

# Direct imaging of habitable planets from ground and space

**Olivier Guyon** (*Subaru Telescope & University of Arizona*)

## **Direct imaging of habitable exoplanets with space telescopes**

- New technologies: coronagraphy, wavefront control
- Scientific opportunities – what size telescope ?
- The case for a multi-purpose mission
  - Wide field imaging, coronagraphy and astrometry ?

## **Direct imaging of habitable exoplanets with ELTs**

Why M dwarfs ?

Why ELTs may be the first to find evidence of extraterrestrial life ?

The SCExAO system: a precursor on an 8-m telescope

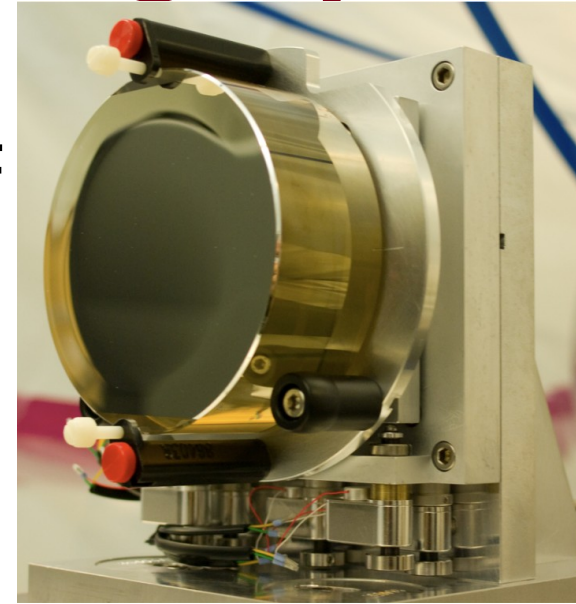
Complementarity with space projects

# Phase-Induced Amplitude Apodization (PIAA) coronagraph

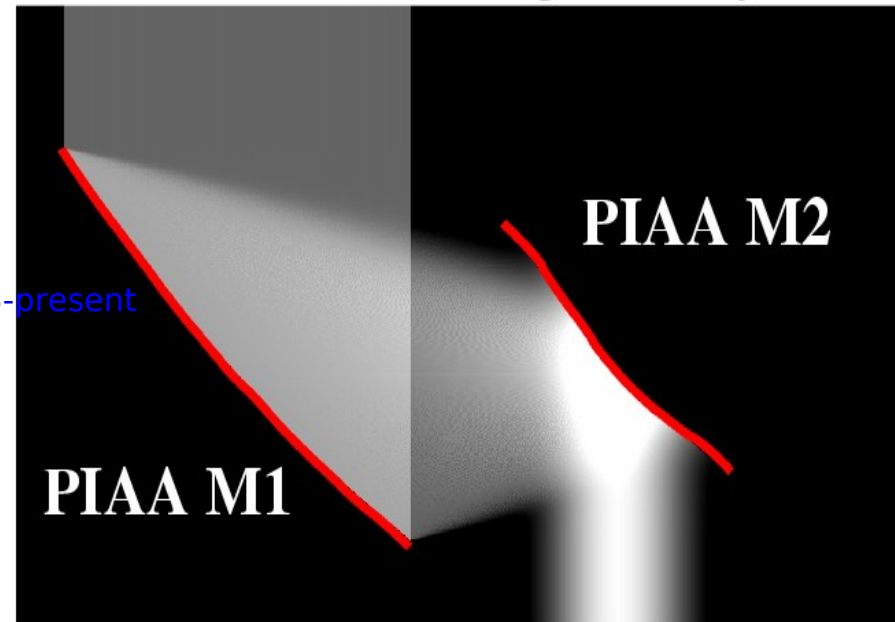
**Utilizes lossless beam apodization with aspheric optics (mirrors or lenses) to concentrate starlight in single diffraction peak (no Airy rings).**

- high contrast (limited by WF quality)
- Nearly 100% throughput
- IWA  $0.64 \lambda/D$  (PIAACMC) to  $2 \lambda/D$
- 100% search area
- no loss in angular resol.
- can remove central obsc. and spiders
- achromatic (with mirrors)

Refs: Guyon, Pluzhnik, Vanderbei, Traub, Martinache ... 2003-present  
Lab demos at NASA Ames, NASA JPL for space coronagraphy



Light intensity



# Focal plane wavefront sensing (speckle nulling, EFC, SCC ...)

## Use focal plane science image as wavefront sensor:

No non common path errors → the required  $1e9$  raw contrast can be obtained with conventional optics

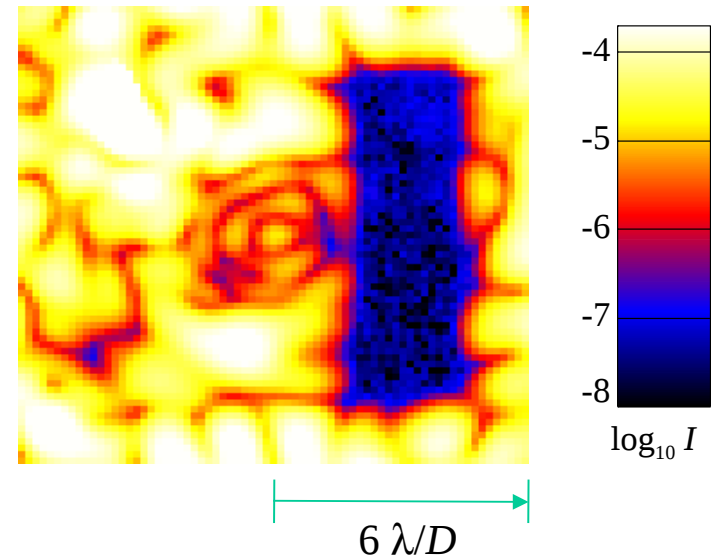
High sensitivity → nearly optimal use of star photons (as opposed to SHWFS for example)

## Current lab raw contrast $\sim 1e-9$

PIAA achieved  $4e-9$  at 2 I/D [JPL/Ames/UofA]

OVC achieved  $4e-9$  at 2.5 I/D [Serabyn et al., JPL]

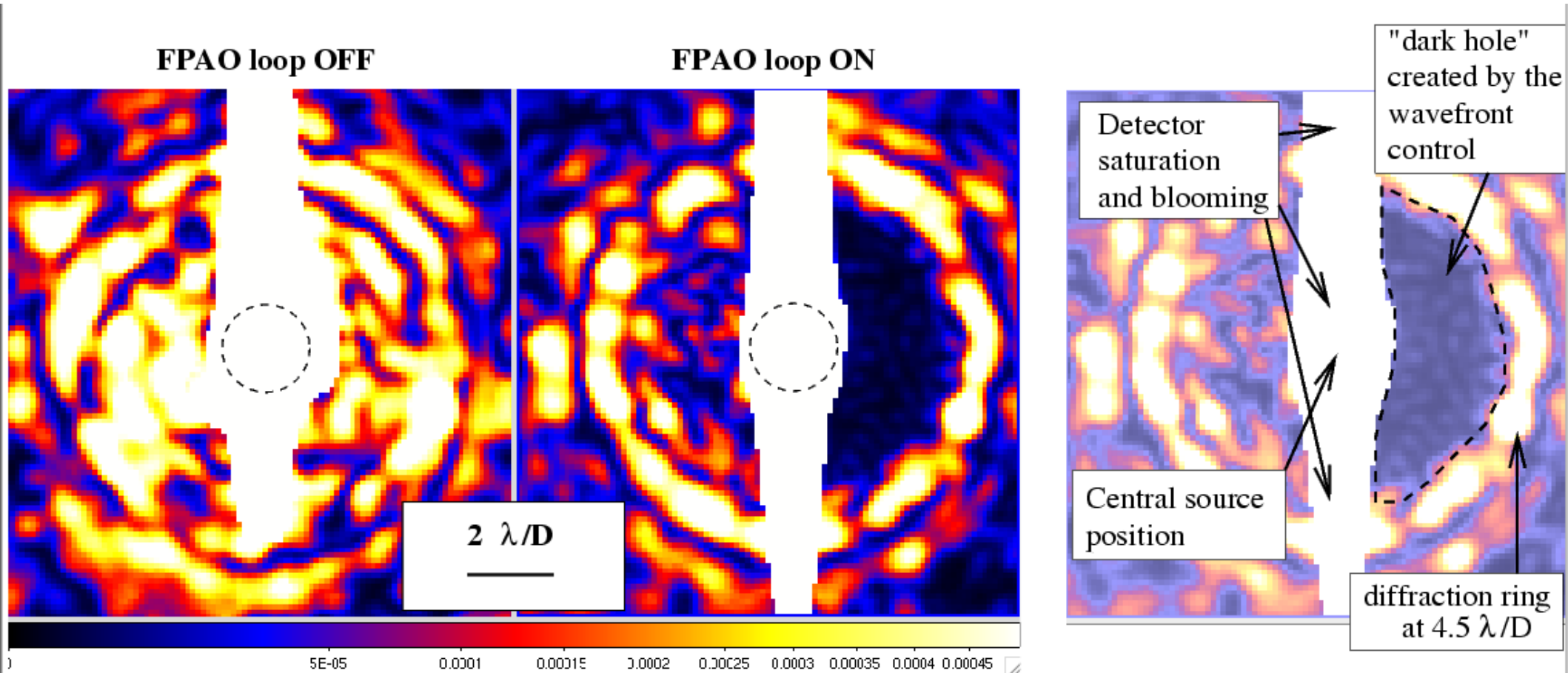
Lyot achieved  $<1e-9$  at 4 I/D [Trauger et al., JPL]



## Ongoing work to :

- improve contrast to  $<1e-9$
- achieve contrast in polychromatic light (promising results with Lyot)
- pointing control to  $<\text{mas}$  jitter,  $<0.1\text{mas}$  calibration
- system level work to simultaneously combine high contrast, low IWA, pointing control and polychromatic WFC

# Lab results with PIAA coronagraph + FPAO with 32x32 MEMs DM



See also results obtained at NASA JPL HCIT, NASA Ames & Princeton lab

**All high contrast coronagraphic images acquired in lab use this technique.**

- No conventional AO system has achieved  $>1e-7$  contrast
- Focal plane AO has allowed  $1e-9$  to  $1e-10$  contrast in visible light, with  $\sim\lambda/10$  optics

# Coronagraphic LOWFS

(Guyon et al. 2010)

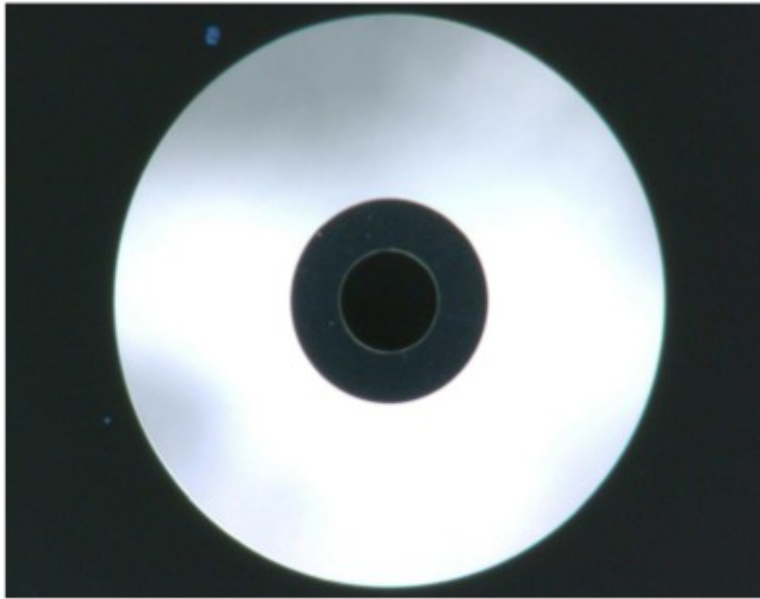
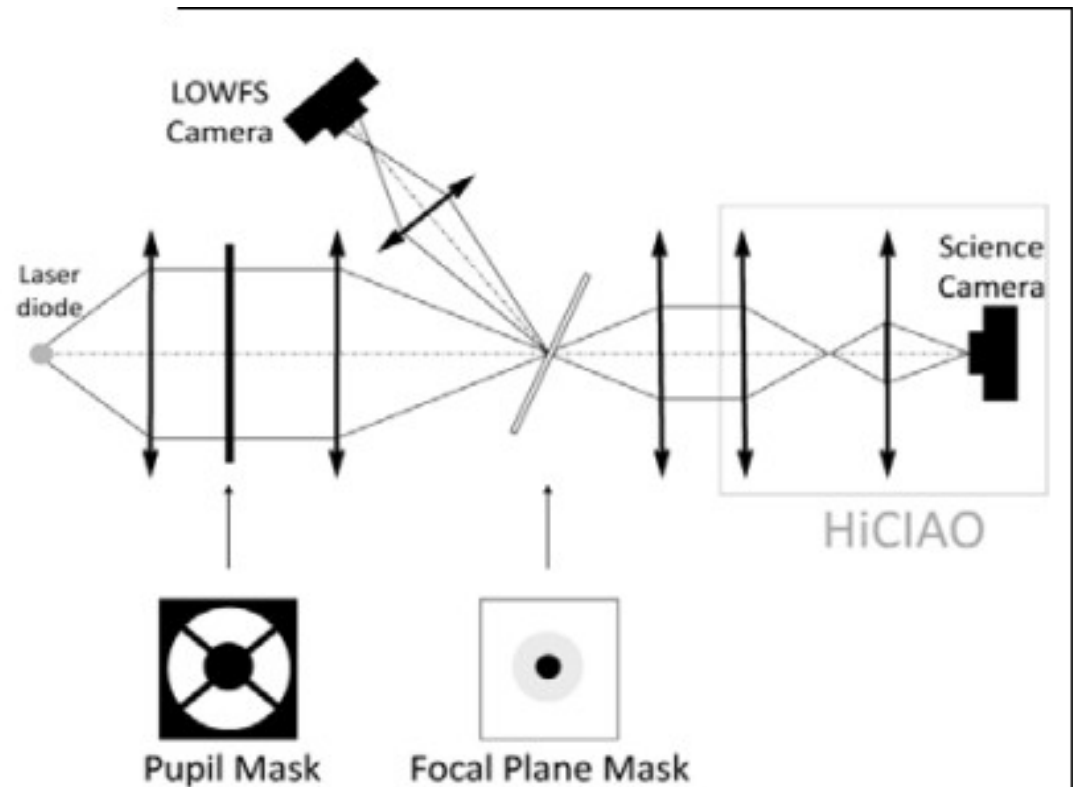
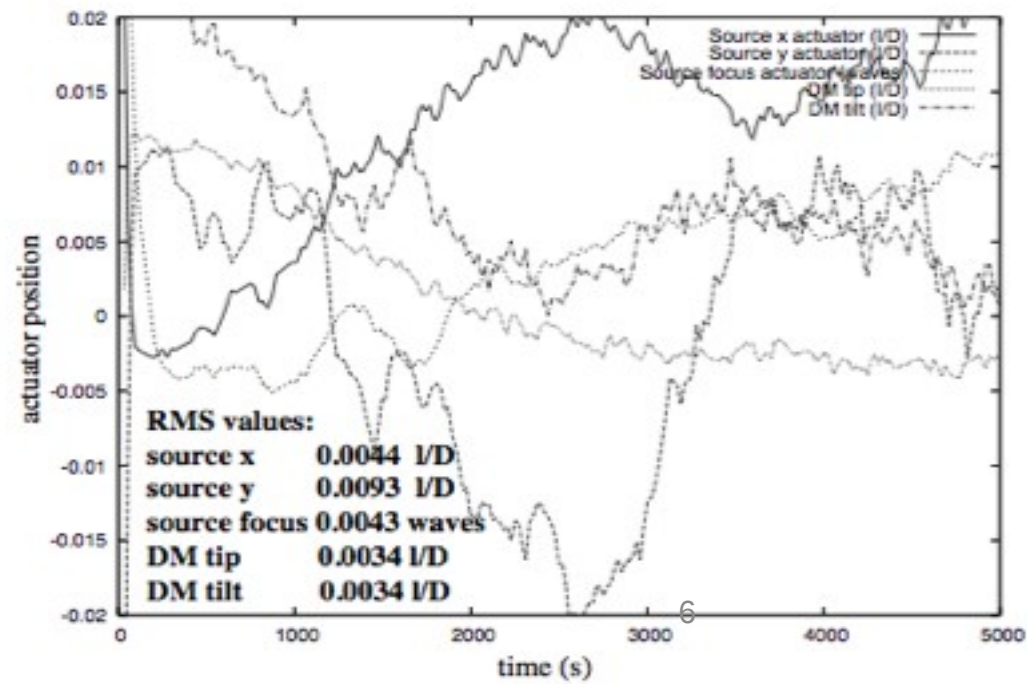
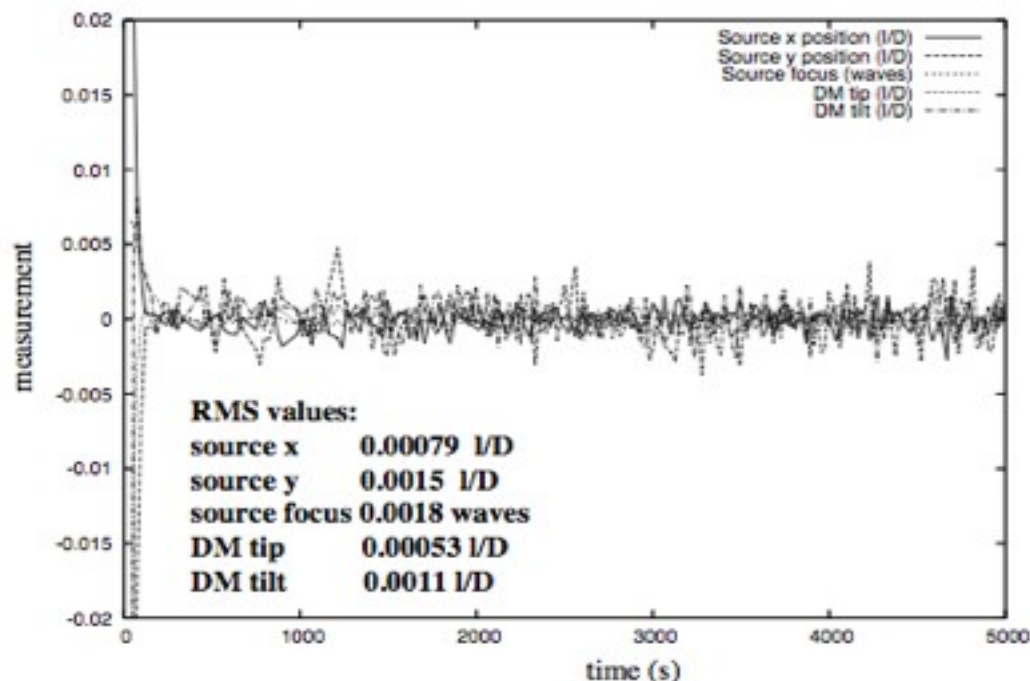
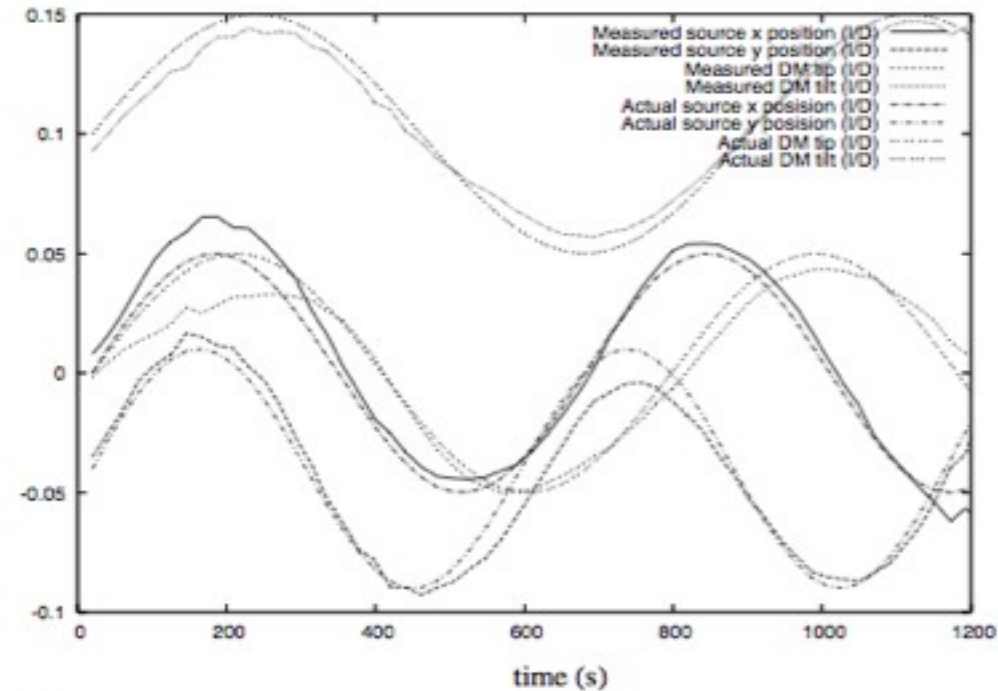
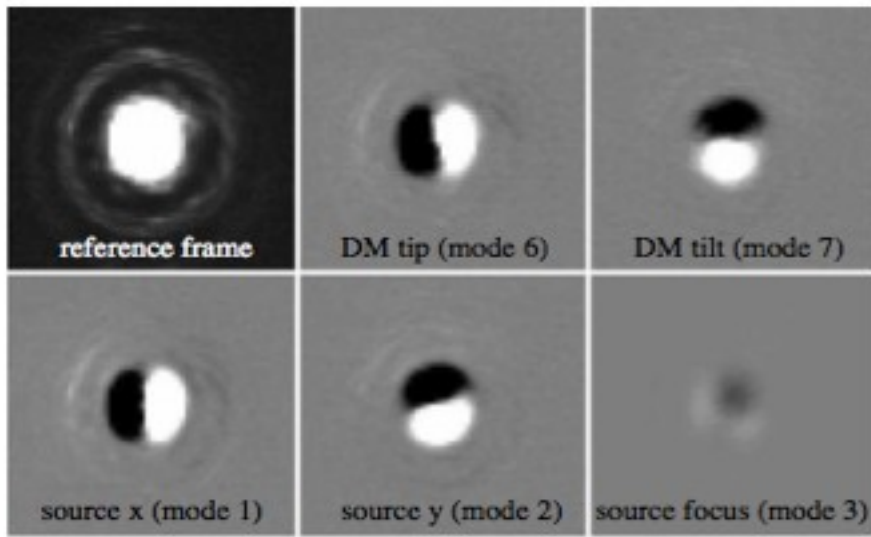


