# **Introduction to Adaptive Optics**

Olivier Guyon (guyon@naoj.org)

Center for Astronomical Adaptive Optics, University of Arizona Subaru Telescope, National Astronomical Observatory of Japan

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#### Why Adaptive Optics ?

#### Imaging through ideal telescope (reminder) Imaging through atmospheric turbulence

Wave propagation (diffraction, geometrical) Atmospheric turbulence: Fried Parameter and seeing Modeling atmospheric turbulence and its effect on image quality

#### How does adaptive optics work ? Adaptive optics principle

What is an Adaptive Optics loop ? Identification of main components in AO system

#### **Deformable mirrors Wavefront sensing**

Types of wavefront sensors: SH, Curvature, Pyramid Laser guide stars

#### Making it all work together: control algorithms Types of AO systems for Astronomy

Wide field systems: GLAO, MCAO Extreme-AO for high contrast imaging

Useful references:

**Adaptive Optics in Astronomy (2004)**, by Francois Roddier (Editor), Cambridge University Press **Adaptive Optics for Astronomical Telescopes (1998)**, by John W. Hardy, Oxford University Press

#### Imaging through an ideal telescope (reminder)

#### Diffraction by an aperture – telescope diffraction limit

Fresnel diffraction integral:

$$E(x,y,z) = \frac{e^{ikz}}{i\lambda z} \iint E(x',y',0) e^{\frac{ik}{2z}[(x-x')^2 + (y-y')^2]} dx' dy'$$

In imaging telescope, focal plane is conjugated to infinity (far field) Fraunhofer is far field approximation of the Fresnel diffraction integral – and can easily be computed as a Fourier transform.

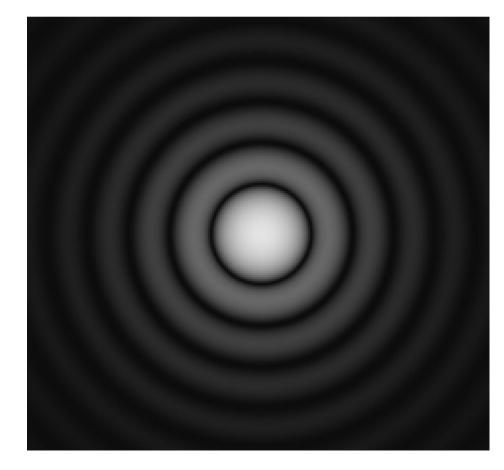
For circular aperture without obstruction : Airy pattern First dark ring is at ~1.22  $\lambda$ /D Full width at half maximum ~ 1  $\lambda$ /D The "Diffraction limit" term = 1  $\lambda$ /D

D=10m,  $\lambda$ =0.55  $\mu$ m  $\rightarrow \lambda$ /D = 0.011 arcsec

On large telescopes, image angular resolution is limited by atmospheric turbulence on the ground, at about 1 arcsecond

 $\rightarrow$  Adaptive optics required for < arcsecond imaging

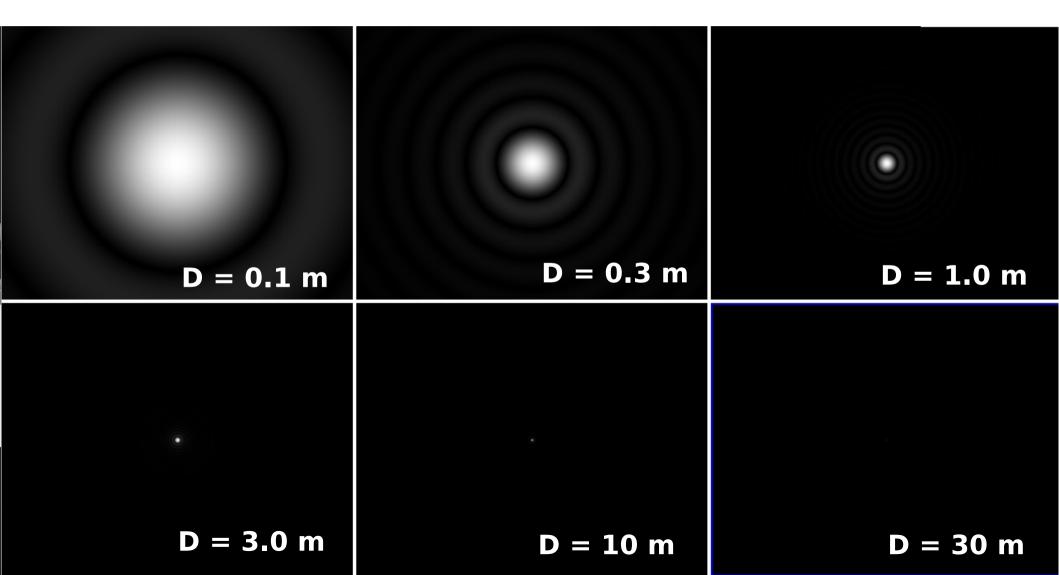




#### **Imaging through Atmospheric turbulence**

Diffraction by an aperture  $\rightarrow$  Images should get sharper as telescope size increases (angular resolution  $\sim \lambda/D$ )

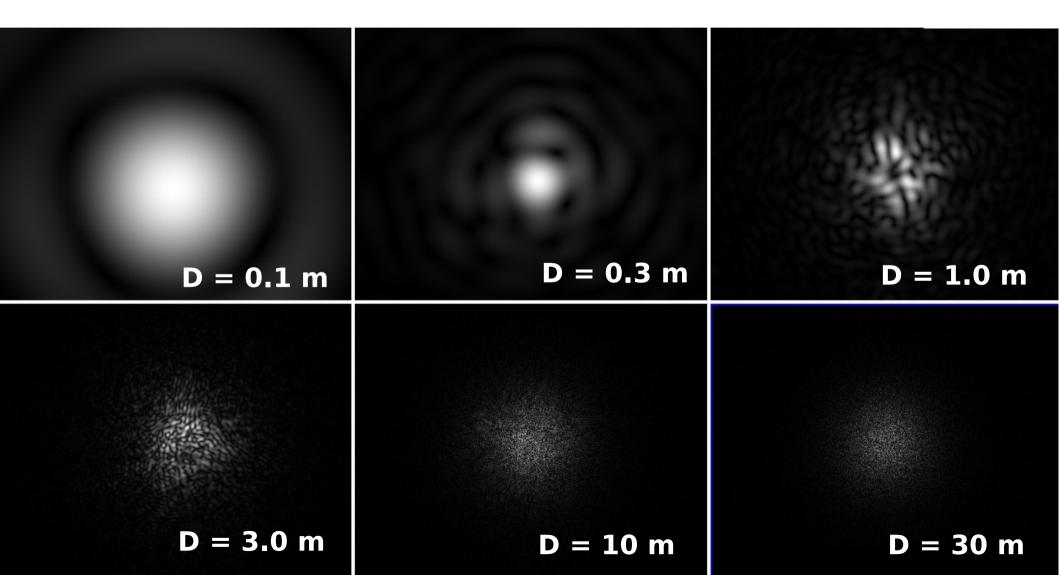
Below: Images of a point source at infinity at  $\lambda = 0.6 \ \mu m$ 



#### **Imaging through Atmospheric turbulence**

Unfortunately, atmospheric turbulence dominates angular resolution of telescope for D > -0.2m in the visible (unless AO is used)

Below: Images of a point source at infinity at  $\lambda = 0.6 \ \mu m$  with turbulence



# What is Atmospheric Turbulence ?



Spatial variations in refractive index  $\rightarrow$  poor image quality

Turbulence is energy dissipation effect : Large motions  $\rightarrow$  breaks down into smaller turbulence cells  $\rightarrow$  friction (heat dissipation) at inner scale

# Strength of Turbulence : $C_N^2$

Refractive index spatial structure function (3D):  $D_N(\rho) = \langle |n(r)-n(r+\rho)|^2 \rangle = C_N^2 \rho^{2/3}$  (equ 1) Equation is valid between inner scale (~mm) and outer scale (few m)

Taylor approximation: turbulence is a frozen wavefront pushed by the wind (frozen flow) Between inner and outer scale, turbulence is well described by this power law.

Refractive index temporal structure function under Taylor approximation:  $D_{N}(\tau) = <|n(r,t)-n(r,t+\tau)|^{2} > = C_{N}^{2} |v\tau|^{2/3}$ 

### From $C_N^2$ to wavefront structure function

Wavefront phase spatial structure function (2D):

$$D_{\phi_a}\left(\rho\right) = \left\langle \left|\phi_a\left(\mathbf{r}\right) - \phi_a\left(\mathbf{r} + \rho\right)\right|^2 \right\rangle_{\mathbf{r}}$$

Can be obtained by integrating equ 1 over light path:

$$D_{\phi_a}\left(
ho
ight) = 6.88 \left(rac{|
ho|}{r_0}
ight)^{5/3}$$
 (equ 2)

With  $r_0 =$  Fried Parameter [unit = m]

$$r_{0} = \left(16.7\lambda^{-2}(\cos\gamma)^{-1}\int_{0}^{\infty}dhC_{N}^{2}(h)\right)^{-3/5}$$
Wavelength
Elevation (=0 for Zenith)

### **Fried Parameter & Seeing**

Wavefront phase error over a circular aperture of diameter d:  $\sigma^2 = 1.0299 \left(\frac{d}{r_0}\right)^{5/3}$ 

 $r_0 =$  Fried Parameter [unit = m] = diameter of telescope for which atmospheric wavefront ~ 1 rad<sup>2</sup>

Seeing =  $\lambda/r_0$  = angular size of PSF in long exposure

In this "collapsed" treatment of turbulence (what is the wavefront in a single direction in the sky), turbulence is fully described by  $r_0$  and wind speed v

If variation of wavefront over small angles is important, the **turbulence profile** becomes important

#### Atmospheric turbulence, wavefront variance, Image quality

D = telescope diameter  $\sigma^2 = 1.03 (D/r_0)^{5/3}$ Seeing =  $\lambda/r_0$ Number of speckles =  $(D/r_0)^2$ D = 8 m,  $r_0$  = 0.8 m (0.2 m in visible = 0.8 m at 1.6 µm)



Kolmogorov turbulence

Wavefront error  $\sigma$  is in radian in all equations.

Wavefront variance  $\sigma^2$  is additive (no correlation between different sources), and the wavefront error budget is built by adding  $\sigma^2$  terms.

