Direct imaging of habitable “Earth-like” planets with ELTs

SCExAO team
Olivier, Frantz, Nem

Contact: guyon@naoj.org
We would love to do Spectroscopy

Atmosphere transmission:
- $\text{O}_2$ (see Kawahara et al. 2012)
- $\text{H}_2\text{O}$
- $\text{CO}_2$
- $\text{CH}_4$

Polarimetry

Cloud cover, variability
Rotation period

Reflectivity from ground in atmosphere transparency bands
(Ice cap, desert, ocean etc...)
Reflected light planets

First cut limits meant to exclude clearly impossible targets → used to identify potential targets → instrument requirements

### FIRST CUT LIMITS

<table>
<thead>
<tr>
<th>Limit/Constraints</th>
<th>Comments</th>
</tr>
</thead>
<tbody>
<tr>
<td>Angular Separation</td>
<td>Limit imposed by coronagraph (see section 4). Corresponds to 11 mas on a 30-m telescope in H band.</td>
</tr>
<tr>
<td>Contrast</td>
<td>High contrast imaging limit (see section 5)</td>
</tr>
<tr>
<td>Star brightness</td>
<td>Required for high efficiency wavefront correction (see section 5)</td>
</tr>
<tr>
<td>Planet Brightness</td>
<td>Faint detection limit</td>
</tr>
</tbody>
</table>

background-limited SNR > 10 in H band image in 1 hr on 30-m telescope (assuming 15% efficiency)
Reflected light planets

274 targets survive the first cut
Strong correlation between planet apparent brightness and system distance
Reflected light planets

Most targets are red stars (M type), around $V \sim 10$, $R \sim 9$
2 white dwarfs: 40 Eri B and Sirius B
Early type stars → contrast too challenging
Easiest reflected light planets (2x Earth diameter)

Assuming that each star has a SuperEarth (2x Earth diameter) at the 1AU equivalent HZ distance 
(assumes Earth albedo, contrast and separation for max elongation)

<table>
<thead>
<tr>
<th>Name</th>
<th>Type</th>
<th>Distance</th>
<th>Diameter</th>
<th>$I_{bol}$</th>
<th>$m_V$</th>
<th>$m_R$</th>
<th>$m_H$ Separation</th>
<th>Contrast</th>
<th>$m_H$</th>
<th>Notes, Multiplicity</th>
</tr>
</thead>
<tbody>
<tr>
<td>Proxima Centauri (Gl551)</td>
<td>M5.5</td>
<td>1.30 pc</td>
<td>$0.138 R_{Sun}$</td>
<td>8.64e-04</td>
<td>11.00</td>
<td>9.56</td>
<td>4.83</td>
<td>22.69 mas</td>
<td>8.05e-07</td>
<td>20.07</td>
</tr>
<tr>
<td>Barnard's Star (Gl699)</td>
<td>M4</td>
<td>1.83 pc</td>
<td>$0.193 R_{Sun}$</td>
<td>4.96e-03</td>
<td>9.50</td>
<td>8.18</td>
<td>4.83</td>
<td>38.41 mas</td>
<td>1.40e-07</td>
<td>21.97</td>
</tr>
<tr>
<td>Kruger 50 B (Gl860B)</td>
<td>M4</td>
<td>3.97 pc</td>
<td>$0.2 R_{Sun}$</td>
<td>5.81e-03</td>
<td>11.30</td>
<td>9.90</td>
<td>5.04</td>
<td>19.20 mas</td>
<td>1.20e-07</td>
<td>22.35</td>
</tr>
<tr>
<td>Ross 154 (Gl729)</td>
<td>M4.5</td>
<td>2.93 pc</td>
<td>$0.2 R_{Sun}$</td>
<td>5.09e-03</td>
<td>10.40</td>
<td>9.11</td>
<td>5.66</td>
<td>24.34 mas</td>
<td>1.37e-07</td>
<td>22.82</td>
</tr>
<tr>
<td>Ross 128 (Gl447)</td>
<td>M4.5</td>
<td>3.32 pc</td>
<td>$0.2 R_{Sun}$</td>
<td>3.98e-03</td>
<td>11.10</td>
<td>9.77</td>
<td>5.95</td>
<td>18.99 mas</td>
<td>1.75e-07</td>
<td>22.84</td>
</tr>
<tr>
<td>Ross 614 A (Gl234A)</td>
<td>M4.5</td>
<td>4.13 pc</td>
<td>$0.2 R_{Sun}$</td>
<td>5.23e-03</td>
<td>11.10</td>
<td>9.82</td>
<td>5.75</td>
<td>17.51 mas</td>
<td>1.33e-07</td>
<td>22.95</td>
</tr>
<tr>
<td>Gl682</td>
<td>M3.5</td>
<td>4.73 pc</td>
<td>$0.26 R_{Sun}$</td>
<td>6.41e-03</td>
<td>10.90</td>
<td>9.70</td>
<td>5.92</td>
<td>16.03 mas</td>
<td>1.09e-07</td>
<td>23.33</td>
</tr>
<tr>
<td>Grothbridge 34 B (Gl15B)</td>
<td>M6</td>
<td>3.45 pc</td>
<td>$0.18 R_{Sun}$</td>
<td>5.25e-03</td>
<td>11.00</td>
<td>9.61</td>
<td>6.19</td>
<td>20.98 mas</td>
<td>1.33e-07</td>
<td>23.39</td>
</tr>
<tr>
<td>40 Eri C (Gl166C)</td>
<td>M4.5</td>
<td>4.83 pc</td>
<td>$0.23 R_{Sun}$</td>
<td>5.92e-03</td>
<td>11.10</td>
<td>9.88</td>
<td>6.28</td>
<td>15.93 mas</td>
<td>1.18e-07</td>
<td>23.61</td>
</tr>
<tr>
<td>GJ 3379</td>
<td>M4</td>
<td>5.37 pc</td>
<td>$0.24 R_{Sun}$</td>
<td>6.56e-03</td>
<td>11.30</td>
<td>10.06</td>
<td>6.31</td>
<td>15.09 mas</td>
<td>1.06e-07</td>
<td>23.75</td>
</tr>
</tbody>
</table>