Fig. 9.— CLOWFS focal plane mask used in the PIAA coronagraph laboratory testbed at Subaru Telescope. The 100 micron radius mask center is opaque (low reflectivity), and is surrounded by a 100 micron wide highly reflective annulus. The science field, transmitting light to the science camera, extends from 200 micron to 550 micron radius.





# Pointing control demonstrated to $1\text{e-}3 \lambda/D$ in visible (3x better in vacuum at JPL)



# Mission opportunities

## **Sub-orbital (balloon, sounding rocket)**

low cost, but limited focused science: Exozodi disks (& giant planet(s) ?)

Technology maturation for larger missions

**PICTURE MISSION ONGOING**

## **Small mission (Explorer size) – few \$100Ms**

<1-m telescope, relatively simple instrument

Example: EXCEDE's 0.7-m telescope with PIAA coronagraph in optical

Solid exozodi/disks science case, few giant planets

**EXCEDE FUNDED FOR TECHNOLOGY DEV**

## **Probe-class mission – \$1B to \$2B**

~1.5-m telescope, dedicated to direct imaging of exoplanets

Great for Jupiters. Could image few super-Earths ?

Examples: EPIC, ECLIPSE, PECO, some external occulter mission

**TOO COSTLY (~\$1.6B for 1.5m according to astro2010) FOR THIS DECADE**

## **Flagship - > \$2B - REQUIRED FOR SPECTROSCOPY OF EXOEARTHs**

2-m to 4-m general purpose telescope in 2030s ? Larger 4-m to 8-m beyond 2030s ?

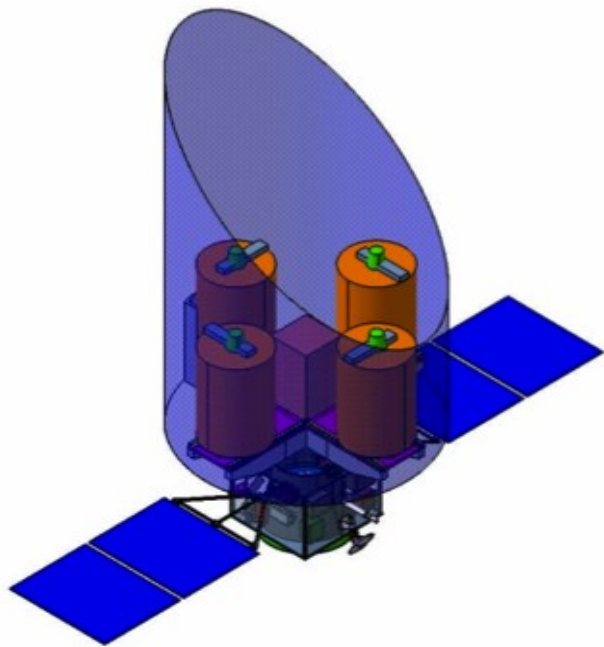
Coronagraph would be one instrument in a large flagship mission

Other likely instrument is wide field diffraction limited imaging in optical (nearUV, nearIR ?)

**DEDICATED EXOPLANET MISSION (TPF, DARWIN) UNLIKELY DUE TO COST**

**TOO COSTLY FOR THIS DECADE, COULD GAIN COMMUNITY SUPPORT FOR MISSION IN 2030s**

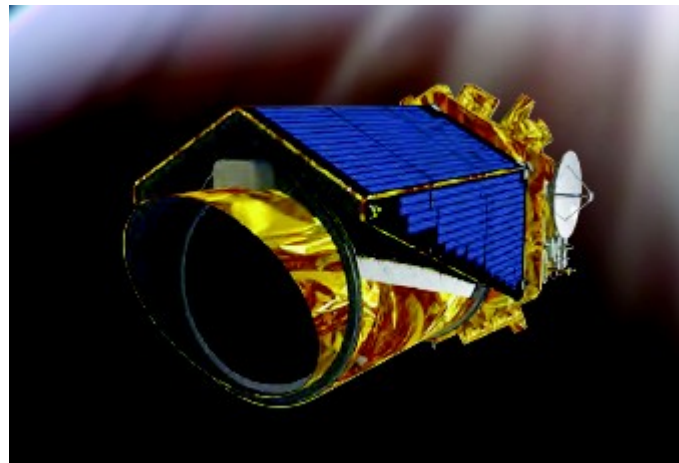
# Probe class missions - internal coronagraphs



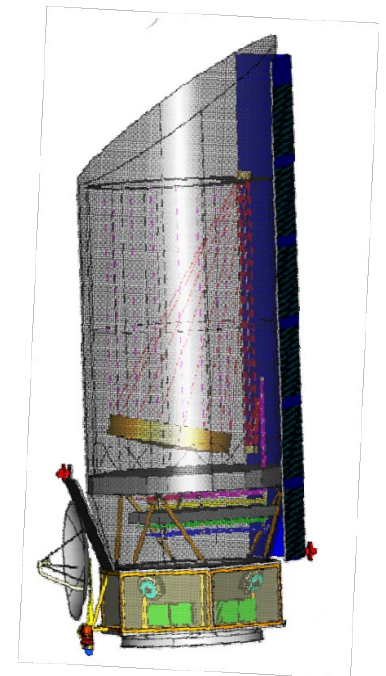
DAVINCI



ACCESS



EPIC



PECO<sub>8</sub>

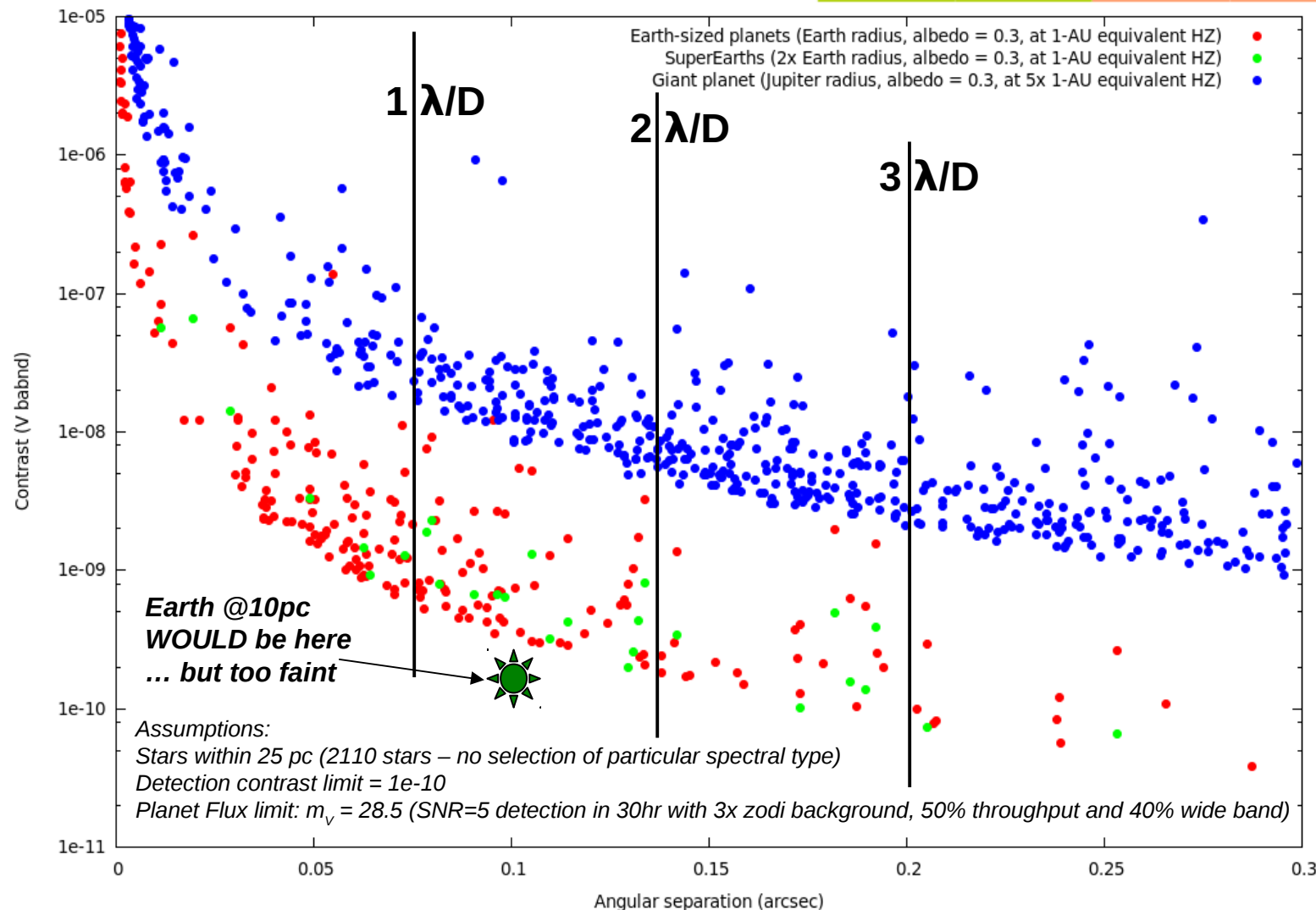


# Performance Requirements (D=1.5m)

## Inner Working Angle, Contrast and Sensitivity

D = 1.5m,  $\lambda = 500\text{nm}$

1 $\lambda/D$ (0.069")	1.5 $\lambda/D$ (0.103")	2 $\lambda/D$ (0.137")	2.5 $\lambda/D$ (0.172")	3 $\lambda/D$ (0.206")	3.5 $\lambda/D$ (0.241")	4 $\lambda/D$ (0.275")
2 152	94	52	29	26	20	11
4 35 574	1 10 514	4 435	3 348	1 287	1 219	1 160
22 102 778	15 49 718	8 26 639	7 18 552	2 7 491	2 5 423	2 3 364



**Earth-like planet**  
 Albedo = 0.3  
 1 Earth radius  
 At 1AU-scaled HZ

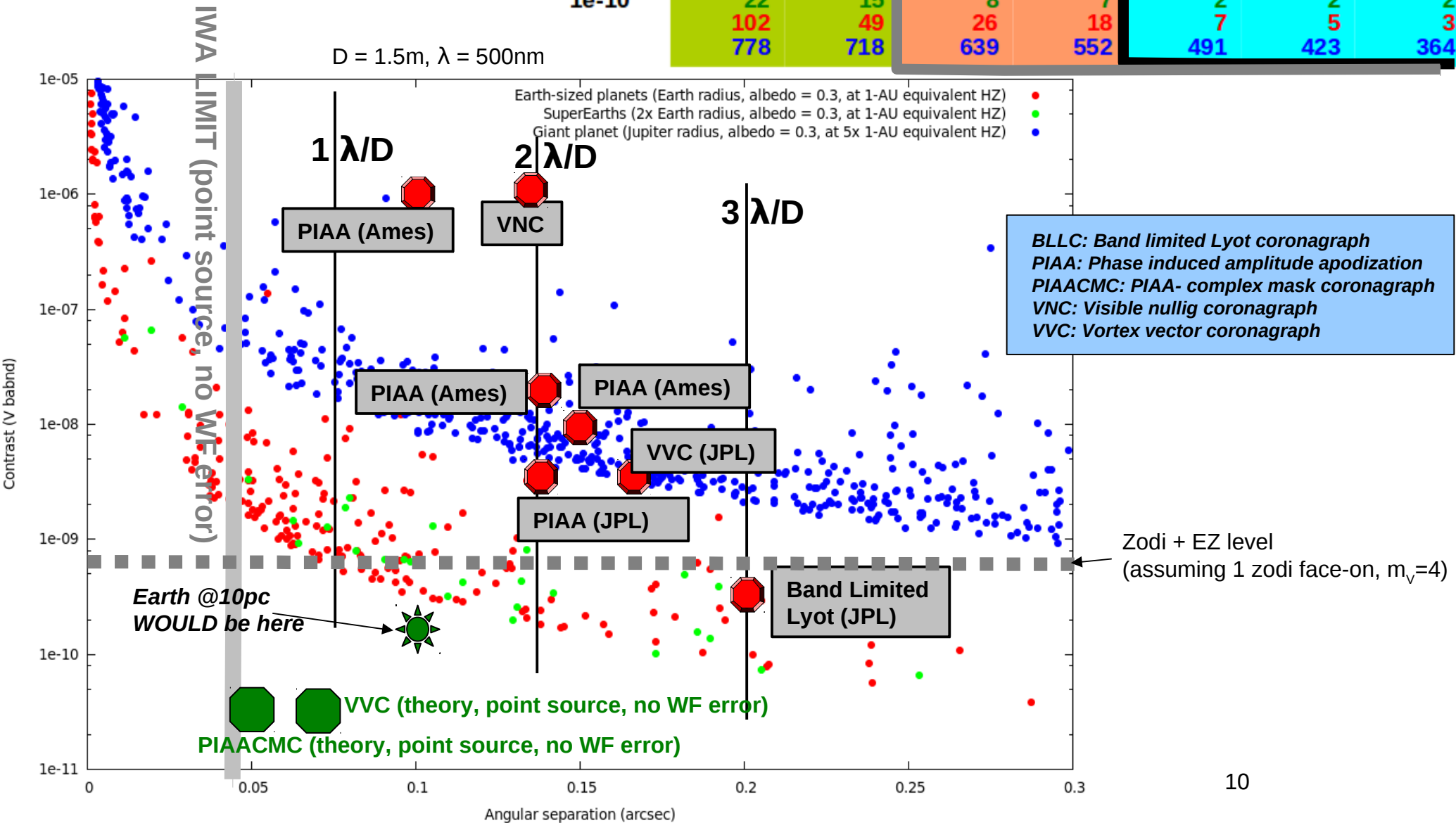
**SuperEarth**  
 Same as above  
 2 Earth radius

**Giant planet**  
 Jupiter size  
 Albedo = 0.3  
 At 5x HZ

# Technology Status

## Contrast & IWA

	1 $\lambda/D$ (0.069")	1.5 $\lambda/D$ (0.103")	2 $\lambda/D$ (0.137")	2.5 $\lambda/D$ (0.172")	3 $\lambda/D$ (0.206")	3.5 $\lambda/D$ (0.241")	4 $\lambda/D$ (0.275")
1e-8	2 152	94	52	29	26	20	11
1e-9	4 35 574	1 10 514	4 435	3 348	1 287	1 219	1 160
1e-10	22 102 778	15 49 718	8 26 639	7 18 552	2 7 491	2 5 423	2 3 364



# Exoplanet direct imaging mission: what should we aim for ?

**Spectra of Jupiters in 2030s will not be attractive at the >\$1B level**

JWST transit spectroscopy in IR

Competition from smaller missions and ground-based transit spectroscopy

Direct imaging with ELTs will do spectroscopy (in near-IR) of giant planets 2030s

**exoEarth spectra will require flagship (2-m or larger)**

mission performance is a very steep function of aperture: sample size grows as 3<sup>rd</sup> power of telescope diameter. Spectroscopy requires collecting area