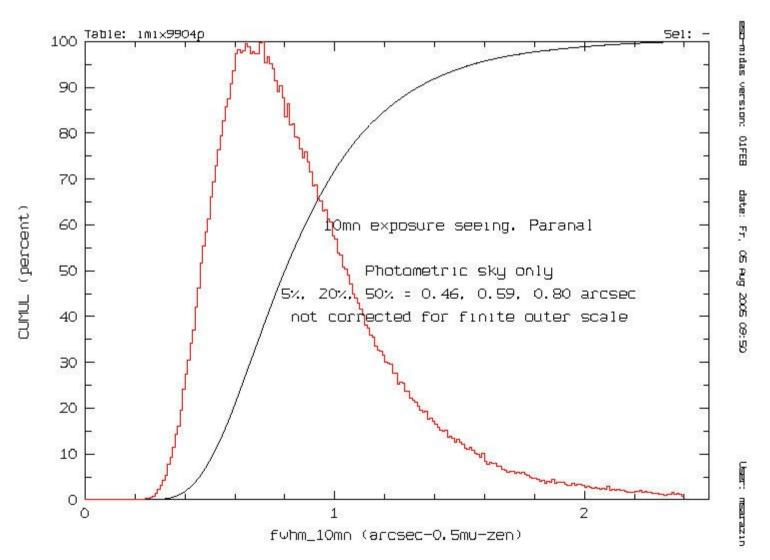
Wavefront error (m) =  $\lambda \times \sigma/(2\pi)$ 

Strehl ratio ~  $e^{-\sigma^2}$ 

(Marechal approximation, valid for Strehl ratio higher than ~0.3)

# Seeing (or its equivalent $r_0$ ) is the most used metric to quantify atmospheric turbulence

WITHOUT AO (and with long exposures), this is the only relevant quantity to describe atmospheric turbulence



ESO VLT seeing statistics, 1999-2004

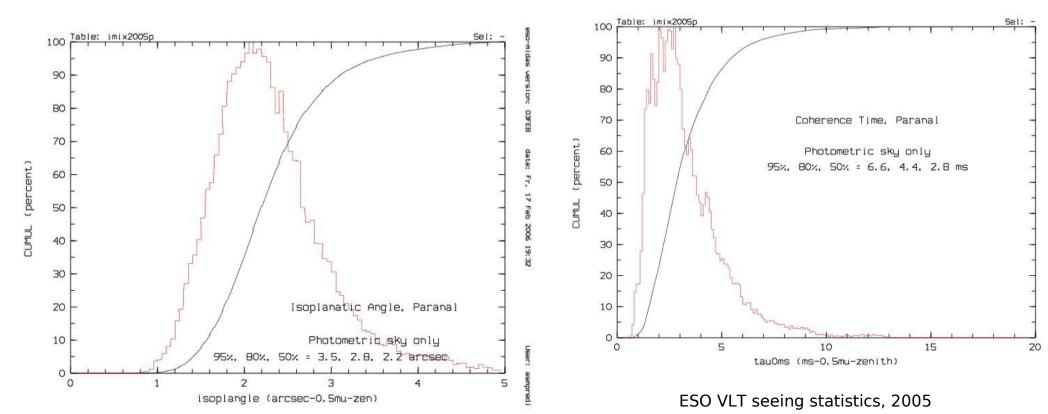
# With AO, **isoplanatic angle** and **coherence time** become important

How quickly does the wavefront change with location on the sky is quantified by **isoplanatic angle** 

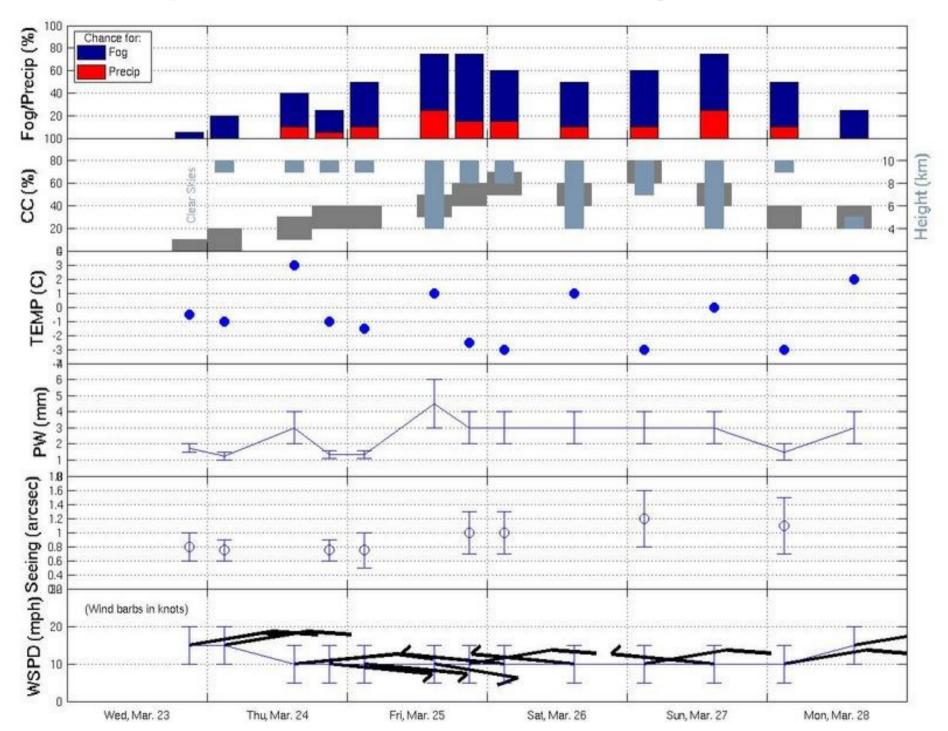
- $\rightarrow$  field of view of corrected image
- $\rightarrow$  how far from science target can the guide star be

Speed at which wavefront changes is quantified by **coherence time** 

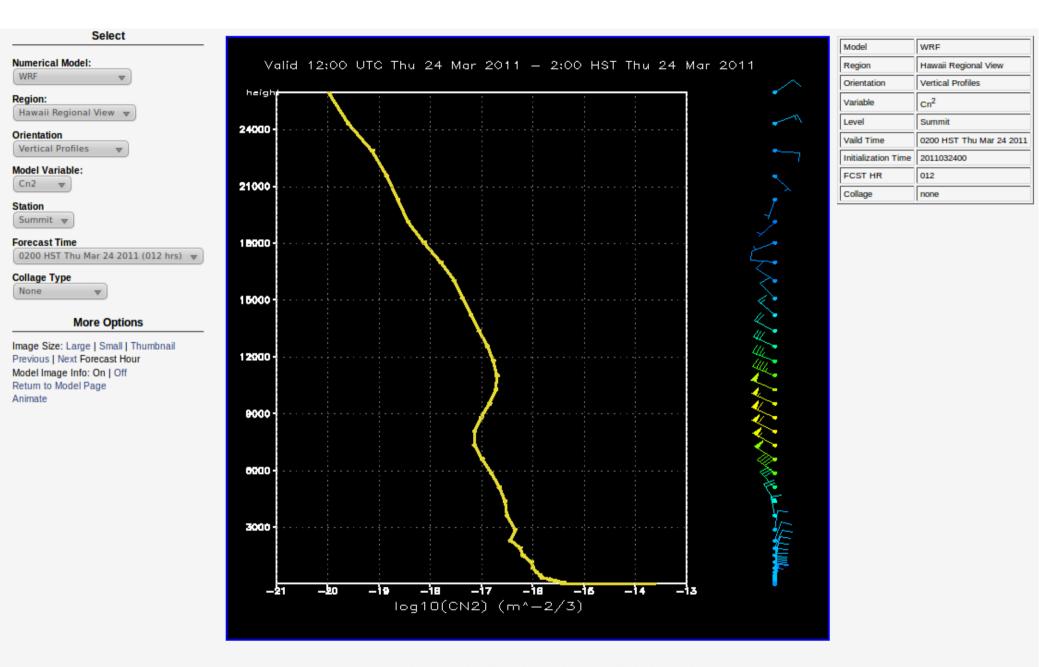
- $\rightarrow$  how fast should the AO system run ?
- $\rightarrow$  how faint a guide star can be used ?



#### **Example: Mauna Kea observatory forecast**



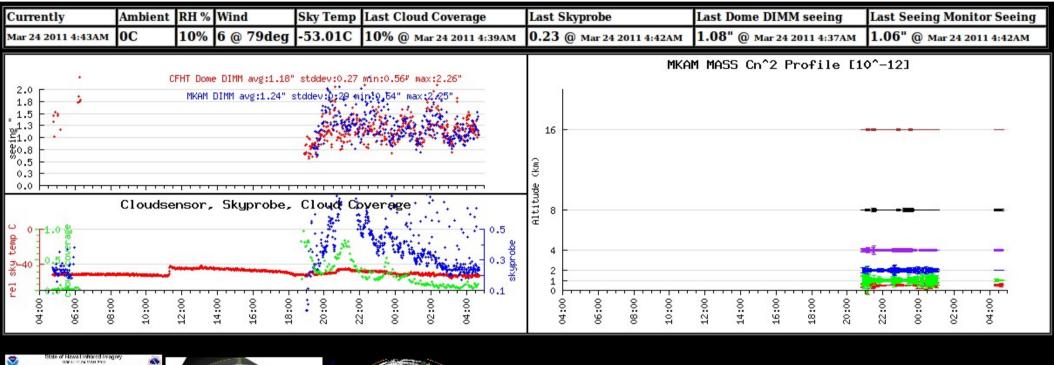
#### $C_N^2$ profile



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#### **Canada France Hawaii Telescope (CFHT)** weather summary page

DIMM: Differential Image Motion Monitor MASS: Multiaperture Scintillation Sensor





#### **Differential Image Motion Monitor (DIMM)**

Concept: measure differential motion, for a single star, between images formed by different subapertures of a single telescope



RoboDIMM for Isaac Newton group of Telescope (LaPalma, Canary islands, Spain)

#### What gains are offered by

#### **Astronomical Adaptive Optics Systems ?**

#### Angular resolution:

Resolve small features on Sun, Moon, planets, disks, galaxies

#### Improved sensitivity for faint objects:

Detection of faint objects is a background-limited problem. By making the image smaller, the AO system limits amount of background mixed with image, and improves sensitivity. Efficiency with AO goes as D<sup>4</sup> instead of D<sup>2</sup> without AO. This is especially important in infrared, as sky glows, and AO work well.

#### Astrometry:

Measuring the position of a source. For example: measuring the mass of the black hole in the center of our galaxy.

#### **Confusion limit**:

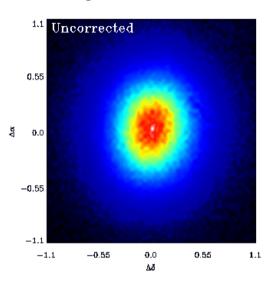
Astronomical imaging of sources is often confusion limited. Better angular resolution helps !

For example: studying stellar populations in nearby galaxies.

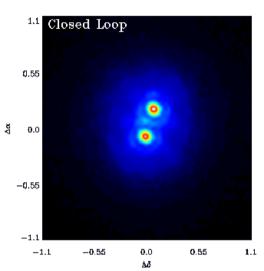
#### Why Adaptive Optics ?