Requirement: ~$1e^{-7}$ contrast, ~15mas, mR ~ 9.5 guide star
Why M dwarfs?  
... and why we should love them

Planet to star contrast not too challenging (because star is faint)  
Lots of them around → closest M dwarfs are quite close to us  
→ ideal targets for planet spectroscopy

But also...

- some indication that rocky planets are more abundant around M dwarfs than Sun-like stars (Kepler)
- fast orbital period (~1 month) → quick measurement of orbit
- decent probability of transit (and short period), transit is deeper than for Sun-like stars thanks to small stellar size
- Radial velocity could reach required precision to measure masses of these planets (BUT: some M dwarfs are active...)
>3/4 of Main sequence stars are M type

174 stars within 8pc ...

168 Main sequence stars + 8 white dwarfs

Data:
SUPERBLINK, CNS3, HIPPARCOS
multiple stars: only primary component kept

M (121) - incomplete
K (14)
G (8)
F
A (3)
O
O
Reflected light planets

Assuming that each star has a SuperEarth (2x Earth diameter) at the 1AU equivalent HZ distance
(assumes Earth albedo, contrast and separation for max elongation)
Earth analogs

Exo-Earth targets within 20 pc

- Gl406
- GJ65B
- Gl65AB
- Gl551
- Gl729
- Gl699
- Gl411
- GJ44B
- Gl559B
- Gl559A

Contrast vs. Angular Separation (arcsec)
Proxima Centauri
Interferometry or coronagraphy?

**Interferometry (aperture masking etc...):**

- Powerful calibration → contrast challenge mitigated
- Demonstrated ability to work at separations around the telescope diffraction limit
- Mixes planet and star flux → SNR limitation due to photon noise

**Coronagraphy**

- Separates planet light from starlight, but:
  - Must access close to 1 I/D with high efficiency
  - Must be able to reach at least $\sim 1e4$ raw contrast, AND calibrate WF to $\sim 1e-7$ contrast
Interferometry or coronagrapy?
→ only coronagraphs can offer SNR