**Flagship would eat up large part of astrophysics budget for > decade**

→ will require broad community support, multiple science goals/instruments

**Science return per \$ is much higher by building instrument for flagship (>2-m) than paying for full mission**

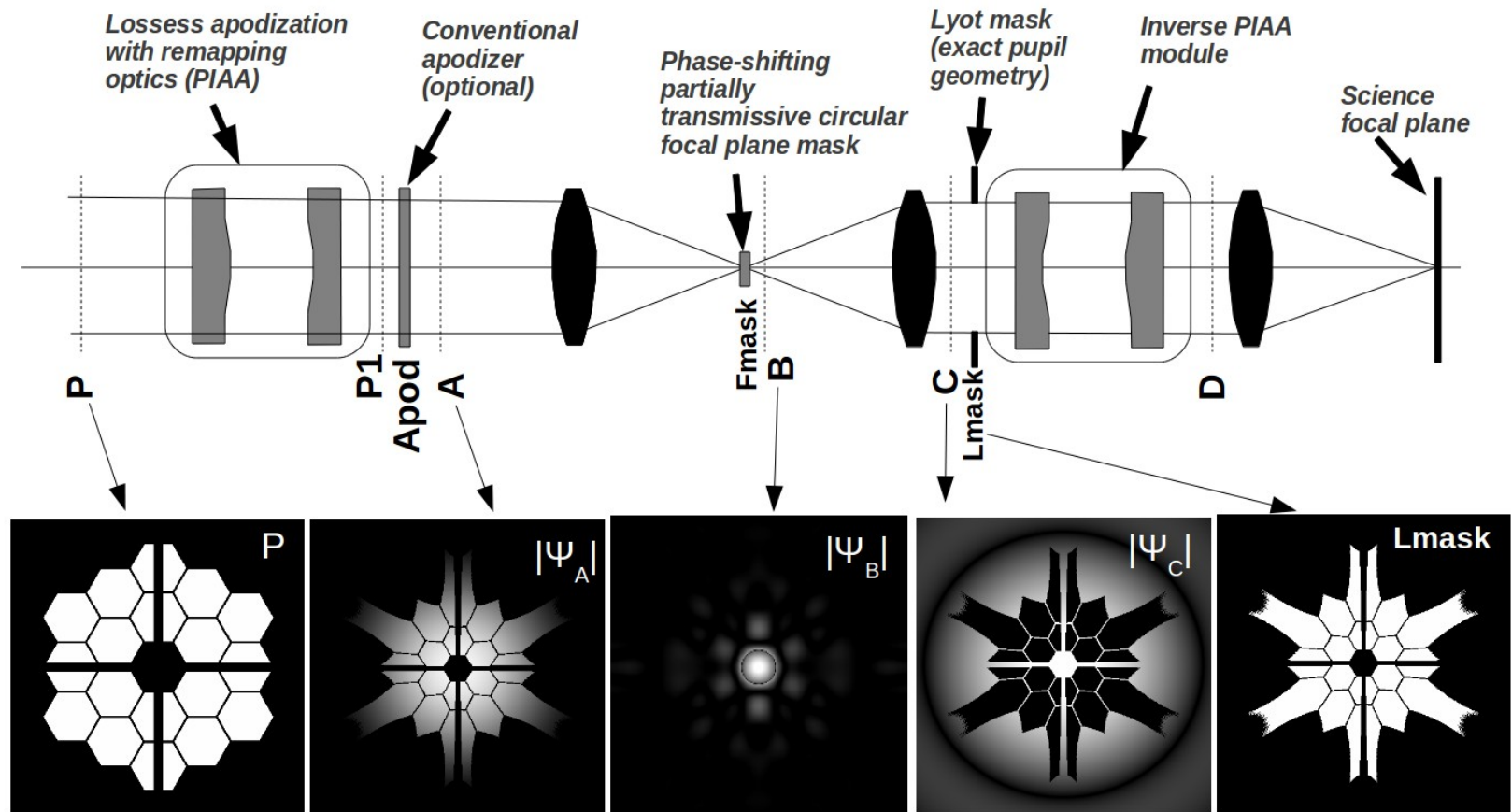
Coronagraph instrument may cost \$100Ms, as part of a multi-\$B mission

→ we need to think very hard about building a coronagraph instrument that is not driving the telescope cost (central obstruction, wavefront quality)

→ we need to look very hard into combining several measurements into a single mission (detection, spectroscopy, astrometry ?) instead of queuing missions over several decades

# High performance coronagraphy on complex apertures is possible

## Phase Induced Amplitude Apodized Complex Mask Coronagraph (PIAACMC)



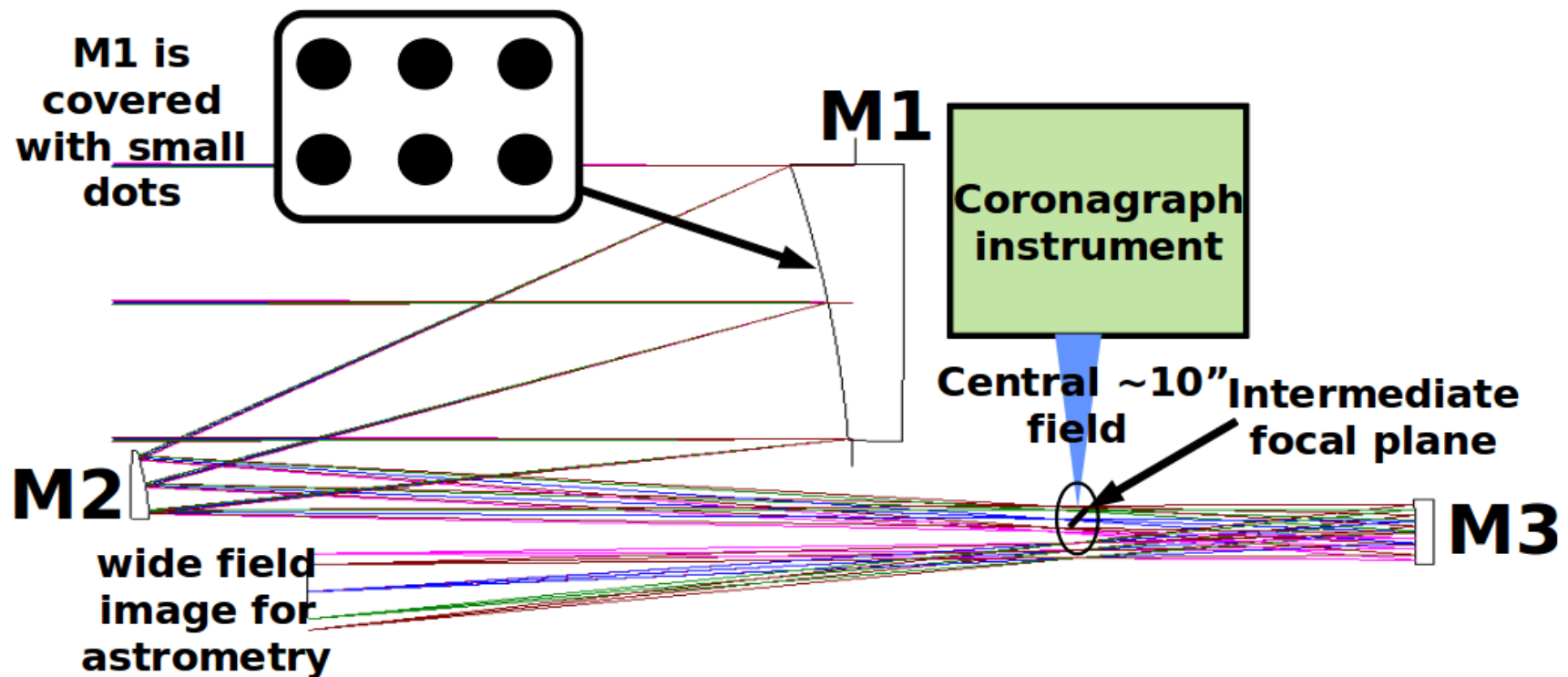
IWA < 1 I/D

100% throughput

No stellar residual light

Polychromatic light focal plane mask under fabrication

# Astrometry with a general purpose wide field telescope ?



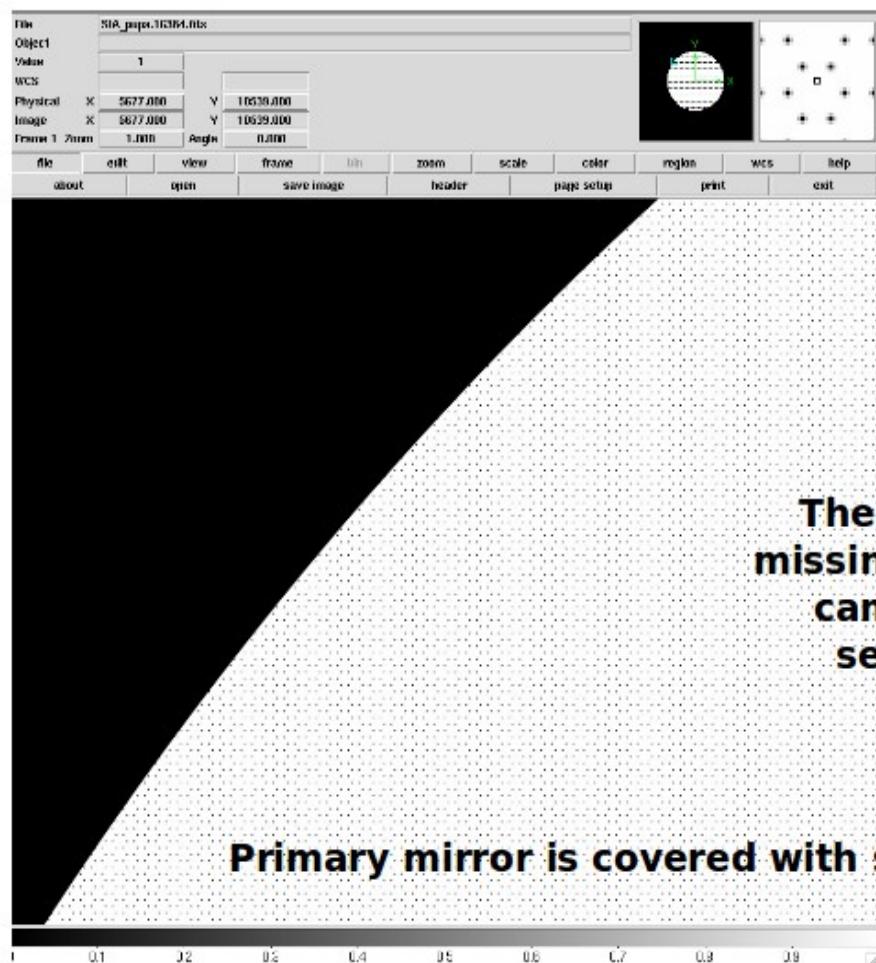
Single telescope with coronagraph instrument and wide field camera working simultaneously

Diffractive pupil (dots on primary mirror)

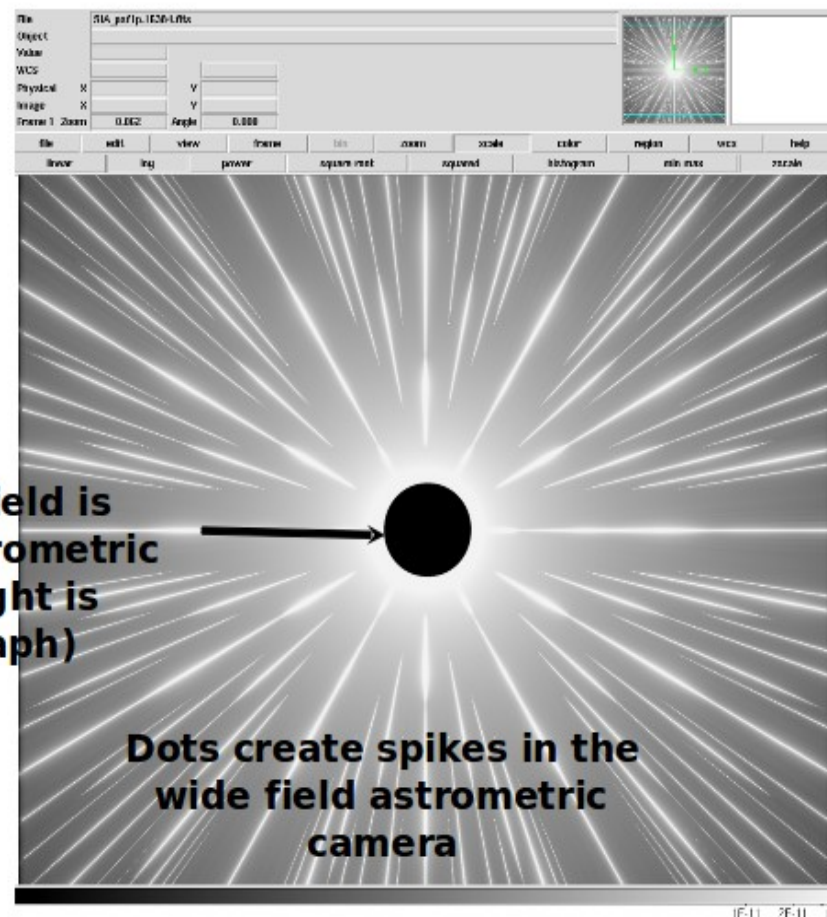


# Astrometry with a general purpose wide field telescope ?

**Dots on primary mirror create a series of diffraction spikes used to calibrate astrometric distortions**

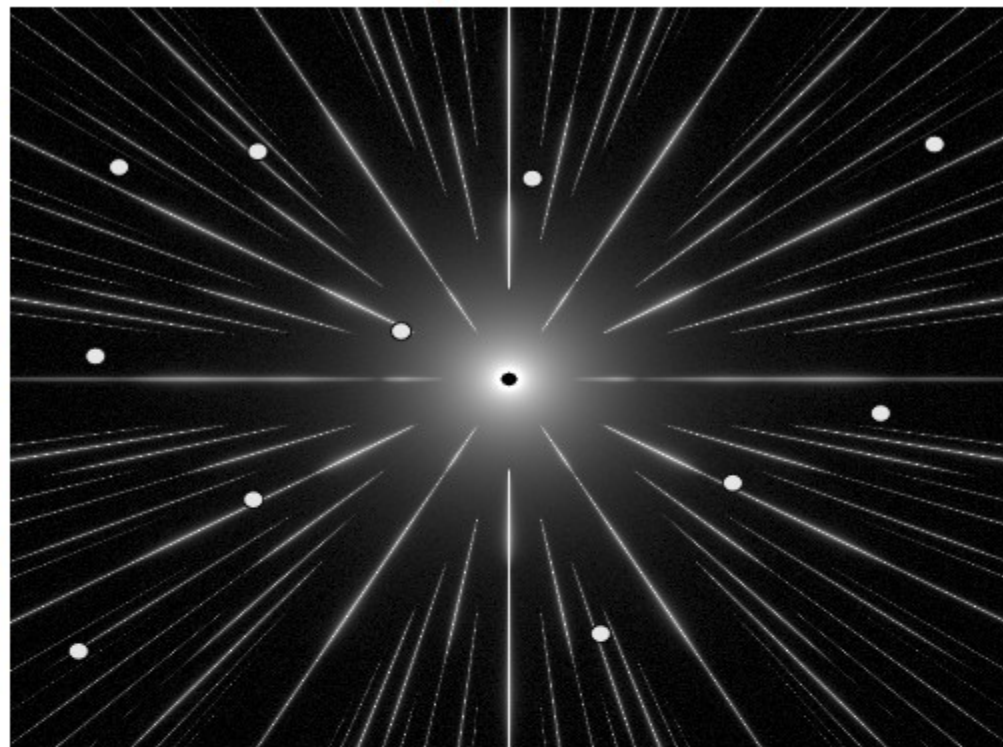


**The center of the field is missing from the astrometric camera (central light is sent to coronagraph)**

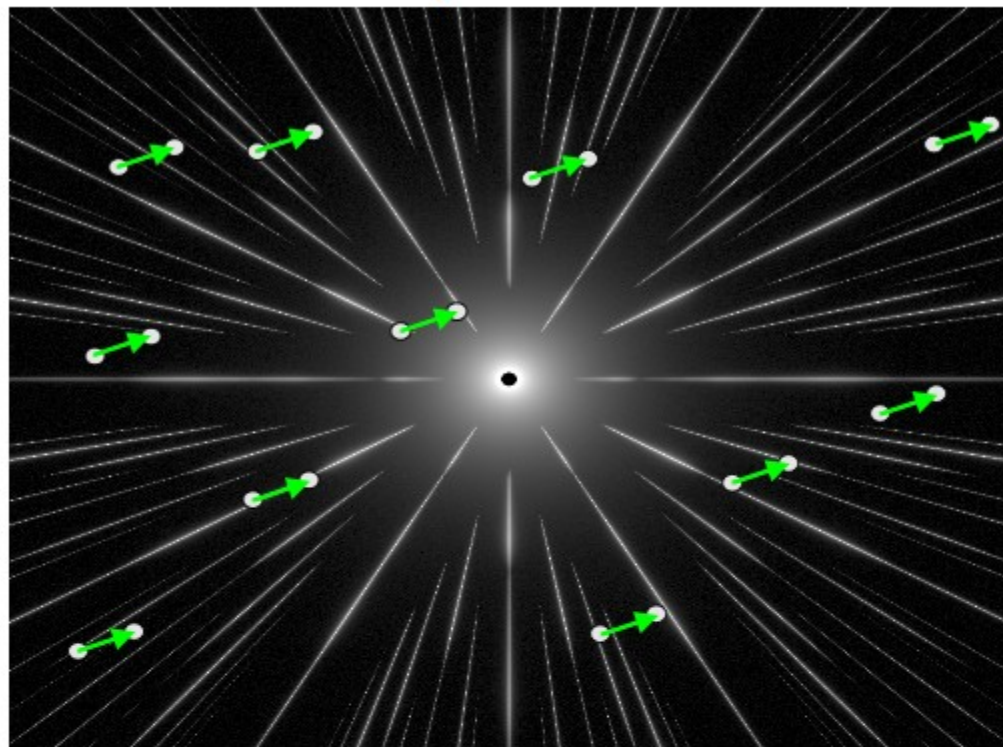




Epoch #1

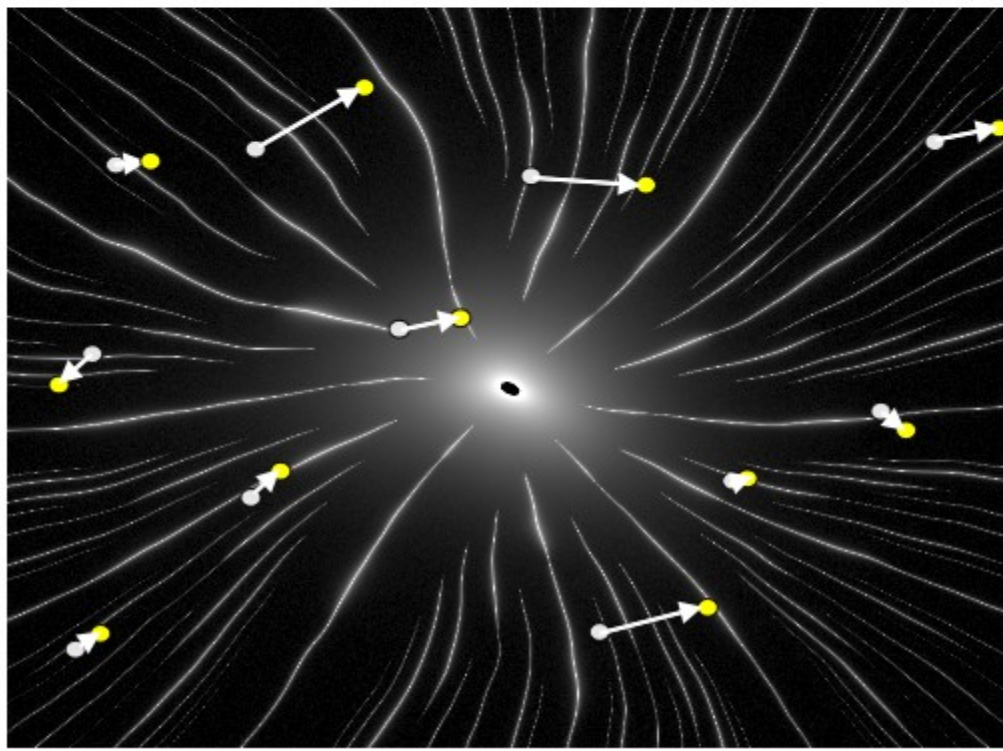
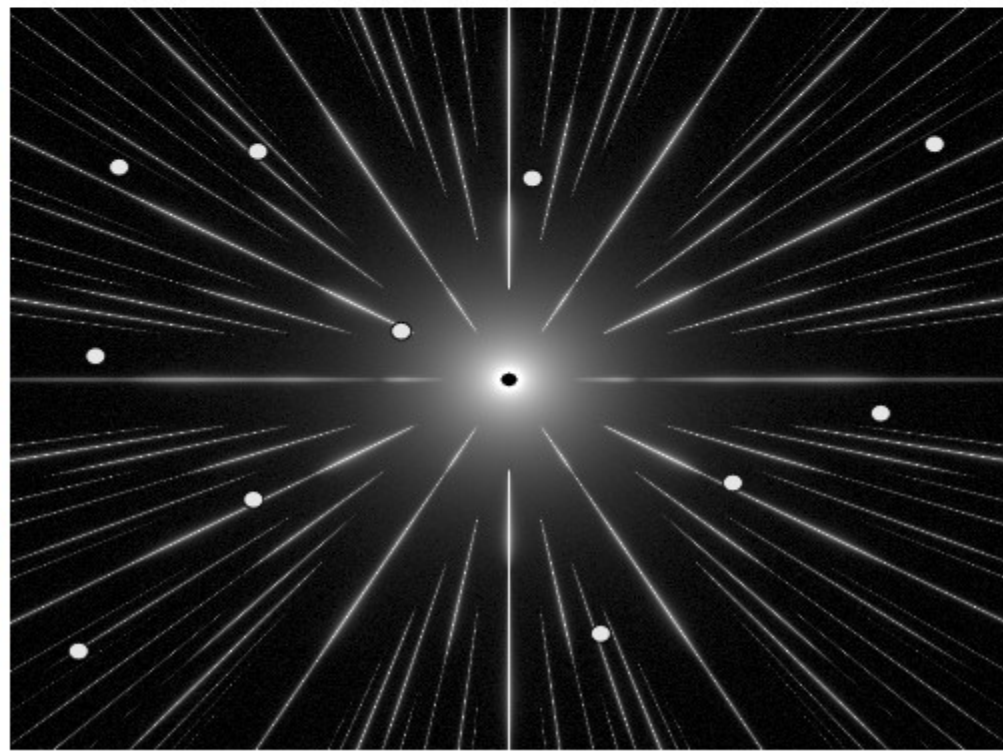


Epoch #2



Ideal system (no distortions)

Real system (with distortions)



# Lab data (E. Bendek et al.)



## Lab data (E. Bendek et al.)



# Direct imaging with ELTs: Science goals

***ExAO instrument on ELT timescale for science return  
~ 2020s***

## **Detection of Jupiter-like giants**

Good science (statistics), but not Earth-shattering  
Competition from indirect techniques and space (JWST?)