CFHT Adaptive Optics Bonnette & Monica

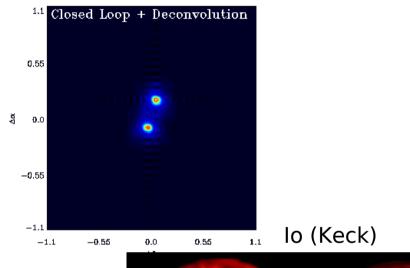
Double star, separation=0.276" Seeing=0.7" © 0.5mic

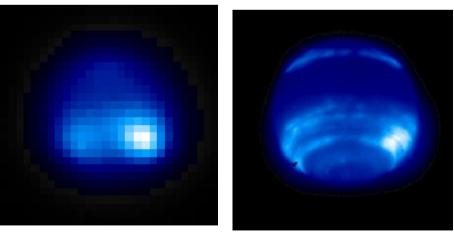


Magnitude=10.7 Strehl Ratio=30%



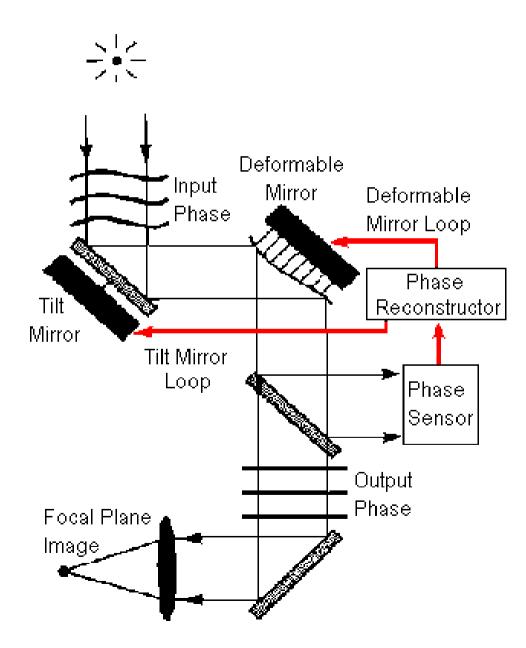
H band, Integration=40 sec Maximum likelihood





without AO with AO Neptune imaged by Keck AO

#### What is Adaptive Optics ?



Main components of an AO system:

**Guide star(s)**: provides light to measure wavefront aberrations, can be natural (star in the sky) or laser (spot created by laser)

**Deformable mirror(s)** (+ tip-tilt mirror): corrects aberrations

Wavefront sensor(s): measures aberrations

**Computer, algorithms**: converts wavefront sensor measurements into deformable mirror commands

#### What does an Adaptive Optics system look like ?



Altair Optics bench (for Gemini)

## **Deformable Mirror**

#### **Requirements and issues**

Stroke: how much can the DM surface move (need few μm)
Number of actuators: 100s, 1000s
Speed: How fast does the DM respond (need ~kHz), vibrations
Stability: Does the surface drift with time ? are the actuator responses stable in time ? sensitivity to temperature, humidity, pressure

Hysteresis should be low (<30%, ideally less) Backlash should be low Wavefront quality

- Shape when not driven
- Non-correctable surface errors

#### Heat output Reliablility

#### **Piezoelectric effect**

Coupling between electric field and mechanical strain

Applied electric field ↔ dimension

Relation is approximately linear, but:

- Hysteresis (~10%)
- Small drifts (temperature, exitation history)

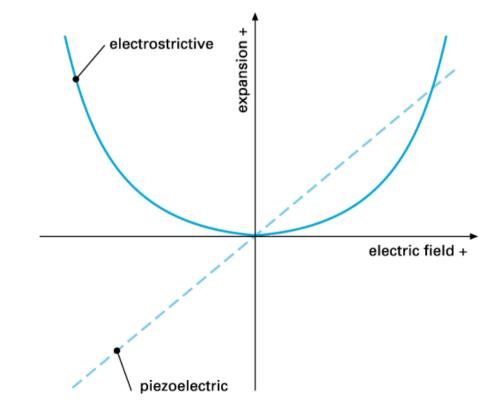
Requires high voltage (typically > 100V) Bipolar (voltage can be positive or negative)

#### **Electrostrictive materials**

Quadratic relationship between Electric field and displacement

Smaller hysteresis, but more temperature dependance than piezoelectric materials.

Higher capacitive load → requires higher currents

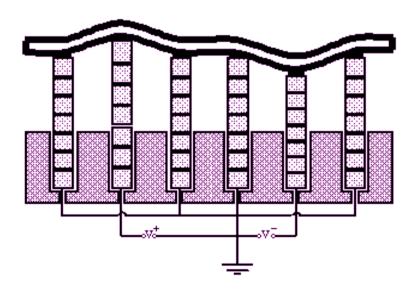


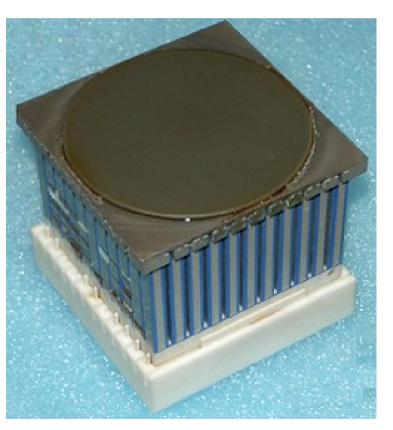


#### Piezo stack DM

Displacement is proportional to electric field Large displacement = high electric field over long length of material

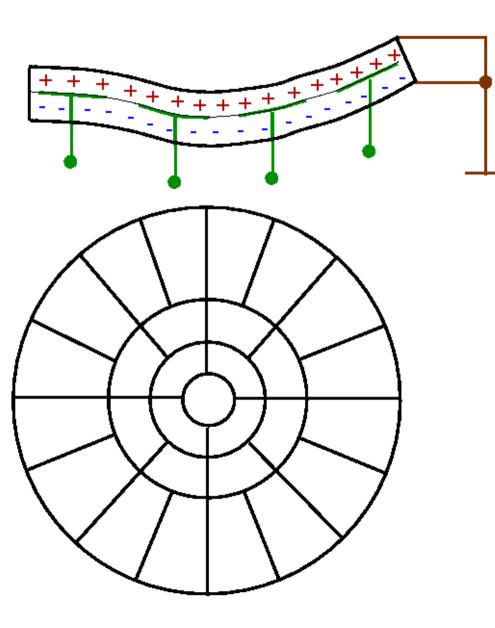
To avoid unreasonably high voltages, stack of piezo layers is used Voltage is applied across each layer





Piezo actuated mirror (Cilas)

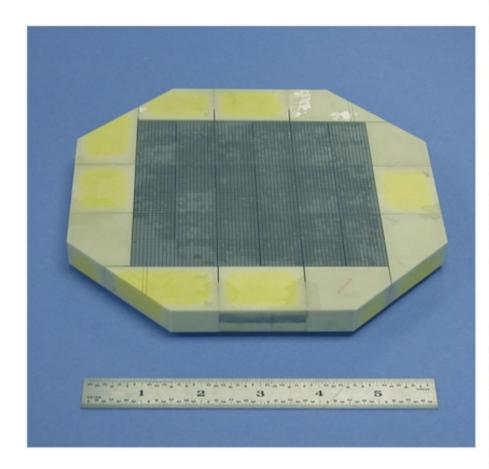
#### **Bimorph DMs**

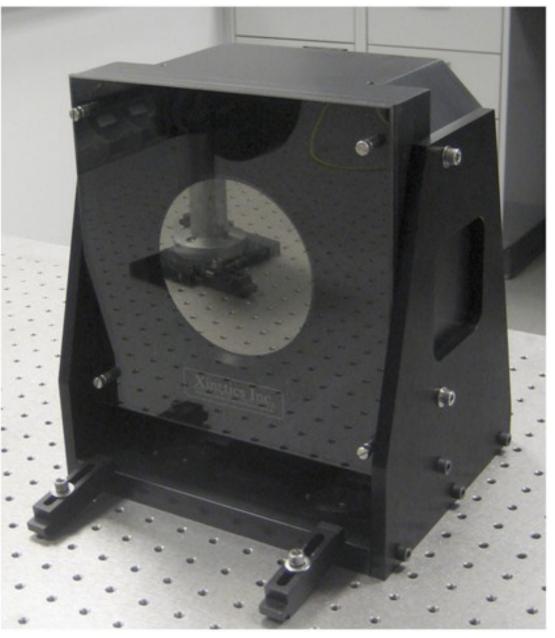




Curvature DM made by IfA, University of Hawaii

#### **Electrostrictive DM**

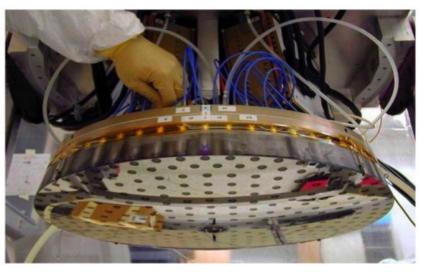




The 4356-actuator deformable mirror for PALM-3000 (Xinetics Inc.).

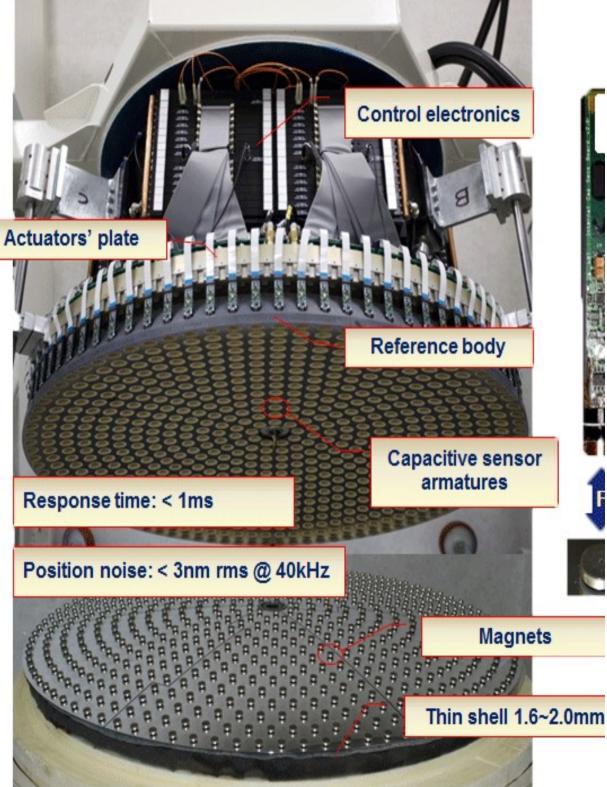
#### **Magnetic force**

#### Adaptive secondary Mirror



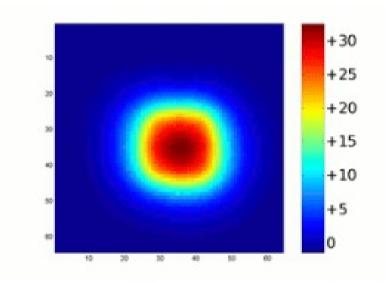
Thermal IR instruments need low thermal background -> fewer warm optics

adaptive secondary mirror (MMT, LBT, Magellan)



