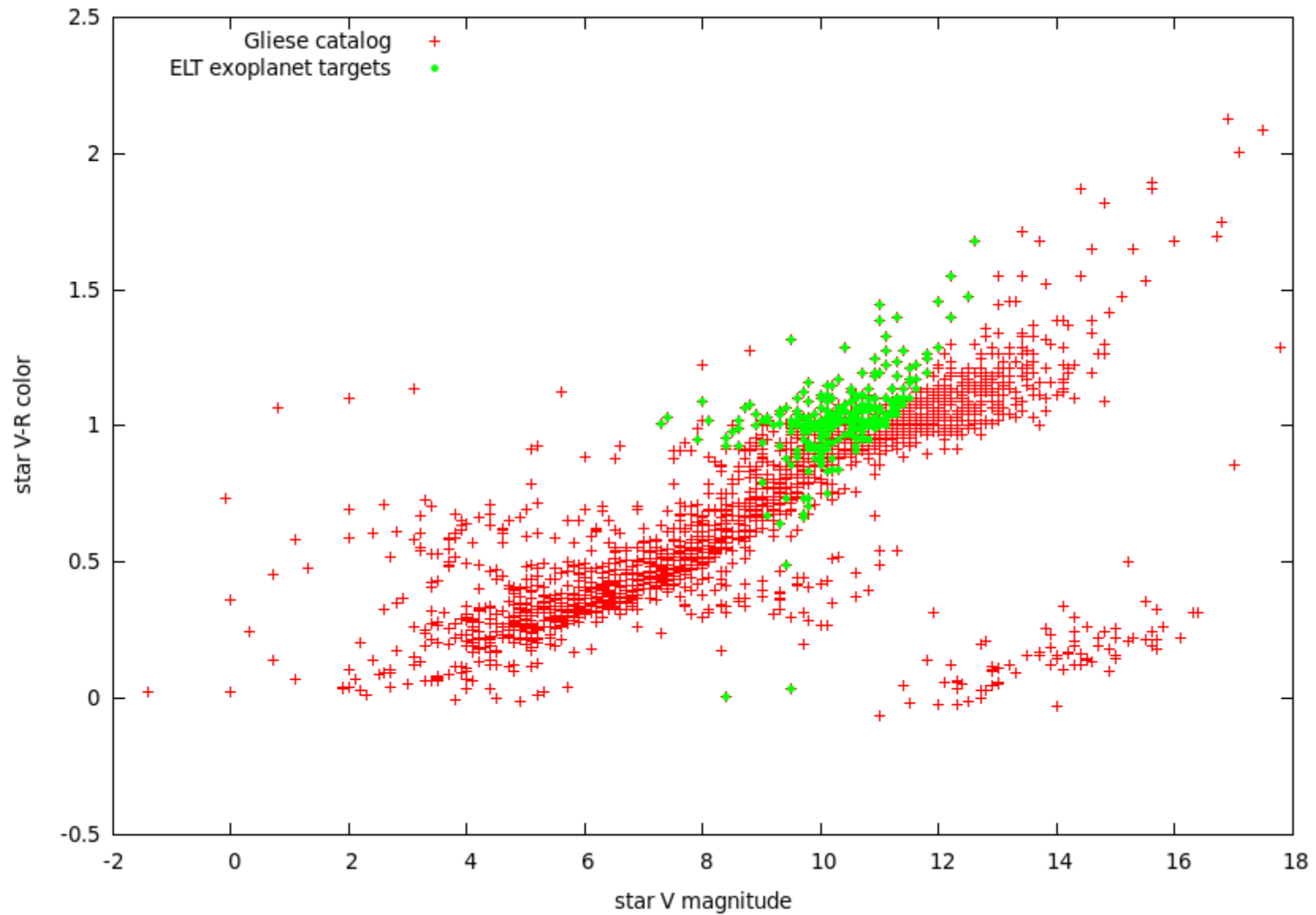


Reflected light planets

Most targets are red stars (M type), around $V \sim 10$, $R \sim 9$

2 white dwarfs : 40 Eri B and Sirius B

Early type stars $\rightarrow$ contrast too challenging



Easiest reflected light planets (2x Earth diameter)

Assuming that each star has a SuperEarth (2x Earth diameter) at the 1AU equivalent HZ distance

(assumes Earth albedo, contrast and separation for max elongation)

MOST FAVORABLE TARGETS											
STAR						PLANET					
Name	Type	Distance	Diameter	L_{bol}	m_V	m_R	m_H	Separation	Contrast	m_H	Notes, Multiplicity
Proxima Centauri (Gl551)	M5.5	1.30 pc	$0.138 R_{Sun}$ 0.990 ± 0.050 mas [1]	$8.64e-04$	11.00	9.56	4.83	22.69 mas	$8.05e-07$	20.07	RV measurement exclude planet above 3 Earth mass in HZ [Endl & Kurster 2008]
Barnard's Star (Gl699)	M4	1.83 pc	$0.193 R_{Sun}$ 0.987 ± 0.04 mas [2]	$4.96e-03$	9.50	8.18	4.83	38.41 mas	$1.40e-07$	21.97	-
Kruger 60 B (Gl860B)	M4	3.97 pc	$0.2 R_{Sun}$ [3]	$5.81e-03$	11.30	9.90	5.04	19.20 mas	$1.20e-07$	22.35	-
Ross 154 (Gl729)	M4.5	2.93 pc	$0.2 R_{Sun}$ [3]	$5.09e-03$	10.40	9.11	5.66	24.34 mas	$1.37e-07$	22.82	-
Ross 128 (Gl447)	M4.5	3.32 pc	$0.2 R_{Sun}$ [3]	$3.98e-03$	11.10	9.77	5.95	18.99 mas	$1.75e-07$	22.84	-
Ross 614 A (Gl234A)	M4.5	4.13 pc	$0.2 R_{Sun}$ [3]	$5.23e-03$	11.10	9.82	5.75	17.51 mas	$1.33e-07$	22.95	Double star (sep=3.8 AU)
Gl682	M3.5	4.73 pc	$0.26 R_{Sun}$ [3]	$6.41e-03$	10.90	9.70	5.92	16.93 mas	$1.09e-07$	23.33	-
Groombridge 34 B (Gl15B)	M6	3.45 pc	$0.18 R_{Sun}$ [3]	$5.25e-03$	11.00	9.61	6.19	20.98 mas	$1.33e-07$	23.39	150 AU from M2 primary
40 Eri C (Gl166C)	M4.5	4.83 pc	$0.23 R_{Sun}$ [3]	$5.92e-03$	11.10	9.88	6.28	15.93 mas	$1.18e-07$	23.61	35AU from 40 Eri B (white dwarf), 420 AU from 40 Eri A (K1)
GJ 3379	M4	5.37 pc	$0.24 R_{Sun}$ [3]	$6.56e-03$	11.30	10.06	6.31	15.09 mas	$1.06e-07$	23.75	-

[1] Angular diameter (uniform disk, non limb-darkened value) measured by optical interferometry with VLTI [Demory et al. 2009](#)

[2] Uniform disk angular diameter from [Lane et al. 2001](#)

[3] No direct measurement. Approximate radius is given. If possible, radius is extrapolated from photometry using K magnitude and radius vs. absolute K magnitude relationship in [Demory et al. 2009](#)

Requirement : $\sim 1e-7$ contrast, ~ 15 mas, $m_R \sim 9.5$ guide star

Why M dwarfs ?

... and why we should love them

Class	Surface temperature ^[8] (kelvin)	Conventional color	Apparent color ^{[9][10][11]}	Mass ^[8] (solar masses)	Radius ^[8] (solar radii)	Luminosity ^[8] (bolometric)	Hydrogen lines	Fraction of all main-sequence stars ^[12]
O	$\geq 33,000$ K	blue	blue	$\geq 16 M_{\odot}$	$\geq 6.6 R_{\odot}$	$\geq 30,000 L_{\odot}$	Weak	$\sim 0.00003\%$
B	10,000–33,000 K	white to blue white	blue white	$2.1\text{--}16 M_{\odot}$	$1.8\text{--}6.6 R_{\odot}$	$25\text{--}30,000 L_{\odot}$	Medium	0.13%
A	7,500–10,000 K	white	white to blue white	$1.4\text{--}2.1 M_{\odot}$	$1.4\text{--}1.8 R_{\odot}$	$5\text{--}25 L_{\odot}$	Strong	0.6%
F	6,000–7,500 K	yellowish white	white	$1.04\text{--}1.4 M_{\odot}$	$1.15\text{--}1.4 R_{\odot}$	$1.5\text{--}5 L_{\odot}$	Medium	3%
G	5,200–6,000 K	yellow	yellowish white	$0.8\text{--}1.04 M_{\odot}$	$0.96\text{--}1.15 R_{\odot}$	$0.6\text{--}1.5 L_{\odot}$	Weak	7.6%
K	3,700–5,200 K	orange	yellow orange	$0.45\text{--}0.8 M_{\odot}$	$0.7\text{--}0.96 R_{\odot}$	$0.08\text{--}0.6 L_{\odot}$	Very weak	12.1%
M	2,000–3,700 K	red	orange red	$\leq 0.45 M_{\odot}$	$\leq 0.7 R_{\odot}$	$\leq 0.08 L_{\odot}$	Very weak	76.45%

Planet to star contrast not too challenging (because star is faint)

Lots of them around → closest M dwarfs are quite close to us

→ ideal targets for planet spectroscopy

But also...

- some indication that rocky planets are more abundant around M dwarfs than Sun-like stars (Kepler)
- fast orbital period (~ 1 month) → quick measurement of orbit
- decent probability of transit (and short period), transit is deeper than for Sun-like stars thanks to small stellar size
- Radial velocity could reach required precision to measure masses of these planets (BUT: some M dwarfs are active...)

>3/4 of Main sequence stars are M type

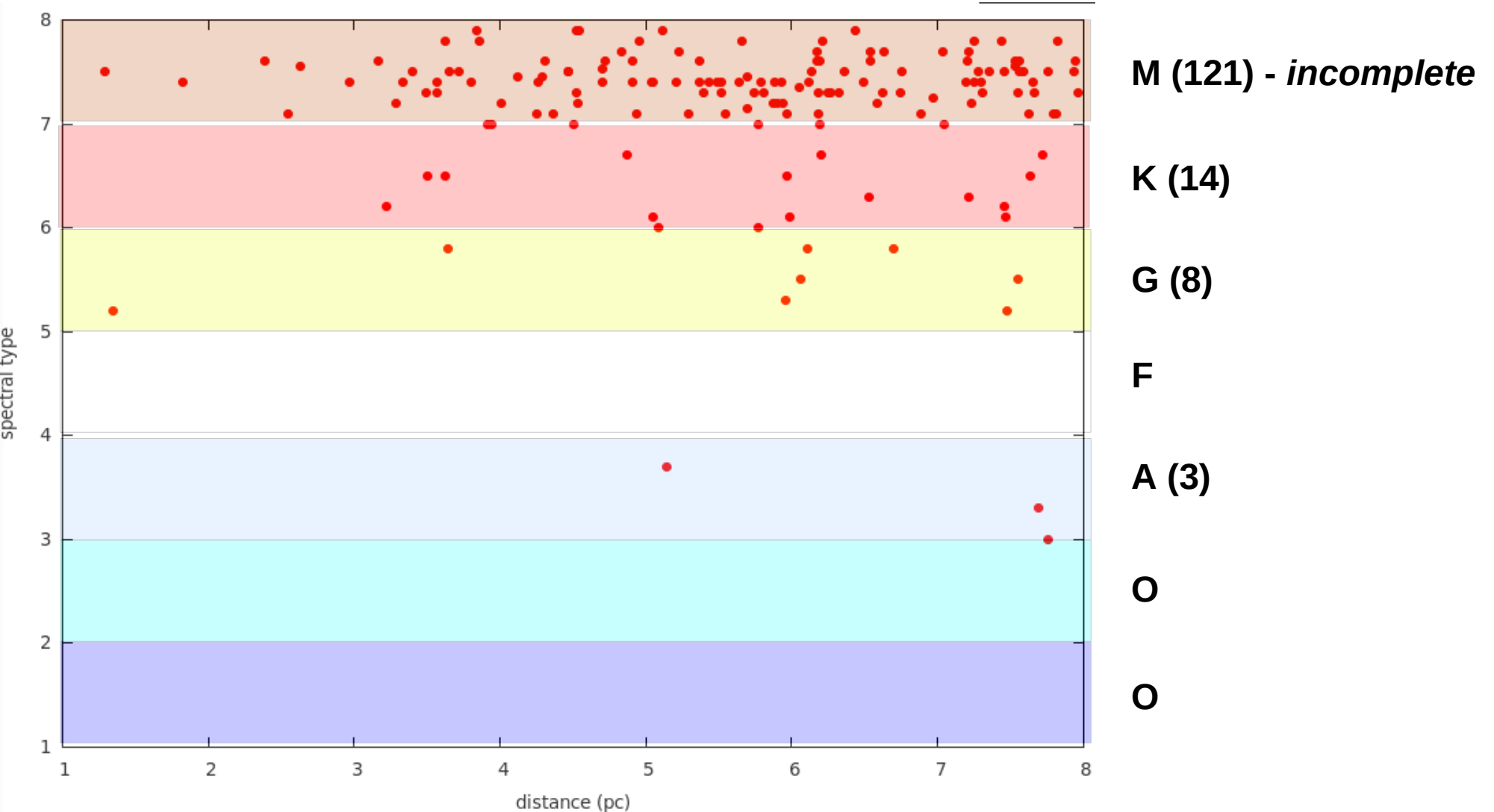
174 stars within 8pc ...

168 Main sequence stars + 8 white dwarfs

Data:

SUPERBLINK, CNS3, HIPPARCOS

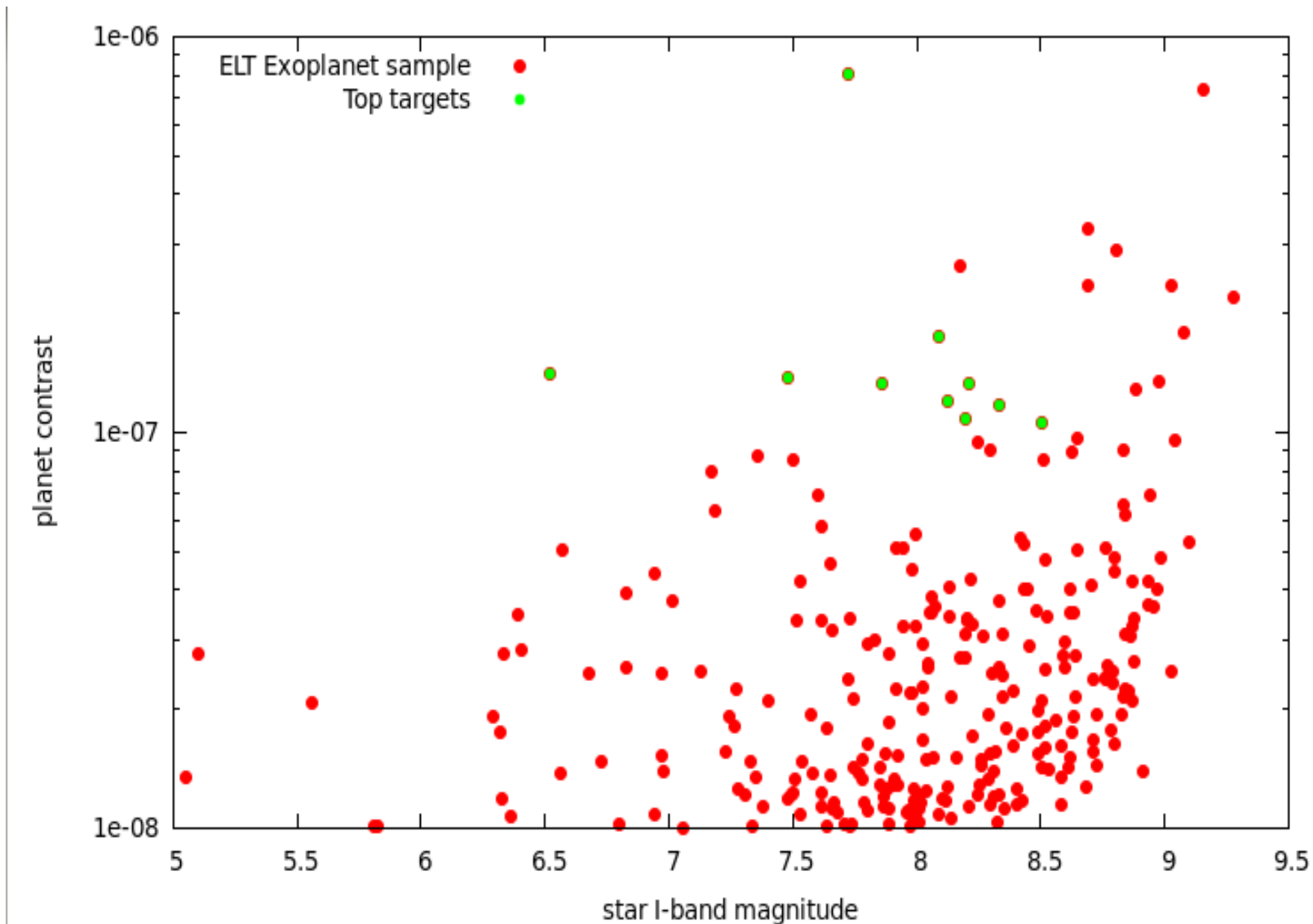
multiple stars: only primary component kept