## **Spectroscopy of Jupiter-like giants**

## **Planet formation**

ELT well suited for this science goal

## **Imaging and low resolution spectroscopy of rocky planets In habitable zones**

***Unique to ELTs for low-mass stars***

*May also be first opportunity to image*

*Habitable planets (timing of space mission ?)*



# Challenges and strategy

Earth twin at 10pc (nominal system for space-based mission studies)

**NOT DETECTABLE WITH ELTs**

Too faint, contrast too extreme ( $\sim 10^{10}$ )

***Thermal emission from young planets***

**Young = not habitable ...**

**NOT DETECTABLE WITH ELTs**

Strategy works well for massive young planets, but:

(1) luminosity drops rapidly with lower mass

(2) young systems are not very close to us ( $\sim 50 - 100$  pc ?)

→ Rocky planets too faint

**OPTIMAL STRATEGY:**

**Reflected light imaging around nearby low mass stars**

Key advantage of ELTs is IWA

Reduced contrast challenge

Nearby stars → apparent luminosity is more favorable

# **Reflected light imaging**

## **Science vs instrument performance**

### **Thermal emission:**

Flux is steep function of planet mass

0.5 MJ is much harder than 1 MJ

Increased science return (lower mass) requires significant instrument performance improvement

### **Reflected light:**

Flux is shallow function of planet mass

0.5 MJ is about as hard as 1 MJ

Large increase in science return (low mass) obtained by moderate instrument performance improvement

### **Prediction:**

Once the first planets are imaged in reflected light, steady and fast progress expected

# Science goals, targets

## Key assumptions, absolute limits

### Fundamental limits of ExAO system:

#### (1) Raw contrast

- Expected to be 14x better than on 8-m telescope
- $1e-5$  on 8-m telescope  $\rightarrow 7e-7$  on 30-m telescope

#### (2) Detection contrast

- Expected to be 14x better than on 8-m telescope
- $1e-7$  on 8-m telescope  $\rightarrow 7e-9$  on 30-m telescope

#### (3) IWA $\sim 1 \lambda/D$

- Scales as  $1/D$ : 40mas on 8-m, 10mas on 30-m

#### (4) Background-limited sensitivity (1hr, SNR=5)

- mH: 23.5 on 8-m telescope  $\rightarrow$  mH = 26.5 on 30-m

### Assuming Super-Earths ( $\sim 2x$ Earth diameter)

- Still potentially habitable
- Easier than Earths:  $1e9$  contrast at 1 AU separation (Earth  $\sim 2e10$ )
- Abundant (HARPS results:  $\sim 30\%$  occurrence)

Detection, colors: mH = 26.5 limit on planet

Spectroscopy ( $R=200$ , SNR = 5): mH = 21.5 limit on planet

$\rightarrow$  ability to analyze atmosphere composition, biological activity

# Reflected light imaging: Contrast vs separation

Known stars within 25pc

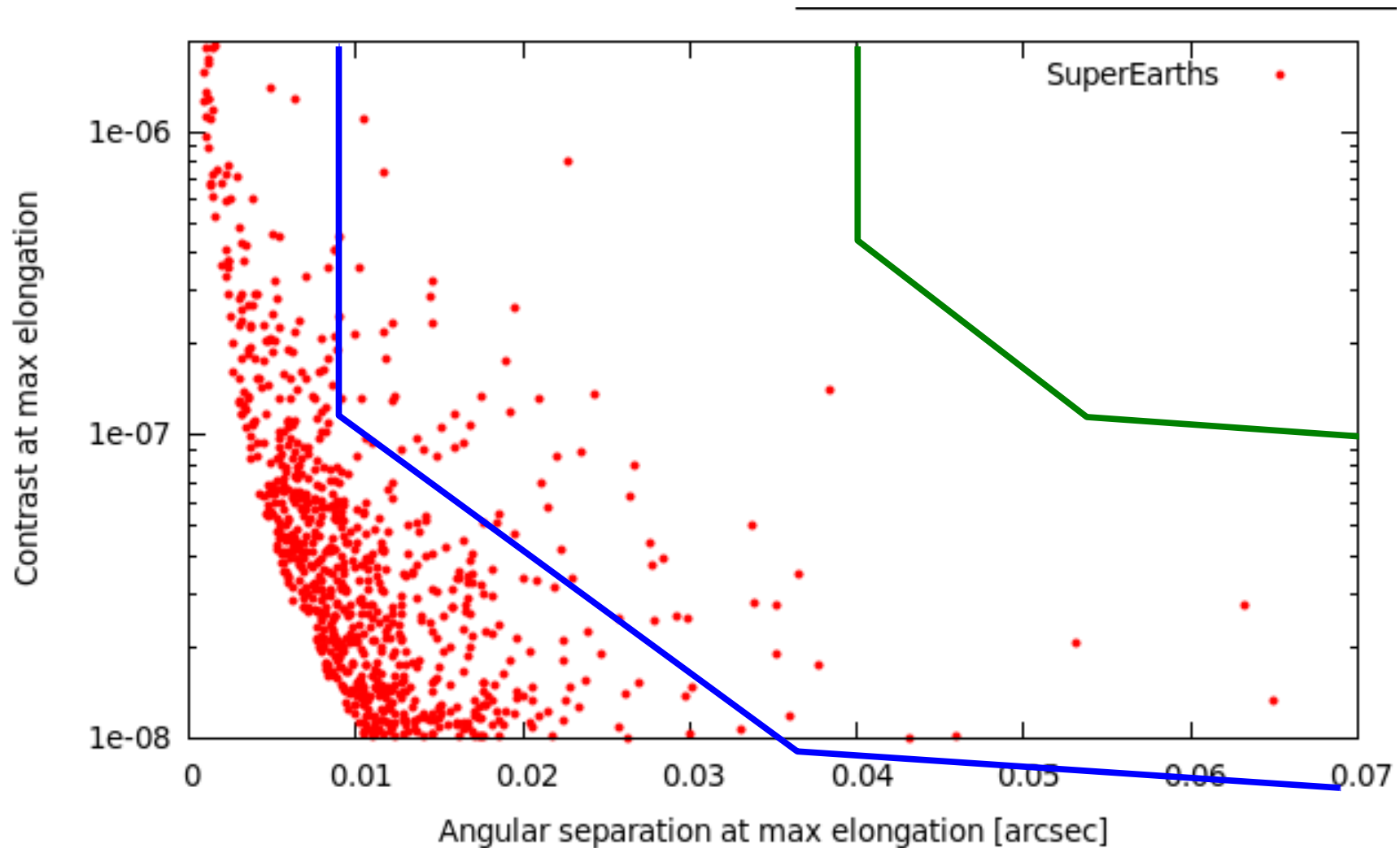
- computed bolometric luminosity
- computed location of habitable zone (1 AU equivalent)
- placed a 2x Earth size planet, Earth albedo, at max elongation

2MASS for near-IR colors

# Reflected light imaging: Contrast vs separation (H band)

8-m telescope, 1  $\lambda/D$

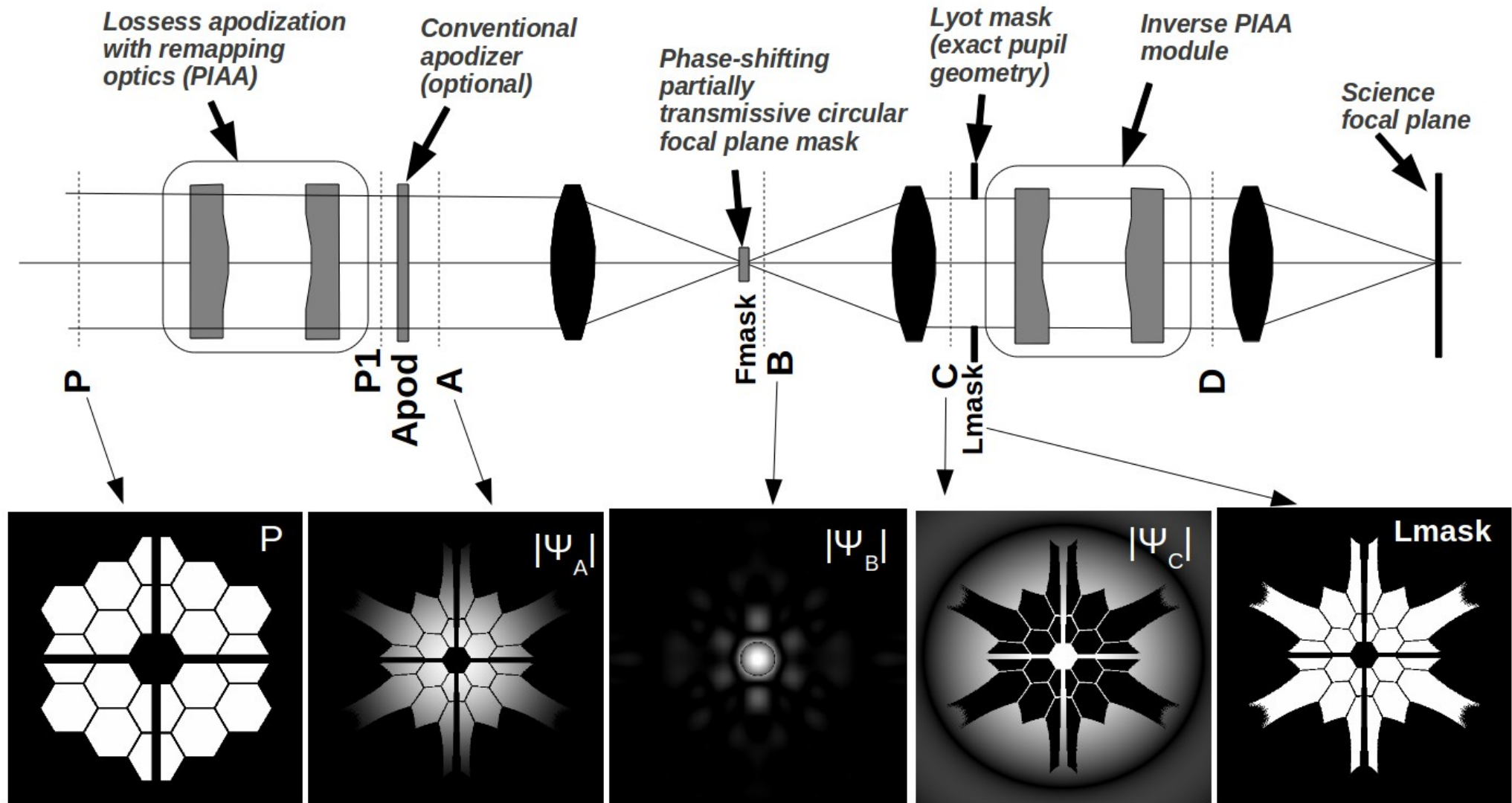
30-m telescope, 1  $\lambda/D$



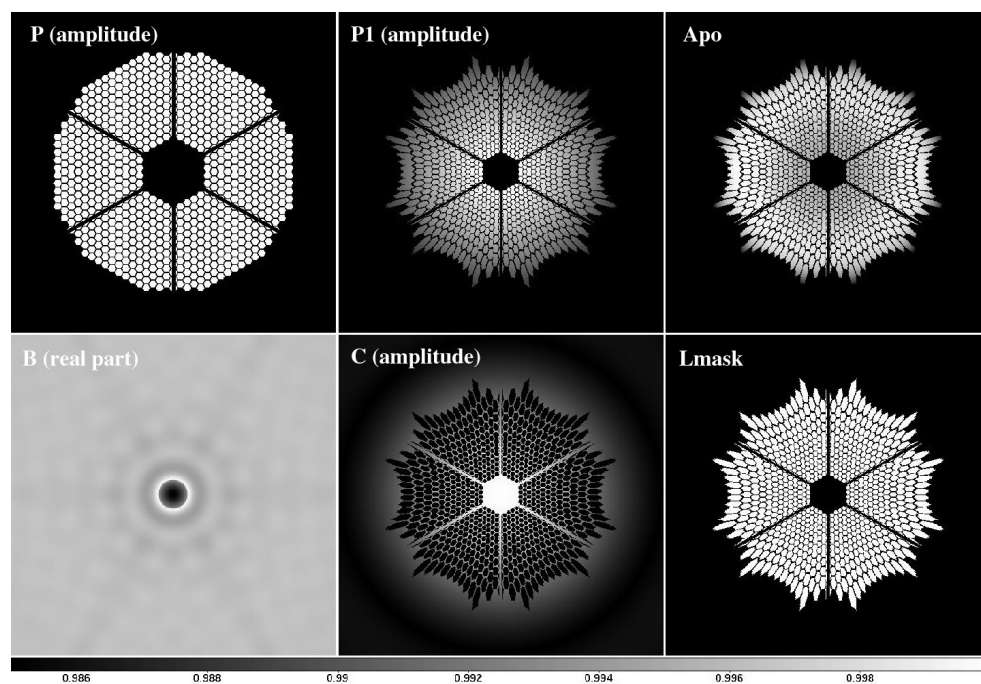
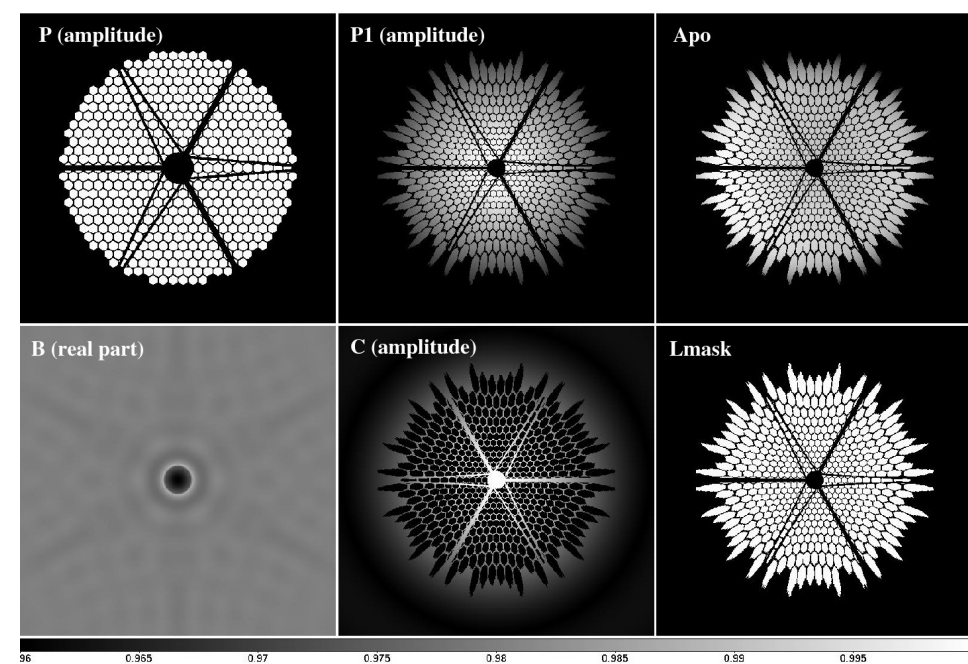
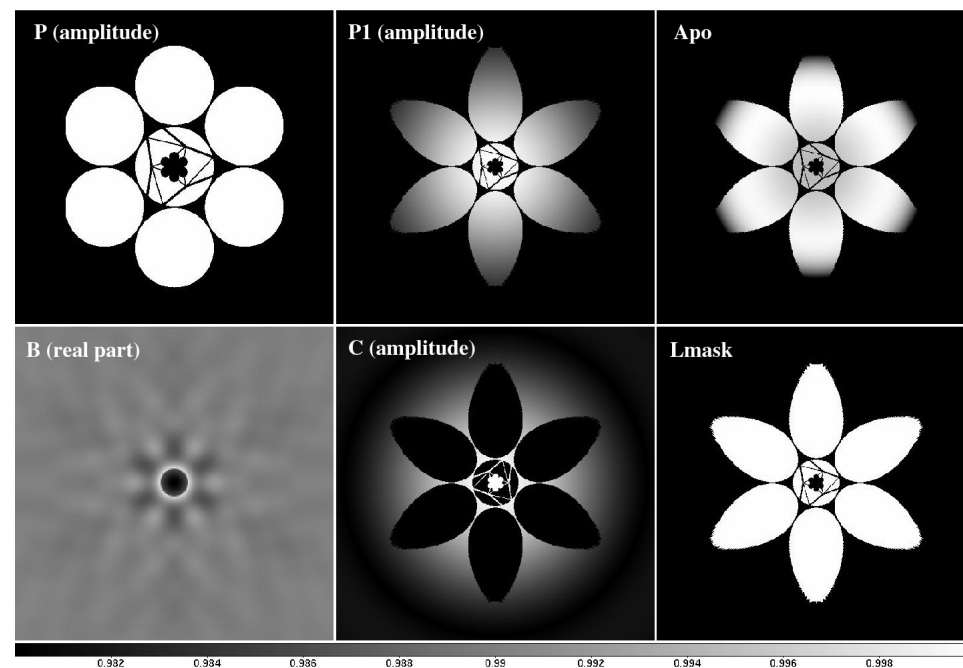
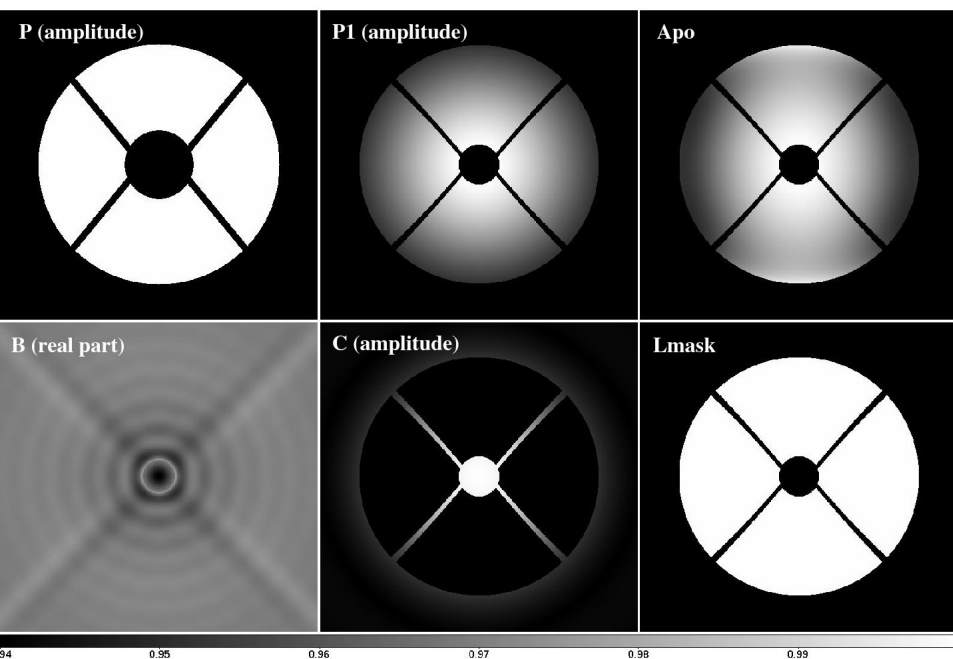


