

# Space Optics (1)

## AstrOpt2016

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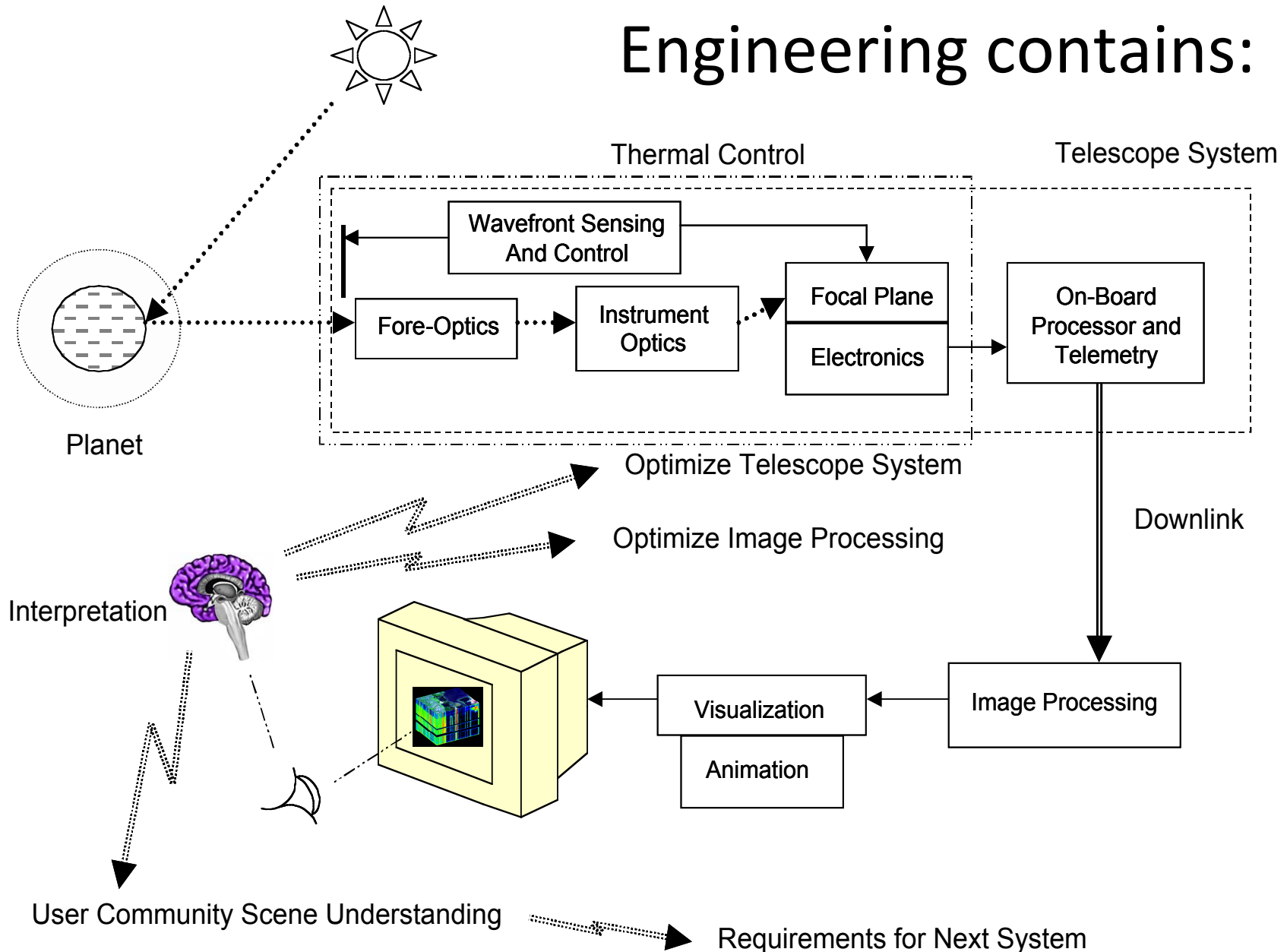
# Who am I?