Reflected light planets

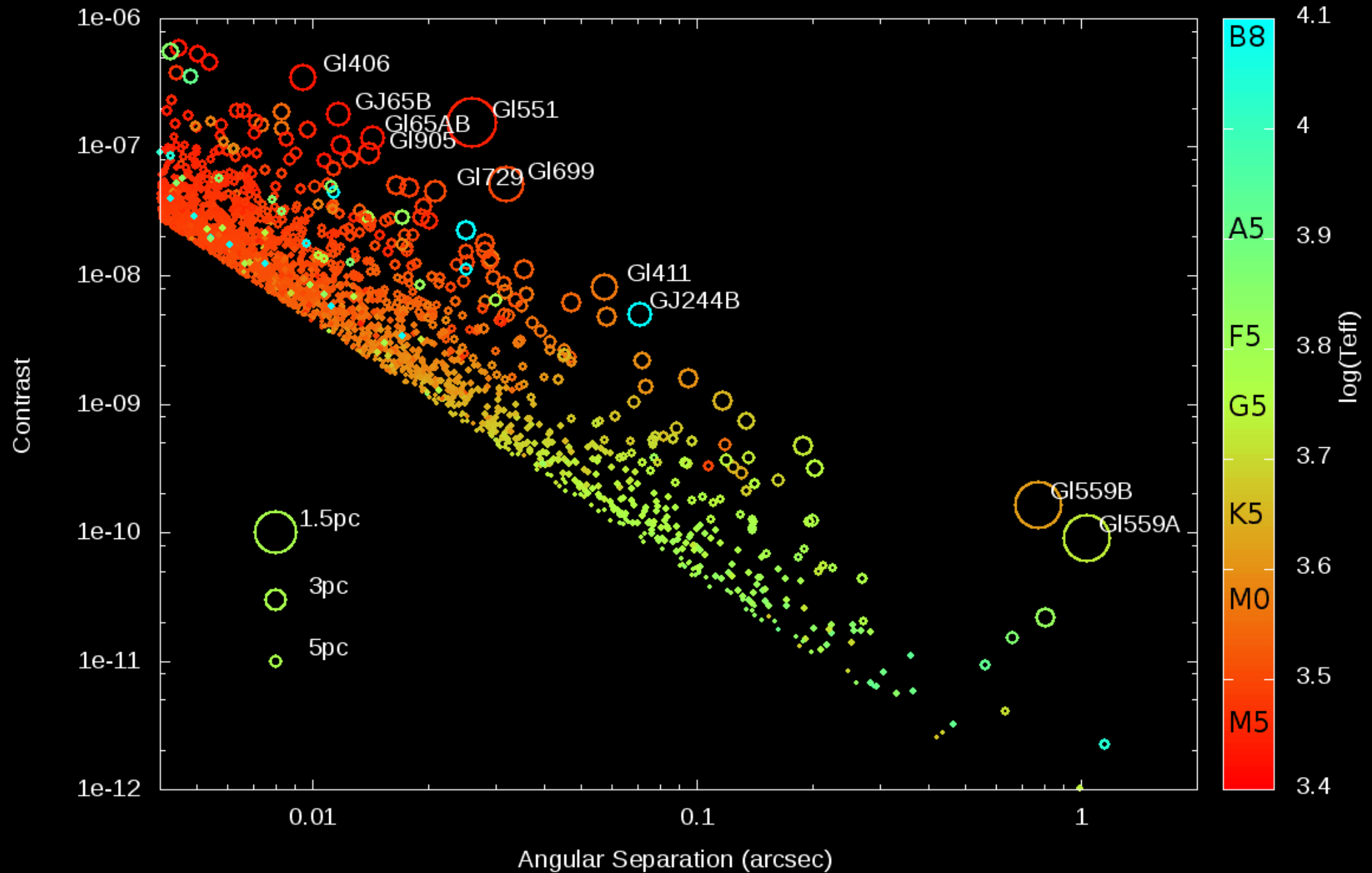
Assuming that each star has a SuperEarth (2x Earth diameter) at the 1AU equivalent HZ distance

(assumes Earth albedo, contrast and separation for max elongation)



Earth analogs

Exo-Earth targets within 20 pc



Proxima Centauri



Sun

Alpha Centauri A

Alpha Centauri B



Proxima Centauri

Interferometry or coronagraphy ?

Interferometry (aperture masking etc...):

Powerful calibration → contrast challenge mitigated

Demonstrated ability to work at separations around the telescope diffraction limit

Mixes planet and star flux → SNR limitation due to photon noise

Coronagraphy

Separates planet light from starlight, but:

Must access close to 1 I/D with high efficiency

Must be able to reach at least $\sim 10^4$ raw contrast, AND calibrate WF to $\sim 10^{-7}$ contrast

Interferometry or coronagraphy ?

→ only coronagraphs can offer SNR

Photon-noise limited SNR limit in H band

Earth like planet around M4 type star at 5pc

Assumptions:

D = 30m telescope, $m_H = 14.4$ arcsec⁻² background, 20mas aperture

15% efficiency (coatings, detector), 0.3 um bandpass (H band), 1 hr exposure

planet $m_H = 25.2$ (Earth at 5pc)

background = 230 ph/sec

Planet = 27.5 ph/sec

Star = 9.98×10^8 ph/sec ($m_H = 6.3$, M4 stellar type)

Star / Planet contrast = 3.6×10^7

SuperEarth at 5pc around M star
(4x Earth flux, 2x diameter)

	Detection SNR H band ($R \sim 5$)	Spectroscopy SNR $R = 100$
Imaging, no starlight	102 [356]	23.5 [83]
Imaging, 10^5 raw contrast	16.31 [65]	3.8 [15]
Imaging, 10^4 raw contrast	5.16 [20.6]	1.2 [4.8]
Interferometry, 100% efficiency	0.05 [0.2]	hopeless...

Transit spectroscopy ?

→ not competitive in SNR

Around M4 star, transit probability = 1.3% for a HZ planet
Statistically, closest transit target is 4.3x further than
closest direct imaging target, and star is 18x fainter

M4 star diameter $\sim 2.8e5\text{km}$

12000km planet diameter, scale height = 8km →
atmosphere is $5e-6$ of stellar disk surface

Transit signal = 275 ph/sec

Star flux = $5.5e7$ ph/sec

Detection SNR (1hr) = 2.2 (only during transit !!!)

Detection SNR if closest target transits = 9.4 (1.3%
chance of being that lucky...)



Requirements, Top challenges

Efficient coronagraphy

... down to 1 I/D separation on segmented pupils

Coronagraph design

Chromaticity

Stellar angular size

Wavefront control

(getting raw contrast at or below $1e-4$ at 1 I/D)

Efficient sensing of low order aberrations

Control and calibration of pointing errors

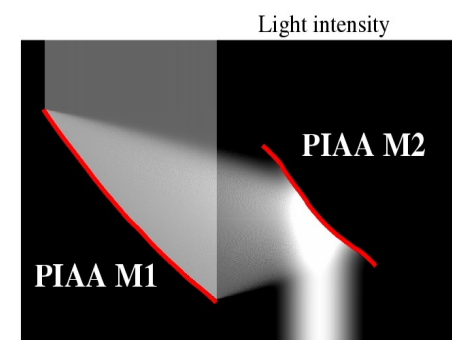
Wavefront calibration to $1e-7$

(separating scattered light from planet light)

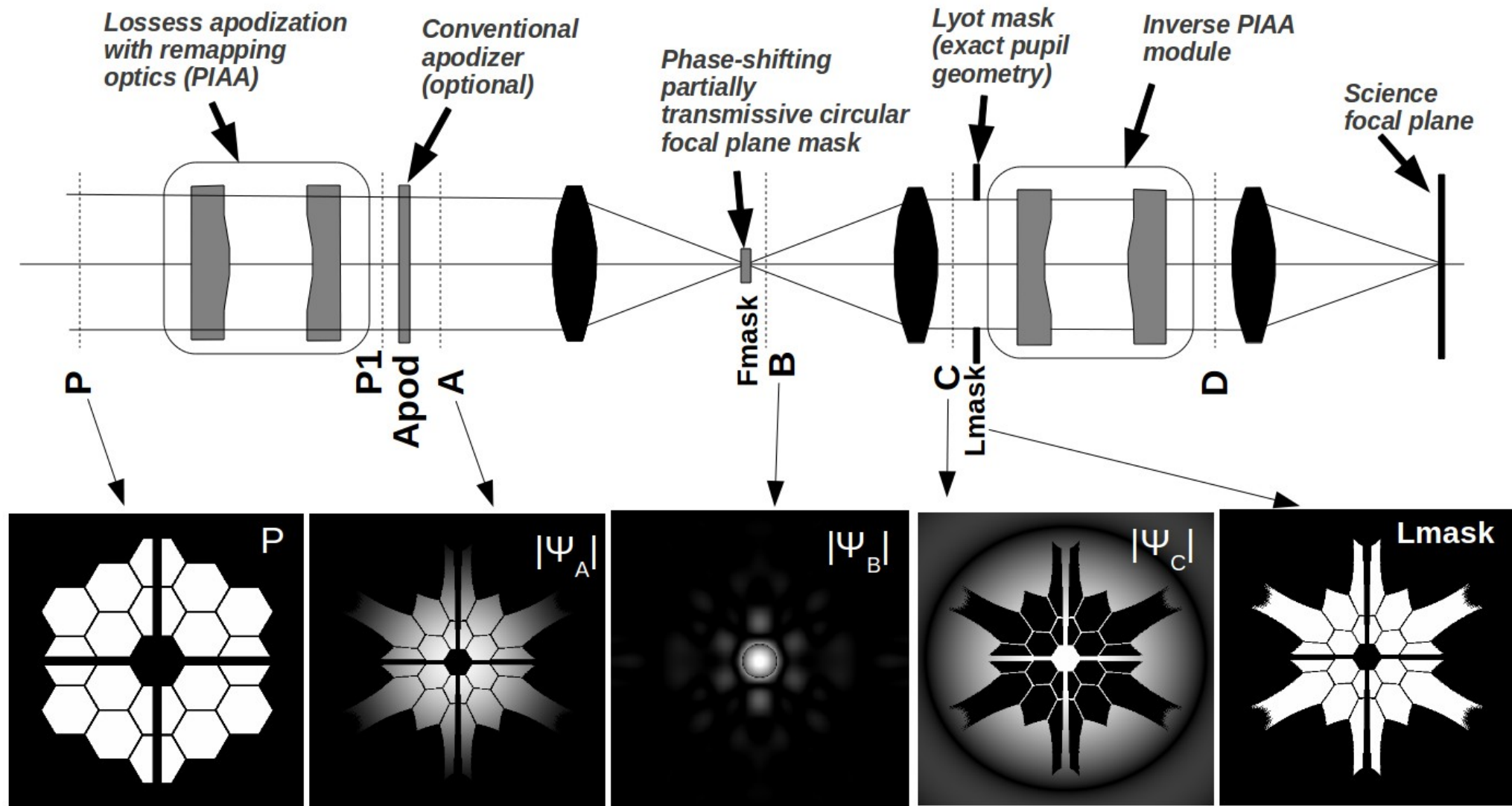
Main issues: time lag, chromatic effects, systematics

The need for nearIR wavefront modulation and correction

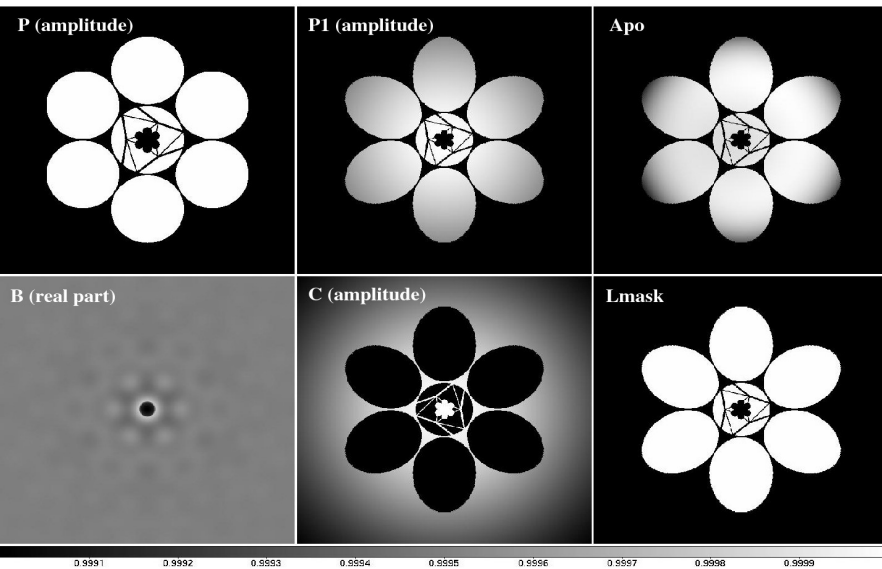
PIAACMC gets to < 1 I/D with full efficiency, and no contrast limit



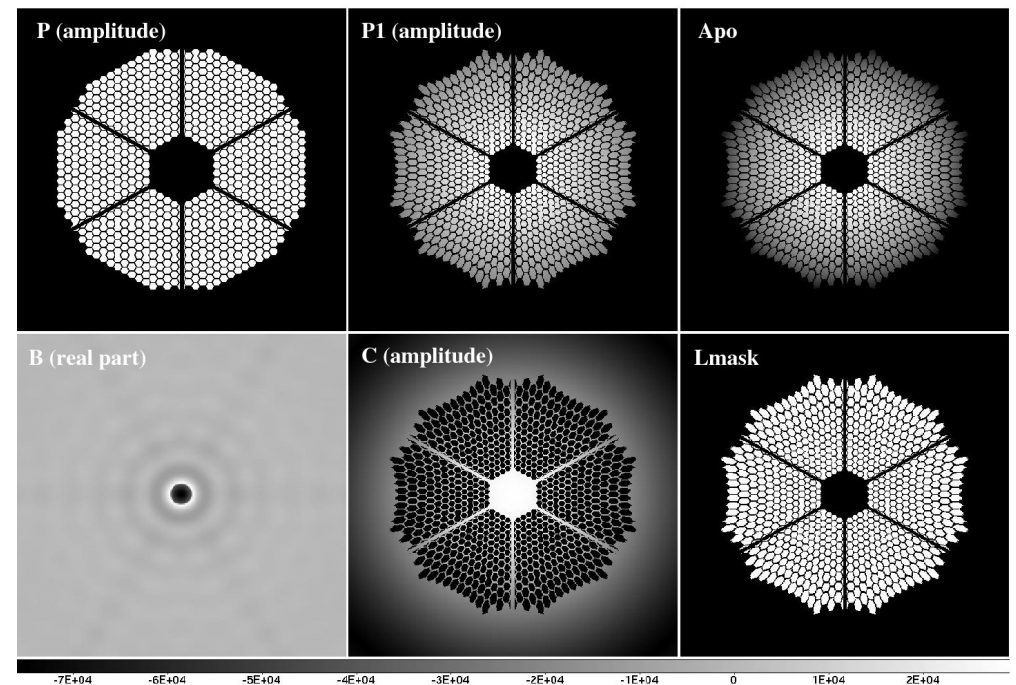
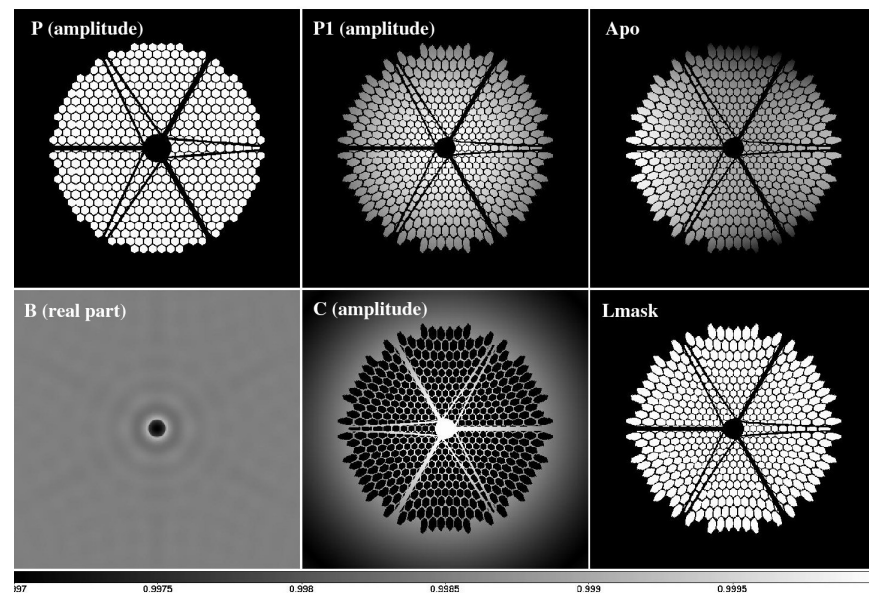
Phase Induced Amplitude Apodized Complex Mask Coronagraph (PIAACMC)