# Is coronagraphy at $< 1 \lambda/D$ possible on ELTs ?

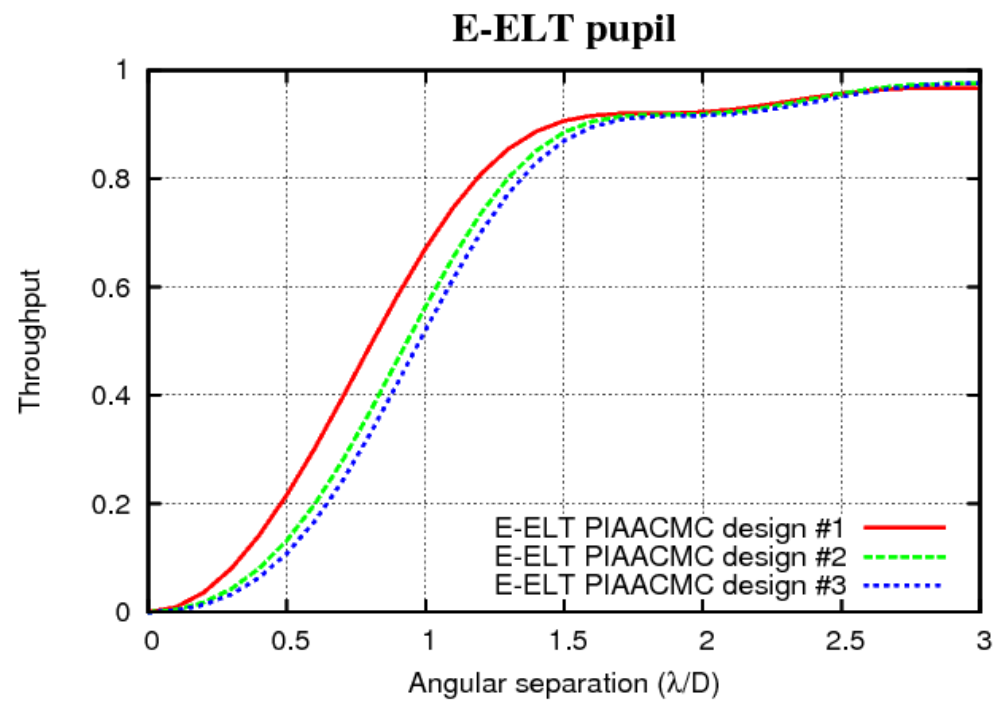
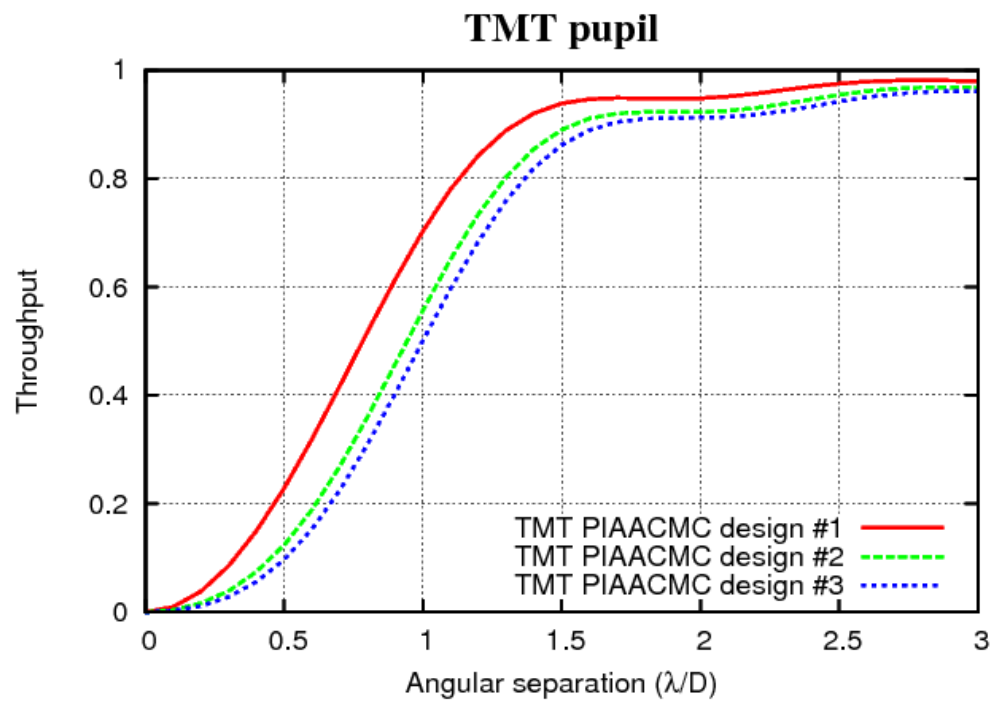
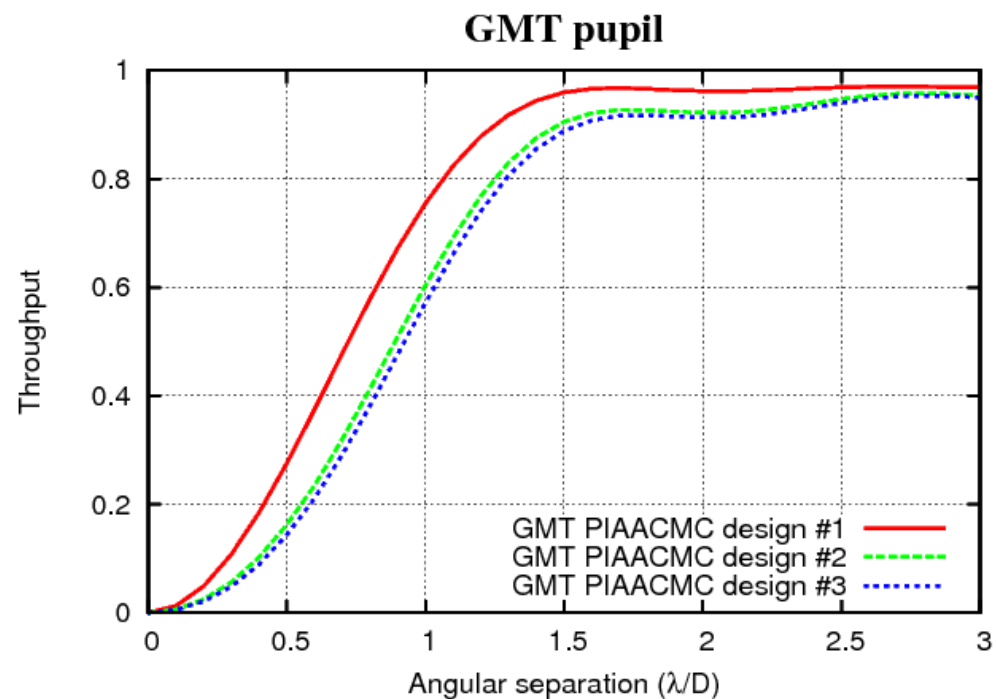
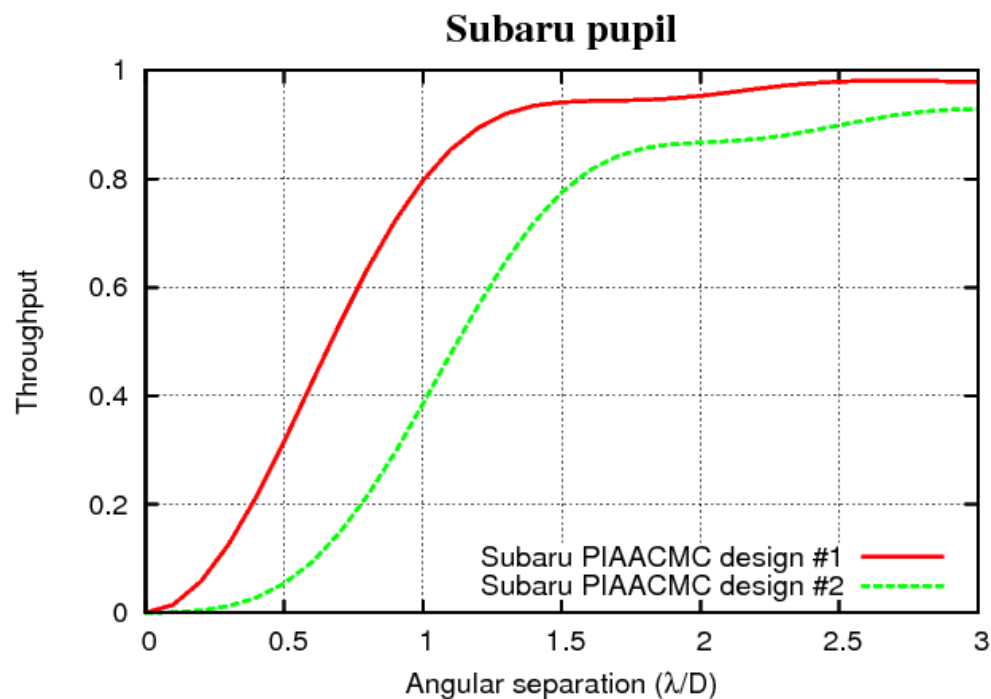
## Phase Induced Amplitude Apodized Complex Mask Coronagraph (PIAACMC)



# PIAACMC performance on various pupils



# PIAACMC performance on various pupils



# Reflected light imaging: ELT targets first cut

FIRST CUT LIMITS		
	Limit/constraints	Comments
Angular Separation	Must be $> 1.0 \lambda/D$	Limit imposed by coronagraph (see section 4). Corresponds to 11mas on a 30-m telescope in H band.
Contrast	Must be $> 1e-8$	High contrast imaging limit (see section 5)
Star brightness	Must be brighter than $m_R = 15$	Required for high efficiency wavefront correction (see section 5)
Planet Brightness	Must be brighter than $m_H = 26.8$	Faint detection limit

The first cut limits are shown in the table above. The number of targets kept is mostly driven by the contrast and separation limits, and to a lesser extent by the planet brightness limit. The planet brightness limit is derived from a required SNR=10 detection in 10mn exposure in a  $0.05 \mu\text{m}$  wide effective bandwidth (equivalent to a 15% efficiency for the whole H-band) on a 30-m diffraction limited telescope, taking into account only sky background and assuming all flux in a 20mas wide box is summed. The assumed sky background (continuum + emission) is  $m_H = 14.4 \text{ mag/arcsec}^2$  [[E-ELT sky background model, ESO](#)] and [[Cuby et al. 2000](#)].

$D=30 \rightarrow 700 \text{ m}^2$

background = 16412 ph / sec /  $\mu\text{m}$  /  $\text{m}^2$  /  $\text{arcsec}^2 \rightarrow 230 \text{ ph/sec}$  on the 20mas box

With N photon/sec from source:  $\text{SNR}(t) = N \sqrt{t} / \sqrt{N + 230}$

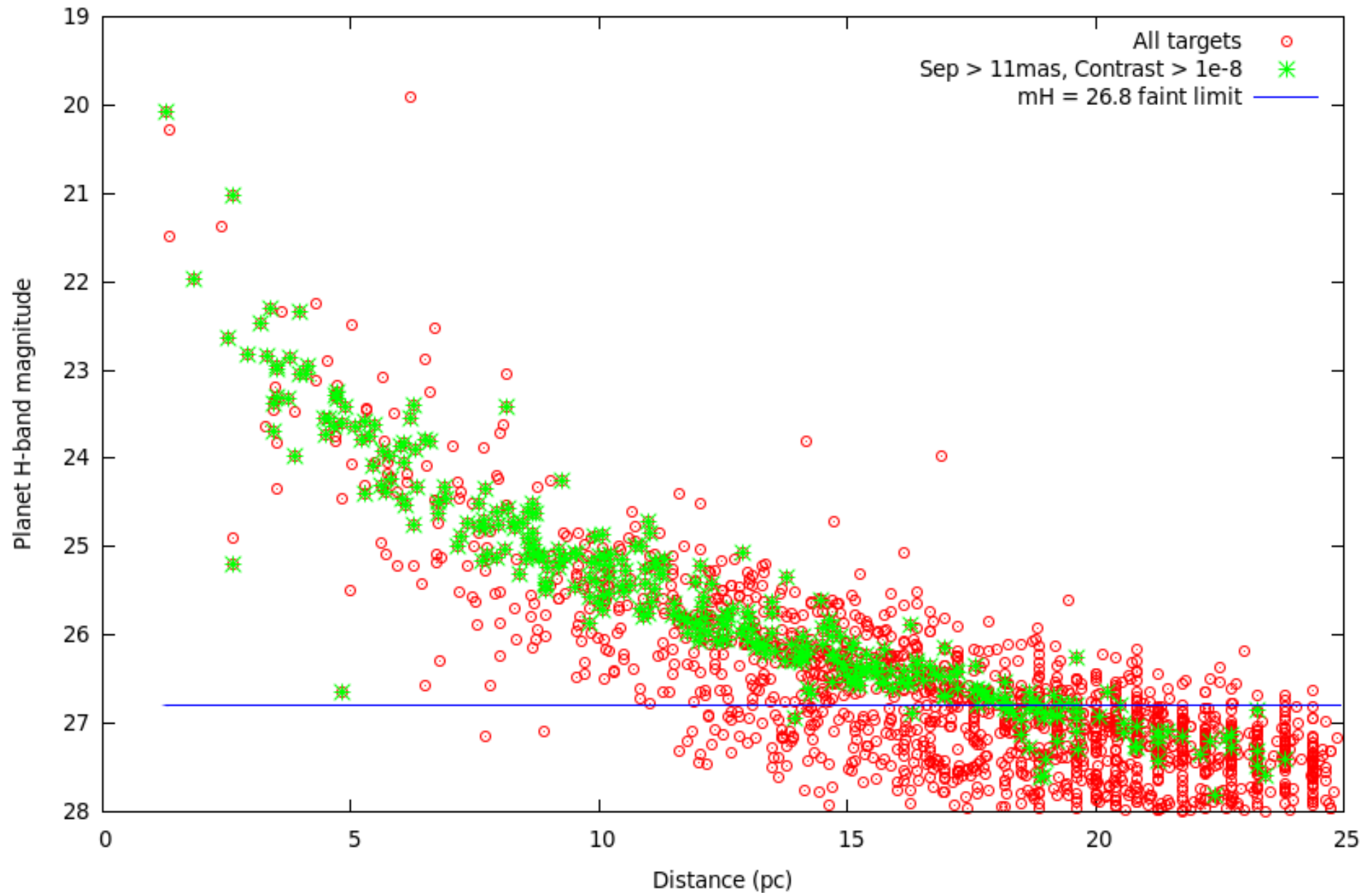
With  $t = 600 \text{ sec} \rightarrow \text{SNR} = 24.5 N / \sqrt{N+230}$

$\text{SNR} = 10 \rightarrow N = 6.3 \text{ ph/sec}$

flux = 6.3 ph/sec = 0.18 ph/sec/ $\mu\text{m}/\text{m}^2 \rightarrow m_H = 26.8$



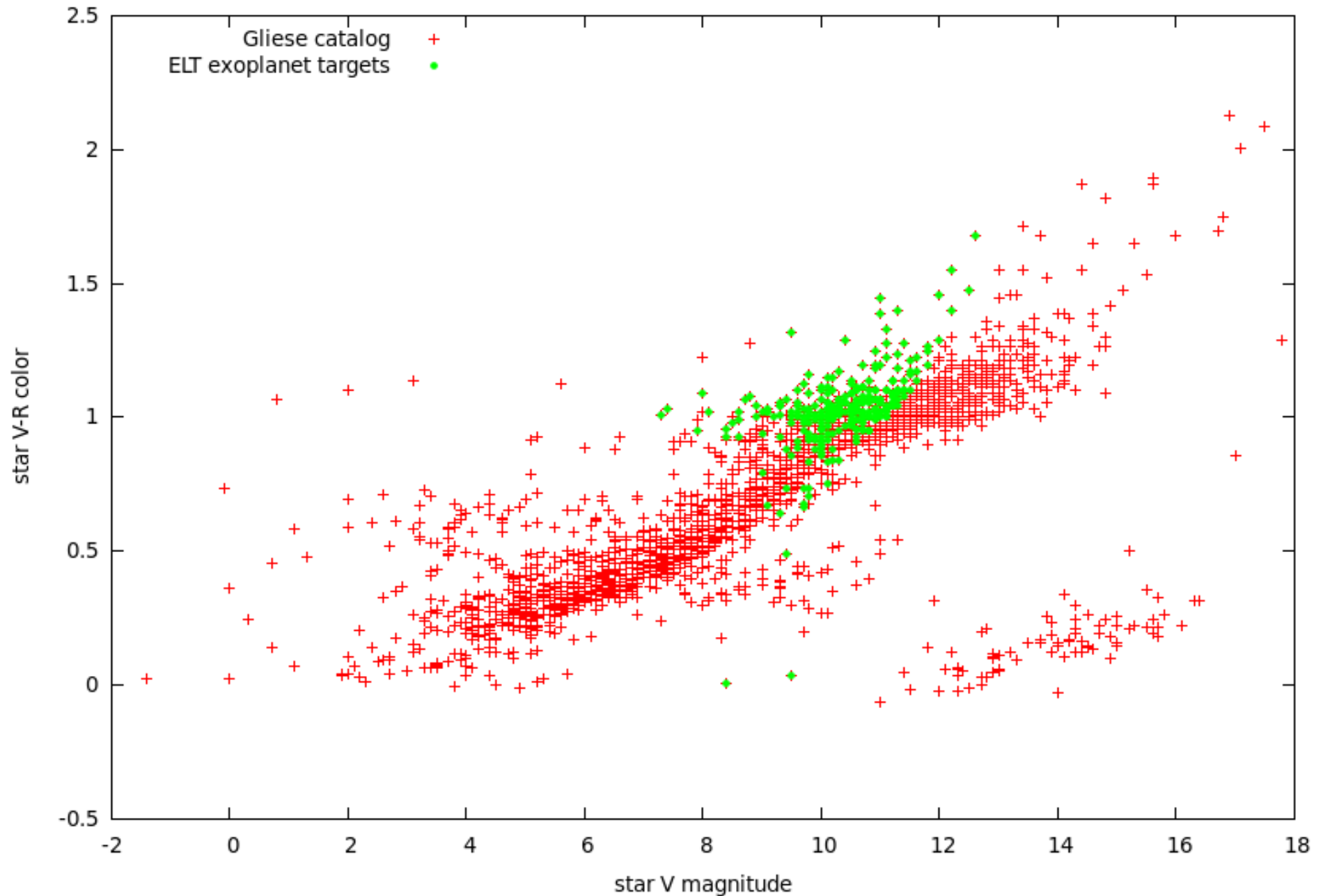
# Reflected light imaging: ELT targets first cut





# Reflected light imaging: ELT targets

## first cut → 274 targets



# Top targets for ELTs

The most favorable target, listed in the table below, were selected with the following criteria:

- Angular separation at maximum elongation  $> 15$  mas
- Contrast  $> 1e-7$
- Planet brightness  $m_H < 24$ , allowing spectroscopy

MOST FAVORABLE TARGETS

STAR							PLANET			Notes, Multiplicity
Name	Type	Distance	$L_{bol}$	$m_V$	$m_R$	$m_H$	Separation	Contrast	$m_H$	
Proxima Centauri (Gl551)	M5.5	1.30 pc	8.64e-04	11.00	9.56	4.83	22.69 mas	8.05e-07	20.07	-
Barnard's Star (Gl699)	M5	1.83 pc	4.96e-03	9.50	8.18	4.83	38.41 mas	1.40e-07	21.97	-
Kruger 60 B (Gl860B)	M6	3.97 pc	5.81e-03	11.30	9.90	5.04	19.20 mas	1.20e-07	22.35	-
Ross 154 (Gl729)	M4.5	2.93 pc	5.09e-03	10.40	9.11	5.66	24.34 mas	1.37e-07	22.82	-
Ross 128 (Gl447)	M4.5	3.32 pc	3.98e-03	11.10	9.77	5.95	18.99 mas	1.75e-07	22.84	-
Ross 614 A (Gl234A)	M4.5	4.13 pc	5.23e-03	11.10	9.82	5.75	17.51 mas	1.33e-07	22.95	Double star (sep=3.8 AU)
Gl682	M3.5	4.73 pc	6.41e-03	10.90	9.70	5.92	16.93 mas	1.09e-07	23.33	-
Groombridge 34 B (Gl15B)	M6	3.45 pc	5.25e-03	11.00	9.61	6.19	20.98 mas	1.33e-07	23.39	150 AU from M2 primary
40 Eri C (Gl166C)	M4.5	4.83 pc	5.92e-03	11.10	9.88	6.28	15.93 mas	1.18e-07	23.61	35AU from 40 Eri B (white dwarf), 420 AU from 40 Eri A (K1)
Gl 3379	M4	5.37 pc	6.56e-03	11.30	10.06	6.31	15.09 mas	1.06e-07	23.75	-

# What kind of ExAO system is required ?

**Small IWA coronagraph**

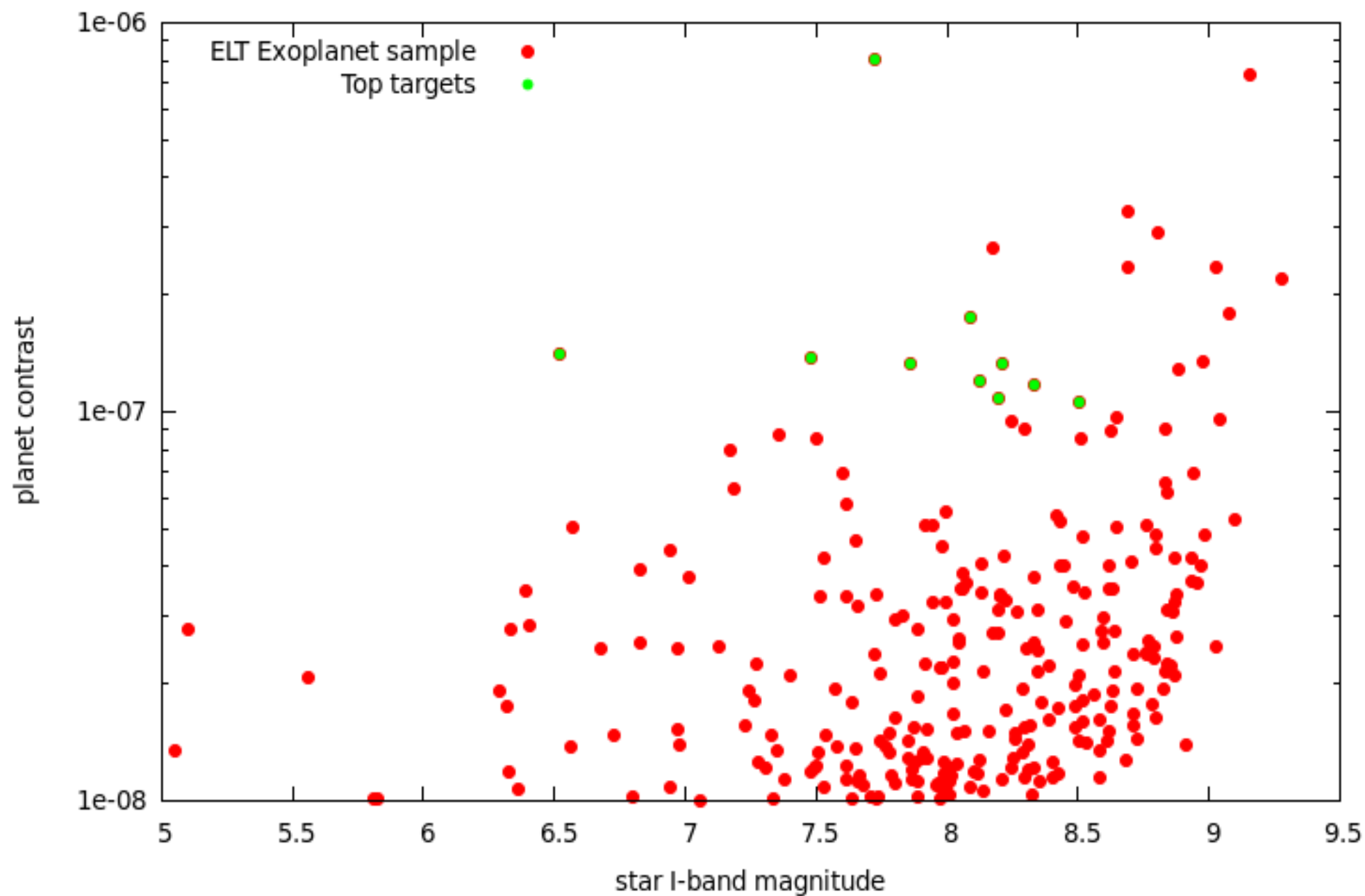
**Good WFS sensitivity, working in I (or R) band**

→ need diffraction-limited WFS (pyramid, nICWFS)

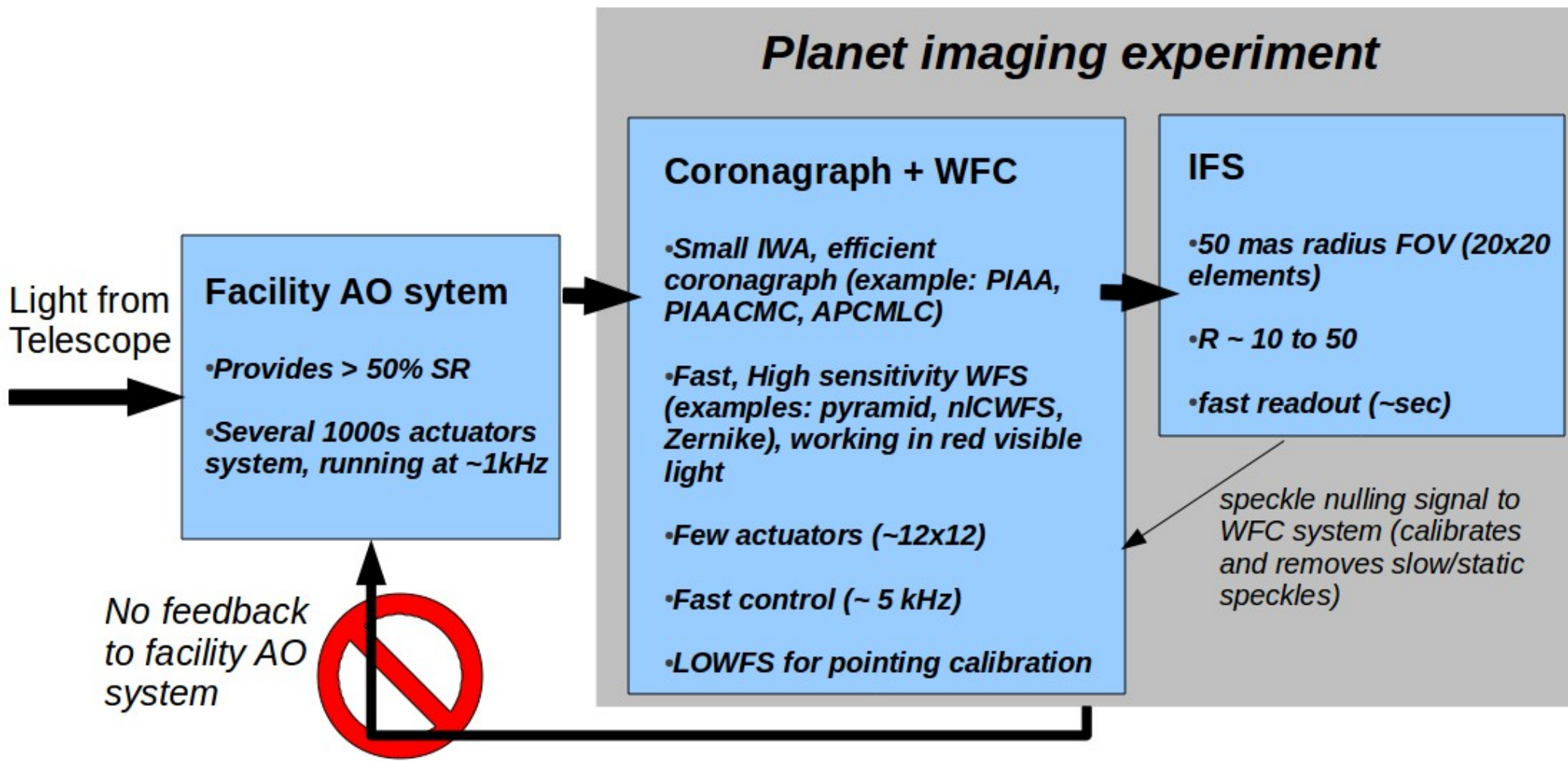
**~50 mas OWA → 12x12 actuators required**

**Fast AO control (>kHz)**

# I-band magnitude



# Possible system architecture



# Wavefront sensing at the sensitivity limit imposed by the telescope diffraction limit

**Seeing limited wavefront sensing (what we do now)**

*Example: SH WFS*

**Diffraction limited wavefront sensing (what needs to be done for ExAO)**

*Examples: Pyramid (non-modulated), non-linear curvature*

Tip-tilt example (same argument applicable to other modes):

With low coherence seeing-limited WFS,  $\sigma^2 \sim 1/D^2$  (more photons)

Ideally, one should be able to achieve:  $\sigma^2 \sim 1/D^4$  (more photons + smaller  $\lambda/D$ )

**This makes a big difference for Extreme-AO on large telescopes**

**For Tip-Tilt, SHWFS on ELT is 40000x less sensitive than diffraction-limited WFS (11.5 mag)**

**Similar gain on other low order modes**



# Wavefront sensing at the diffraction limit of the telescope

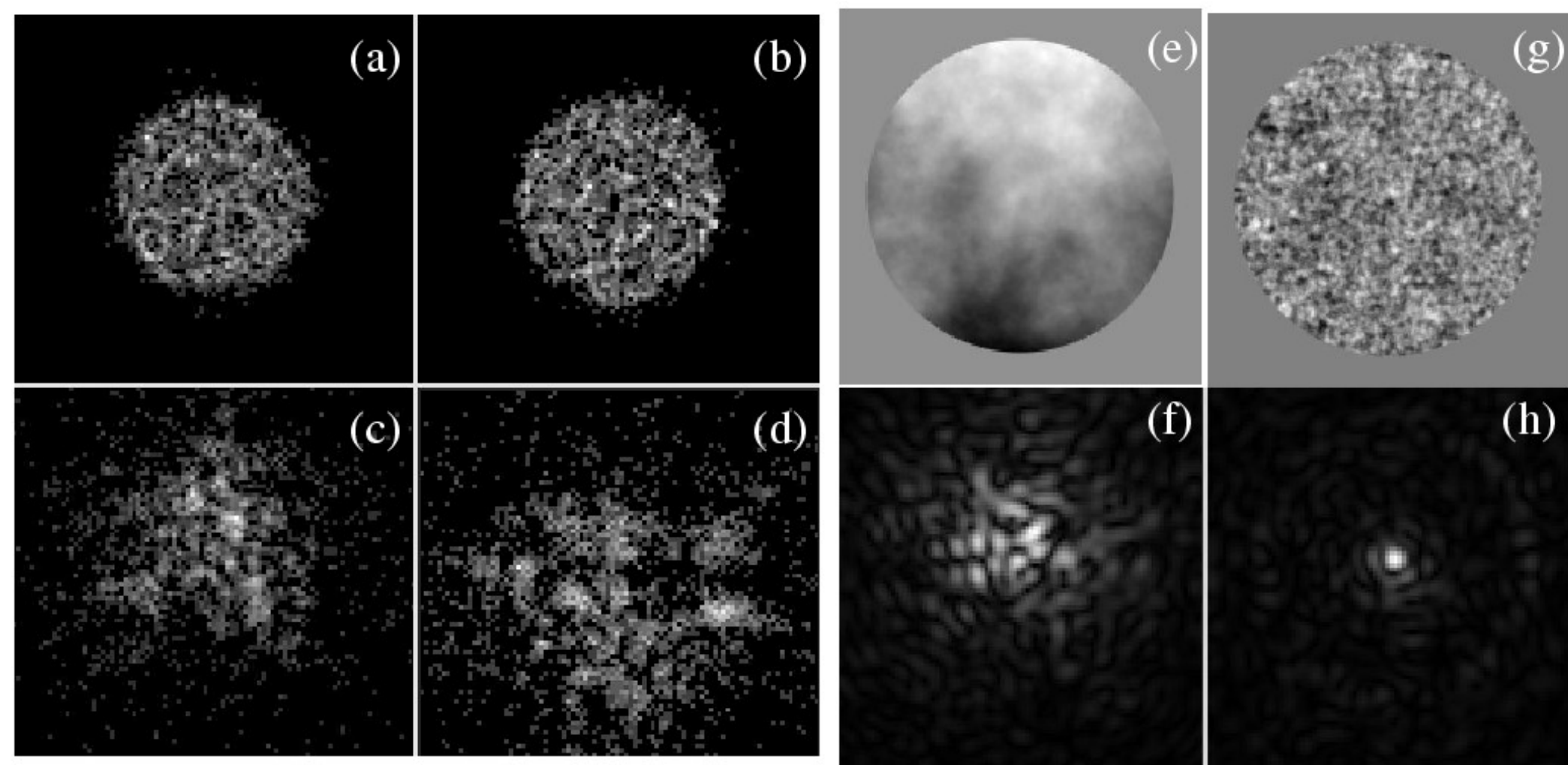
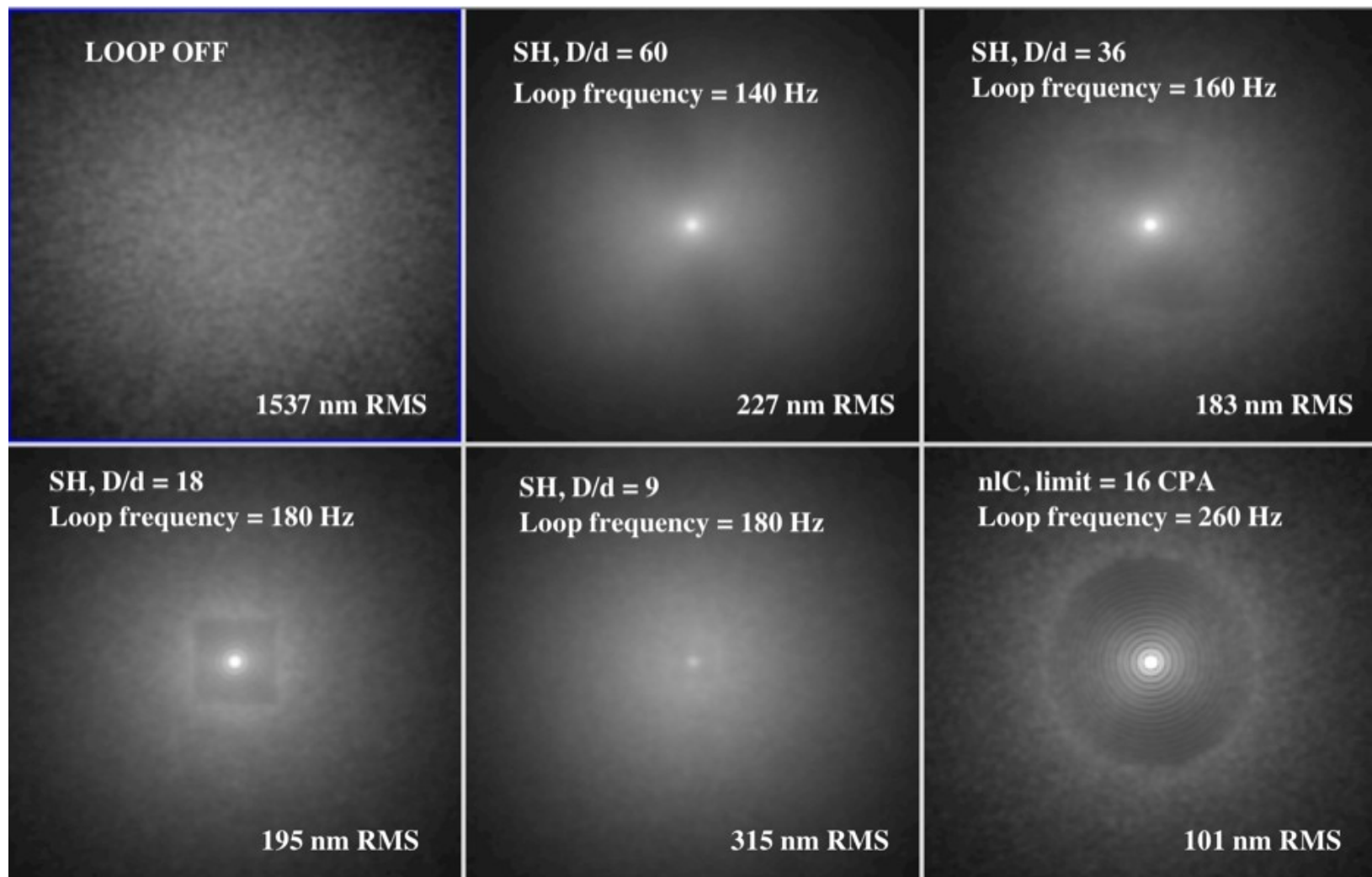


Fig. 9.— Wavefront reconstruction using the algorithm shown in fig. 8. Four noisy defocused pupil images (images (a), (b), (c) and (d)) are acquired to transform the pupil phase aberrations (e) into intensity signals. The input pupil phase is 609 nm RMS, yielding the PSF (f) before correction. After correction, the residual pupil phase aberration (g) is 34.4 nm RMS, allowing high Strehl ratio imaging (h). All images in this figure were obtained at  $0.65\ \mu\text{m}$ . The total number of photons available for wavefront sensing is  $2e4$ .