#### **Magnetic force**

Small magnetic DMs Key advantage is large stroke



Typical stroke obtained while applying currents on 3x3 actuators (wavefront value, twice the mirror surface)

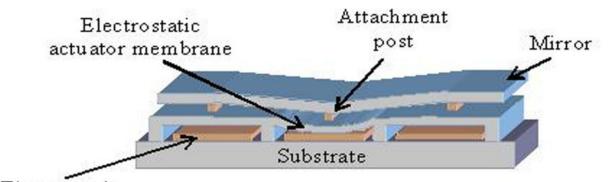
> > 20 micron stroke (high speed DM97, Alpao)



241 actuators magnetic DM (Alpao)

#### **Electrostatic DMs**

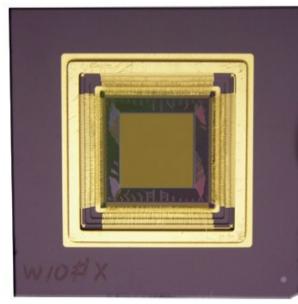
large number of actuators in a small space





Electrostatic actuator electrodes

#### Small electrostatic MEMS mirror (Boston Micromachines, 1024 act)





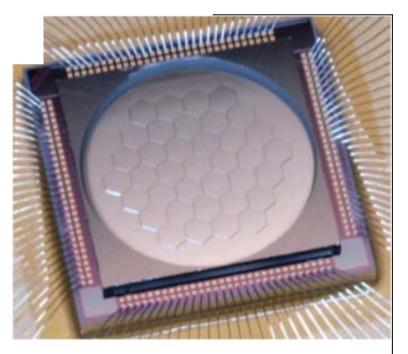


Figure 1. Photograph of an Iris AO PTT111-X deformable mirror.

# **WFS: Role & Requirements**

# Problem: Detectors measure light intensity, not phase $\rightarrow$ an optical trick is required to convert wavefront phase into intensity.

Wavefront sensor must measure wavefront to allow correction with Deformable mirror. Wavefront measurement is done by Wavefront Sensor (hardware) + wavefront reconstructor (Software, translates WFS signal into DM language)

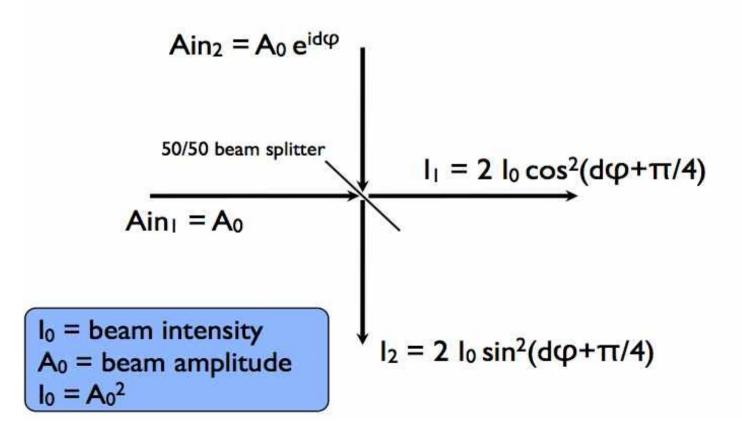
Requirements (need to be balanced in AO system design):

- Accuracy
- Spatial resolution (number of modes measured ideally as many as can be corrected by DM)
- Efficiency (good use of photons)
- Speed (coupled with accuracy and efficiency)
- *Linearity* (faster reconstruction  $\rightarrow$  helps with speed)
- Range (ability to measure large wavefront errors)
- Robustness (chromaticity, ability to work on extended sources, etc ...)
- Match with DM (WFS must see what DM can correct<sup>2</sup>)

# What is a WFS ? (simplest definition)

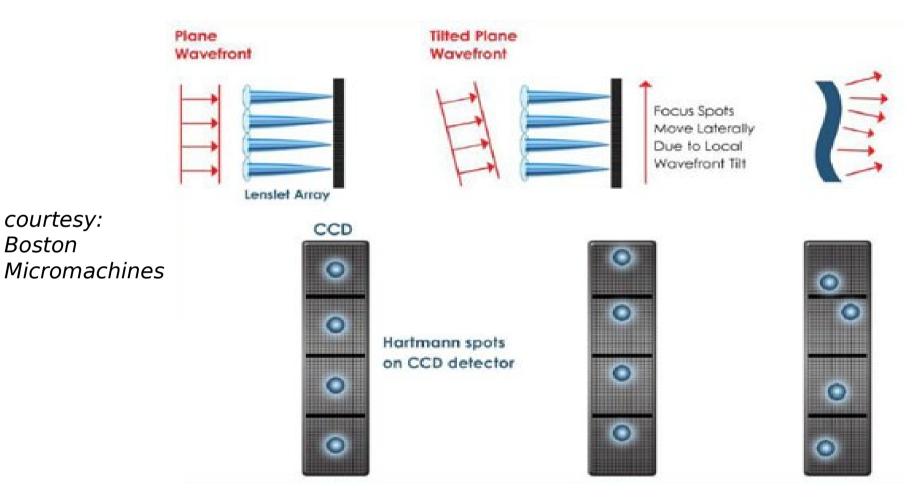
A WFS performs coherent interferences between parts of the input wavefront to convert phase into intensity. The simplest WFS is a 2beam interferometer, measuring the phase offset between the 2 beams.

# Michelson Interferometer



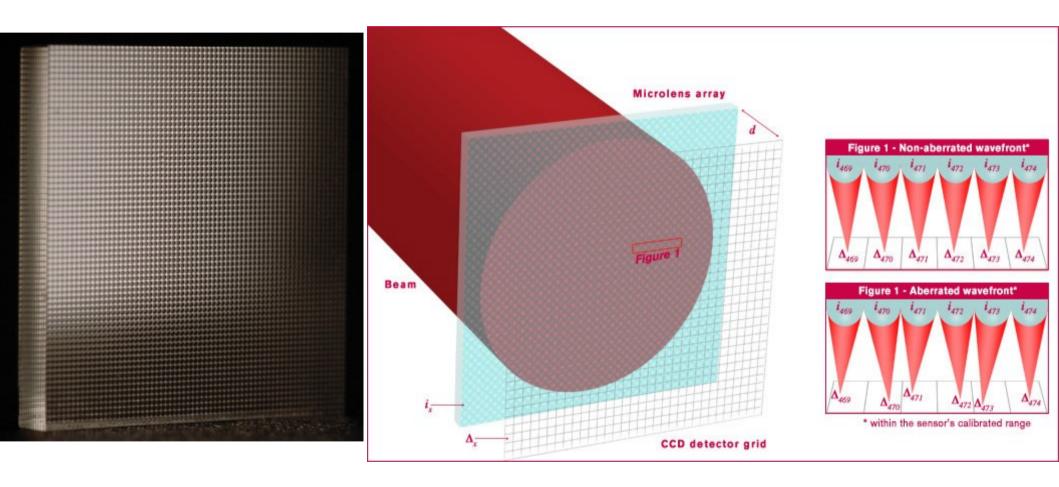
# **Shack-Hartmann WFS**

Measures wavefront slope in front of each subaperture



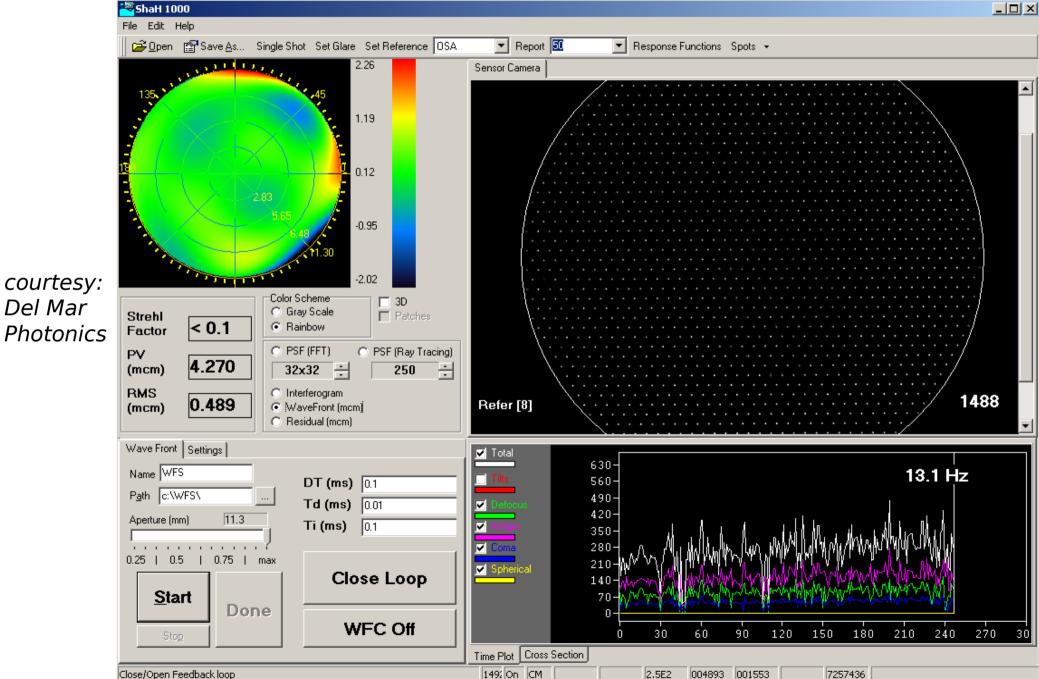
## **Shack-Hartmann WFS**

#### Lenslet array + detector



# Shack-Hartmann WFS

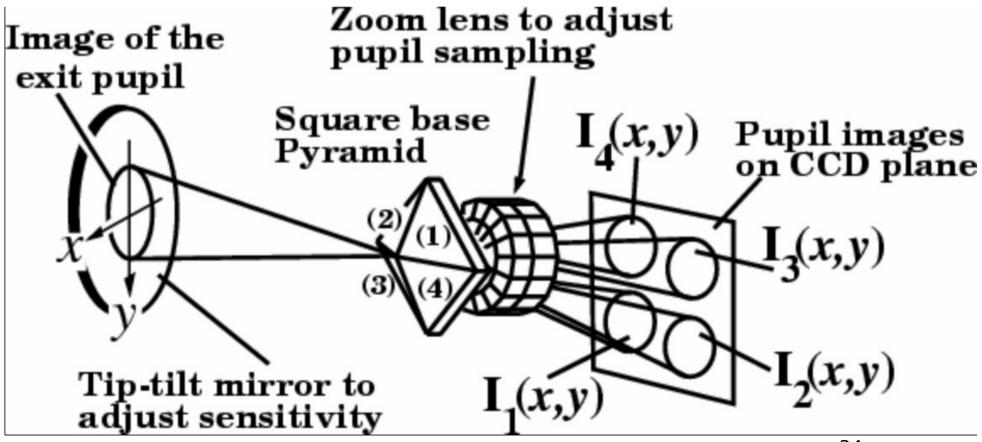
Software integrates slopes into wavefront



