# How ELTs will acquire the first spectra of rocky habitable planets

Olivier Guyon\*a,b,c, Frantz Martinachea, Eric Cadyd, Ruslan Belikove, Balasubramanian Kunjithapathamd, Daniel Wilsond, Christophe Clergeona, Mala Mateenc

<sup>a</sup>Subaru Telescope, National Astronomical Observatory of Japan, 650 N. A'ohoku Place, Hilo, HI 96720, USA;

bUniversity of Arizona, Steward Observatory, 933 N. Cherry Ave., Tucson, AZ 85721, USA;
 College of Optical Sciences, University of Arizona, Tucson, AZ 85721, USA;
 description Propulsion Laboratory, California Institute of Technology, 4800 Oak Grove Drive, Pasadena, CA 91109, USA;

<sup>e</sup>NASA Ames Research Center, Moffet Field, Mountain View, CA 94035, USA

#### **ABSTRACT**

ELTs will offer angular resolution around 10mas in the near-IR and unprecedented sensitivity. While direct imaging of Earth-like exoplanets around Sun-like stars will stay out of reach of ELTs, we show that habitable planets around nearby M-type main sequence stars can be directly imaged. For about 300 nearby M dwarfs, the angular separation at maximum elongation is at or beyond 1  $\lambda$ /D in the near-IR for an ELT. The planet to star contrast is 1e-7 to 1e-8, similar to what the upcoming generation of Extreme-AO systems will achieve on 8-m telescopes, and the potential planets are sufficiently bright for near-IR spectroscopy. We show that the technological solutions required to achieve this goal exist. For example, the PIAACMC coronagraph can deliver full starlight rejection, 100% throughput and sub- $\lambda$ /D IWA for the E-ELT, GMT and TMT pupils. A closely related coronagraph is part of SCExAO on Subaru. We conclude that large ground-based telescopes will acquire the first high quality spectra of habitable planets orbiting M-type stars, while future space mission(s) will later target F-G-K type stars.

**Keywords:** Exoplanets, Coronagraphy, Extreme-AO

#### 1. TARGETS

In this section, the targets parameters that are relevant to evaluating detectability are established: angular separation, contrast, star and planet apparent luminosities. These quantities are then used in section 2 to discuss the detectability of potentially habitable planets, and form the basis for establishing coronagraphy and wavefront control requirements for this science case. Technological solutions and expected performance are discussed in section 3 (coronagraphy) and section 4 (wavefront control).

## 1.1 Input catalog

In this section, we evaluate the expected photometric properties of rocky planets in the habitable zones of nearby stars. For simplicity, we consider planets with an albedo equal to 0.3, independent of wavelength, and with diameters exactly twice the Earth diameter (superEarths) unless noted otherwise. Planets are placed on circular orbits with semi-major axis equal to one astronomical unit multiplied by the square root of the star bolometric luminosity (relative to the Sun). The planet thus receives from its star the same total flux per unit of area as Earth. Observations of the planets are assumed to be at maximum elongation.

<sup>\*</sup>guyon@naoj.org; phone 1 818 292 8826

Three catalogs are used to construct the input target catalog:

- The Gliese Catalog of Nearby Stars 3rd edition<sup>1</sup> (CNS3) containing all stars known to be within 25 parsecs of the Sun as of 1991. This catalog is the primary source of targets for this work, and contains the position the spectral type, apparent magnitude (V band), colors (B-V, R-I) and parallax for each target.
- Near-IR photometry is obtained from the 2MASS<sup>2</sup> point source catalog.
- The northern 8-parsec sample<sup>3</sup> contains bolometric luminosities and colors (B-V, V-R, V-I) for targets in the 8-parsec sample and is used to establish empirical photometric relationships that can be applied to the full sample, as detailed in the next sections

## 1.2 Star bolometric luminosity, planet angular separation and contrast

The bolometric correction, required to derive the bolometric luminosity of each star of the sample from its absolute magnitude in V band, is derived from the 8-pc sample, which does include, for each star, both the absolute V magnitude and the bolometric magnitude. Since the bolometric is mostly a function of stellar temperature, the bolometric correction is fitted as a function of B-V color for the 8-pc sample. Two separate fits are performed for respectively "blue" (B-V < 1.2) and "red" (B-V > 1.0) stars. The "blue" fit is used to derive bolometric luminosities for stars with B-V < 1.1, while the "red" fit is used for B-V > 1.1.

The bolometric luminosity (referenced to the Sun) for each star is then derived from the absolute magnitude  $M_{\rm V}$  and the bolometric correction BC:

$$L_{bol} = 2.51188643^{-(M_V^{-4.83}) + (BC - BC_{Sun})}$$

with 
$$BC_{Sun} = -0.076$$
.

The planet is then placed  $sqrt(L_{bol})$  AU from the star, and its angular separation is computed using the star parallax. The reflected light contrast is then computed at maximum elongation assuming a 0.3 albedo. Results are shown in figure 1, and clearly demonstrate that there is a strong trade-off between angular separation and contrast.