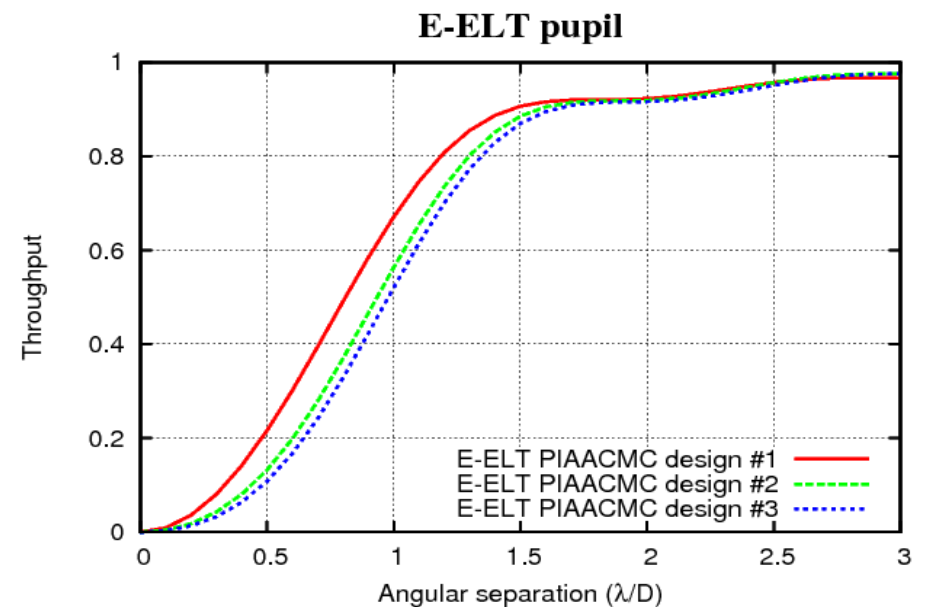
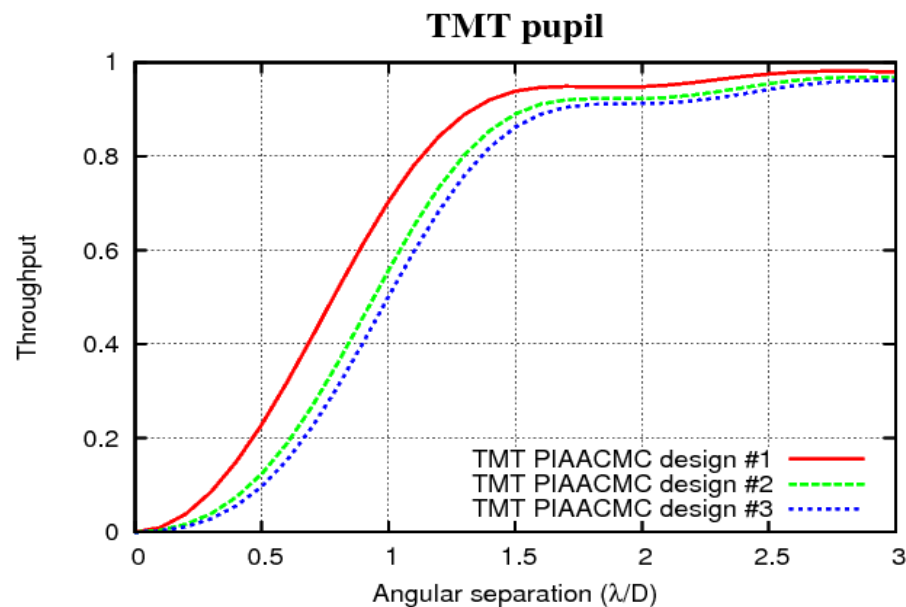
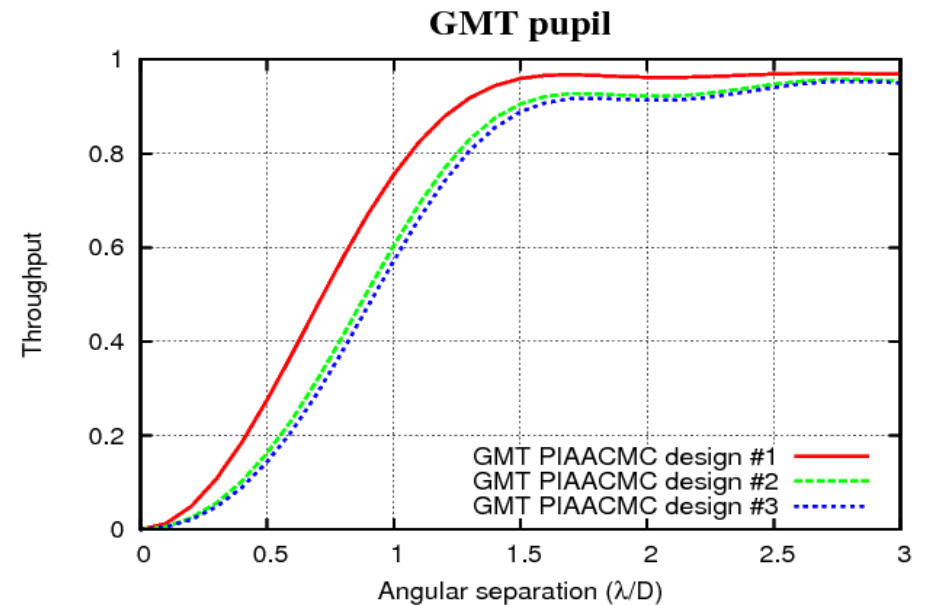
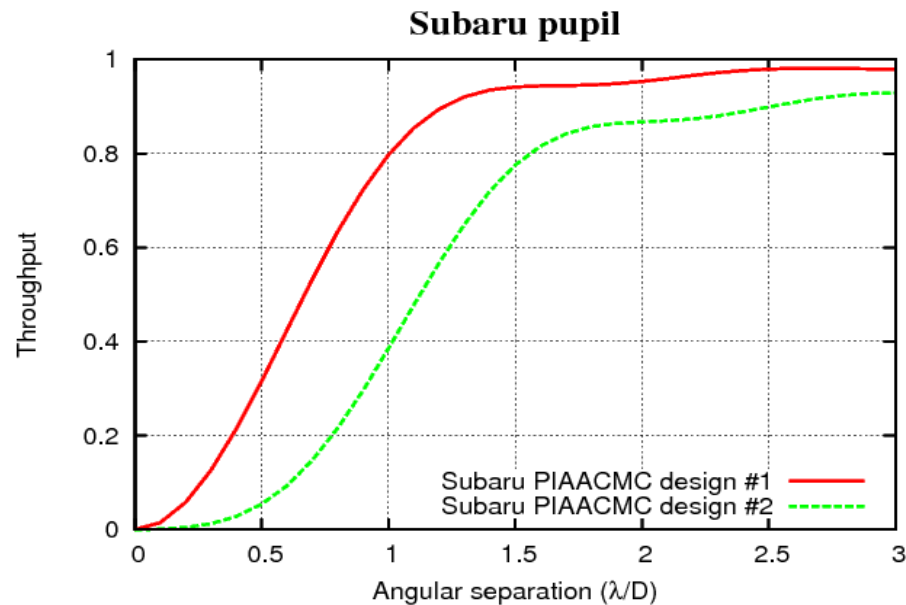
PIAACMC gets to < 1 I/D with full efficiency, and no contrast limit



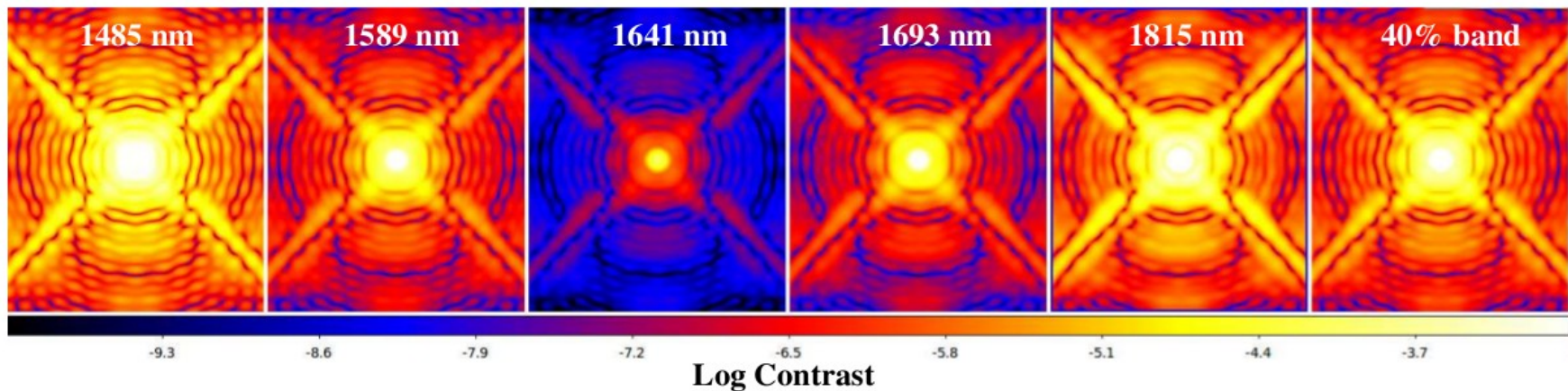
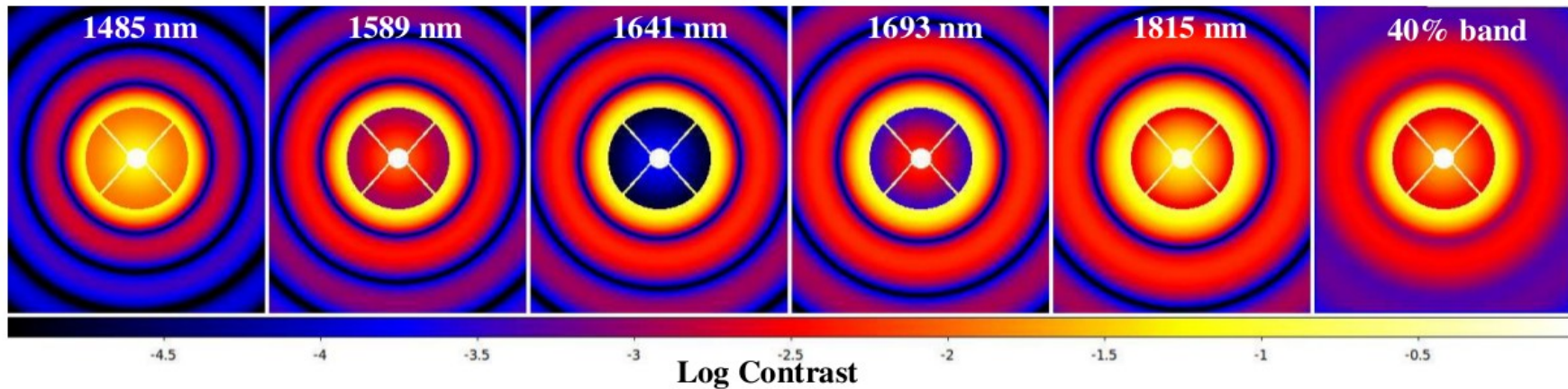
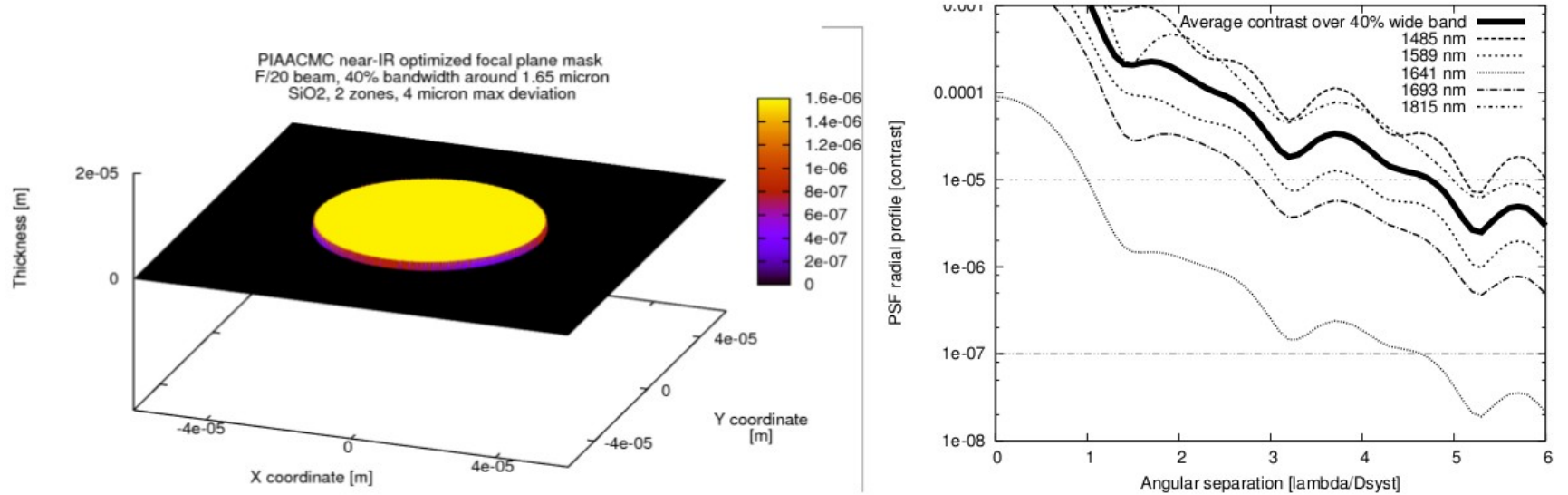
Pupil shape does not matter !!!



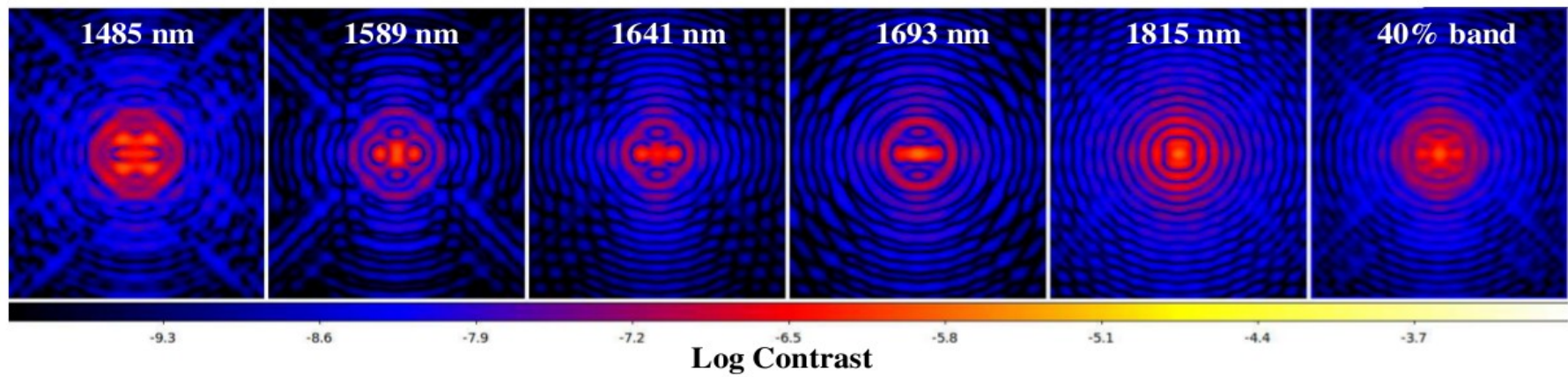
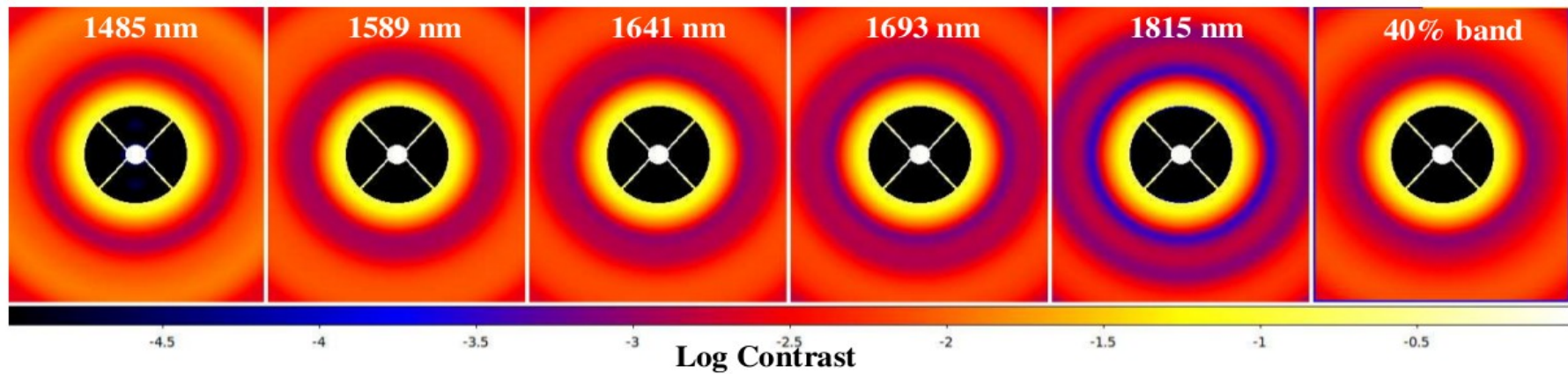
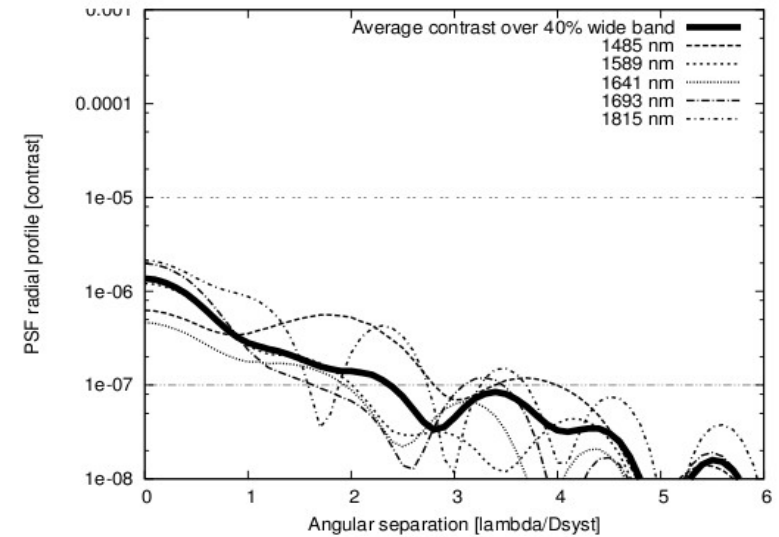
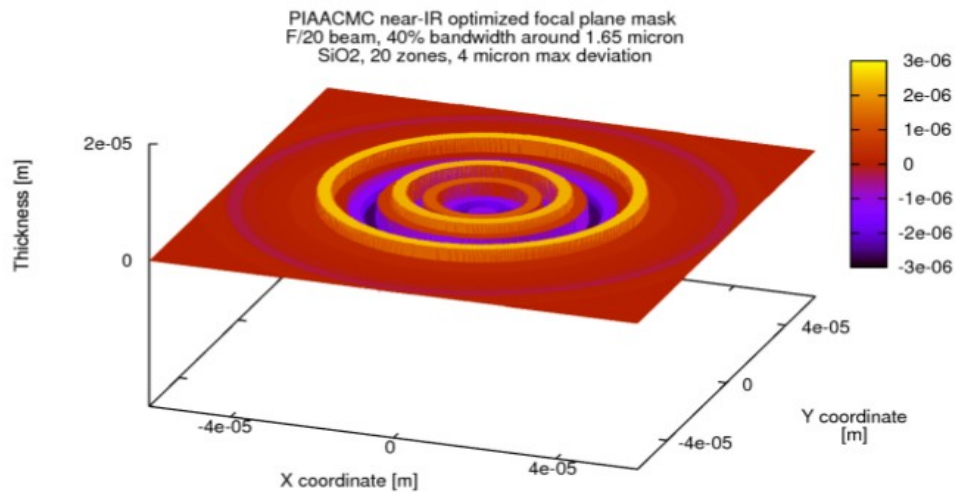
PIAACMC gets to $< 1 \lambda/D$ with full efficiency, and no contrast limit