Photon-noise limited SNR limit in H band

Earth like planet around M4 type star at 5pc

Assumptions:
- \( D = 30 \text{m telescope, } m_H = 14.4 \text{ arcsec}^{-2} \) background, 20mas aperture
- 15% efficiency (coatings, detector), 0.3 um bandpass (H band), 1 hr exposure
- planet \( m_H = 25.2 \) (Earth at 5pc)

background = 230 ph/sec
Planet = 27.5 ph/sec
Star = 9.98e8 ph/sec (\( m_H = 6.3 \), M4 stellar type)
Star / Planet contrast = 3.6e7

<table>
<thead>
<tr>
<th></th>
<th>Detection SNR</th>
<th>Spectroscopy SNR</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>H band (R~5)</td>
<td>R = 100</td>
</tr>
<tr>
<td>Imaging, no starlight</td>
<td>102 [356]</td>
<td>23.5 [83]</td>
</tr>
<tr>
<td>Imaging, 1e5 raw contrast</td>
<td>16.31 [65]</td>
<td>3.8 [15]</td>
</tr>
<tr>
<td>Imaging, 1e4 raw contrast</td>
<td>5.16 [20.6]</td>
<td>1.2 [4.8]</td>
</tr>
<tr>
<td>Interferometry, 100% efficiency</td>
<td>0.05 [0.2]</td>
<td>hopeless...</td>
</tr>
</tbody>
</table>

SuperEarth at 5pc around M star (4x Earth flux, 2x diameter)
Transit spectroscopy? → not competitive in SNR

Around M4 star, transit probability = 1.3% for a HZ planet
Statistically, closest transit target is 4.3x further than closest direct imaging target, and star is 18x fainter

M4 star diameter ~ 2.8e5km
12000km planet diameter, scale height = 8km → atmosphere is 5e-6 of stellar disk surface

Transit signal = 275 ph/sec
Star flux = 5.5e7 ph/sec

Detection SNR (1hr) = 2.2 (only during transit !!!)
Detection SNR if closest target transits = 9.4 (1.3% chance of being that lucky...)
Requirements, Top challenges

Efficient coronagraphy
... down to 1 l/D separation on segmented pupils

- Coronagraph design
- Chromaticity
- Stellar angular size

Wavefront control
(getting raw contrast at or below 1e-4 at 1 l/D)

- Efficient sensing of low order aberrations
- Control and calibration of pointing errors

Wavefront calibration to 1e-7
(separating scattered light from planet light)

- Main issues: time lag, chromatic effects, systematics
- The need for nearIR wavefront modulation and correction
PIAACMC gets to < 1 l/D with full efficiency, and no contrast limit.

Phase Induced Amplitude Apodized Complex Mask Coronagraph (PIAACMC)
PIAACMC gets to < 1 l/D with full efficiency, and no contrast limit

Pupil shape does not matter !!!
PIAACMC gets to < 1 l/D with full efficiency, and no contrast limit.
Chromatic effects are serious.
Achromatic design
PIAA is reaching few $<1 \text{e-9}$ contrast at 2-4 lambda/D separation (image above has IWA = 1.76 l/D, C=7e-9 at 1.76 l/D)
Earth analogs

Exo-Earth targets within 20 pc

RAW contrast reached in labs

Calibration gain currently obtained on AO images (see HR8799 inner planet detection)

ELT calibration floor estimate with TODAY's technology

RAW contrast reached in labs

1.5pc

3pc

5pc

Angular Separation (arcsec)
Coronagraphy: Stellar angular size

On ELT in near-IR, nearby M dwarf is about 0.1 to 0.5 mas radius = 0.01 to 0.05 l/D

→ for 1 l/D IWA coronagraph
RAW contrast limited to ~1e5
Wavefront control

Can we reach $1\times10^{-4}$ RAW contrast in the 1 to 2 l/D range?

Goal: $\sim1\times10^{-5}$ contrast at 1 l/D

We are not that far from it with current technology...

Conventional high order ExAO on 8-m class telescope achieves $\sim1\times10^{-3}$ contrast in near-IR at few l/D

Moving to 3x larger telescope diameter will help (dilute speckle halo) – at equal SR, 10x gain in contrast $\rightarrow 1\times10^{-4}$

BUT we can EASILY do much better by:

(1) Using diffraction-limited WFS (Pyramid with little or no modulation, nlCWFS, Zernike etc...)