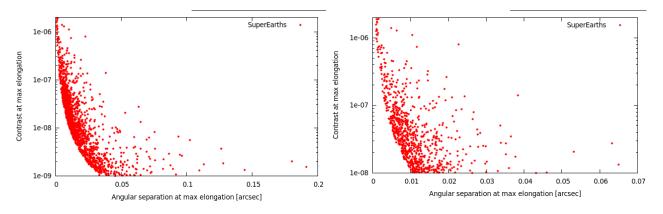


Figure 1. Left: Angular separation vs reflected light contrast for SuperEarths (2x Earth diameter), assuming each star in the sample has such a planet. Right: Planets with contrast above 1e-8 only.

## 1.3 Apparent magnitudes in V, R, I, J, H and K bands

The apparent magnitude in the visible bands (V, R and I) are required to estimate how well an adaptive optics system can correct and calibrate the wavefront. These fluxes are therefore important to derive the detection contrast as a function of angular separation. The relationships between V-R, V-I and B-V colors are established using stars for which the 3 colors have been measured, and the relationships are then applied to stars for which only B-V has been measured.

Apparent J, H and K magnitudes for the stars are extracted from the 2MASS catalog. In the few cases (1% of the targets) where Gliese catalog entries do not have a match in the 2MASS catalog (usually because they are too faint or they are close companions), 4th order polynomial fits of the V-J, V-H and V-K colors as a function of B-V color are derived from the list of targets that are matched in both catalogs, and then applied to those for which no near-IR flux measurement exists. In this case, the standard deviation in the J, H, and K magnitudes are 0.36, 0.41 and 0.36 respectively (these values are sufficiently small to not significantly affect planet detectability estimates). Since the planet albedo is assumed independent of wavelength, the planet to star contrast in the near-IR is the same as computed for visible light. No thermal emission is assumed (this is a conservative assumption in K band).

## 2. OBSERVABILITY OF ROCKY PLANETS IN REFLECTED LIGHT

## 2.1 First cut at observation constraints for ELTs: identification of potential targets

We assume in this paper that scientific observations are performed in H band (central wavelength =  $1.65 \mu m$ ). Detectability of exoplanets with direct imaging is a driven by several effects, which are considered in this section to identify if habitable planets can be imaged and characterized with ELTs:

- **Angular separation.** The separation must be sufficiently larger than the inner working angle (IWA) of the coronagraph in H band.
- Contrast. The planet-to-star contrast must be above the detection limit, which is itself a function of both
  wavefront correction performance, coronagraph performance, PSF calibration accuracy, and uncorrelated noises
  (photon noise mostly).
- **Star brightness (R band).** The star brightness has a strong impact on the wavefront correction quality: faint stars do not produce sufficient light for accurate and fast wavefront measurements.
- **Planet brightness (H band).** The planet brightness must be above the photon-noise detection limit.

These detectability constraints are highly coupled. For example, the contrast limit is usually a steep function of the angular separation, and both the star brightness and planet brightness strongly affect the contrast limit. The interdependencies between these limits are function of the instrument design and choices (wavefront control techniques, observation wavelength). To easily identify how instrumental trades affect detectability of habitable exoplanets, first cut limits are first applied to construct a small list of potential targets.

The first cut limits are shown in table 1. The number of targets kept is mostly driven by the contrast and separation limits, and to a lesser extent by the planet brightness limit. The planet brightness limit is derived from a required SNR=10 detection in 10mn exposure in a 0.05  $\mu$ m wide effective bandwidth (equivalent to a 15% efficiency for the whole H-band) on a 30-m diffraction limited telescope, taking into account only sky background and assuming all flux in a 20mas wide box is summed. The assumed sky background (continuum + emission) is  $m_H = 14.4$  mag/arcsec<sup>2</sup>.

| Design             | Limit applied                               | rationale   |
|--------------------|---|---|
| Angular separation | Must be $> 1.0 \lambda/D =$ 11mas in H band | Limit imposed by coronagraph (see section 3).   |
| Contrast           | Must be > 1e-8                              | High contrast imaging limit – similar to contrast limit for ExAO systems on 8 m class telescopes. |
| Star brightness    | m <sub>R</sub> < 15                         | Required for high efficiency wavefront correction   |
| Planet brightness  | m <sub>H</sub> < 26.8                       | SNR=10 detection in 10mn with no starlight  |

The target list after applying the first cut limit consists of 274 entries. Figure 2 shows that this lists consists mostly of relatively faint ( $m_V \sim 10$ ) late-type (V-R  $\sim 1$  to 1.5) main sequence stars. Two notable exceptions are the 40 Eri B and Sirius B white dwarfs, clearly visible in fig 2 as much bluer (V-R  $\sim 0$ ) than the rest of the sample.