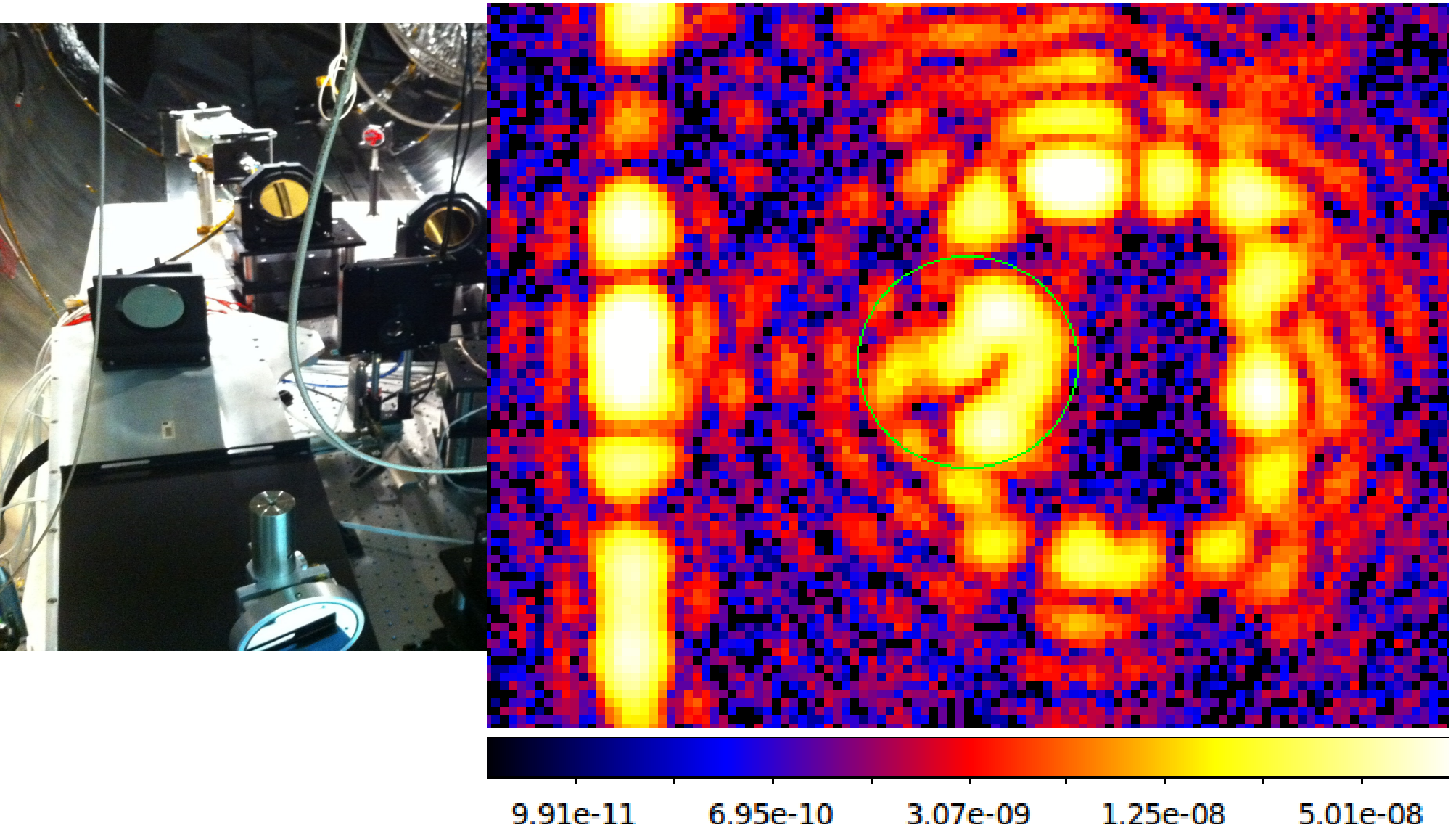
Chromatic effects are serious



Achromromatic design



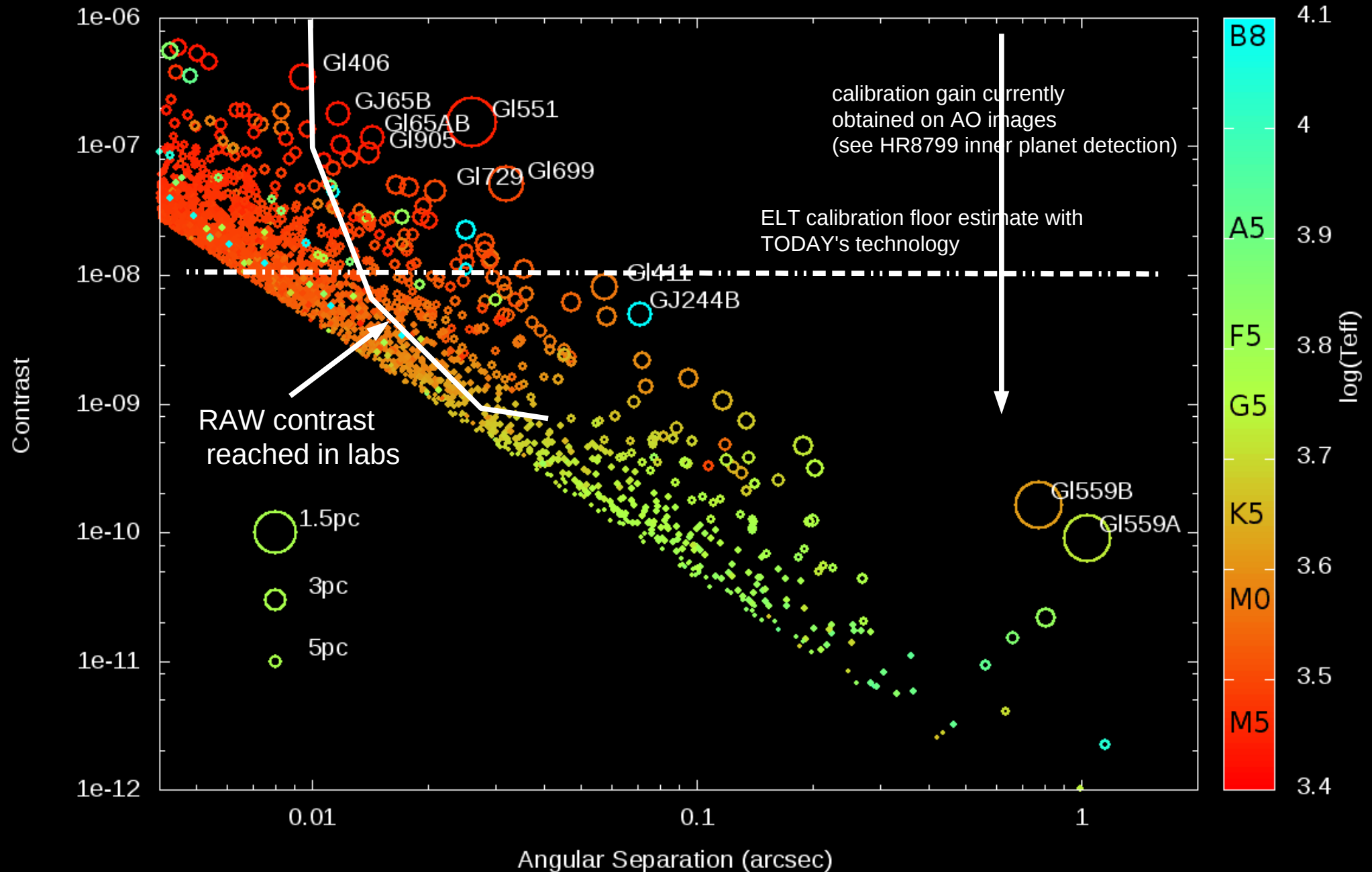
NASA JPL vacuum testbed



PIAA is reaching few $<1\text{e-}9$ contrast at 2-4 λ/D separation
(image above has IWA = $1.76 \lambda/D$, $C=7\text{e-}9$ at $1.76 \lambda/D$)

Earth analogs

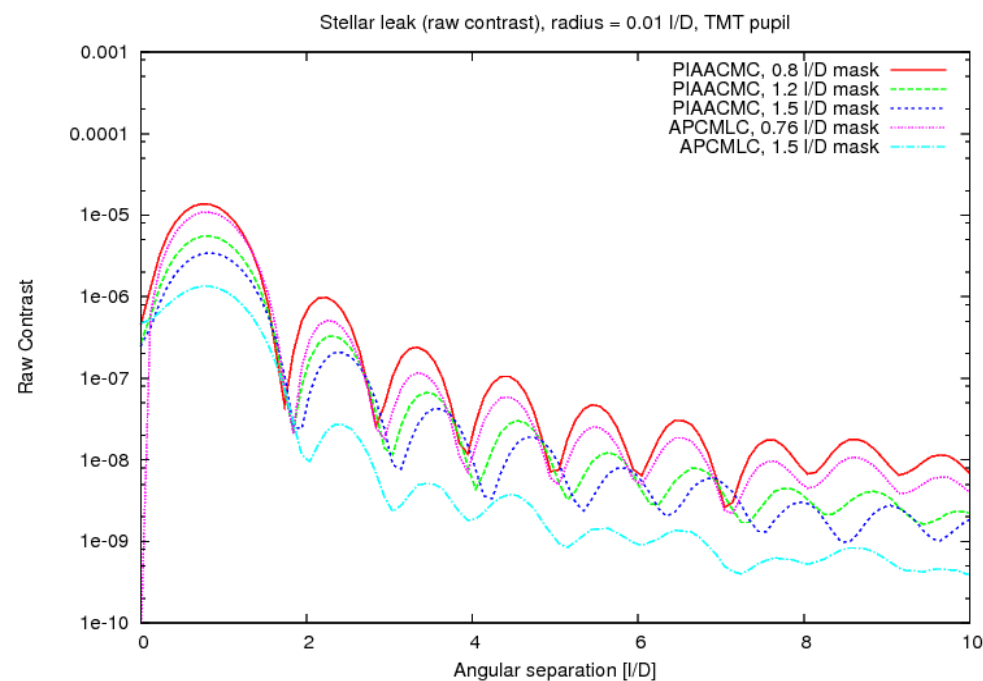
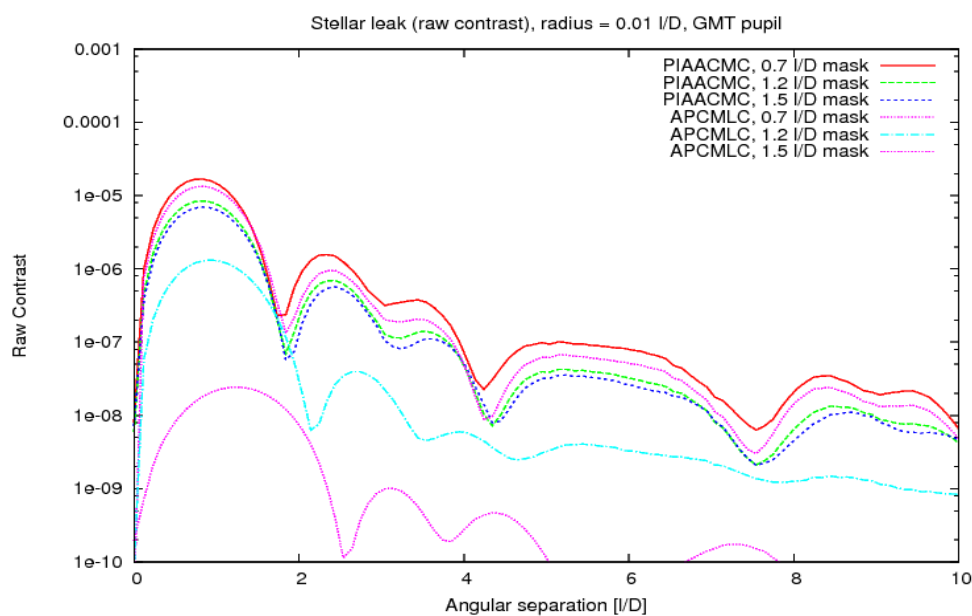
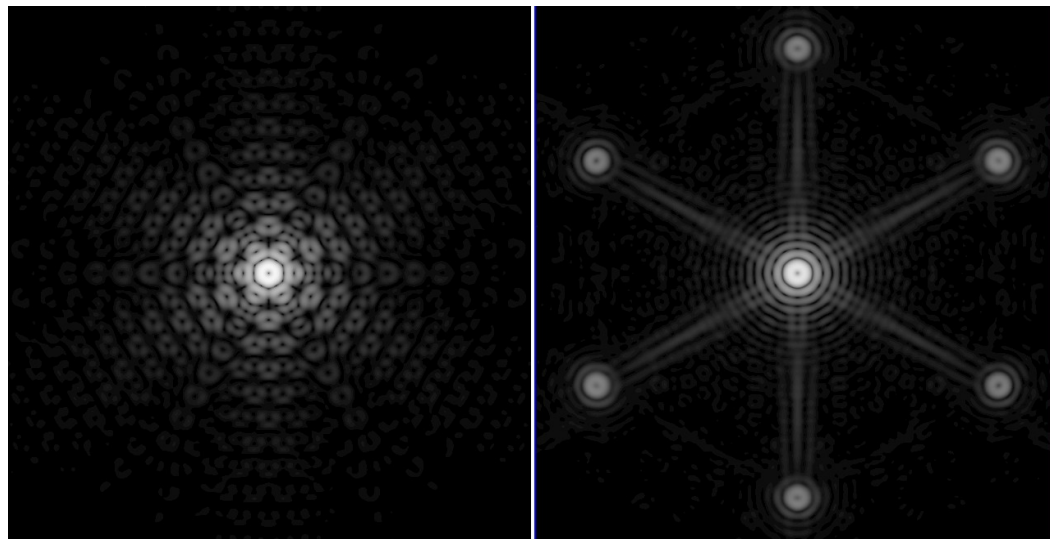
Exo-Earth targets within 20 pc



Coronagraphy: Stellar angular size

On ELT in near-IR, nearby M dwarf is about 0.1 to 0.5 mas radius = 0.01 to 0.05 I/D

→ for 1 I/D IWA coronagraph
RAW contrast limited to $\sim 10^{-5}$



Wavefront control

Can we reach $1e-4$ RAW contrast in the 1 to 2 I/D range ?

Goal: $\sim 1e-5$ contrast at 1 I/D

We are not that far from it with current technology...

Conventional high order ExAO on 8-m class telescope achieves $\sim 1e-3$ contrast in near-IR at few I/D

Moving to 3x larger telescope diameter will help (dilute speckle halo) - at equal SR, 10x gain in contrast $\rightarrow 1e-4$

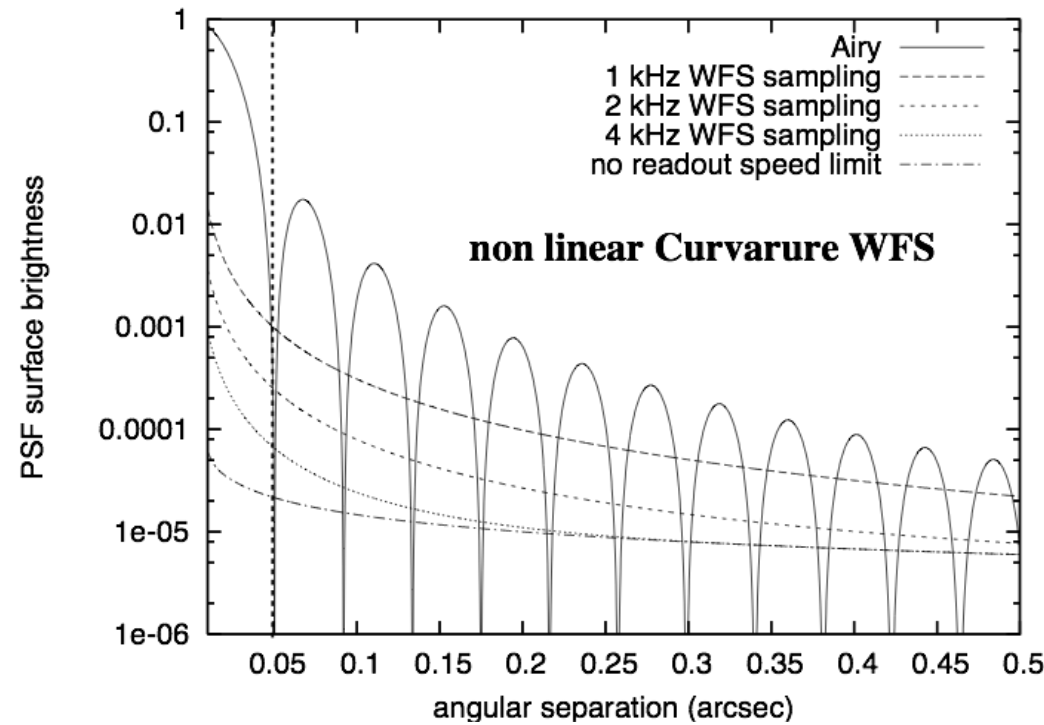
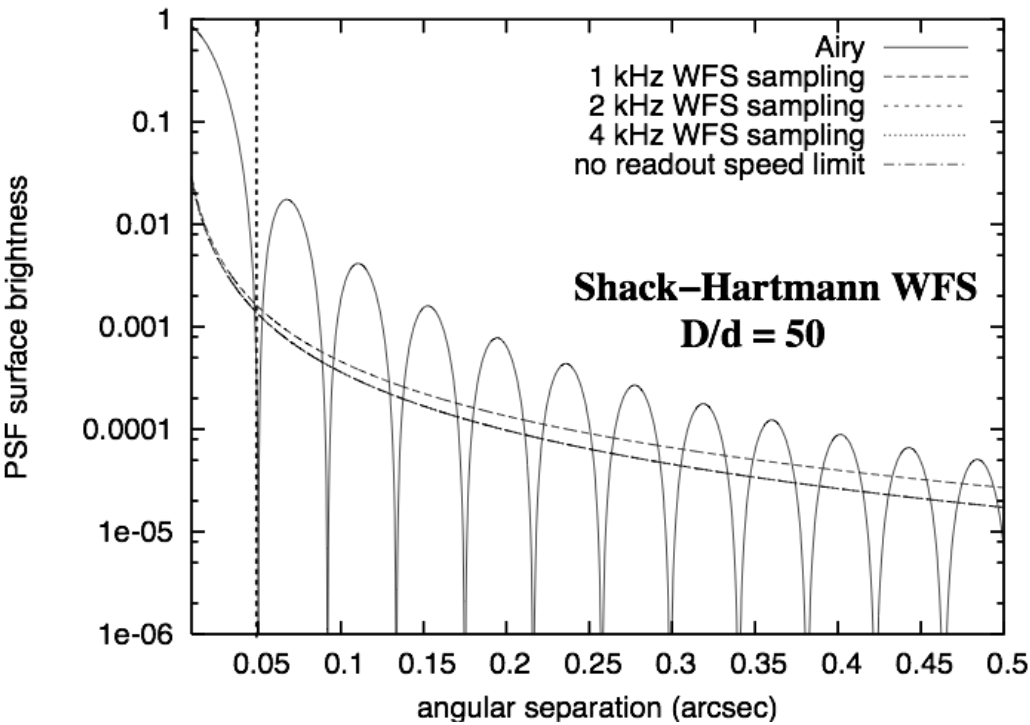
BUT we can EASILY do much better by :

(1) Using diffraction-limited WFS (Pyramid with little or no modulation, nLCWFS, Zernike etc...)