For Tip-tilt, gain in flux is $(D/r_0)^2 = 90,000$ on 30m telescope (12.8 mag)

(2) Making use of predictive control in the control loop (inner PSF flux dominated by time lag)
Performance gain for ExAO on 8-m telescopes (10x better on 30-m)


Large gain at small angular separation: ideal for ExAO
Pointing and coronagraphy

Pointing errors put light in the 1 to 2 $\lambda/D$ region of the focal plane, where planets should be seen.

A pointing error and a planet at the inner working angle of the coronagraph look identical.

Small IWA coronagraphy requires exquisite pointing control and knowledge.

Pointing errors should be detected before they become large enough to induce a strong leak in the coronagraph.

Pointing should be measured at the same $\lambda$ as used for science.
Should be measured at the diffraction limit of telescope.
Should be measured at coronagraph focal plane mask.
Coronagraphic LOWFS

(Guyon et al. 2010)

Fig. 9.— CLOWFS focal plane mask used in the PIAA coronagraph laboratory testbed at Subaru Telescope. The 100 micron radius mask center is opaque (low reflectivity), and is surrounded by a 100 micron wide highly reflective annulus. The science field, transmitting light to the science camera, extends from 200 micron to 550 micron radius.
Pointing control demonstrated to $1e-3 \lambda/D$ in visible
New results with CLOWFS at JPL demonstrate 3e-4 l/D control

At 10 kHz, ~1e4 ph per frame allows <1e-3 l/D measurement on ELT

No correction

With correction

Vertical scale x50
Coronagraph leaks calibrated to 1% in SCExAO (Vogt et al. 2011)

Co-added science image

Standard PSF subtraction

MMA
Wavefront calibration to $\sim 1\times 10^7$ contrast

SDI, ADI WILL NOT WORK AT 1 I/D !!!

Focal plane speckle modulation appears to be very promising:
- no need for high optical quality
- non-common path errors
- detectors now exist to do this efficiently

→ SCExAO (and others...) using this technique

Works well in the lab when things are stable... will it also work on sky with speckles moving around ?
Focal plane AO and speckle calibration

Use Deformable Mirror (DM) to add speckles

**SENSING**: Put “test speckles” to measure speckles in the image, watch how they interfere

**CORRECTION**: Put “anti speckles” on top of “speckles” to have destructive interference between the two (Electric Field Conjugation, Give’on et al 2007)

**CALIBRATION**: If there is a real planet (and not a speckle) it will not interfere with the test speckles

Fundamental advantage: Uses science detector for wavefront sensing: “What you see is EXACTLY what needs to be removed / calibrated”
Lab results with PIAA coronagraph + FPAO with 32x32 MEMs DM

See also results obtained at NASA JPL HCIT, NASA Ames & Princeton lab

All high contrast coronagraphic images acquired in lab use this technique.
- No conventional AO system has achieved >1e-7 contrast
- Focal plane AO has allowed 1e-9 to 1e-10 contrast in visible light, with ~lambda/10 optics
Focal plane WFS based correction and speckle calibration

2e-7 raw contrast obtained at 2 \( \lambda/D \)

Incoherent light at 1e-7
Coherent fast light at 5e-8
Coherent bias <3.5e-9

Test demonstrates:
- ability to separate light into coherent/incoherent fast/slow components
- ability to slow and static remove speckles well below the dynamic speckle halo

Guyon et al. 2010
Active speckle control

Active MEMS DM to replace a passive ADI approach

Taking advantage of the full PIAA - focal plane mask - PIAA⁻¹ optical configuration

SCExAO’s PIAA coronagraph permits speckle control from 1.5 to 14 λ/D
Raw contrast ~ 3e-4 inside the DM control region


http://www.frantzmartinache.com/subaru/02projects/04spkl_ctrl/04spkl_ctrl.html
On-sky speckle nulling with SCExAO (HiCIAO long exposure)
How to remove / calibrate static and slow speckles?
→ case for near-IR speckle control

On ELTs, slow speckles ARE A PROBLEM
~1e-5 speckles with few sec lifetime due to large aperture
1hr exposure will only average 5sec speckles by 30x

Use predictive control in visible AO loop
→ mitigates time-lag slow speckles

Sense and correct speckles at >> 1 Hz in the nearIR (+ predictive control)
→ removes slow speckles due to time lag
→ removes slow speckles due to chromatic effects
→ removes static speckles due to optics
Detailed atmospheric WF modeling