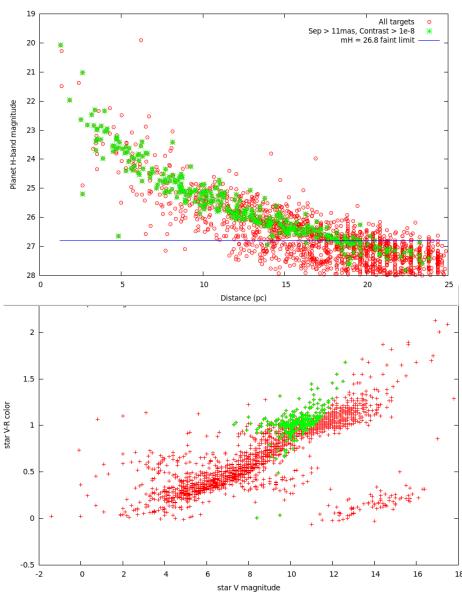


Figure 2. Full input catalog (red points) and target list after first cuts are applied (green points) Top: Planet apparent brightness in H-band as a function of system distance. The mH=26.8 flux limit adopted excludes planets beyond approximately 20pc. Bottom: ELT exoplanet targets stars V band apparent brightness and V-R color.

## 2.2 Most favorable targets

The most favorable target, listed in the table below, were selected with the following criteria:

- Angular separation at maximum elongation > 15 mas
- Contrast > 1e-7

Planet brightness m<sub>H</sub> < 24, allowing spectroscopy</li>

After applying these limits, the list of most favorable targets consists of 10 nearby late type main sequence stars (spectral types M3.5 to M6). While the contrast level and planet apparent luminosity are quite accessible with an ELT, the angular separation is below 40mas for all targets: none of these hypothetical exoplanets could be directly imaged with the current generation of 8-m to 10-m telescopes.

#### 3. CORONAGRAPHY

Section 2 shows that potentially habitable planets that may be accessible to ELTs are at very small angular separations (about 10 to 20 mas), at about 1e-7 contrast. In this section, we evaluate if coronagraphy can allow such detections on a ELT.

#### 3.1 Is coronagraphy essential? What raw contrast is required?

Coronagraphy is defined by its ability to physically separate planet light from starlight on the detector, but may not be the ideal technique to access small angular separations. Interferometric techniques, such as aperture masking<sup>4</sup>, are very capable of high contrast imaging at small angular separations, down to 1  $\lambda$ /D (and sometimes even closer) and offer good calibration of residual starlight. We assume here that interferometric techniques do not physically separate planet and starlight (this is true for aperture masking), and thus choose to define nulling techniques as coronagraphs.

To evaluate the suitability of interferometric technique, and more generally establish the raw conronagraphic contrast required, we must quantify how much starlight can be physically mixed with planet light to allow detection in the photon-noise limit. We assume a that an Earth like planet is observed around a M type star at 5pc with a 30 m telescope. The planet apparent brightness is  $m_H$ =25.2, and the star/planet contrast is 3.6e7 (the star is  $m_H$ =6.3). Other assumptions are: a  $m_H$ =14.4 arcsec<sup>-2</sup> background, a 20masx20mas aperture for photometry, a 15% efficiency (coatings, detector), a 0.3  $\mu$ m wide bandpass (H band) and a 1hr exposure.

| Table 2. Photon-noise limite | d signal-to-noise ratio | (SNR) in H band       | l for different ( | observing configurations   |
|------------------------------|-------------------------|-----------------------|-------------------|----------------------------|
| Tubic = Tiloton noise mine   | a orginar to moroc rate | (01 111) 111 11 00111 | a ror directoric. | ooder ting cominguitations |

|                                 | Detection SNR, H band (R~5)    | Spectroscopy SNR, R=100       |
|---------------------------------|--------------------------------|-------------------------------|
| Starlight perfectly removed     | Earth: 102; Super-Earth: 356   | Earth: 23.5; Super-Earth: 83  |
| Coronagraphy, 1e5 raw contrast  | Earth: 16.31; Super-Earth: 65  | Earth: 3.8; Super-Earth: 15   |
| Coronagraphy, 1e4 raw contrast  | Earth: 5.16; Super-Earth: 20.6 | Earth: 1.2; Super-Earth: 4.8  |
| Interferometry, 100% efficiency | Earth: 0.05; Super-Earth: 0.2  | Earth: << 1; Super-Earth:<< 1 |

Results are shown in table 2 for different scenarios. The first case "starlight perfectly removed" only include photon noise from the planet and sky background, showing that R=100 spectroscopy of an Earth could be done at SNR = 23.5 in one hour. The next two entries show the SNRs for two raw contrast values, and the last entries assumes that there is no separation between starlight and planet light, but that the technique used is 100% efficient (same assumption as for coronagraphy – the full pupil is used). The table shows that **the coronagraph must reach at least 1e4 raw contrast (preferably 1e5) to be able to detect and characterize rocky planets**, and that interferometry is not a suitable approach due to excessive photon noise from the starlight.