For Tip-tilt, gain in flux is $(D/r_0)^2 = 90,000$ on 30m telescope (12.8 mag)

(2) Making use of predictive control in the control loop (inner PSF flux dominated by time lag)

Performance gain for ExAO on 8-m telescopes (10x better on 30-m)



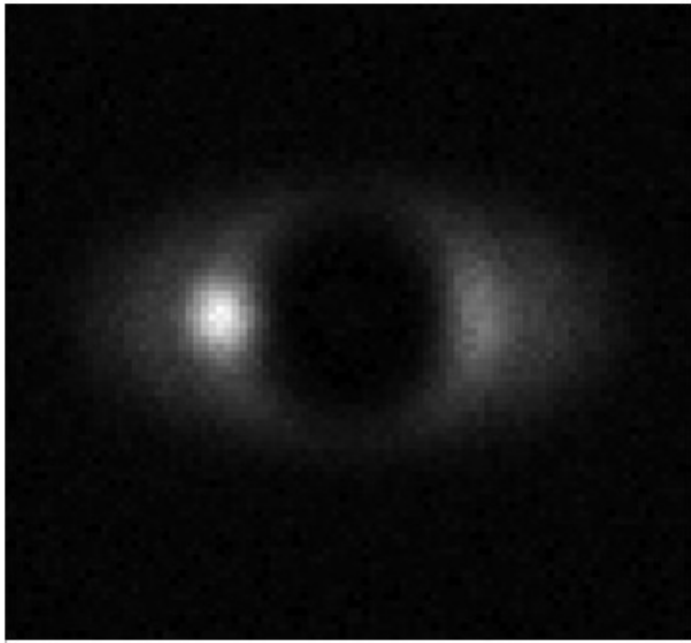
"High Sensitivity Wavefront Sensing with a non-linear Curvature Wavefront Sensor", Guyon, O. PASP, 122, pp.49-62 (2010)

Large gain at small angular separation: ideal for ExAO

Pointing and coronagraphy

Pointing errors put light in the 1 to 2 λ/D region of the focal plane, where planets should be seen

A pointing error and a planet at the inner working angle of the coronagraph look identical



Small IWA coronagraphy requires exquisite pointing control and knowledge

Pointing errors should be detected before they become large enough to induce a strong leak in the coronagraph

Pointing should be measured at the same λ as used for science

Should be measured at the diffraction limit of telescope

Should be measured at coronagraph focal plane mask

Coronagraphic LOWFS

(Guyon et al. 2010)

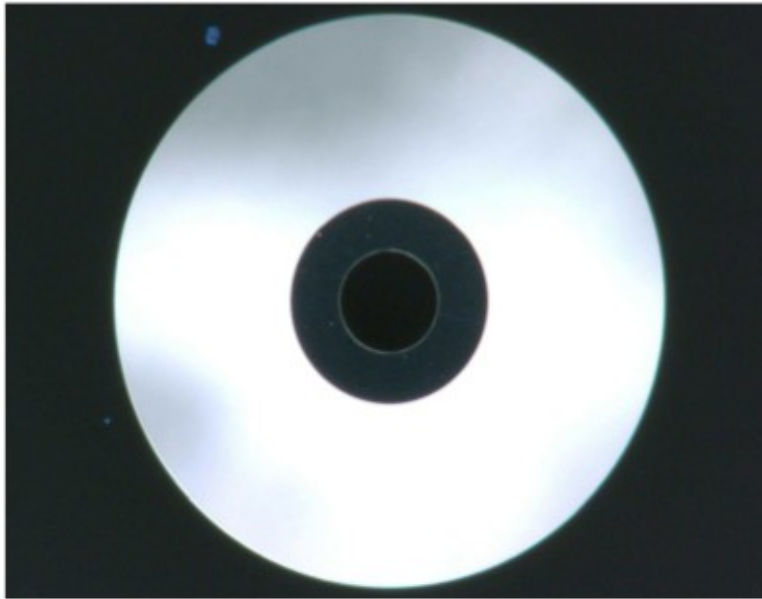
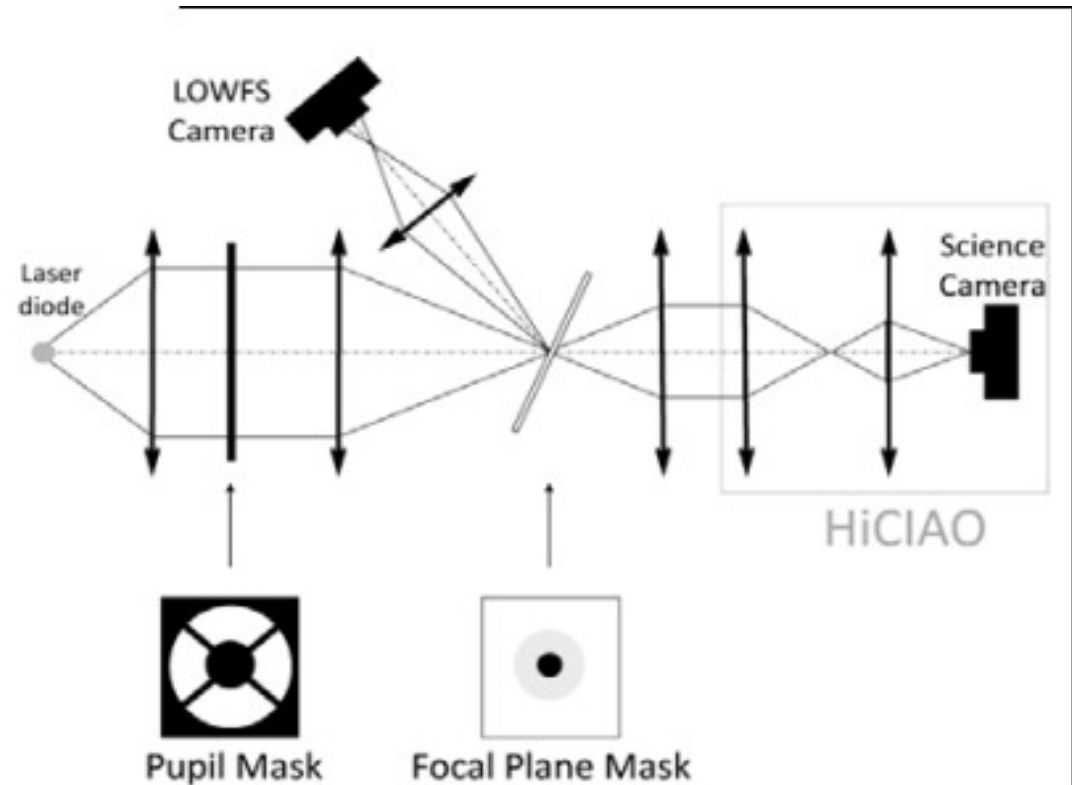
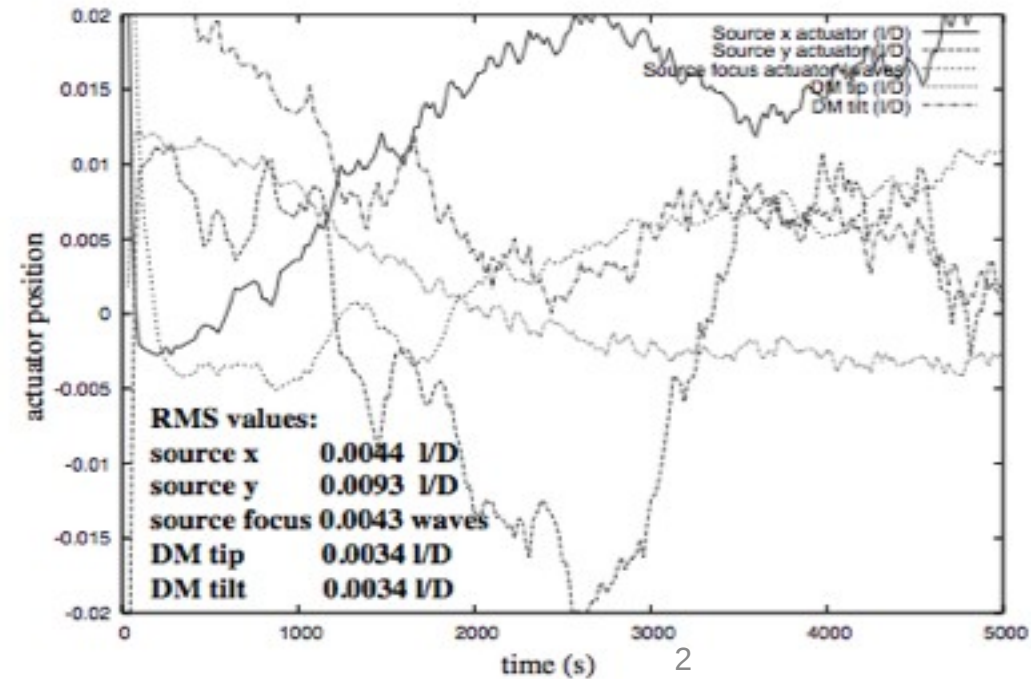
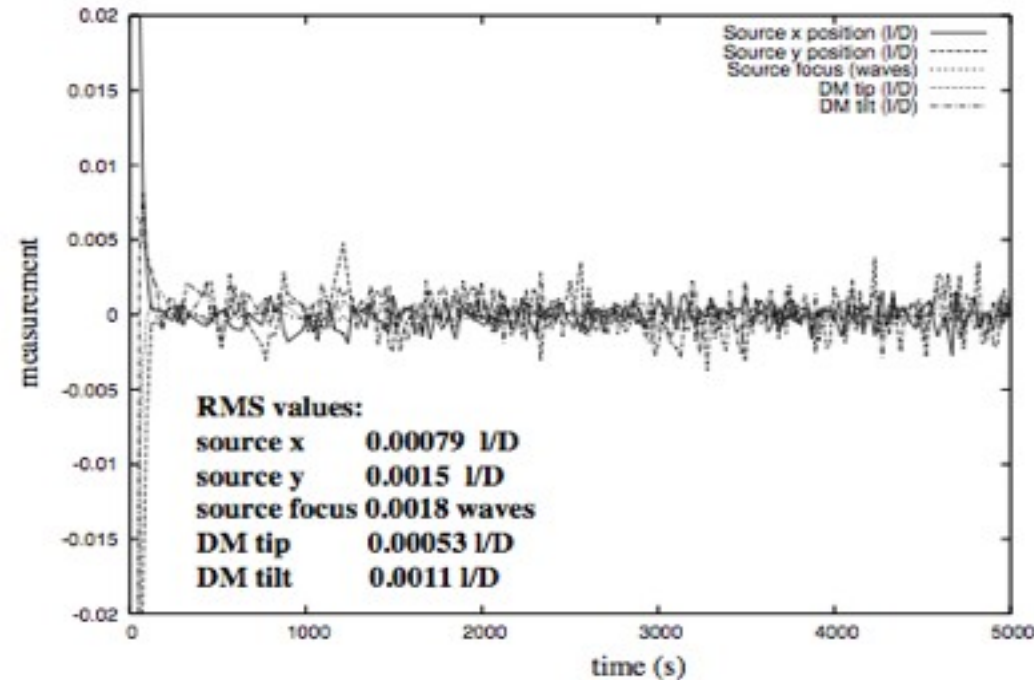
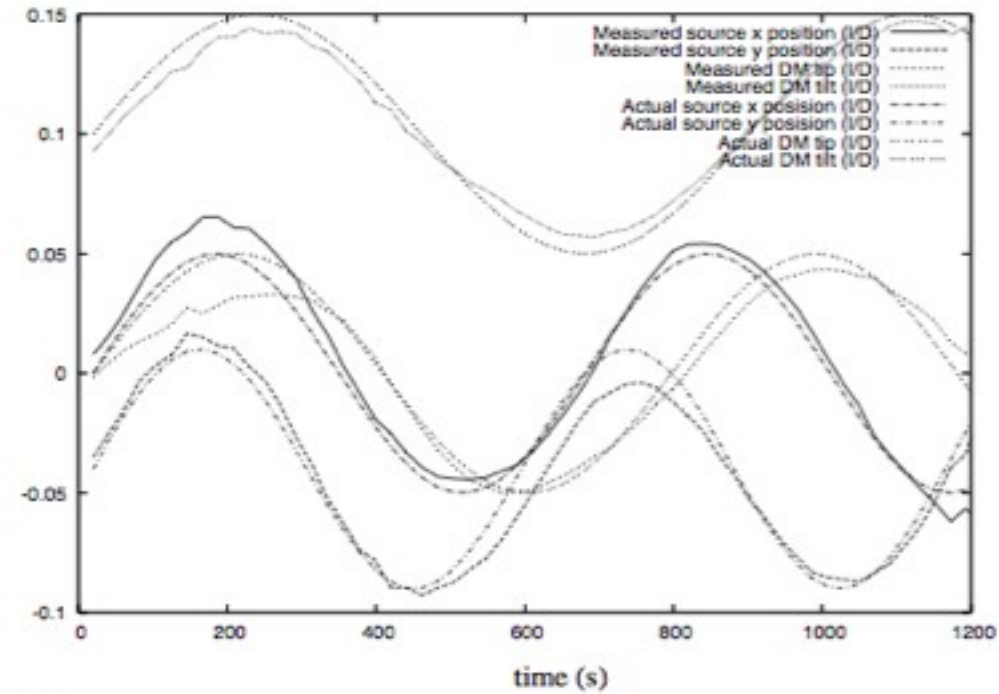
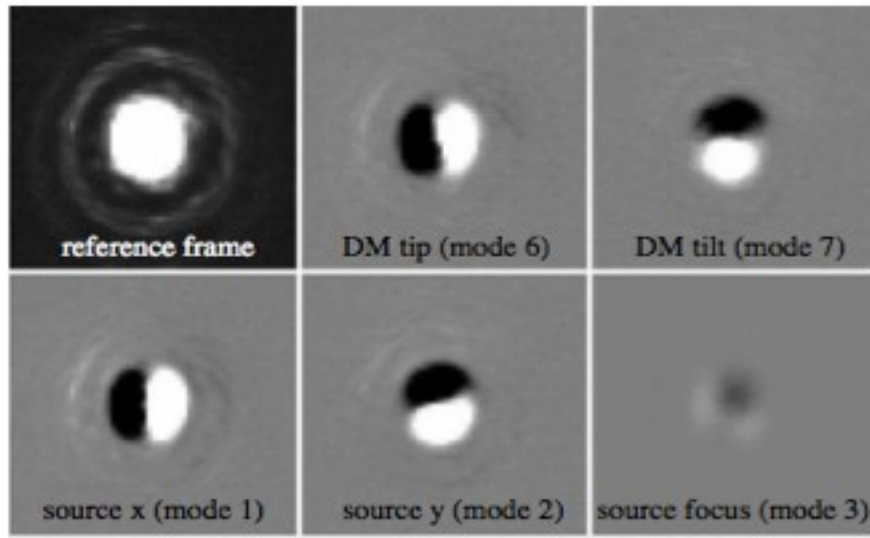


Fig. 9.— CLOWFS focal plane mask used in the PIAA coronagraph laboratory testbed at Subaru Telescope. The 100 micron radius mask center is opaque (low reflectivity), and is surrounded by a 100 micron wide highly reflective annulus. The science field, transmitting light to the science camera, extends from 200 micron to 550 micron radius.