1cm pixel scale, 40m x 40m size (4096x4096 pix)
250 us sampling (4 kHz) – linear interpolation between sample points

**Multilayer frozen flow**, Mauna Kea atmosphere model

0.6” seeing in visible

No inner scale, **outer scale = 25m**

Atmospheric refraction through atmosphere (**30 deg Z angle**)

Diffraction propagation between layers → **amplitude and phase**

Using 8192 x 8192 pix maps for all diffraction propagations,

16k x 16k screens for all frozen flow layers

Wavefronts unwrapped by comparison with 3D raytracing diffraction-free wavefront

→ 240 GB / sec / wavelength (x3) = 0.72 TB / sec

→ 0.1 sec of WF data takes 1 day to compute

12 sec computed (= 30 days of CPU time, ~10 TB)
OPD chromaticity

Scintillation chromaticity (nearIR[1.6um] OPD – visible[0.6um] OPD), 40x40m

Due to:
(1) change in refractive index (gain factor)
(2) atmospheric refraction
(alt-dependent translation)
(also, diffraction propagation to lesser degree)

~0.1 rad RMS → 1% SR loss

But:
Dominated by low spatial frequencies
Slow (speckle lifetime up to few sec on ELT)

Creates ~1e-6 speckles with
~1 to ~5 sec lifetime
→ ~1e-7 speckles in 1hr exposure
Optimal OPD scaling

0.6 um vs 1.6 um: 1.4% difference in (n-1)

0.8 um vs 1.6 um: 0.7% difference in (n-1)

Scaling removes most of the low order OPD chromaticity

Multiplicative coefficient (here 1.017) can be computed, but difficult to separate telescope errors from atmosphere
Why fast near-IR sensing?

Low noise near-IR detectors are becoming available
- 2e- RON, 2kHz frame rate available (RAPID, SELEX + others)
- << e- RON photon counting array available soon (works in labs with 32x32 pixels, large format under dev.)

1e-5 speckle = 1e4 ph/s in H on ELT → sensing & control possible at ~100 Hz with low-noise detector

100 Hz sensing, 10 Hz control of 1 Hz speckle → ~x10 attenuation
→ 1e-6 residual with 0.1 sec lifetime → x100 gain in contrast
(conservatively assumes no predictive control)

Without near-IR sensing & control > 1 Hz → ~1e-7 contrast limit due to chromatic effects
With 100Hz sensing (10Hz control) → chromatic effects pushed to ~1e-9 contrast
SCExAO as a precursor

SCExAO is a precursor to an ELT system for direct imaging of exo-Earths. A SCExAO-like system could be placed on an ELT in a short time, as optical interfaces for narrow FOV system are relatively easy.

SCExAO will not image Earth-like planets, but it will demonstrate the performance required to do so with ELTs.

SCExAO provides a platform well-suited for technology development and on-sky testing / scientific use.
  - SCExAO team can work with scientists & engineers to bring new techniques & instruments to sky
  - SCExAO provides wavefront control and calibration required to test new techniques
  - Such ongoing tests already happening (8 octants, vortex, VAMPIRES and FIRST modules)
SCExAO near-IR bench

- AO188
- Focal plane mask wheel (x-y-z controlled)
- LOWFS
- 2000-actuator DM
- PIAA optics (removable)
- HiCIAO
- CHARIS
- ... your instrument ... ?
Conclusions

Habitable planets can be imaged with ELTs around low-mass stars. Spectroscopy of several targets could also be done at a very useful $R \sim 100$ → this is the easiest quickest way to characterize habitable planets.

This requires aggressive IWA system able to work at 1 lambda/D and somewhat unusual (but not particularly challenging) technical choices.

Technologies are being matured now, and should be ready in 10yrs *ASSUMING WE WORK ON IT*

This should be a focused experiment for <100 targets. Can be deployed quickly and cheap → great science per $ !!!!

SCExAO is a precursor to such a system. A SCExAO-like system could be placed on an ELT in a short time, as optical interfaces for narrow FOV system are relatively easy.

Related work: Crossfield 2013, Kawahara et al. 2012, SEIT
Habitable planets spectroscopy

Space (2m<D<4m telescope):
F-G-K type stars, visible light

Ground (ELT):
M type stars, nearIR