# 3.2 How close can coronagraphs get to the star?

While many high performance coronagraph concepts  $exist^5$ , we focus in this section in one particular concept that offers  $sub-\lambda/D$  inner working angle with full efficiency and no limit in contrast other than the limit imposed wavefront errors. The concept, the PIAACMC (Phase-Induced Amplitude Apodization Complex Mask Coronagraph) is also compatible with segmented and centrally obscured apertures, and therefore seems ideally suited for the science goal described in this paper. Other coronagraph concepts may also be suitable, and we only describe the PIAACMC here as proof of existence of a coronagraph that can enable direct imaging and spectroscopy of habitable planets with ELTs.





Figure 3. Phase-Induced Amplitude Apodization (PIAA) technique (left): aspheric optics are used to apodize the telescope beam without light loss. Right: Set of PIAA mirrors, Zerodur substrate, manufactured by L3-Tinsley

The PIAACMC is an improvement over the more conventional PIAA coronagraph  $^{6-13}$ , where the lossless apodization – shown in figure 3 – is used to produce a PSF with minimal diffraction wings. The central bright part of this PSF is then blocked by an opaque focal plane mask to remove starlight while preserving light from nearby sources. An inverse PIAA set may then be used to recover a sharp diffraction-limited image over a useful field of view. The same lossless PIAA technique can also be used to replace the apodizer in coronagraph architectures where the starlight rejection is shared between several components (instead of relying entirely on the opaque focal plane mask). This leads to PIAA coronagraph types with higher performance, as the flexibility of using several masks for starlight rejection opens new possibilities. For example, an apodized pupil Lyot coronagraph (APLC) configuration with a PIAA front end is especially attractive, as the full throughput apodization of the PIAA optics greatly enhances the APLC's performance  $^{14}$ . Performance can be further improved by allowing the focal plane mask to be smaller, partially transmissive and phase-shifting. This allows total on-axis coronagraphic extinction, and a very small IWA. This approach, shown in figure 4, is referred to as the PIAA Complex Mask Coronagraph (PIAACMC). The PIAACMC concept is, theoretically, the highest performance coronagraph, as it can fully suppress starlight (contrast entirely limited by wavefront control and manufacturing limits) with an inner working angle equal to  $0.64 \, \text{VD}$ .

#### Phase Induced Amplitude Apodized Complex Mask Coronagraph (PIAACMC)

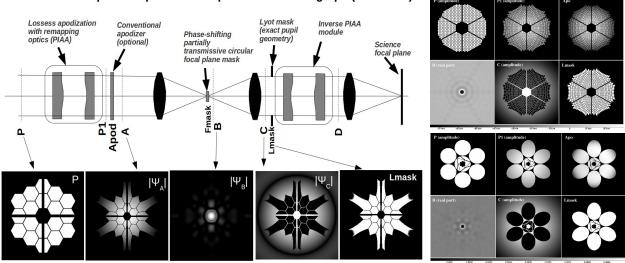


Figure 4. Left: The PIAACMC concept uses lossless apodization and a phase-shifting focal plane mask in a Lyot coronagraph configuration. Right: PIAACMC is compatible with segmented apertures, regardless of the number of segments or the pupil geometry. Bottom: For ELT pupil geometries, as well as for monolithic pupils, the PIAACMC delivers sub-λ/D IWA and full throughput.

As shown in figure 4, the PIAACMC concept is compatible with the segmented and centrally obscured apertures of future ELTs. Figure 5 demonstrates that there is no loss of performance associated with its use on such apertures, and that sub- $\lambda$ /D IWA is achievable with full efficiency.

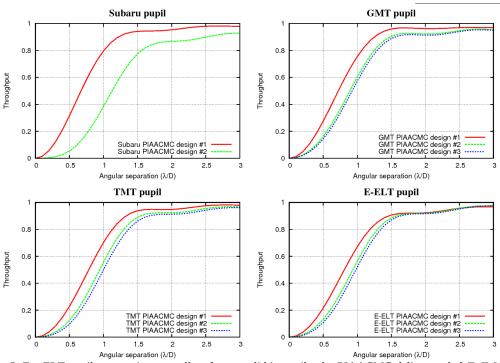


Figure 5. For ELT pupil geometries, as well as for monolithic pupils, the PIAACMC delivers sub- $\lambda$ /D IWA and full throughput.

## 3.3 Limit imposed by stellar angular size

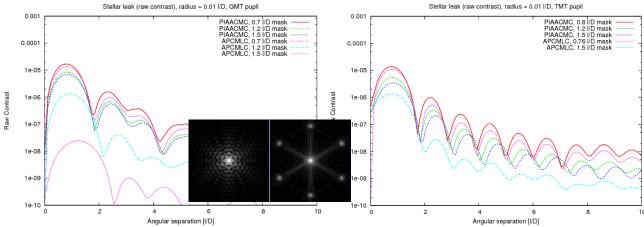


Figure 6. Radial profile of coronagraphic leaks due to stellar angular size for the GMT pupil (left) and TMT pupil (right). Bottom image: Images showing the coronagraphic leak due to stellar angular size for the TMT (left) and GMT (right) pupil, for a 0.01  $\lambda$ /D radius stellar disk and a PIAACMC coronagraph with a a/2 = 1.5  $\lambda$ /D<sub>Syst</sub> mask (IWA = 0.92  $\lambda$ /D for GMT, and 0.99  $\lambda$ /D for TMT).