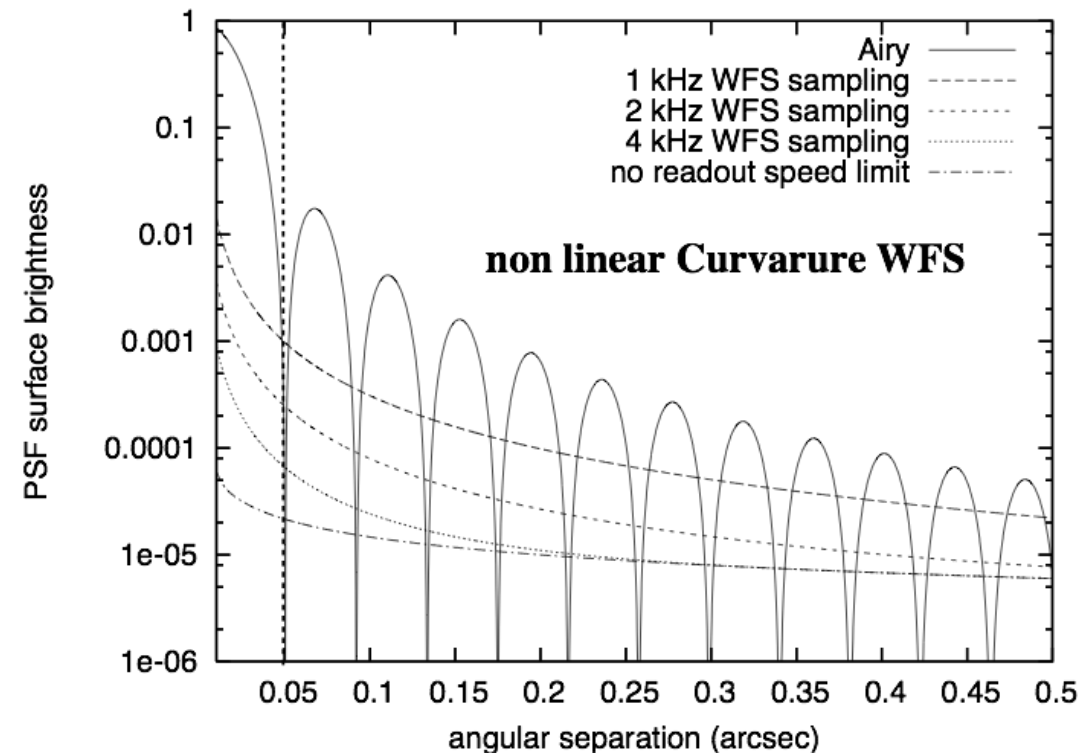
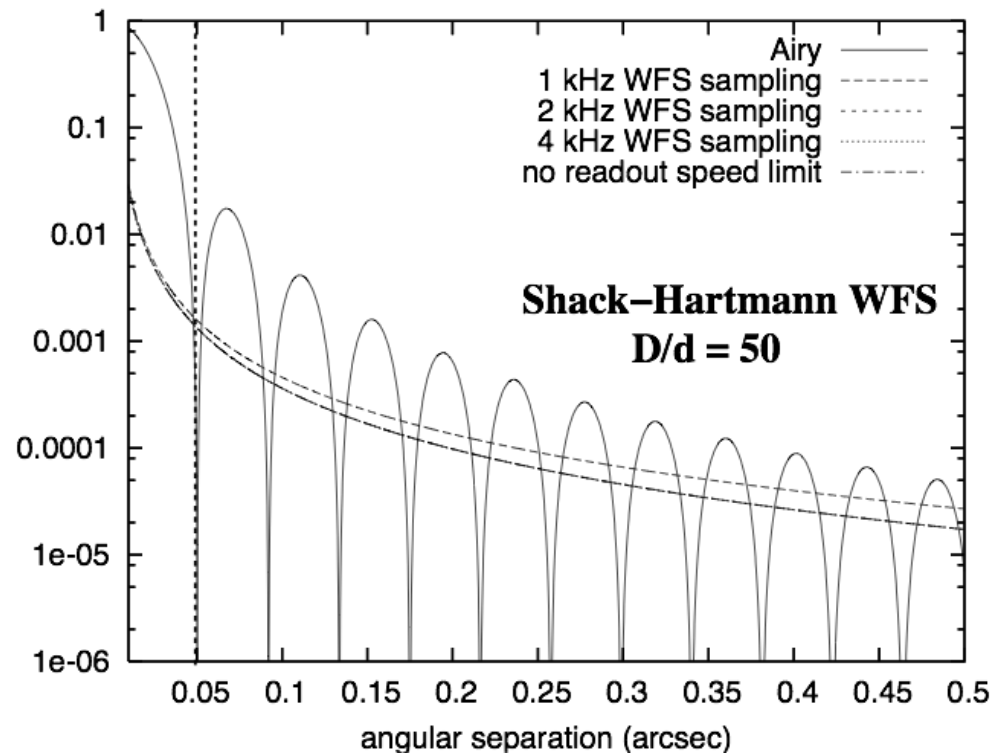
Computer  
Simulations  
showing  
contrast gain  
with high  
sensitivity  
WFS (non-  
linear  
curvature)

$m \sim 13$



WFS	Loop frequ	RMS	SR @ 0.85 $\mu\text{m}$	SR @ 1.6 $\mu\text{m}$
nlCurv	260 Hz	101 nm	57%	85%
SH - $D/9$	180 Hz	315 nm	$\sim 4\%$	22%
SH - $D/18$	180 Hz	195 nm	$\sim 13\%$	56%
SH - $D/36$	160 Hz	183 nm	$\sim 16\%$	60% <sup>36</sup>

# Performance gain for ExAO on 8-m telescopes



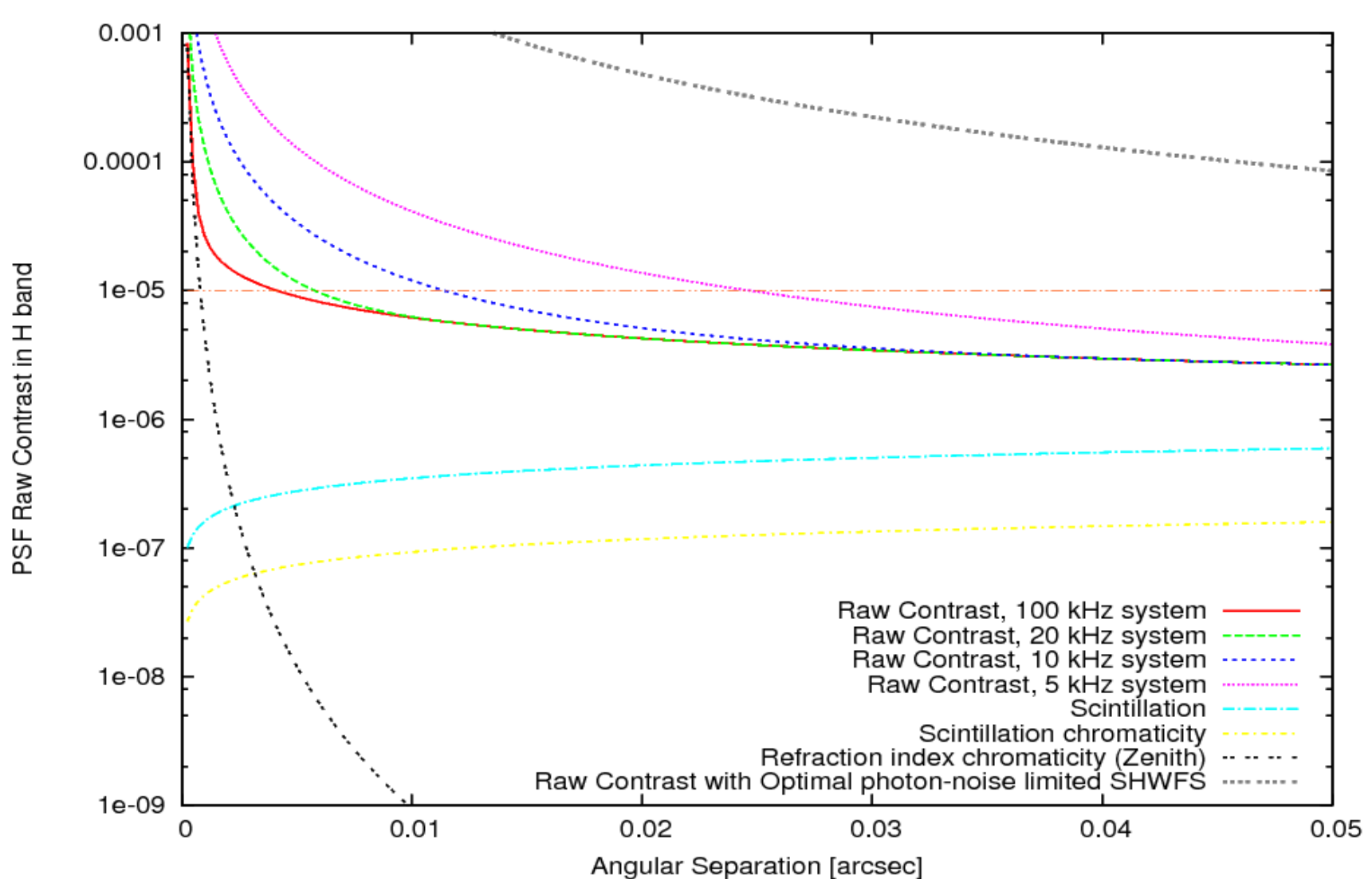
*"High Sensitivity Wavefront Sensing with a non-linear Curvature Wavefront Sensor", Guyon, O. PASP, 122, pp.49-62 (2010)*

Large gain at small angular separation: ideal for ExAO

# Expected AO contrast

**$\sim 1e-5$  raw contrast, diffraction-limited WFS**

**$\rightarrow \sim 1e-8$  detection contrast in 1hr (limited by speckle noise)**



# The Subaru Coronagraphic Extreme-AO (SCExAO) system

High contrast imaging at small angular separation is scientifically extremely valuable:

- allows system to probe **inner parts of young planetary systems** (<10 AU)
- constrain planet formation in the **habitable zone** of stars
- **direct imaging** of reflected light planets may be possible (reflected flux goes as  $a^{-2}$ )

## Coronagraphy:

High efficiency 1  $\lambda$ /D PIAA coronagraph

## Wavefront control:

- NIR focal plane WF control/calibration
- ExAO-optimized visible WFS visible channel
- Exquisite pointing control

## Aux. Science modes:

- Non-redundant masking
- Visible light imaging

Designed as a **highly flexible, evolvable platform**  
(reduce time from lab demo to science)

Efficient use of AO188 system & HiCIAO camera

Technology development overlap with space coronagraphy



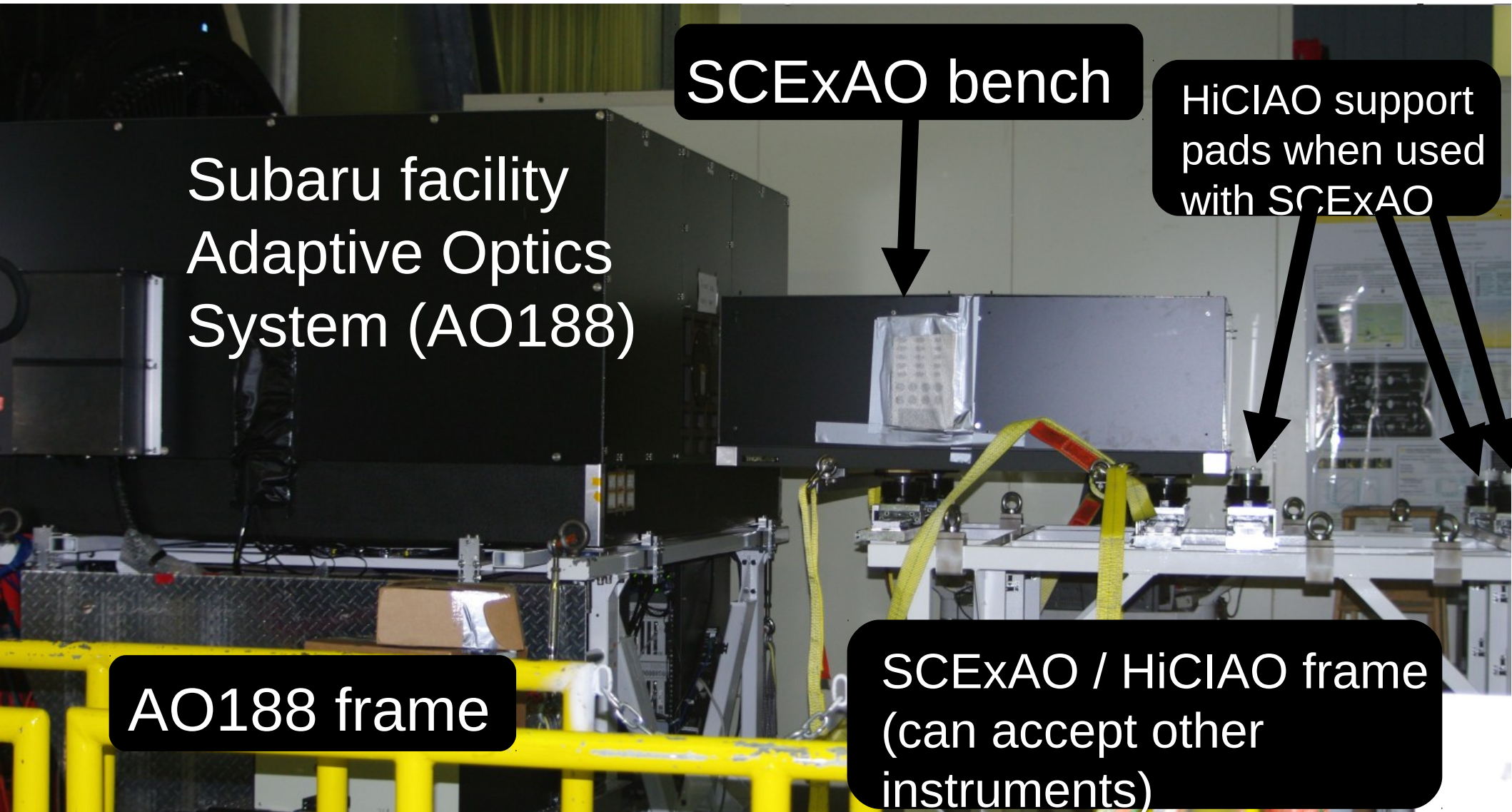




# SCExAO at Subaru Telescope (Aug 2010)

*[note: HiCIAO camera not in this image]*

*[note: IFS under design, built by Princeton]*



Subaru facility  
Adaptive Optics  
System (AO188)

SCExAO bench

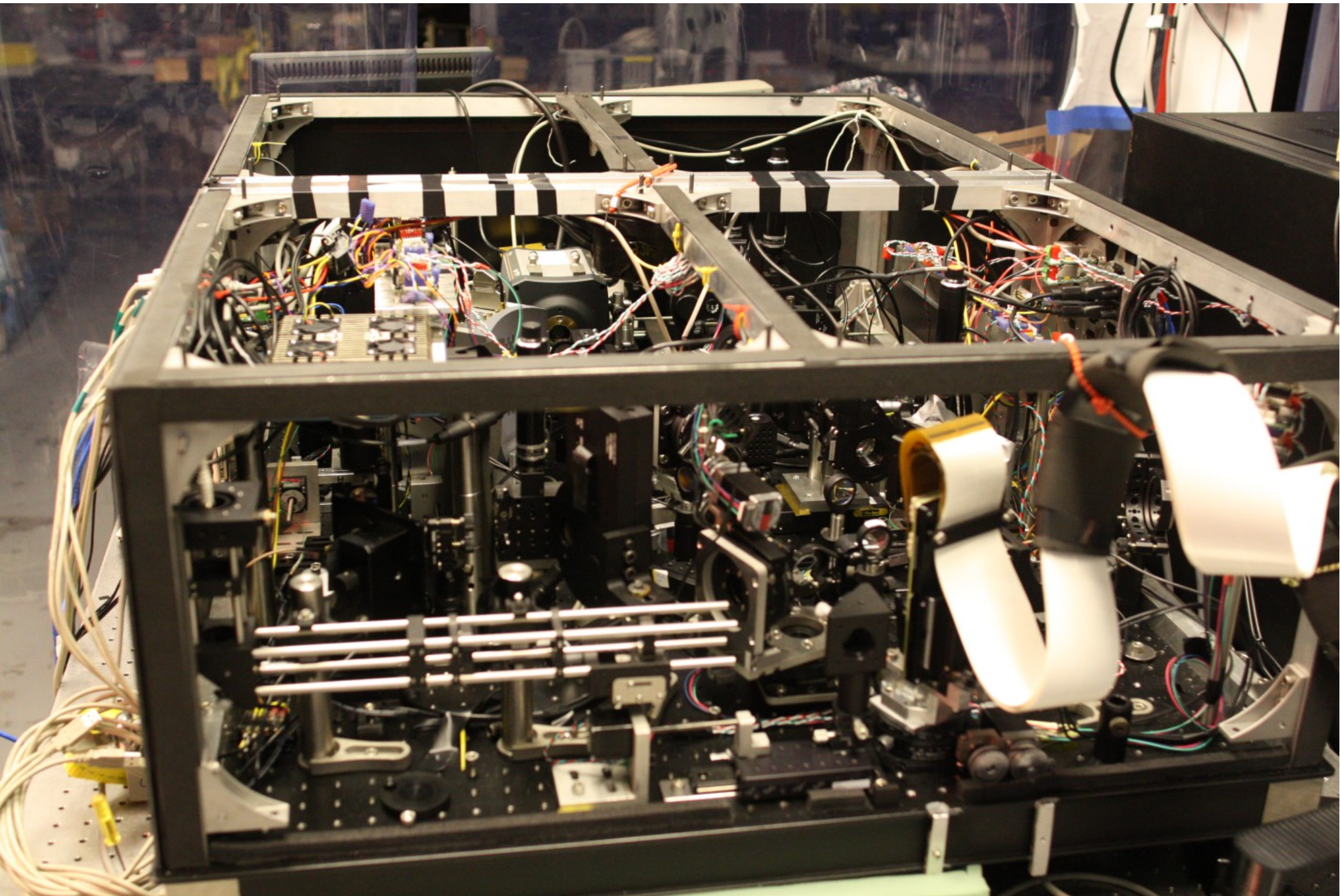
HiCIAO support  
pads when used  
with SCExAO

AO188 frame

SCExAO / HiCIAO frame  
(can accept other  
instruments)