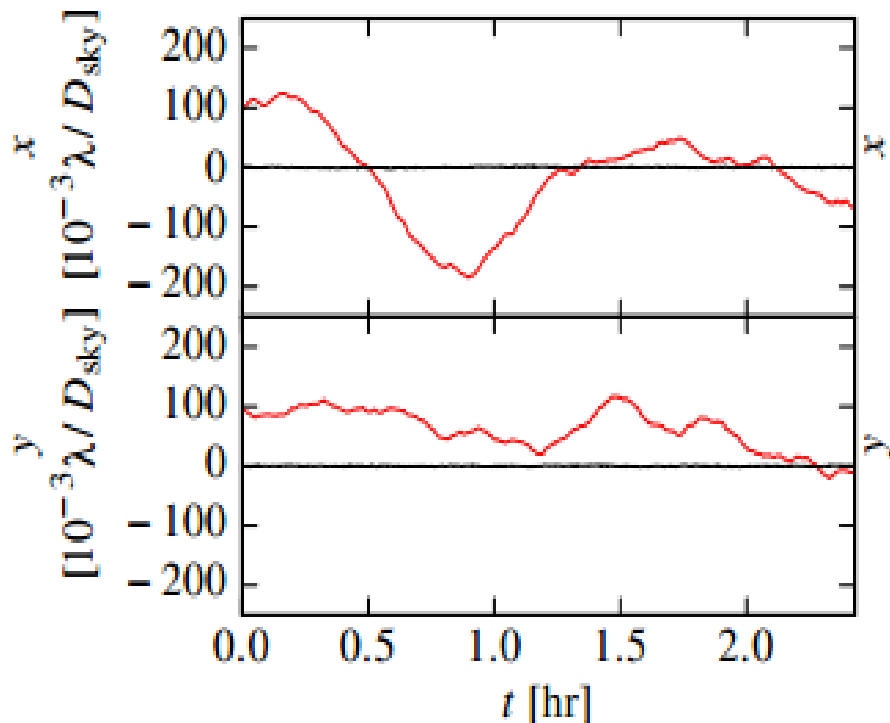
Pointing control demonstrated to $1\text{e-}3 \lambda/D$ in visible



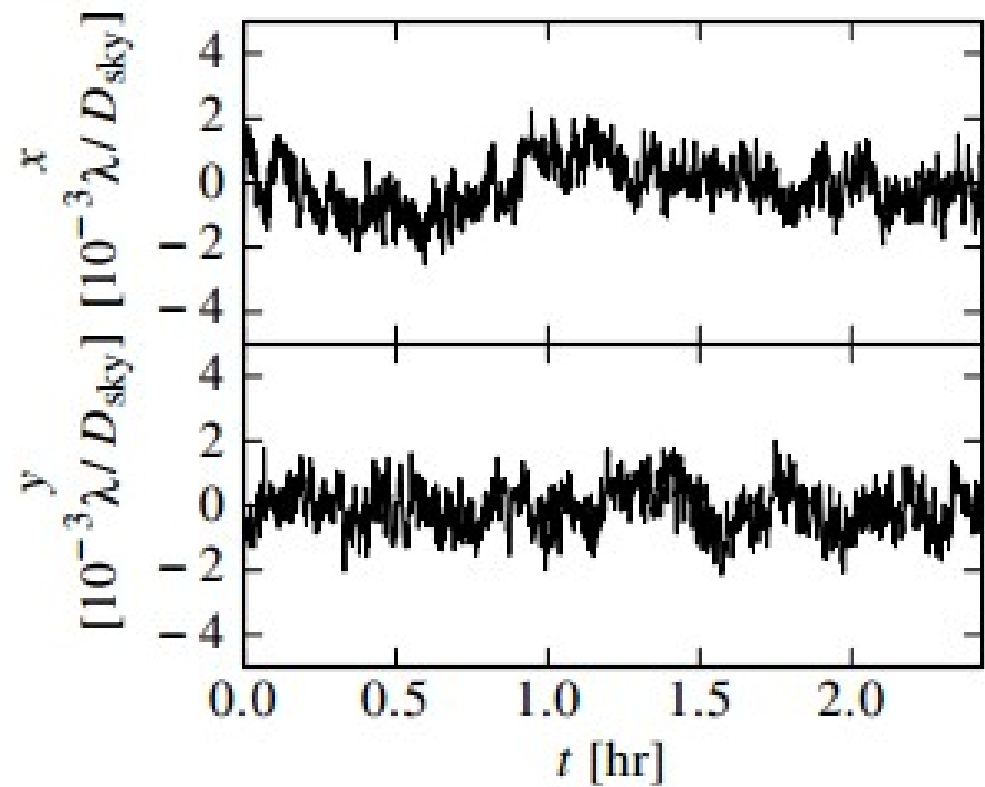
New results with CLOWFS at JPL demonstrate $3\text{e-}4$ I/D control

At 10 kHz, $\sim 1\text{e}4$ ph per frame allows $<1\text{e-}3$ I/D measurement on ELT

No correction



With correction



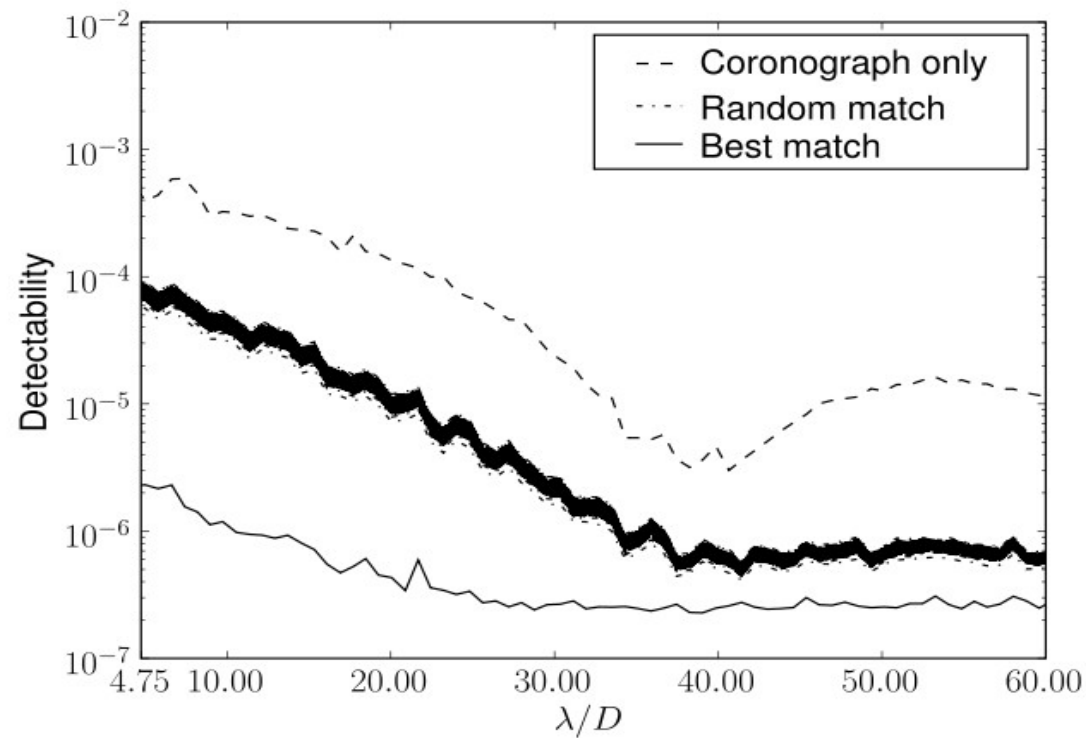
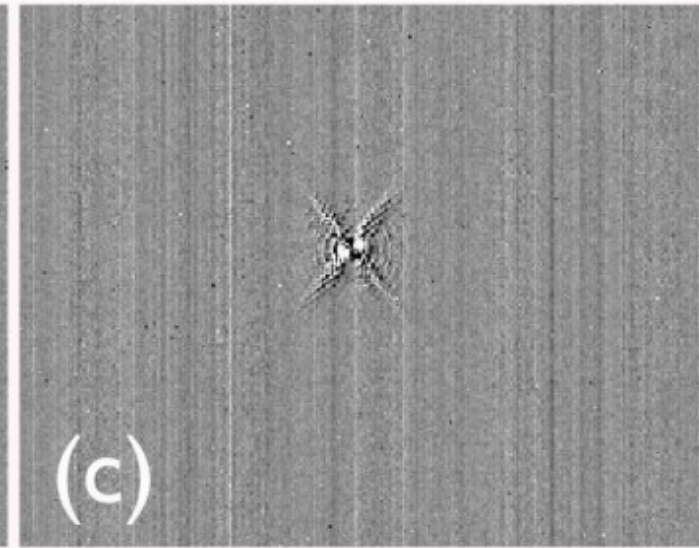
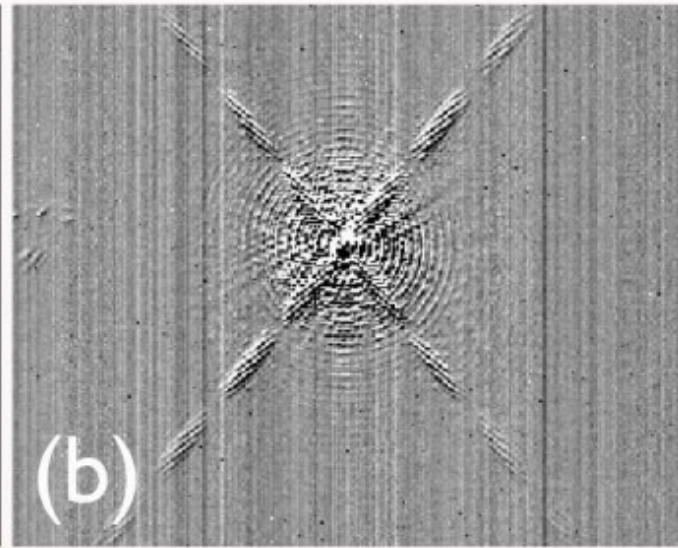
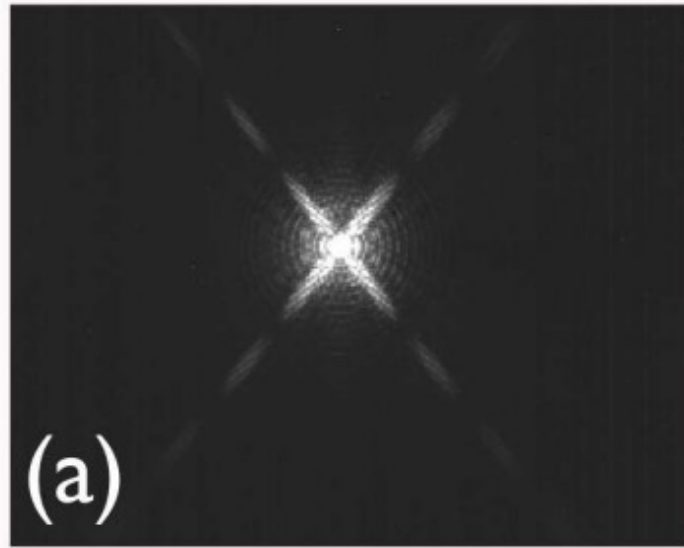
Vertical scale x50

Coronagraph leaks calibrated to 1% in SCExAO (Vogt et al. 2011)

Co-added science image

Standard PSF subtraction

MMA



Wavefront calibration to $\sim 1e7$ contrast

SDI, ADI WILL NOT WORK AT 1 I/D !!!

Focal plane speckle modulation appears to be very promising:

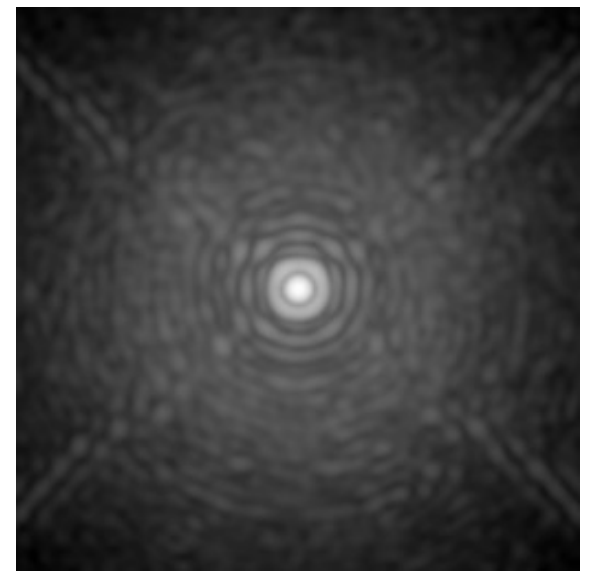
- no need for high optical quality
- non non-common path errors
- detectors now exist to do this efficiently

→ SCExAO (and others...) using this technique

Works well in the lab when things are stable... will it also work on sky with speckles moving around ?

Focal plane AO and speckle calibration

Use Deformable Mirror (DM) to add speckles



SENSING: Put “test speckles” to measure speckles in the image, watch how they interfere

CORRECTION: Put “anti speckles” on top of “speckles” to have destructive interference between the two (Electric Field Conjugation, Give’on et al 2007)

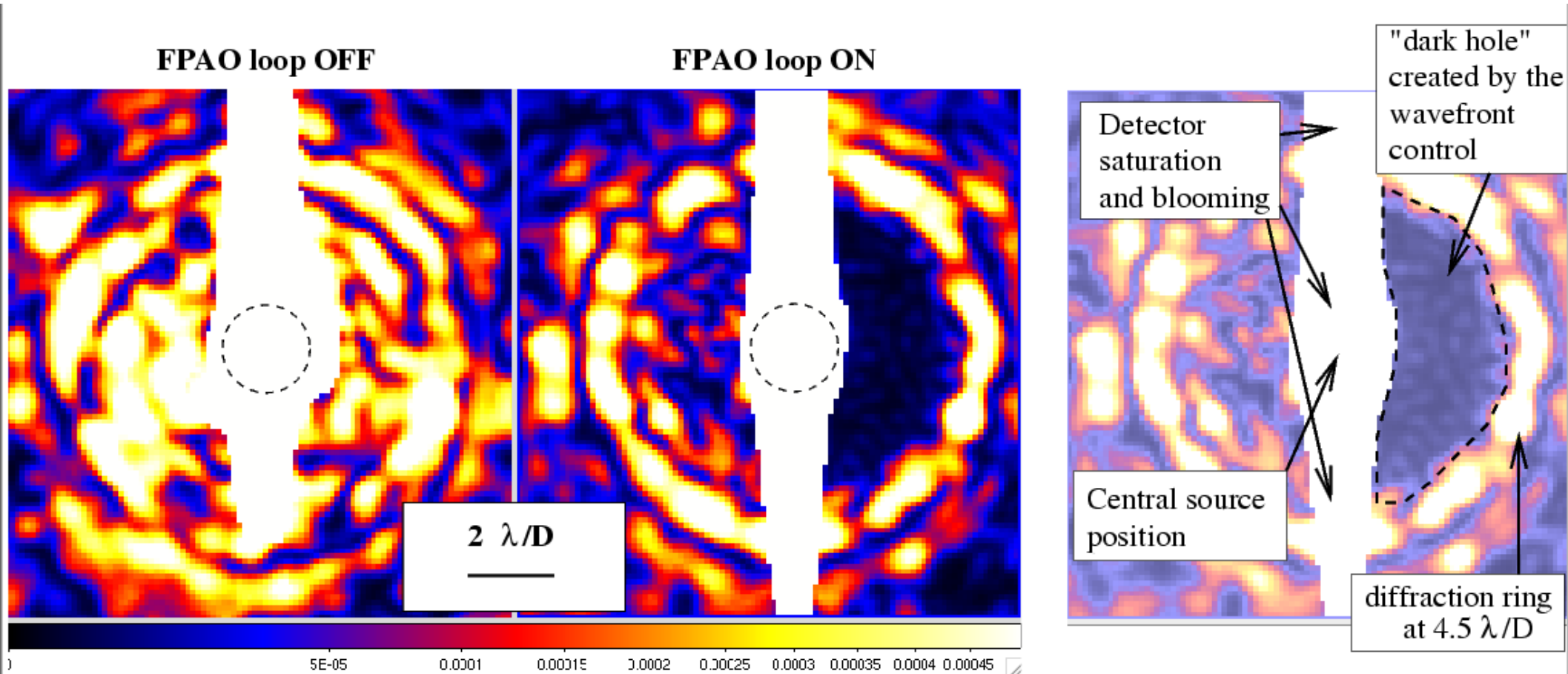
CALIBRATION: If there is a real planet (and not a speckle) it will not interfere with the test speckles

Fundamental advantage:

Uses science detector for wavefront sensing:

“What you see is EXACTLY what needs to be removed / calibrated”

Lab results with PIAA coronagraph + FPAO with 32x32 MEMs DM



See also results obtained at NASA JPL HCIT, NASA Ames & Princeton lab

All high contrast coronagraphic images acquired in lab use this technique.