Coronagraphic leaks due to stellar angular diameter can be numerically subtracted from the science image, but will contribute photon noise. For ground-based detection of high contrast planets, the most challenging science goal is the

direct imaging of reflected light exoplanets in the habitable zone of nearby M-type stars. The planet-to-star angular separation is then between 10mas and 20mas, and the contrast for a 2x Earth diameter planet is approximately 1e-7. The stellar diameter is typically around 0.01  $\lambda$ /D (a late M-type star with a 0.2 Sun radius size at 10 pc is 0.1 mas radius) and the stellar leak is then at the ~1e-5 raw contrast level. This level of stellar leak is approximately equal to the raw contrast contribution of residual atmospheric speckles after an Extreme-AO system, so stellar leak is not expected to be the dominant contributor in the detection error budget, and the most aggressive small IWA coronagraph designs may be employed on ground-based ELTs.

#### 3.4 Coronagraph chromaticity

Managing chromatic effects is essential in the PIAACMC: the focal plane mask is required to introduce both a phase offset and a partial transmission – both of which need to be well controlled as a function of wavelength. The mask size is also critical, as, unlike the conventional PIAA coronagraph, its role is not simply to block starlight: the right amount of starlight needs to fall within the mask so that it can destructively interfere with light outside the focal plane mask.

At the 1e-4 to 1e-5 raw contrast level for this science goal, the chromaticity challenge is not as serious as it is for the high contrast ( $\sim$ 1e-9 raw contrast) that is required for space-based coronagraph. In a 10% wide band, a simple monochromatic PIAACMC design will reach the 1e-5 raw contrast level, and achromatization is therefore only required for larger spectral coverage. One approach to solving this challenge for space based coronagraphs is to design a focal plane mask which maintains constant complex amplitude (phase, amplitude) across the desired spectral range but changes size linearly with wavelength. The mask can be designed as a zeroth order diffraction grating consisting of multiple cells, each smaller than  $\lambda$ /D. This solutions is directly applicable to the science goal presented in this paper. A simpler approach is the dual zone phase mask coronagraph <sup>15</sup>, which may be extended to more than two zones if needed.

#### 4. WAVEFRONT CONTROL

## 4.1 Pointing control (and calibration)

A key challenge of high contrast imaging near 1  $\lambda$ D is the need for exquisite control of pointing and low order aberrations. A coronagraph operating at 1  $\lambda$ D is much more sensitive to pointing errors than a larger-IWA coronagraph. There are two fundamental requirements that need to be satisfied:

- Pointing jitter needs to be sufficiently small to allow detection and characterization of exoplanets in the presence of the photon noise created by coronagraphic leaks. For a 1e-5 allowable raw contrast at the coronagraph's IWA, the pointing jitter should be no more than about two percent of the telescope diffraction limit, or 0.2 mas. This is similar to the angular radius of most stars in the sample: the largest angular radius in the top targets is 0.5mas (Proxima Centauri), so reducing the pointing jitter below 0.2 mas will in fact not bring significant performance improvement, as the coronagraph will be designed to tolerate this level of jitter in order to accommodate stellar angular size.
- Pointing calibration on longer timescales should be accurate to about 0.01 mas to support 1e-8 calibrated contrast level.

One approach for accomplishing the goals listed above is to implement and operate a dedicated sensor, the Coronagraphic Low-Order Wave-Front Sensor<sup>16</sup> (CLOWFS), which uses starlight otherwise rejected by the coronagraph. Using the light that falls on the central (within the coronagraph IWA) part of the focal plane mask offers two fundamental advantages over schemes relying on analysis of coronagraphic science images for pointing control:

- A large number of photons is available for the measurement, allowing fast and accurate tip-tilt estimation
- Pointing errors can be measured before they start producing coronagraphic leaks in the science image

The CLOWFS was used to control pointing at the 1e-3  $\lambda$ /D level in a testbed at the Subaru Telescope<sup>16</sup>. In a more recent demonstration at JPL, RMS pointing error was reduced by a factor 81, from 87e-3  $\lambda$ /D to 1.07e-3  $\lambda$ /D, and the CLOWFS accuracy was verified to be at or below 1e-4  $\lambda$ /D. These levels of control are well beyond what is required to image habitable planets with ELTs, but were obtained in laboratories where disturbances are slow. The CLOWFS on an ELT would require a fast frame rate camera to obtain similar results. The CLOWFS was also shown to provide calibration of

residual pointing errors, and can be used to separately estimate coronagraphic leaks due to pointing errors with a  $\sim 1\%$  accuracy<sup>17</sup>.