# **Pyramid WFS**

Separates focal plane into 4 quadrants, each quadrant re-imaged in pupil plane

Geometrical optics explanation: parts of the pupil with a given slope correspond to light in the corresponding focal plane quadrant



# **Pyramid WFS**

Diffractive analysis

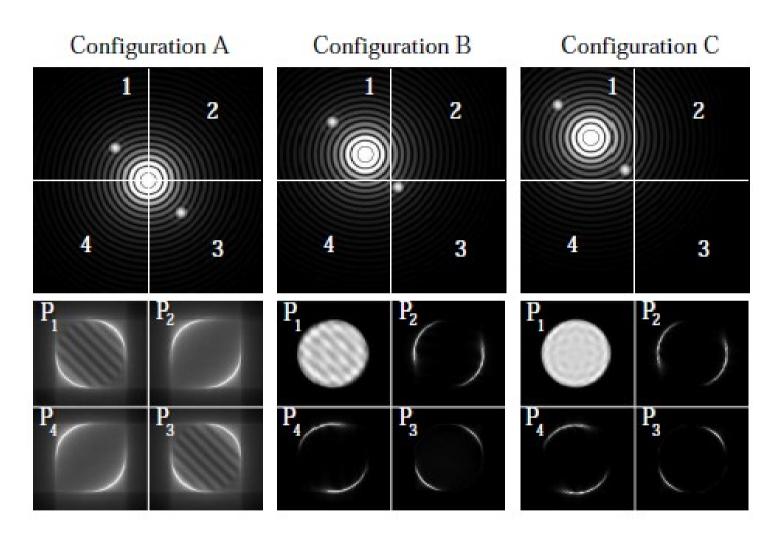
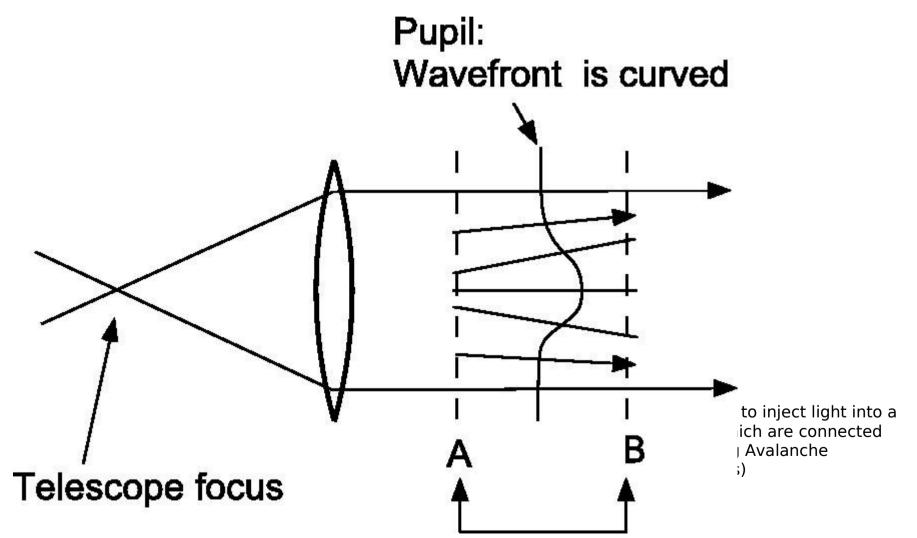


Fig. 5.— Focal plane images (top) and corresponding pupil images  $P_i$  (bottom) for a sine-wave pupil phase error (corresponding to 2 symmetric speckles in the focal plane). See text for details.

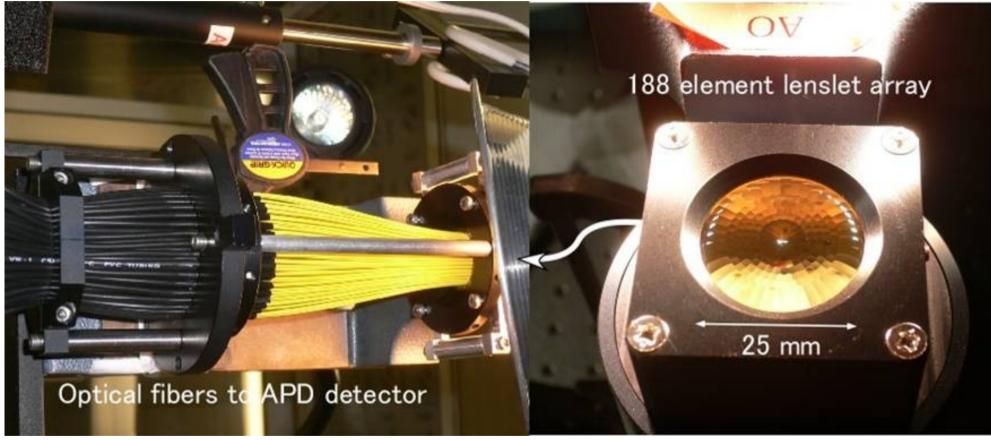
## **Curvature WFS**

Light propagation turns phase into amplitude (similar to scintillation)

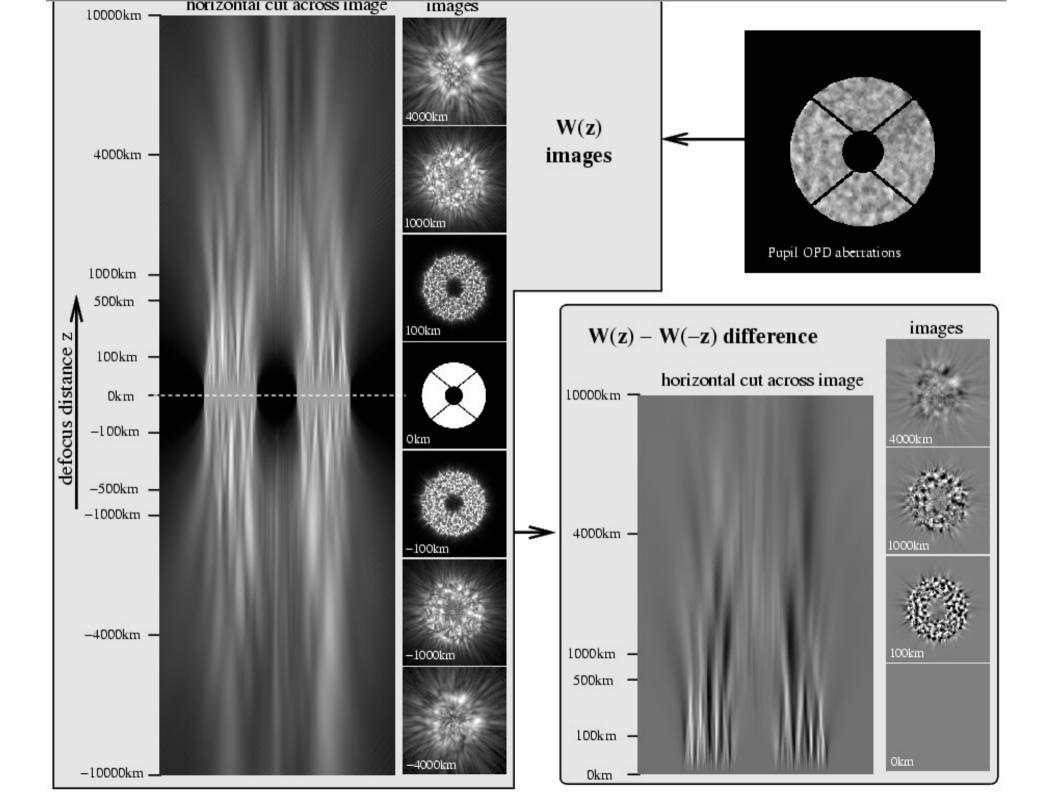


# **Curvature WFS**

Subaru Telescope 188-element curvature WFS



Lenslet array used to inject light into a series of fibers, which are connected to photon-counting Avalanche PhotoDiodes (APDs)



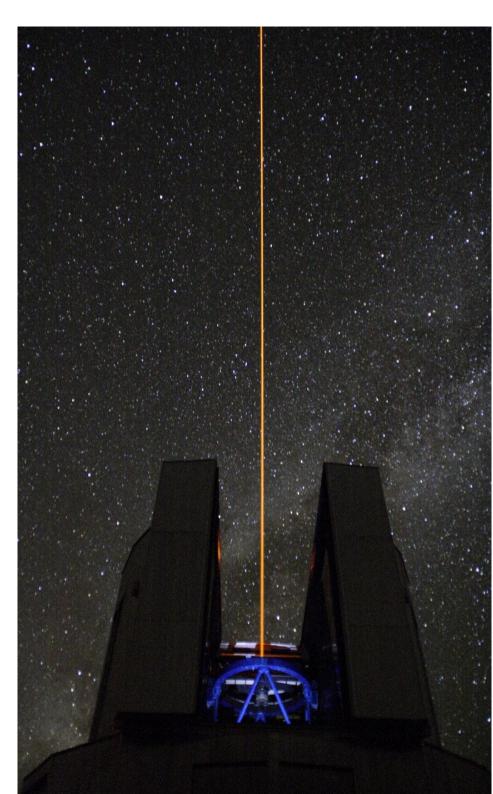
Laser Guide stars can create a spot in the atmosphere for the WFS to measure the WF

# Allows quasi-complete sky coverage

**Cone effect** due to finite altitude of LGS (90km sodium, few km for Rayleigh) -> can be solved by using several lasers and tomography

#### **Tip/Tilt & Focus sensing**

Upstream & downstream paths are the same: tip/tilt not seen Sodium layer altitude not fixed: LGS focus info is incomplete (can be used to sense fast focus) -> Still need NGS(s) for tip/tilt & Focus



# **AO control**

# How should the AO system drive the DM from WFS measurements ?

#### "standard" solution (fast, linear):

Measure/model how WFS measures DM commands
If relationship is linear, this is stored as a "response matrix" "response matrix" is inverted -> "control matrix" (this step usually includes some filtering – see next slide)
WFS measurements x control matrix = DM commands

# This could also be done by computing explicitly the wavefront:

WFS measurements -> wavefront -> DM commands

Good AO control now allows to separate WFS choice from DM choice: example: Curvature WFS could run with a MEMs DM

#### Linear control of AO system: response and control matrix

Wavefront sensor response to DM commands is linear: If DM command increased by factor x, WFS signal multiplied by x WFS signal to sum of 2 DM commands = sum of the 2 WFS signals

 $\rightarrow$  Relationship can be written as matrix multiplication:

$$A = M_{resp} B$$

Assuming m actuators, n sensing elements  $A_{i=0...n-1}$ : WFS signal vector (for example, x,y centroids for SH)  $B_{j=0...m-1}$ : DM commands (can be voltages, displacements)  $M_{resp}$ : m x n Response matrix (usually not a square matrix !)