- No conventional AO system has achieved $>1e-7$ contrast
- Focal plane AO has allowed $1e-9$ to $1e-10$ contrast in visible light, with $\sim\lambda/10$ optics

Focal plane WFS based correction and speckle calibration

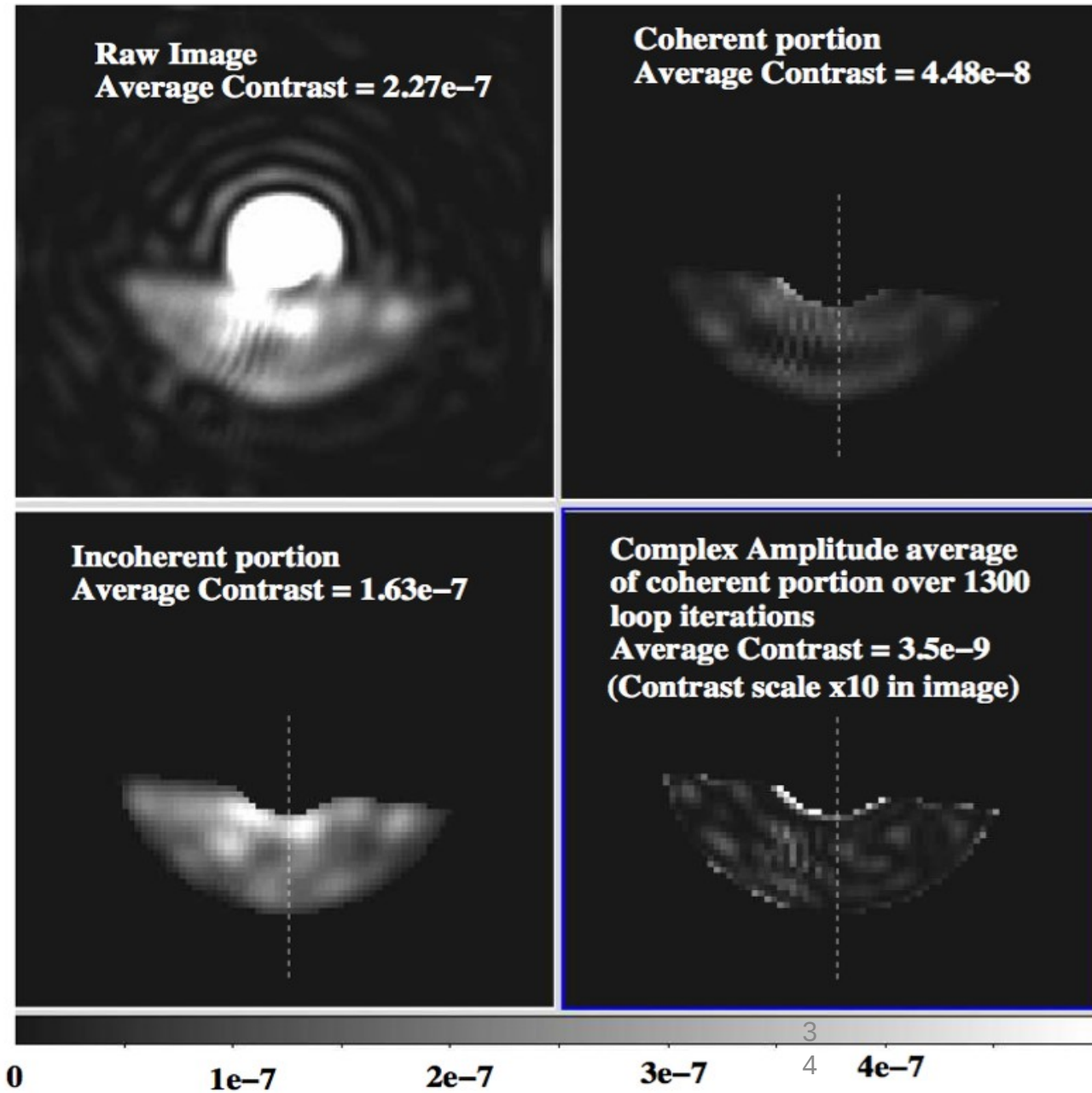
2e-7 raw contrast obtained at
2 λ/D

Incoherent light at 1e-7
Coherent fast light at 5e-8
Coherent bias <3.5e-9

Test demonstrates:

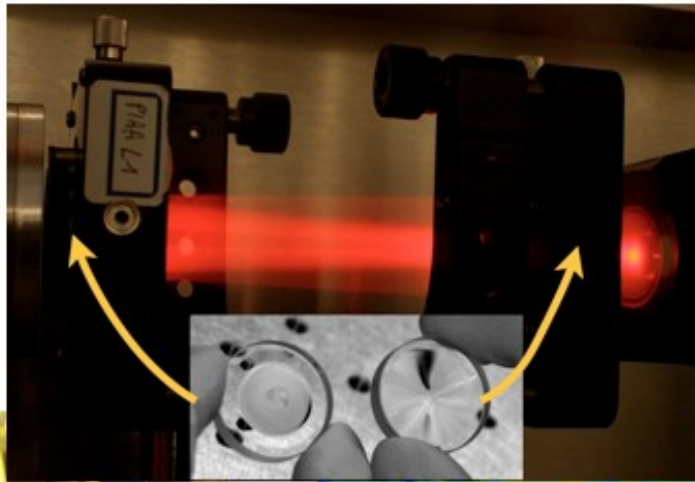
- ability to separate light into coherent/incoherent fast/slow components
- ability to slow and static remove speckles well below the dynamic speckle halo

Guyon et al. 2010

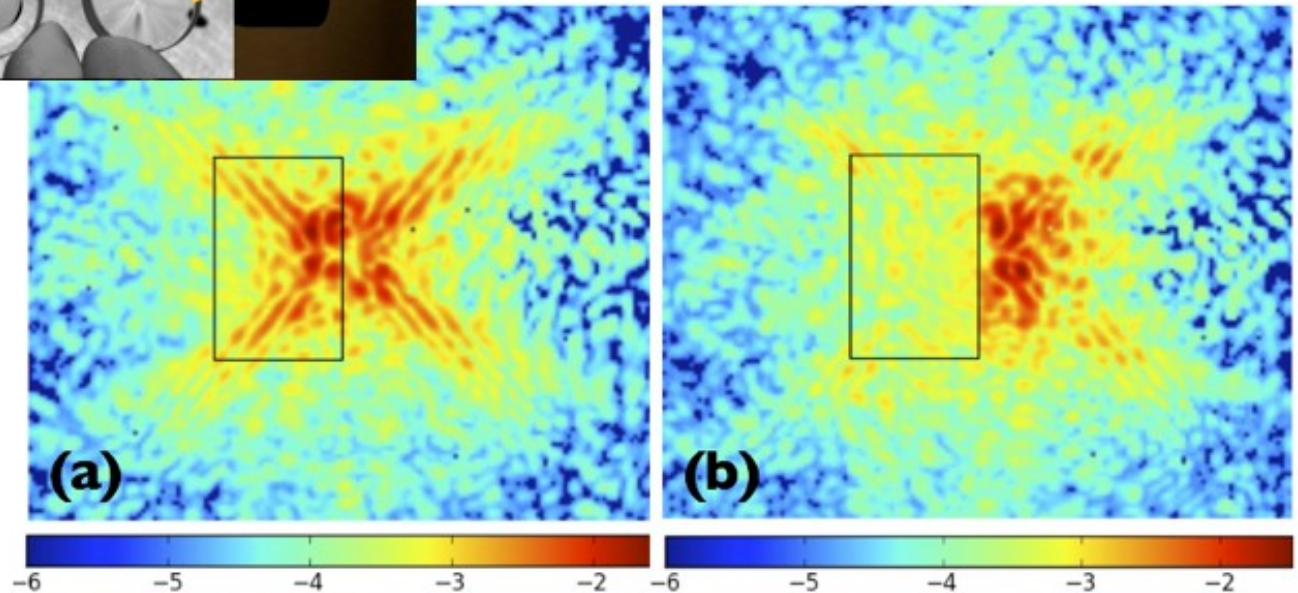
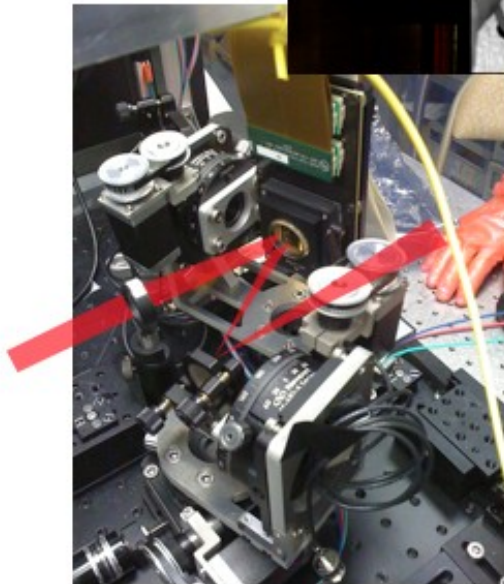


Active speckle control

Active MEMS DM to replace a passive ADI approach



Taking advantage of the full PIAA - focal plane mask - PIAA⁻¹ optical configuration



SCEXAO's PIAA coronagraph permits speckle control from 1.5 to 14 λ/D

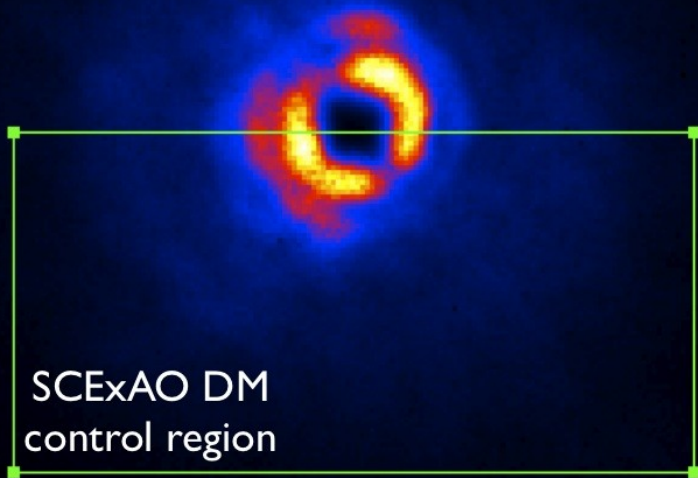
Raw contrast $\sim 3e-4$ inside the DM control region

Martinache et al, 2012, PASP, 124, 1288

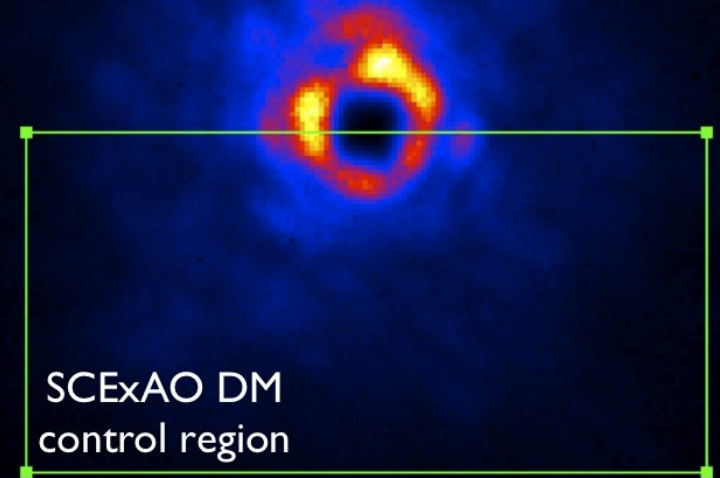
http://www.frantzmartinache.com/subaru/02projects/04spkl_ctrl/04spkl_ctrl.html

On-sky speckle nulling with SCExAO (HiCIAO long exposure)

SCExAO DM flat



SCExAO DM after nulling



How to remove / calibrate static and slow speckles ?

→ case for near-IR speckle control

On ELTs, slow speckles ARE A PROBLEM

~1e-5 speckles with few sec lifetime due to large aperture

1hr exposure will only average 5sec speckles by 30x

Use predictive control in visible AO loop

→ mitigates time-lag slow speckles

Sense and correct speckles at >> 1 Hz in the nearIR (+ predictive control)

→ removes slow speckles due to time lag

→ removes slow speckles due to chromatic effects

→ removes static speckles due to optics

Detailed atmospheric WF modeling

1cm pixel scale, 40m x 40m size (4096x4096 pix)

250 us sampling (4 kHz) – linear interpolation between sample points

Multilayer frozen flow, Mauna Kea atmosphere model

0.6" seeing in visible

No inner scale, **outer scale = 25m**

Atmospheric refraction through atmosphere (**30 deg Z angle**)

Diffraction propagation between layers → **amplitude and phase**

Using 8192 x 8192 pix maps for all diffraction propagations,
16k x 16k screens for all frozen flow layers

Wavefronts unwrapped by comparison with 3D raytracing
diffraction-free wavefront

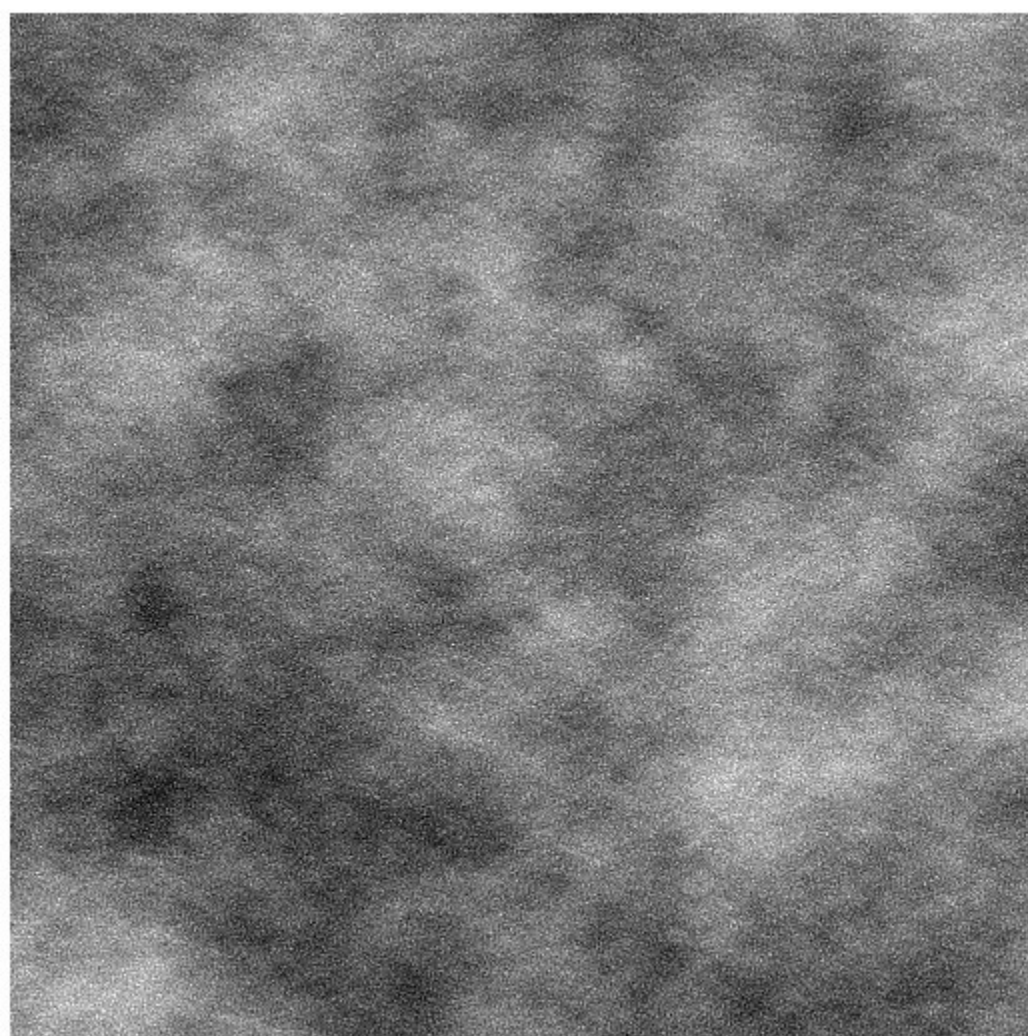
→ 240 GB / sec / wavelength (x3) = 0.72 TB / sec

→ 0.1 sec of WF data takes 1 day to compute

12 sec computed (= 30 days of CPU time, ~10 TB)

OPD chromaticity

Scintillation chromaticity (nearIR[1.6um] OPD – visible[0.6um] OPD), 40x40m



-0.36 -0.26 -0.15 -0.043 0.064 0.17 0.28 0.38 0.49

Due to :

- (1) change in refractive index (gain factor)
- (2) atmospheric refraction
(alt-dependent translation)

(also, diffraction propagation to lesser degree)

~0.1 rad RMS → 1% SR loss

But:

Dominated by low spatial frequencies
Slow (speckle lifetime up to few sec on ELT)

Creates ~1e-6 speckles with
~1 to ~5 sec lifetime
→ ~1e-7 speckles in 1hr exposure