## 4.2 Fast wavefront sensing strategy: optimal wavelength

The ELT exoplanet target stars are fainter than traditional extreme-AO targets, as shown in figure 7. They are typically  $m_V$ =11 and  $m_I$ =10, and are therefore also quite red. It is therefore important to identify a wavefront sensing that makes efficient use of available photon. In this section, we discuss the optimal choice of wavelength for such a sensor.

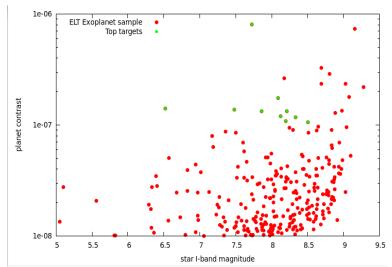


Figure 7. Planet contrast as a function of star I-band magnitudes for the ELT exoplanet sample and the top targets. The 10 most favorable targets are shown in green.

The photon-noise wavefront sensing precision is a function of the total number of photon available for the measurement and the wavelength: the measurement error is proportional to  $1/(\text{sqrt}(Nph) \ \lambda_{WFS})$ . The relative sensitivity between two colors  $\lambda 1$  and  $\lambda 2$ , in the photon noise limited regime for a constant spectral bandwidth, is therefore:

$$S(\lambda 1, \lambda 2) = \lambda 2/\lambda 1 \text{ sqrt}(zp1/zp2) 2.51188643^{(m2-m1)/2}$$

Where zp1 and zp2 are the magnitude scale zero points at  $\lambda 1$  and  $\lambda 2$ . m1 and m2 are the magnitudes at  $\lambda 1$  and  $\lambda 2$ . The S( $\lambda 1, \lambda 2$ ) is greater than 1 if wavefront sensing is more precise at  $\lambda 1$  than at  $\lambda 2$ . Since the typical targets for this science case have V-R=1.3, V-I=3.0 and V-H=5.0 colors, the equation above gives:

S(V,R) = 0.76

S(V,I) = 0.546

S(V,H) = 0.97

The targets are therefore sufficiently red for I-band to be significantly better for wavefront sensing than V band, and the performance in R band wavefront sensing is intermediate. The photon-noise limited wavefront measurement error in I band is close to being half what it would be if V band was used. In addition to this photon-noise advantage, I-band wavefront sensing minimizes chromatic non-common path errors with the near-IR scientific imaging wavelength, while allowing non-overlapping spectral bands between wavefront sensing and scientific imaging. Interestingly, even if low-noise fast detectors were available in the near-IR, it is not as good for wavefront sensing as I-band, as the increased number of photon in the near-IR is not sufficient to compensate the longer wavelength. It is thus assumed in this study that wavefront sensing is performed in I-band, where low noise high QE fast detectors exist.

## 4.3 Expected contrast

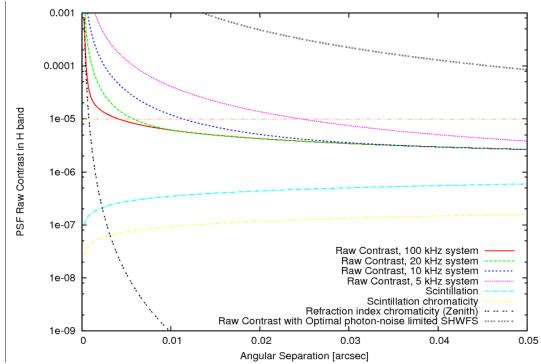


Figure 8. Expected raw PSF contrast for a mI=8 target. See text for details.

The raw PSF contrast is estimated in Figure 8 for a m<sub>i</sub>=8.5 target. In the 10 to 20 mas angular separation range where most of the exoplanets are imaged, the contrast is limited by time lag in the loop and photon noise, and the other fundamental limits to raw contrast (scintillation and atmospheric chromaticity effects) are much smaller. With a high efficiency wavefront sensor able to take advantage of the telescope's diffraction limit, the expected raw PSF contrast at these small separations is approximately 1e-5, provided that the servo lag is no more than about 0.1 ms. This unusually low servo lag can be achieved with a high WFS sampling frequency (>10 kHz), and/or the use of predictive wavefront control techniques. Figure 8 also shows that a seeing-limited WFS such as the SHWFS is very inefficient at these small angular separations<sup>18</sup>, and would be a poor choice for the system, even if it operates at its photon-noise limit with no loop servo lag other than the one imposed by photon noise. Much better choices include the Pyramid wavefront sensor (with little or no modulation) and the non-linear Curvature WFS<sup>19</sup>, currently under development, and soon to be tested on sky on the Subaru Telescope and the 6.5 m MMT telescope.

The analytical model used to estimate raw contrast was also tested for an 8 m diameter telescope under the same conditions. For a 1 kHz system with a diffraction-limited wavefront sensor on an 8 m telescope, the raw contrast at 0.1" is 3e-4 (limited by servo lag), and it is 3e-5 at 0.5". These numbers are consistent with the goals of the future Extreme-AO systems on such telescopes. The detection contrast limit is more difficult to estimate for this system, as a range of PSF calibration techniques could be used (spectral or polarimetric differentiation for example). For simplicity, it is assumed here that spectral or polarimetric PSF calibration techniques are not used, and that the detection limit is imposed by speckle structure in the long-exposure image and photon noise. It is also assumed that static and slow speckles that are not due to the atmosphere are removed by focal plane wavefront control, a scheme that has already demonstrate control and removal of static coherent speckles at the 3e-9 contrast level in the presence of much stronger dynamic speckles.