## **AO control problem:**

Given A (WFS measurement), and knowing M<sub>resp</sub>, what is the DM command B which will produce the WFS signal -A ? How to do this in a robust way, in the presence of noise, and with M<sub>resp</sub> which is generally not inversible ?

#### Linear control of AO system: response and control matrix

Wavefront sensor response to DM commands is linear  $\rightarrow$  DM commands to produce a given WFS signal is obtained by multiplication of A (WFS signal) by the control matrix M<sub>contr</sub>

 $B = M_{contr}A$ 

With  $M_{contr}$  the pseudo-inverse of  $M_{resp} = M_{resp}^{+} = (M_{resp}^{-T}M_{resp})^{-1}M_{resp}^{-T}$ If  $M_{resp}$  is an inversible square matrix,  $M_{contr} = M_{resp}^{-1}$ 

 $M_{contr}$  can be computed by Singular Value Decomposition (SVD) of  $M_{rest}$ 

Singular Value Decomposition:

 $M = U \ \Sigma \ V^*$ 

U: Unitary matrix

 $\Sigma$ : diagonal matrix (Eigenvalues  $a_i$ )

V: Unitary matrix, V<sup>\*</sup> its conjugate transpose (=V<sup>T</sup> if V real)

```
Pseudo-inverse :  \begin{split} M^+ &= V \ \Sigma^+ \ U^* \\ \text{With } \Sigma^+ &= 1/a \text{ if } |a|{>}0 \text{, and } 0 \text{ if } a{=}0 \end{split}
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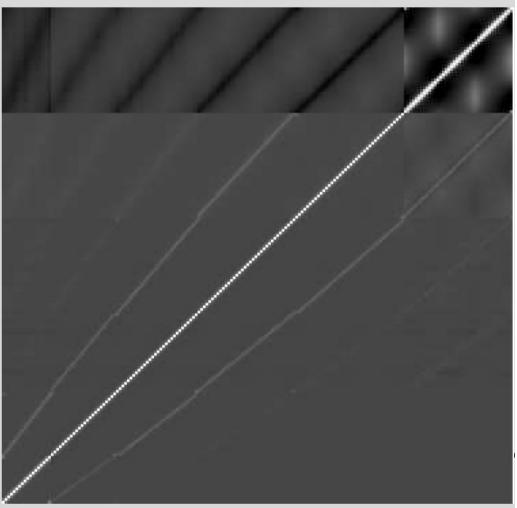
Linear control of AO system: response and control matrix

In practice:

- Although M<sub>resp</sub> could be in theory computer, M<sub>resp</sub> is usually measured by poking DM actuators and measuring the corresponding change in the WFS signal
- M<sub>resp</sub> can be mesured quickly by driving simultaneously several actuators if M<sub>resp</sub> is a sparse matrix (each DM actuator has an effect on a small number of sensors)
- $M_{contr}$  is usually computed by SVD, and presence of noise in the measurement forces modes of  $M_{resp}$  with small eigenvalues to be discarded from the control loop (their eigeinvalue considered =0 in the pseudo-inverse computation)

### System response matrix: example (simulation)

# System response matrix Curv = (I0–I1)/(I0+I1)



actuator n

sensor m

Measured response matrix includes system defects/imperfections, such as :

- alignment errors
- defective sensor(s)
- defective actuator(s)
- crosstalk

Mesuring response matrix is very good system diagnostics

#### AO loop control: loop gain

At each step of the loop, offset dDM (=  $-M_{contr} A$ ) required to cancel WFS signal is computed. Ideally, with k the loop step (= time) :  $DM_{k} = DM_{k-1} + dDM$ 

Problem: with above equation, loop would likely be unstable Effective time lag in the measurement is 1/sampling time → some temporal frequencies are amplified Measurement is noisy, and several consecutive measurements should be averaged

Solution: use loop gain < 1:

 $DM_{k} = DM_{k-1} + g dDM$ 

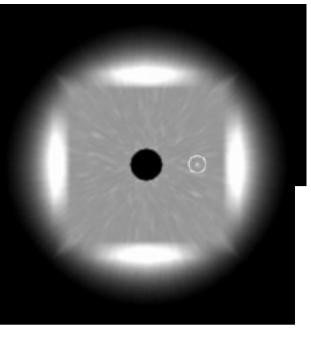
With 0 < g < 1

Noisy WFS measurement (faint guide star)  $\rightarrow$  small g High quality WFS measurement (bright guide star)  $\rightarrow$  large g