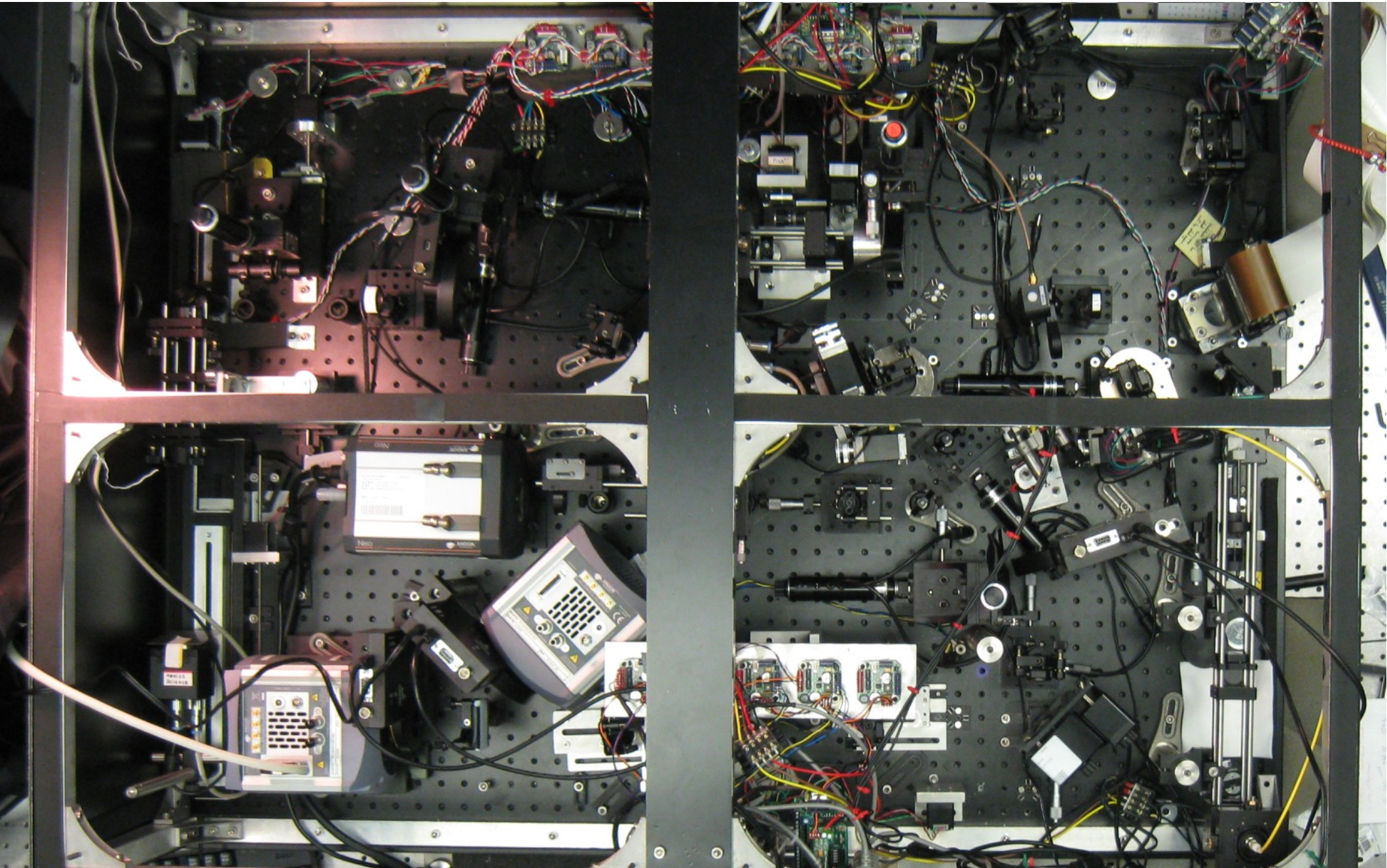


## The Subaru Coronagraphic Extreme-AO (SCExAO) system



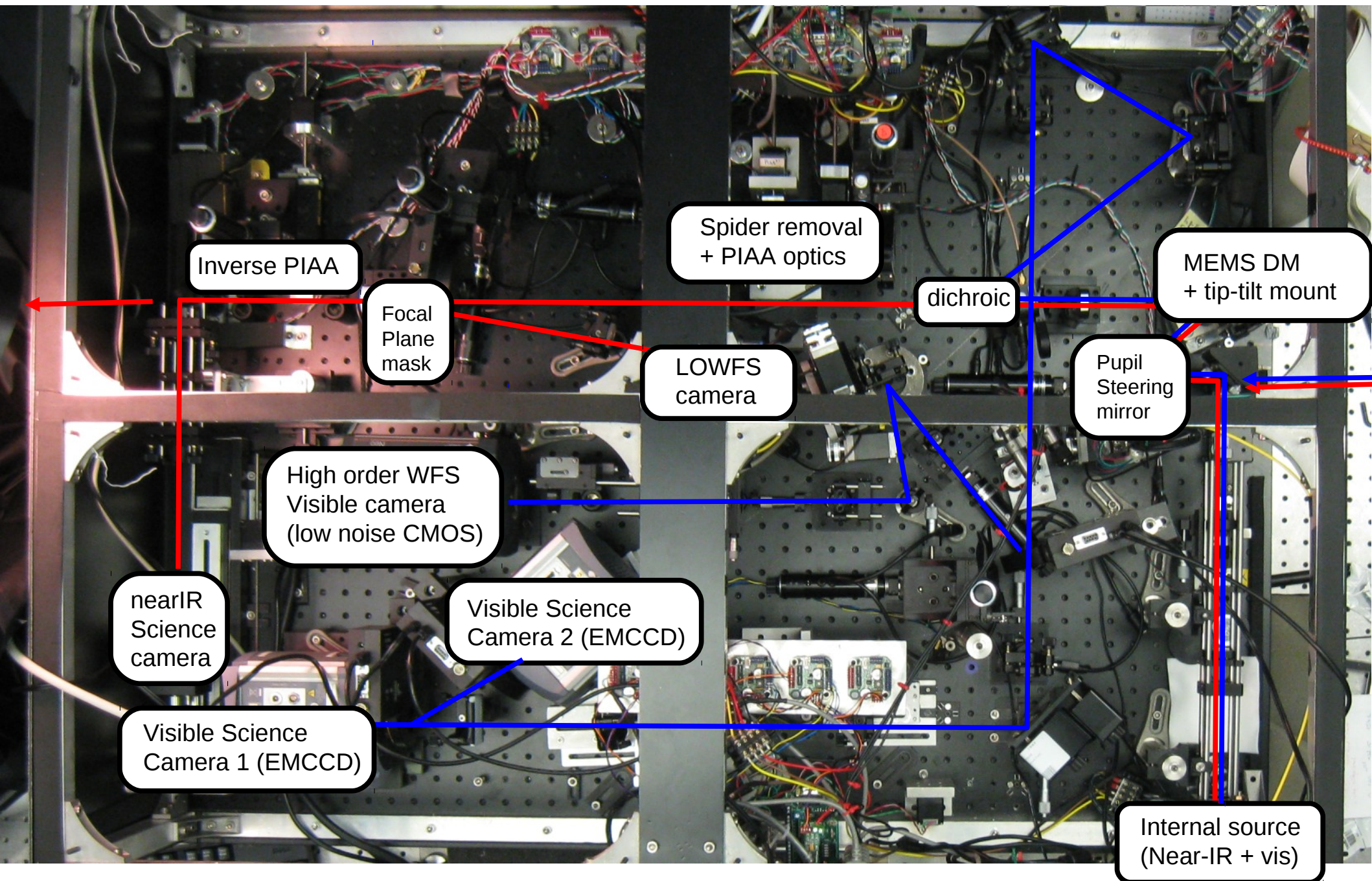


# The Subaru Coronagraphic Extreme-AO (SCExAO) system

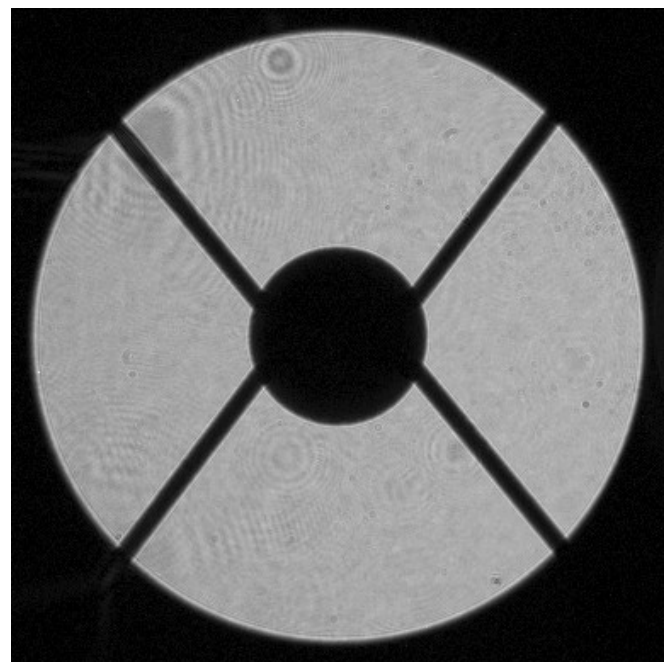




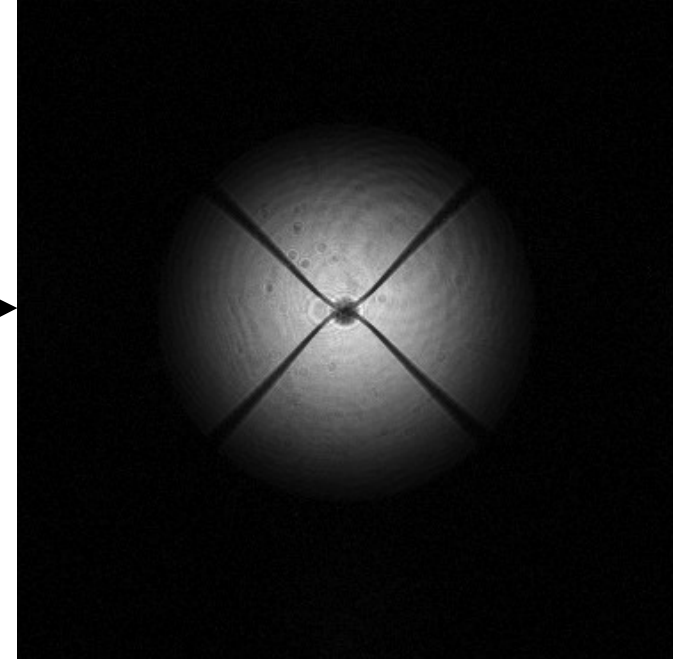
# The Subaru Coronagraphic Extreme-AO (SCExAO) system







+ PIAA lenses



+ SRP

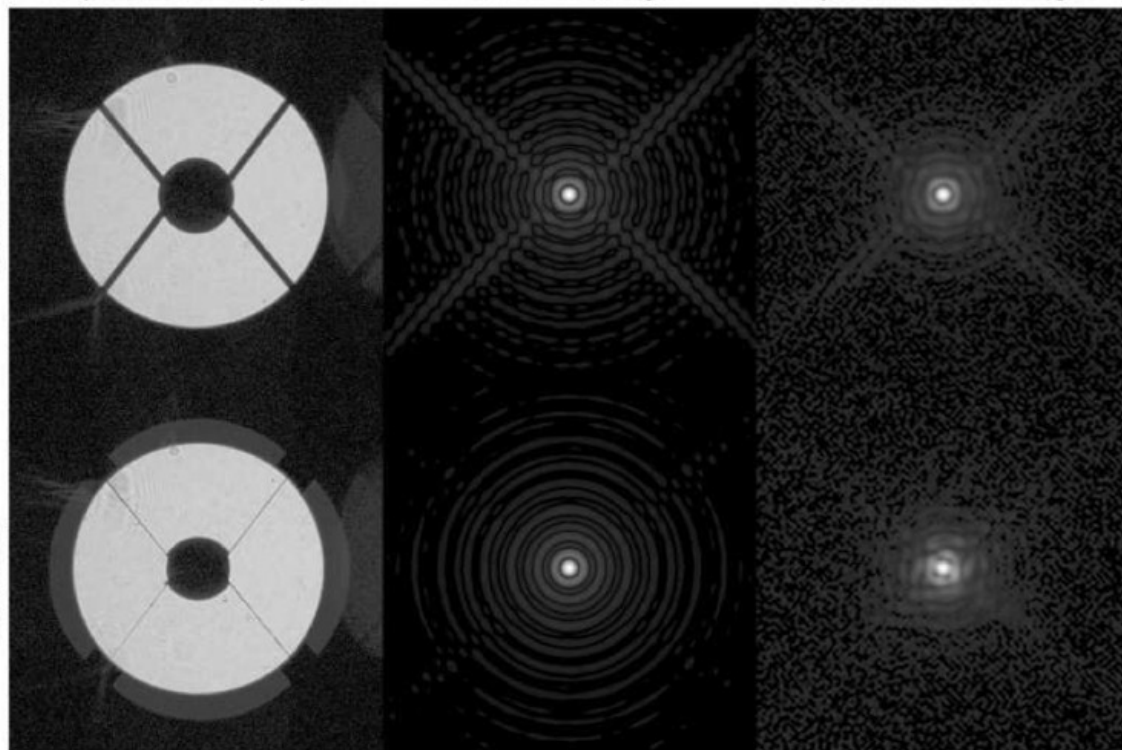
+ SRP

Experimental pupil

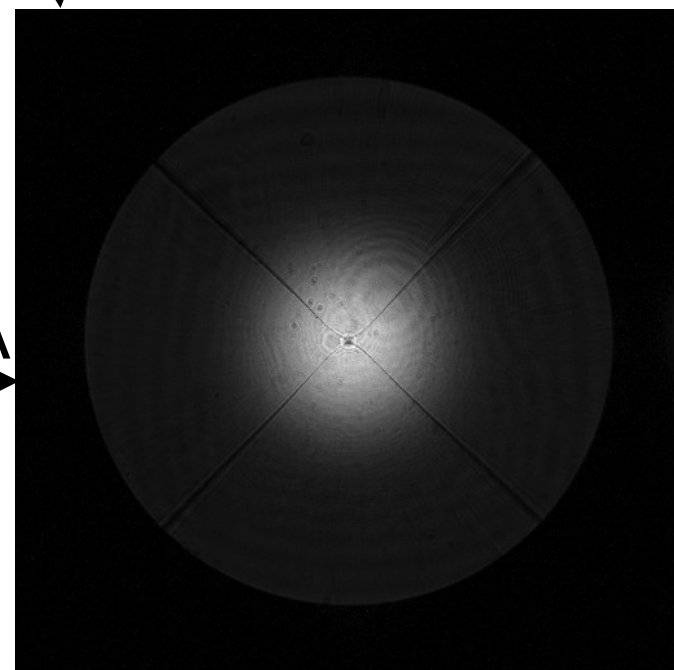
Simulated image

Experimental image

Without SRP

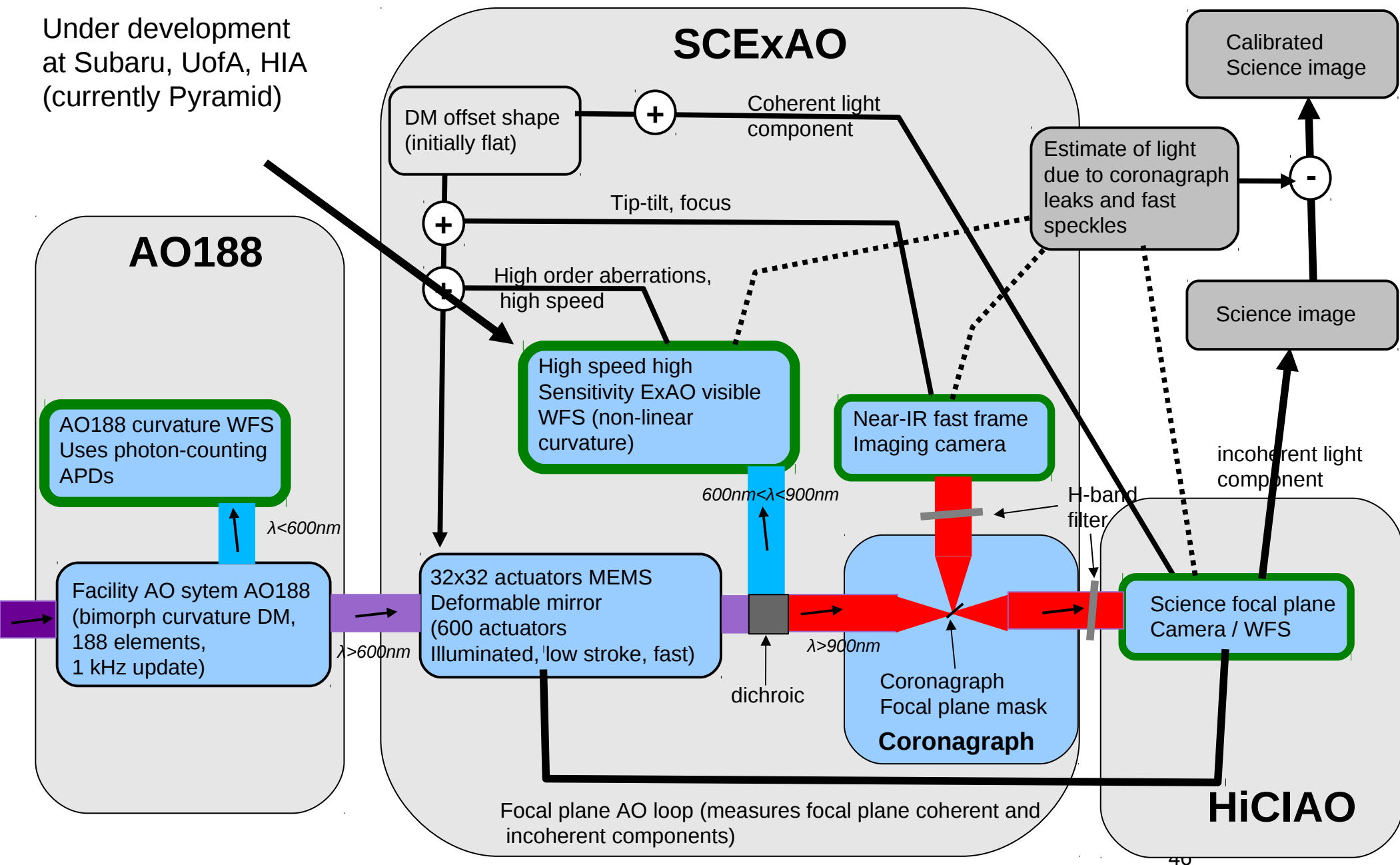


+ PIAA  
lenses



# SCExAO Wavefront Control architecture and speckle calibration

Under development  
at Subaru, UofA, HIA  
(currently Pyramid)





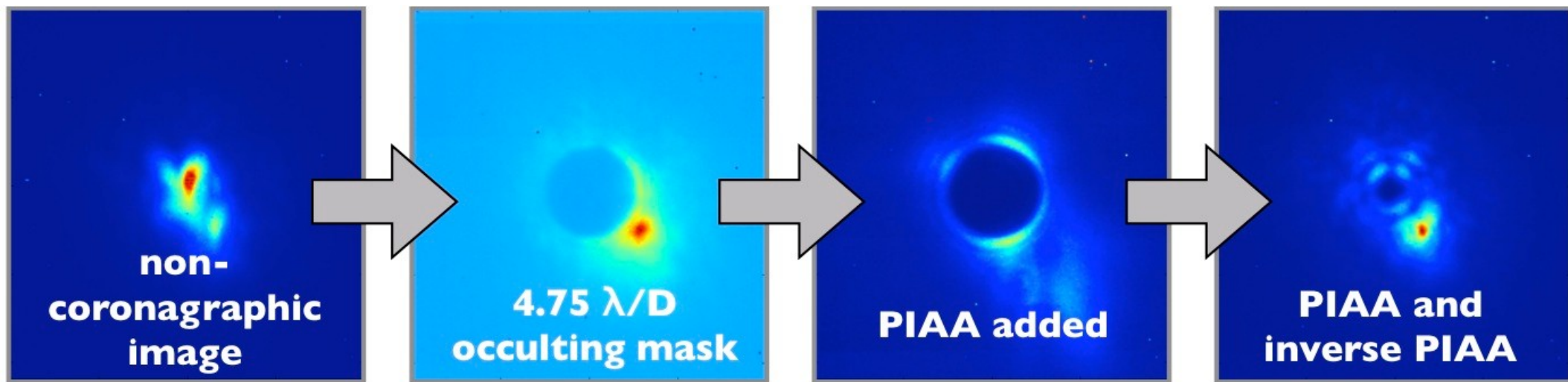
# SCExAO Results

## LOWFS validated on sky

- robust performance at low gain ( $\sim 0.1$ ) in difficult conditions
- on-sky calibration can be time consuming

## PIAA coronagraphy at $1.2 \lambda/D$ validated

- Inverse PIAA image sharpening validated



# SCEXAO first visible images (V. Garrel PhD)

**Vega**  
(0.4"x0.4", 4.94 mas/pix)



**Betelgeuse**  
(0.4"x0.4", 4.94 mas/pix)



**Beta Delph – 239 mas sep**  
(0.7"x0.7", 8.56 mas/pix)



SCEXAO acquired first visible light diffraction limited on Subaru in Feb and Sept 2011

Despite moderate AO performance (seeing 1" to 2" + clouds in Feb, poor AO perf in Sept) selection + new Fourier-based reconstruction allowed diffraction-limited imaging.

# Habitable planets spectroscopy

Space (~4m telescope):  
F-G-K type stars, visible  
light

Ground (ELT):  
M type stars, nearIR