The PSF halo consists of rapid atmospheric speckles at the 1e-5 contrast level with a lifetime of no more than one millisecond (speckles of longer duration are suppressed by the AO loop). In a one-hour observation, this fast component can thus average to 5e-9 contrast assuming that the AO system has removed correlation on timescales above 1ms. In addition to these fast speckles, chromatic non-common path errors and scintillation create a speckle halo contribution at

the 1e-6 contrast level. Since this component is not controlled by the AO system, its coherence time is longer, at up to about 100ms in the near-IR. A 1-hr long observation will average this component by a factor  $\sim$ 200, to 5e-9 contrast level. Finally, photon noise in a 1-hr exposure for a mH star and a 1e-5 raw contrast will set a 1e-9 contrast limit for a 0.05  $\mu$ m effective spectral bandwidth. Combined together, the 3 effects lead to a detection contrast limit just below 1e-8 for a 1hr long exposure.

## 4.4 Possible system architecture

A possible system architecture is shown in figure 9, and designed to be as simple as possible while meeting the requirements listed above. Its main characteristics are:

- Given that the ExAO system needs to operate fast (10 kHz or faster) but does not need to clean the PSF halo over a large range of angles, it consists of a ultra fast low actuator count system (~12 x 12 actuators) placed after a conventional facility AO system. The conventional AO system's role is to provide a diffraction-limited PSF, and will require many actuators for an ELT, but can run relatively slowly (~kHz). The ExAO system achieves its speed and sensitivity over a small number of modes to keep its computational bandwidth and pixel read rate manageable. This architecture (slow tweeter followed by fast woofer) is opposite to current ExAO systems for which the second AO layer has a higher actuator count.
- Since the two AO system have different functions and very different temporal bandwidth, there is no need for communication between the two systems. The planet imaging instrument does therefore not need to interface with the facility AO system, simplifying development, testing and operation.
- A fast sensor dedicated to pointing and focus is implemented within the coronagraph.
- The coronagraph allows direct imaging at  $\lambda$ /D inner working angle
- The science camera an integral field spectrograph is designed for fast readout, compromising with field of view and spectral resolution. The fast readout (second or faster) allows efficient active suppression of slow speckles that are due to non-common path errors in the system.

# Possible system architecture

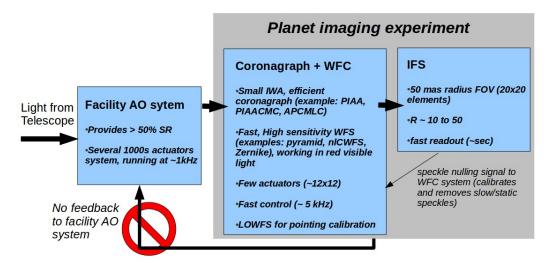


Figure 9. Possible system architecture for a focused instrument aimed at direct imaging and low resolution spectroscopy of habitable planets with an ELT.

## 5. CONCLUSIONS

Direct imaging of habitable planets around nearby M-type stars with ELTs appears to be feasible thanks to new techniques that allow high contrast imaging at small angular separation. While these planets are too close to be resolved by current telescopes, an ELT able to acquire high contrast imaging in the 10mas to 30mas separation range can image them, and their relatively high brightness would allow for spectroscopic investigations. For the top targets, Earth-size habitable exoplanet may even be detected.

The technologies required to achieve this goal exist, even though several key technologies have only recently been identified and not yet demonstrated at the required performance level in laboratories or on sky. The next decade will be extremely valuable to mature these techniques toward an integrated system that can be ready when ELTs begin science operations. Experimental systems on 8-m telescopes, such as the Subaru Coronagraphic Extreme-AO<sup>20</sup> (SCExAO) instrument, are rapidly maturing the techniques proposed required for this goal, and should continue to do so through this decade. Given the unusual requirements of such a system and the relatively small number of targets, a focused instrument (more akin to a science experiment than a facility instrument) should be developed instead of a general purpose extreme-AO system similar to the current generation of ExAO systems on 8 m class telescopes. This would allow for a relatively simple system with a rapid development schedule and moderate cost - an approach that would allow ELTs to acquire the first high quality spectra of nearby M-type habitable planets. This science goal is complementary to future space mission operating in visible light, which will need to target exoplanets at more challenging contrast levels around Sun-like stars due to limited angular resolution.