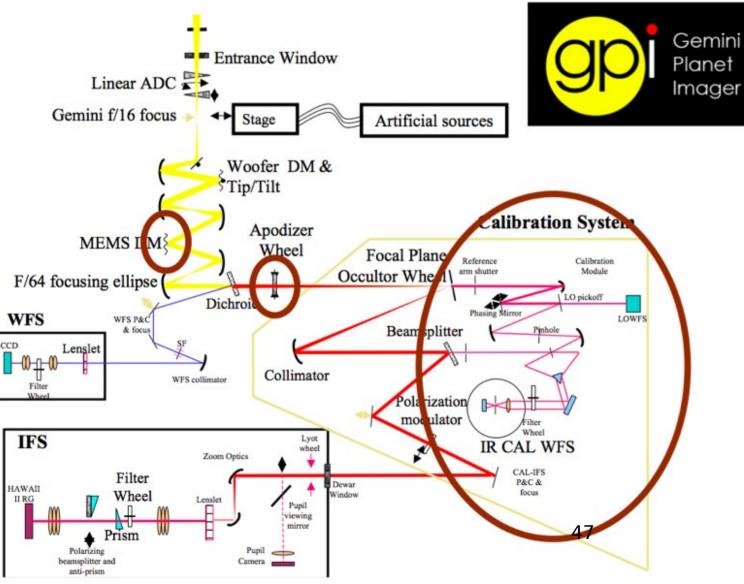
Loop gain can be different for different modes (Modal control) Predictive control can improve AO system performance

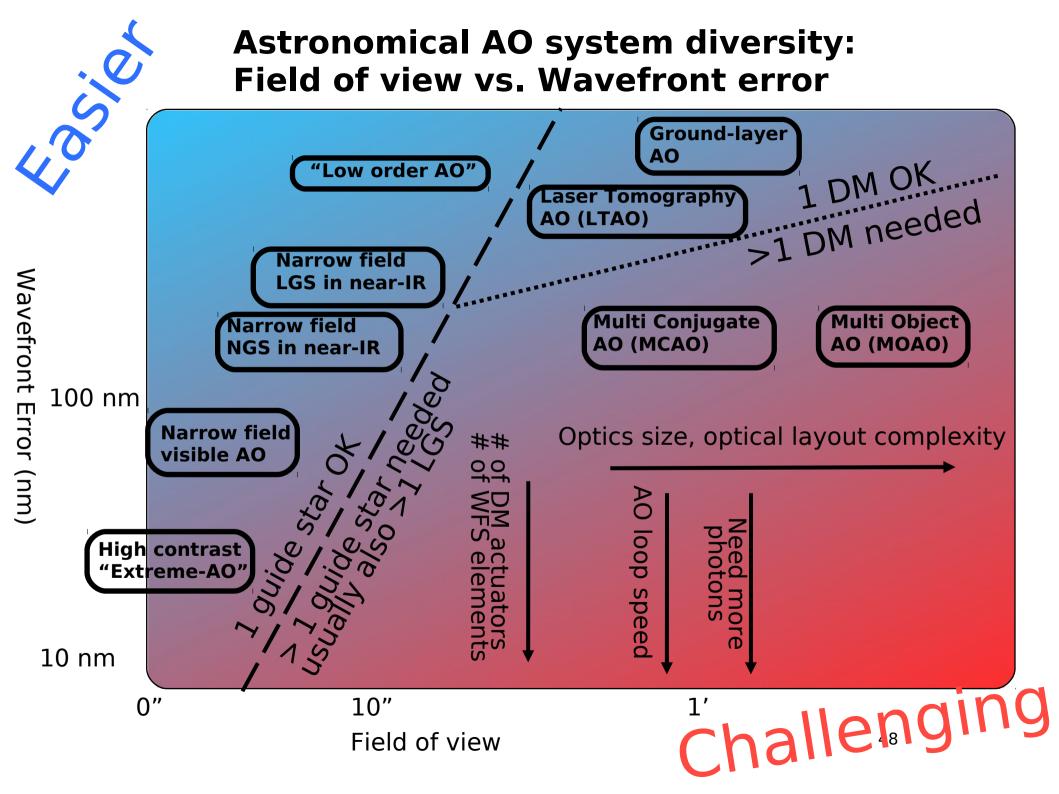
# **Types of AO systems**

	Field of view	# of DMs	# of guide stars	Notes
Single conjugate AO ( <b>SCAO</b> )	~ 30 arcsecond	1 DM, usually conjugated to ground	1 (LGS or NGS)	Easiest, traditional AO architecture
Extreme AO ( <b>ExAO</b> )	~1 arcsecond	1 DM, or 2 DMs in woofer/tweeter	1 (on-axis NGS)	Extremely high precision AO to image exoplanets
Laser Tomography AO ( <b>LTAO</b> )	~30 arcsecond	1 DM	>3 (LGS or NGS)	Overcomes cone effect (LGS) and isoplanatic limitation (NGS)
Ground Layer AO ( <b>GLAO</b> )	very wide – up to ~degree	1 DM, conjugated to ground	> 3	Optically challenging for wide field. Demonstrated on MMT (U of Arizona). Larger systems under active development
Multi Conjugate AO ( <b>MCAO</b> )	wide, > arcmin	2 to 3	> 3, can be LGS or NGS	Two working systems: MAD (ESO) and GEMS (Gemini), more to come
Multi Object AO ( <b>MOAO</b> )	wide but fragmented	1 DM per object (+ 1 DM in common path?)	about 1 GS per field	Under active development (for example: EAGLE for E- ELT)



## **ExAO example: The Gemini Planet** Imager Extreme-AO system





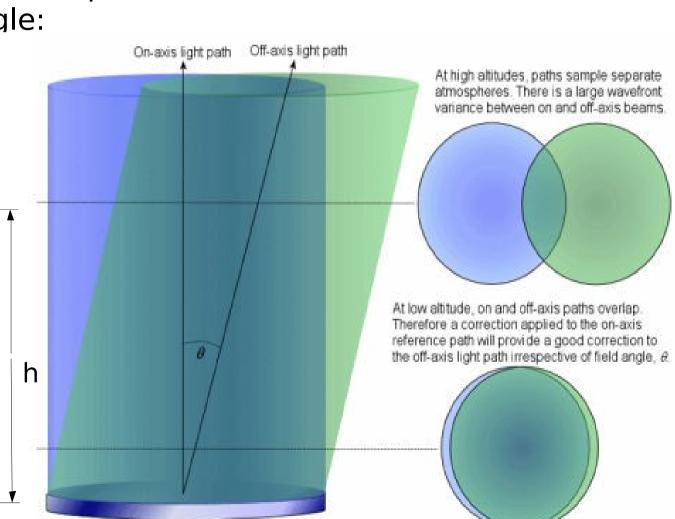
## **Fundamental problem to solve: Isoplanatic Angle**

If we assume perfect on-axis correction, and a single turbulent layer at altitude h, the variance (sq. radian) is :  $\sigma^2 = 1.03 \ (\theta/\theta_0)^{5/3}$ 

Where  $\alpha$  is the angle to the optical axis,

 $\theta_0$  is the isoplanatic angle:  $\theta_0 = 0.31 (r_0/h)$ 

 $D = 8 m, r_0 = 0.8 m,$ h = 5 km ->  $\theta_0 = 10''$ 



# Solution:

## Wavefront mesurement: Several guide stars needed

Several guide stars (Laser and/or natural)  $\rightarrow$  volumetric knowledge of atmospheric turbulence, instead of simply collapsed turbulence

## <u>Wavefront correction:</u> Several DMs if good correction over a large FOV

Or, single DM driven to correct average wavefront error over wide FOV (Ground-layer AO, partial correction)

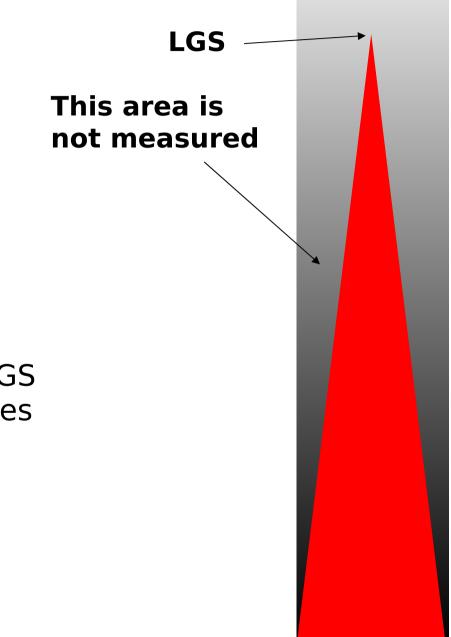
With single DM, there is a fundamental limit in the wavefront error vs. FOV tradeoff. Multiple DMs is the only way to break this limit.

## **Cone effect for Laser Guide Stars**

**Cone effect** due to finite altitude of LGS (90km sodium, ~10-20 km for Rayleigh)

 $\sigma^2 = 1.03 (D / (2.91\theta_0H))^{5/3}$  $\theta_0$ : isoplantic angle H : LGS altitude D : Telescope diameter

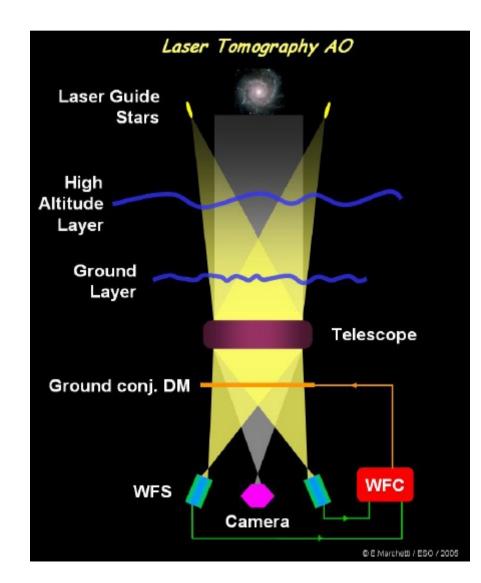
→ impact is smaller for sodium LGS → larger effect for large telescopes



#### Laser Tomography AO (LTAO)

Tomography (usually with LGSs, but can also use NGSs) can mitigate cone effect by combining wavefront information from several guide stars.

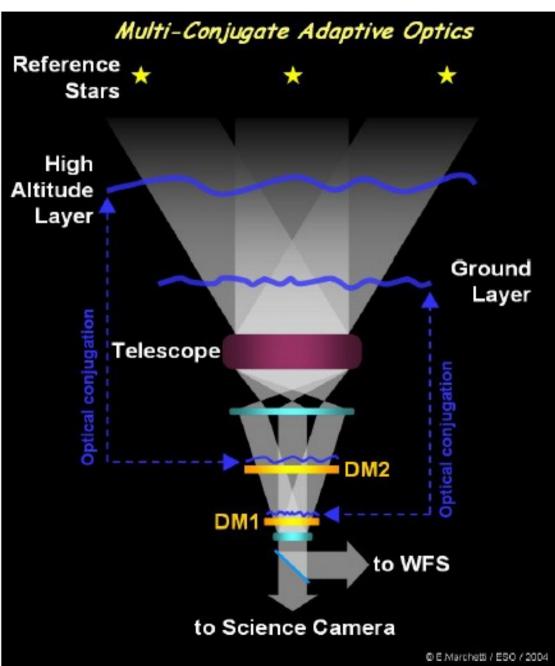