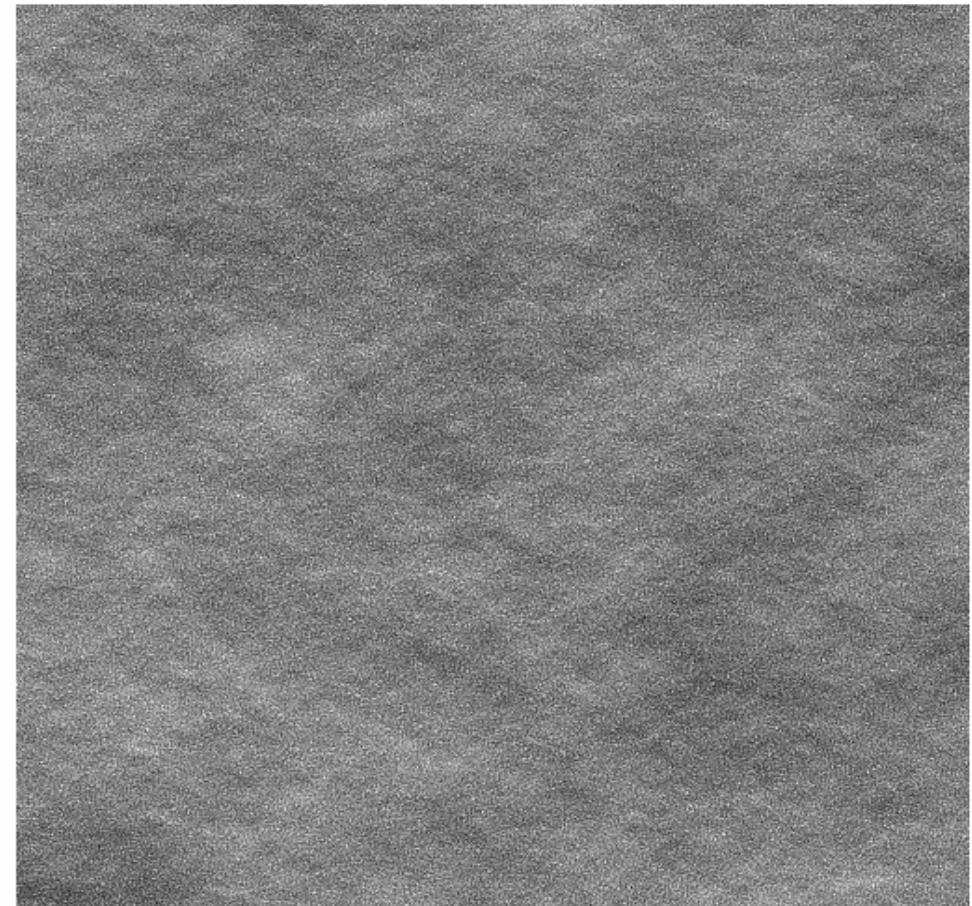
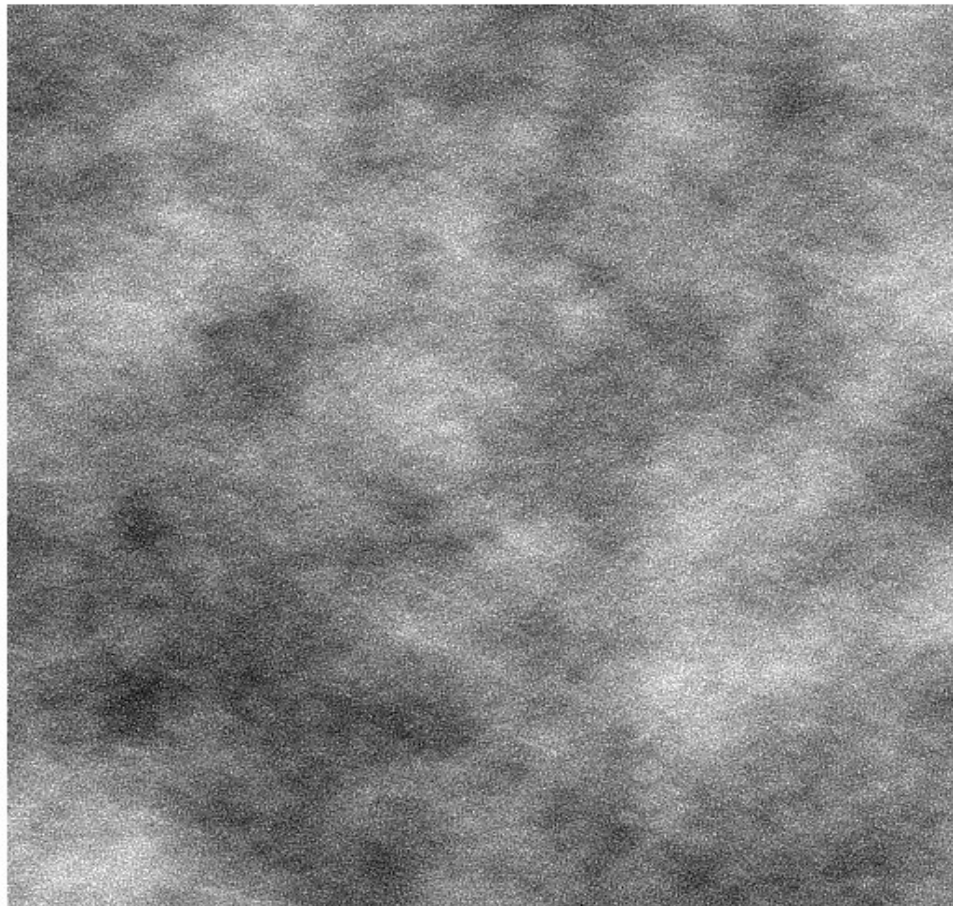
Optimal OPD scaling

0.6 μm vs 1.6 μm : 1.4% difference in $(n-1)$

0.8 μm vs 1.6 μm : 0.7% difference in $(n-1)$

Scaling removes most of the low order OPD chromaticity

Multiplicative coefficient (here 1.017) can be computed, but difficult to separate telescope errors from atmosphere



-0.4

-0.3

-0.2

-0.1

0.00049

0.1

0.2

0.3

0.4

Why fast near-IR sensing ?

Low noise near-IR detectors are becoming available

- $2e^-$ RON, 2kHz frame rate available (RAPID, SELEX + others)
- $\ll e^-$ RON photon counting array available soon (works in labs with 32x32 pixels, large format under dev.)

$1e^{-5}$ speckle = $1e4$ ph/s in H on ELT $\rightarrow$ sensing & control possible at ~ 100 Hz with low-noise detector

100 Hz sensing, 10 Hz control of 1 Hz speckle $\rightarrow \sim x10$ attenuation

$\rightarrow 1e^{-6}$ residual with 0.1 sec lifetime $\rightarrow x100$ gain in contrast

(conservatively assumes no predictive control)

Without near-IR sensing & control > 1 Hz $\rightarrow \sim 1e^{-7}$ contrast limit due to chromatic effects

With 100Hz sensing (10Hz control) $\rightarrow$ chromatic effects pushed to $\sim 1e^{-9}$ contrast

SCExAO as a precursor

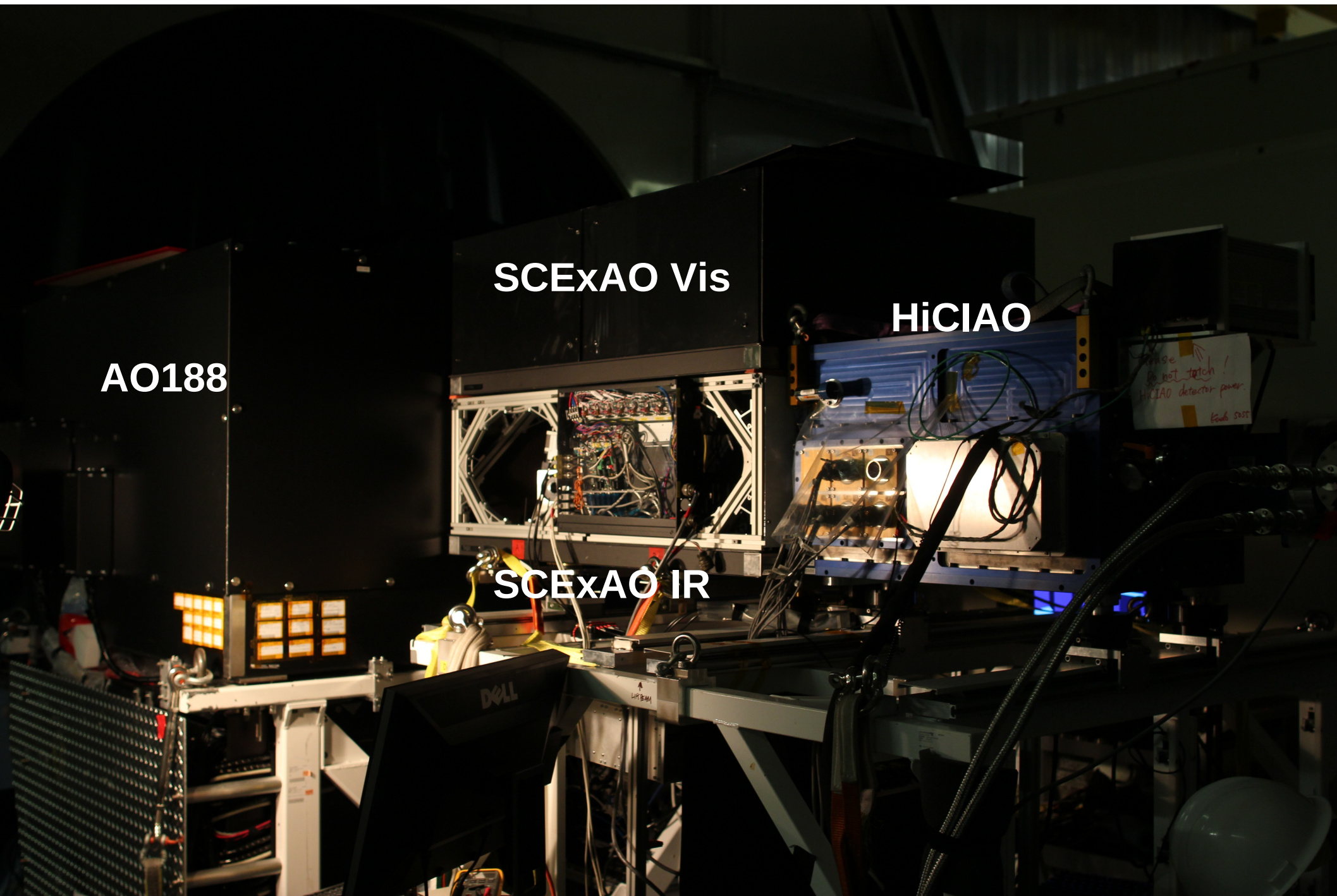
SCExAO is a precursor to an ELT system for direct imaging of exo-Earths. A SCExAO-like system could be placed on an ELT in a short time, as optical interfaces for narrow FOV system are relatively easy

SCExAO will not image Earth-like planets, but it will demonstrate the performance required to do so with ELTs

SCExAO provides a platform well-suited for technology development and on-sky testing / scientific use.

- SCExAO team can work with scientists & engineers to bring new techniques & instruments to sky
- SCExAO provides wavefront control and calibration required to test new techniques
- Such ongoing tests already happening (8 octants, vortex, VAMPIRES and FIRST modules)

SCExAO at Subaru



AO188

SCExAO Vis

HiCIAO

SCExAO IR

SCExAO near-IR bench

AO188

Focal plane mask wheel
(x-y-z controlled)

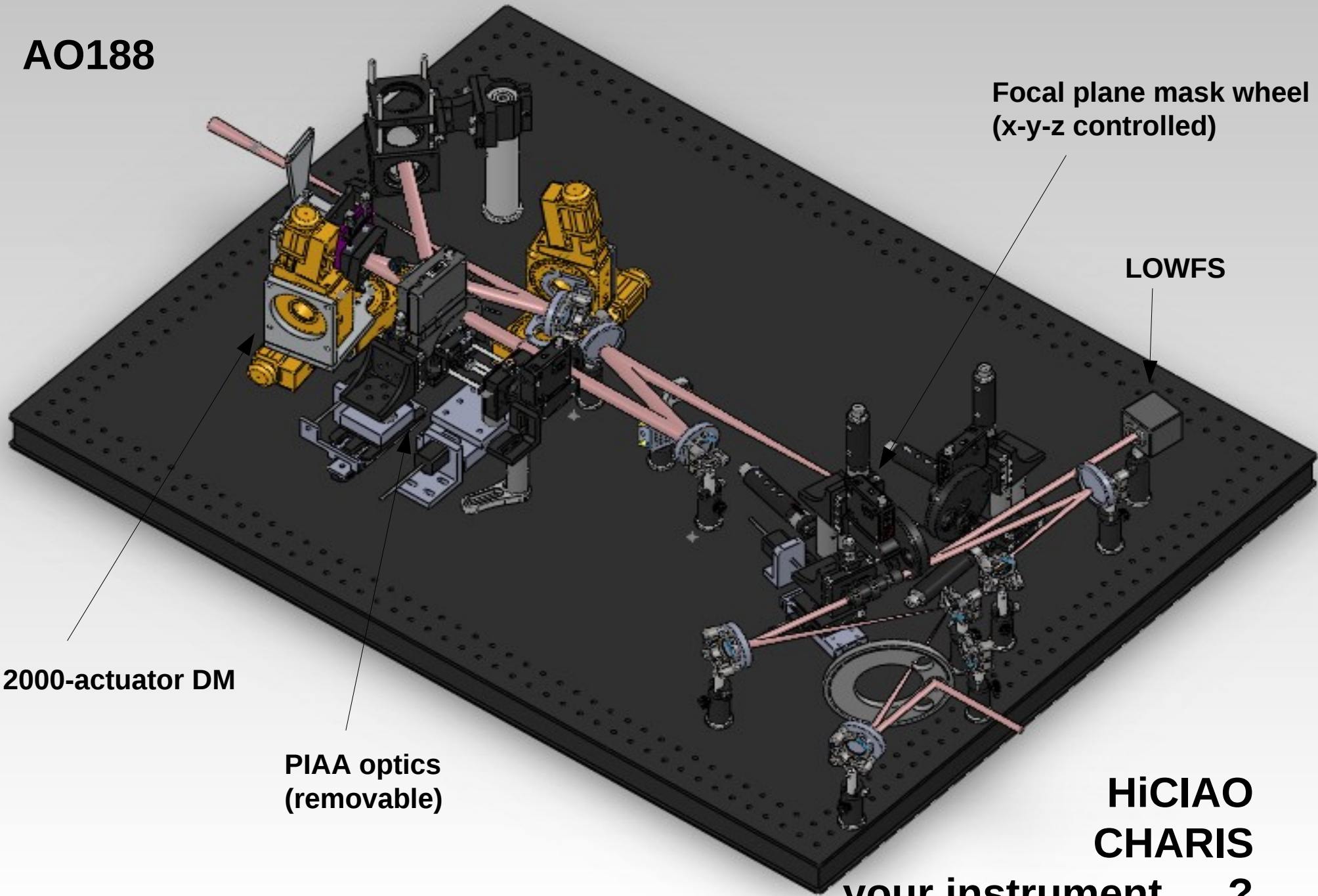
LOWFS

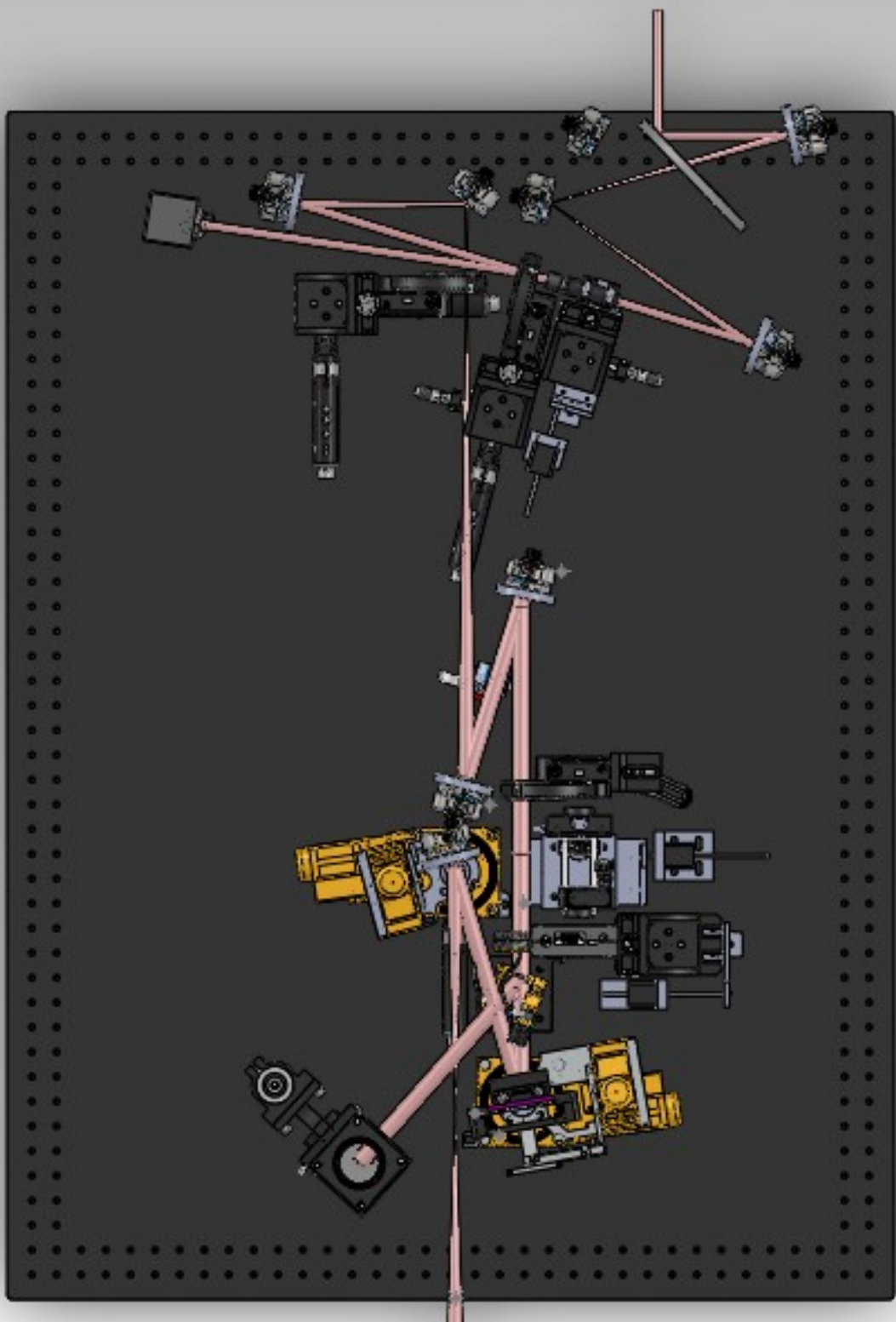
2000-actuator DM

PIAA optics
(removable)

HiCIAO
CHARIS

... your instrument ... ?





Conclusions

Habitable planets can be imaged with ELTs around low-mass stars. Spectroscopy of several targets could also be done at a very useful $R \sim 100 \rightarrow$ this is the easiest quickest way to characterize habitable planets

This requires aggressive IWA system able to work at $1 \lambda/D$ and somewhat unusual (but not particularly challenging) technical choices

Technologies are being matured now, and should be ready in 10yrs **ASSUMING WE WORK ON IT**

This should be a focused experiment for <100 targets. Can be deployed quickly and cheap $\rightarrow$ great science per \$!!!!

SCEXAO is a precursor to such a system. A SCEXAO-like system could be placed on an ELT in a short time, as optical interfaces for narrow FOV system are relatively easy

Related work: Crossfield 2013, Kawahara et al. 2012, SEIT

Habitable planets spectroscopy

Space ($2\text{m} < D < 4\text{m}$ telescope):
F-G-K type stars, visible light

Ground (ELT):
M type stars, nearIR