#### REFERENCES

- [1] Gliese, W., and Jahreiss, H., "Nearby Stars, Preliminary 3rd Version," VizieR On-line Data Catalog: V/70A (1995)
- [2] Skrutskie, M. F., Cutri, R. M., Stiening, R., Weinberg, M. D., Schneider, S., Carpenter, J. M., Beichman, C., Capps, R., Chester, T., Elias, J., Huchra, J., Liebert, J., Lonsdale, C., Monet, D. G., Price, S., Seitzer, P., Jarrett, T., Kirkpatrick, J. D., Gizis, J. E., Howard, E., Evans, T., Fowler, J., Fullmer, L., Hurt, R., Light, R., Kopan, E. L., Marsh, K. A., McCallon, H. L., Tam, R., Van Dyk, S., and Wheelock, S., "The Two Micron All Sky Survey (2MASS)," ApJ, Volume 131, pp. 1163-1183 (2006)
- [3] Reid, I. Neill, Hawley, Suzanne L., and Gizis, John E., "The Palomar/MSU Nearby-Star Spectroscopic Survey. I. The Northern M Dwarfs -Bandstrengths and Kinematics", Astronomical Journal v.110, p.1838 (1995)
- [4] Lacour, S., Tuthill, P., Amico, P., Ireland, M., Ehrenreich, D., Huelamo, N., and Lagrange, A.M., "Sparse aperture masking at the VLT. I. Faint companion detection limits for the two debris disk stars HD 92945 and HD 141569," A&A 532, L72 (2011)
- [5] Guyon, O., Pluzhnik, E. A., Kuchner, M. J., Collins, B., and Ridgway, S. T., "Theoretical Limits on Extrasolar Terrestrial Planet Detection with Coronagraphs," ApJS 167, 81–99 (2006).
- [6] Guyon, O., "Phase-induced amplitude apodization of telescope pupils for extrasolar terrestrial planet imaging," A&A 404, pp 379–387 (2003).
- [7] Traub, W. A. and Vanderbei, R. J., "Two-Mirror Apodization for High-Contrast Imaging," ApJ 599, 695-701 (2003).
- [8] Guyon, O., Pluzhnik, E. A., Galicher, R., Martinache, F., Ridgway, S. T., and Woodruff, R. A., "Exoplanet Imaging with a Phase-induced Amplitude Apodization Coronagraph. I. Principle," ApJ 622, 744–758 (2005).
- [9] Vanderbei, R. J. and Traub, W. A., "Pupil Mapping in Two Dimensions for High-Contrast Imaging," ApJ 626, 1079–1090 (2005).
- [10] Vanderbei, R. J., "Diffraction Analysis of Two-dimensional Pupil Mapping for High-Contrast Imaging," ApJ 636, 528–543 (2006).
- [11] Martinache, F., Guyon, O., Pluzhnik, E. A., Galicher, R., and Ridgway, S. T., "Exoplanet Imaging with a Phase-induced Amplitude Apodization Coronograph. II. Performance," ApJ 639, 1129–1137 (2006).
- [12] Pluzhnik, E. A., Guyon, O., Ridgway, S. T., Martinache, F., Woodruff, R. A., Blain, C., and Galicher, R., "Exoplanet Imaging with a Phase-induced Amplitude Apodization Coronagraph. III. Diffraction Effects and Coronagraph Design," ApJ 644, 1246–1257 (2006).

- [13] Belikov, R., Kasdin, N. J., and Vanderbei, R. J., "Diffraction-based Sensitivity Analysis of Apodized Pupil mapping Systems," ApJ 652, 833–844 (2006).
- [14] Guyon, O., Martinache, F., Belikov, R., and Soummer, R., "High Performance PIAA Coronagraphy with Complex Amplitude Focal Plane Masks," ApJS 190, 220–232 (2010).
- [15] Soummer, R., Dohlen, K., and Aime, C., "Achromatic dual-zone phase mask stellar coronagraph,", A&A, vol 403, pp 369-381 (2003)
- [16] Guyon, O., Matsuo, T., and Angel, R., "Coronagraphic Low-Order Wave-Front Sensor: Principle and Application to a Phase-Induced Amplitude Coronagraph," ApJ 693, 75–84 (2009).
- [17] Vogt, Frédéric P. A., Martinache, Frantz, Guyon, Olivier, Yoshikawa, Takashi, Yokochi, Kaito, Garrel, Vincent, and Matsuo, Taro, "Coronagraphic Low-Order Wavefront Sensor: Postprocessing Sensitivity Enhancer for High-Performance Coronagraphs," PASP, 123, pp.1434-1441 (2011)
- [18] Guyon, O., "Limits of Adaptive Optics for High-Contrast Imaging", ApJ, 629, pp. 592-614 (2005)
- [19] Guyon, O., "High Sensitivity Wavefront Sensing with a Nonlinear Curvature Wavefront Sensor," PASP, Volume 122, issue 887, pp. 49-62 (2010)
- [20] Martinache, F., Guyon, O., Clergeon, C. S., Garrel, V., "The Subaru coronagraphic extreme-AO project: first observations," Society of Photo-Optical Instrumentation Engineers (SPIE) Conference Series 8847 (2012).