This technique used with a single DM to reduce cone effect error (no increase of FOV)



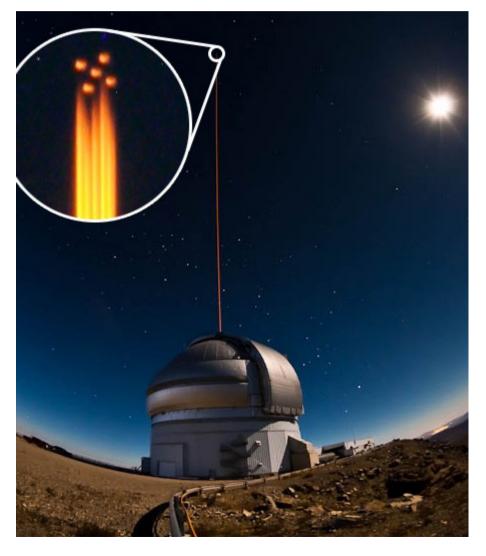
LGSs

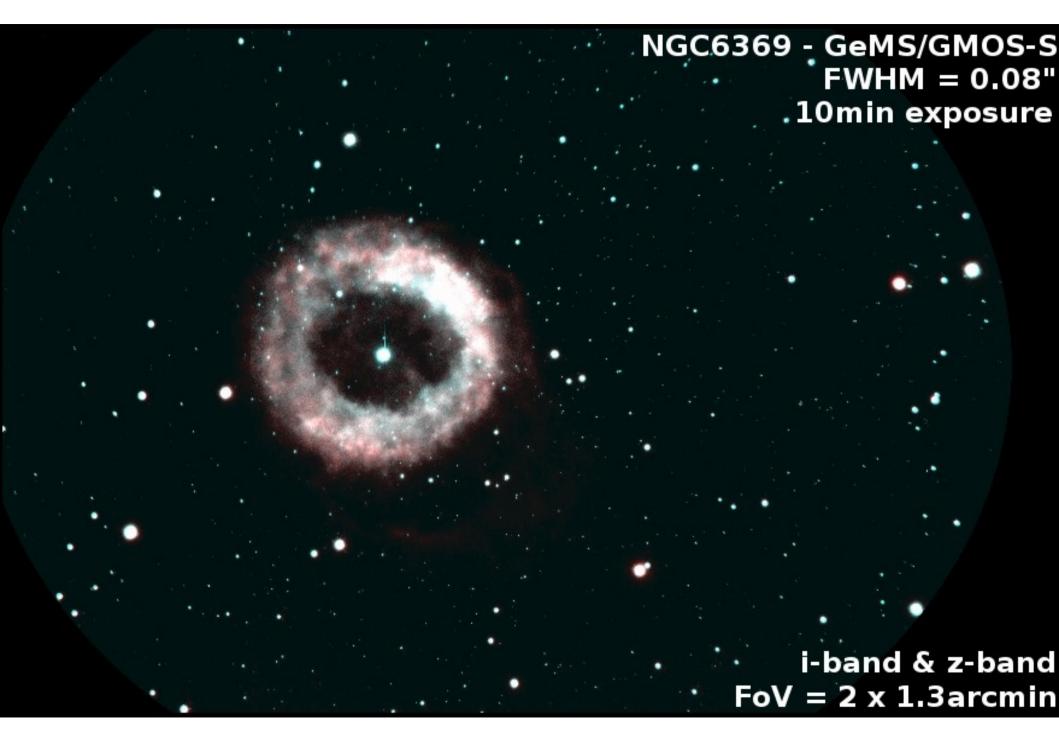
#### Multi-Conjugate Adaptive Optics (MCAO)

Concept: Use several DMs conjugated at different altitudes to perform correction over a wide fielf of view

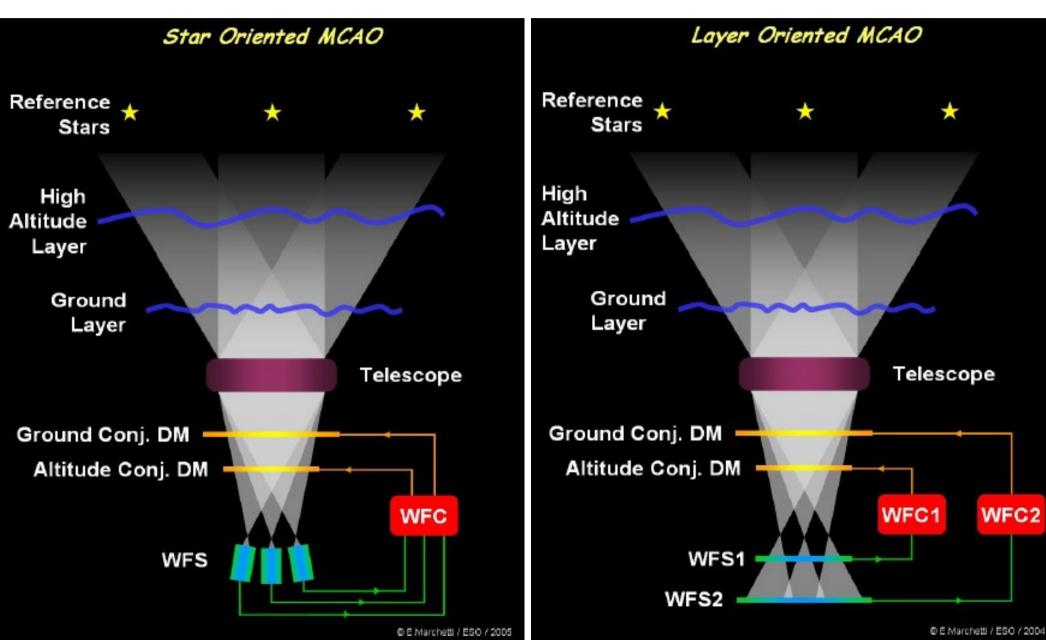


Gemini South MCAO system





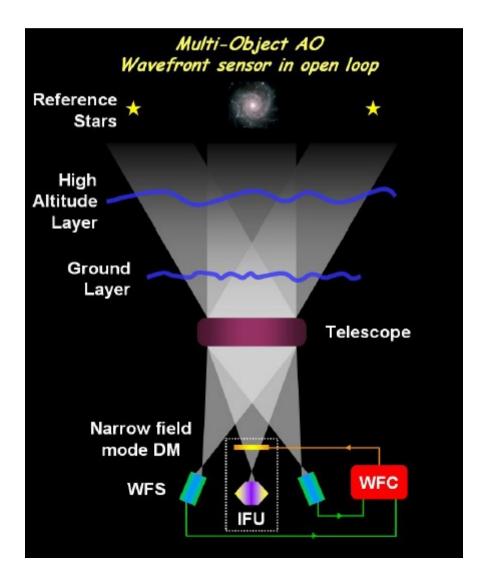
# **MCAO wavefront sensing:** Star-oriented: 1 WFS per star Layer-oriented: 1 WFS per layer

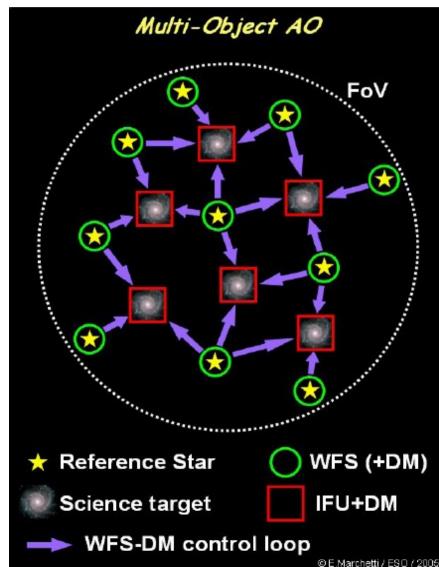


# Multi Object Adaptive Optics (MOAO)

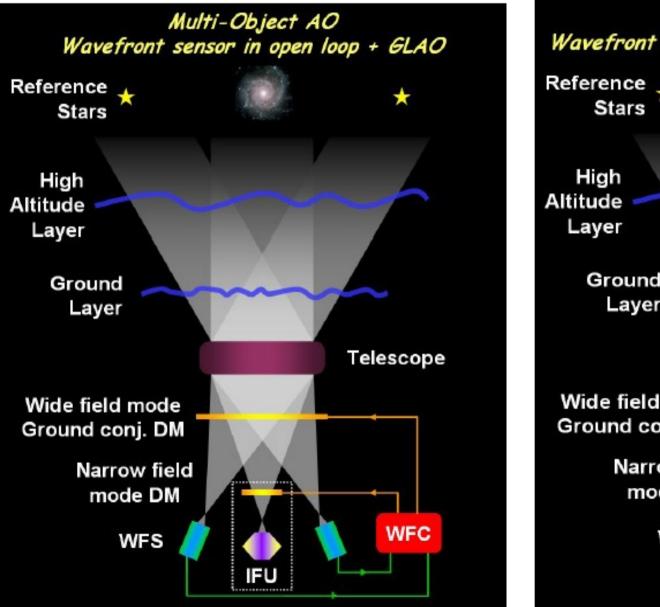
Can be visualized as several tomographic AO systems sharing the same set of wavefront sensors: 1 DM per object of interest

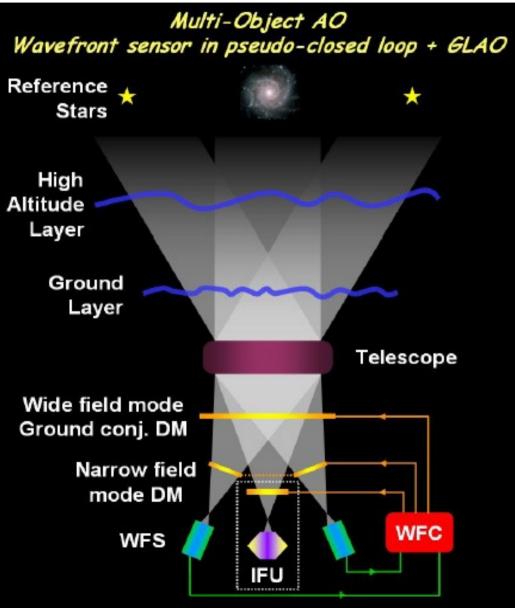
MOAO runs DMs in open loop  $\rightarrow$  need for good DM calibration (WFSs do not see DMs)





# **MOAO: hybrid correction schemes** Offload part of the correction to a common DM Perform correction in individual WFSs to gain sensitivity





# Ground Layer Adaptive Optics (GLAO)

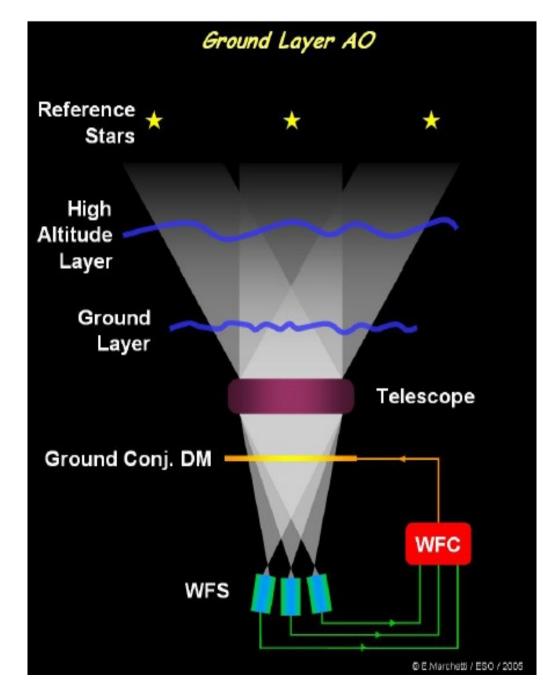
•Significant part of turbulence (~50% or more) is located near ground level

•Ground layer turbulence is common to sources in a wide field of view

→ With correction of ground layer, image quality is improved over a wide field of view

Problem: how to isolate ground layer turbulence from high altitude turbulence.

Solution: use several WFSs. The part of the wavefront common to all WFSs is the ground layer



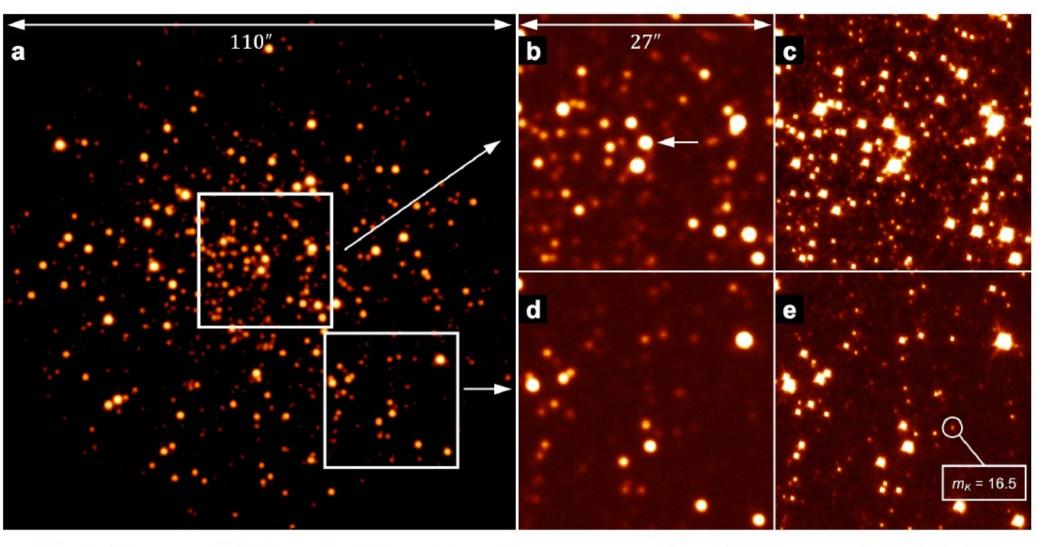
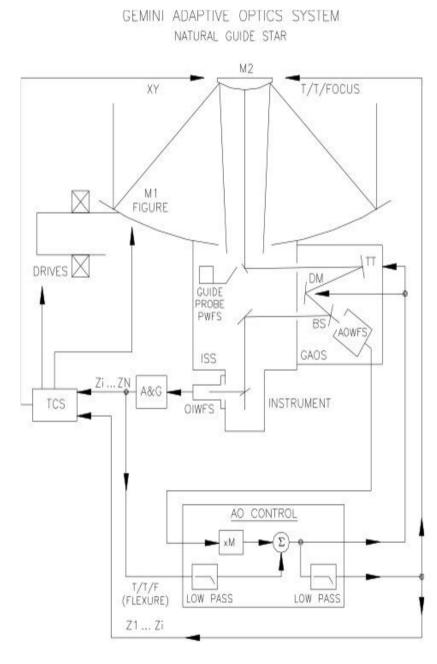


Fig. 8. The core of M3 imaged at 2.2 µm in two 60 s exposures. a) The full 110 arc sec field of the IR camera in the native seeing limit of 0.7 arc sec, on a logarithmic intensity scale. b,d) Two smaller 27 arc sec regions of the same image, indicated by the boxes in a) shown on a truncated linear scale in which bright stars appear saturated but which reaches the noise floor and brings out the faintest observable stars. c,e) In a second 60 s exposure of the same two regions, taken with GLAO running at 400 Hz and shown on the same linear scale as b) and d), the stellar image width is reduced to 0.3 arc sec and has a very similar PSF morphology across the whole field of view. For reference, we highlight a star in the corrected image with K band magnitude of 16.5, detected at a SNR of 26. In the uncorrected image, stars must be 2 mag brighter to be seen at the same SNR.

#### GLAO @ MMT, Hart et al., 2010

# Communication between telescope/instruments and AO system



On modern telescopes, the AO system can "offload" wavefront aberrations to primary mirror, tip/tilt/focus secondary mirror and telescope pointing. The AO system "drives the telescope".

"Facility" AO systems can feed several instruments, and can be a "layer" which processes the beam prior to sending it to