- PhD in Optical Science, College of Optical Sciences, University of Arizona, Tucson
- **12 years at Kitt Peak National Observatory, Tucson & 33 years at JPL building instruments and developing technology**
  - Developed space telescopes and instruments for astrophysics, earth and planetary remote sensing
  - *Managed section of ~ 100 for 12 years: Optics Technology and Flight Optical Systems for remote sensing: WF/PC2, Galileo and Cassini Imaging spectrometers & JPL Technologist for advanced imaging systems for Dod*
  - NSF 3 yrs: Advanced Technology & Instruments PM
  - NASA 1 yr: *Chief technologist of the NASA Exoplanet Program*
- Optical Engineering class at CALTECH ('82-current)
  - Authored a book: [Basic Optics for the Astronomical Sciences](#)

# Class outline

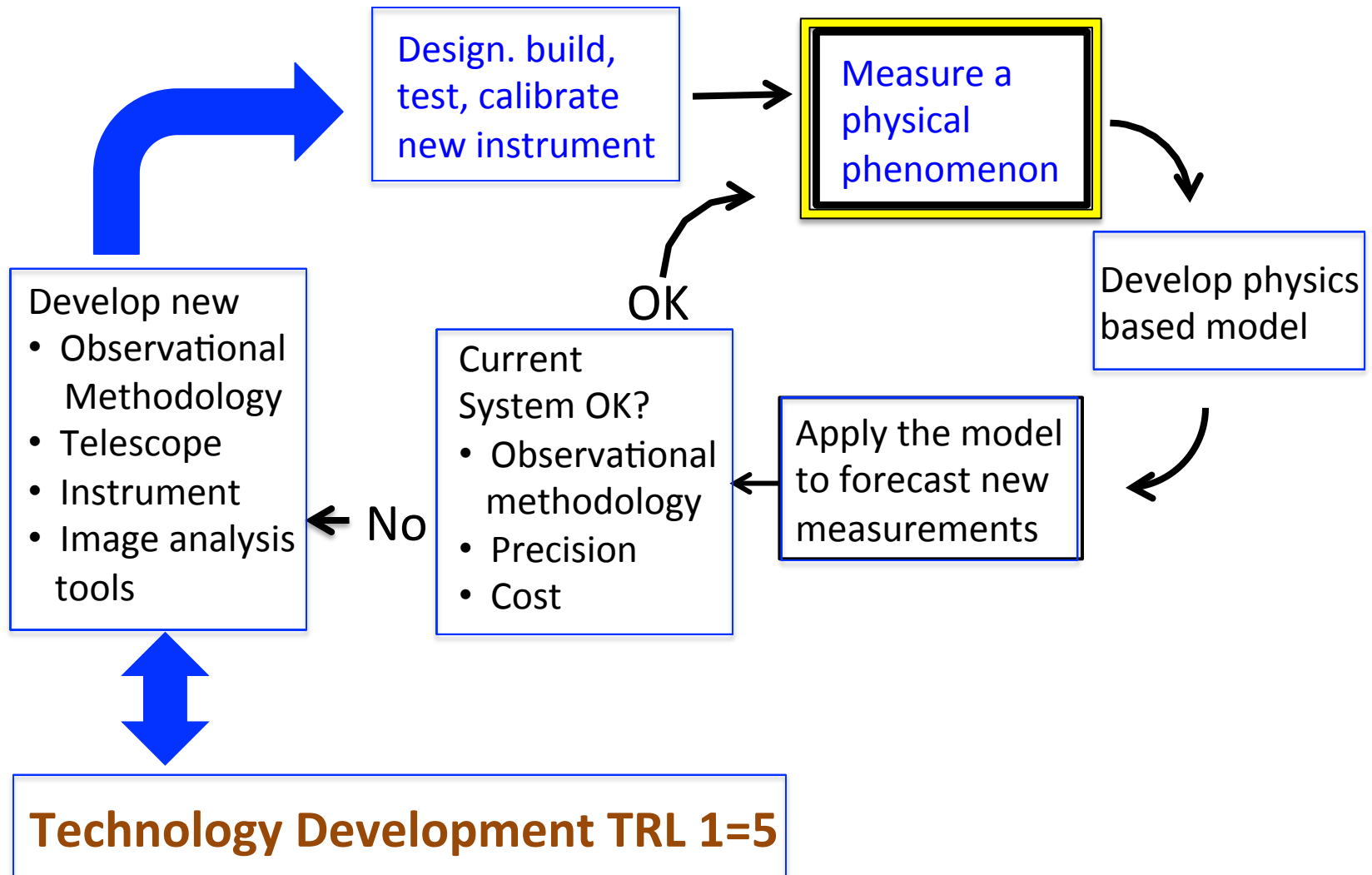
- The challenges of space optics - **Today**
- Derive Etendu, throughput, transmittance
  - Power to the focal plane
- Geometric aberrations: thermal, structural, metrology, tolerancing:: **correctable by A/O**
- Scalar wave image formation **1 March**
- Vector-wave image formation: polarization aberrations:: **not correctable by A/O ~1 March**
- **Hubble trouble ~ 3 March**

# Space Science Imaging System Engineering contains:



# The scientific method

## “engineering meets science”



# What can we measure?

- Intensity as a function of
  - A single point  $I = f(x_0, y_0)$
  - An image  $I = f(x, y)$
  - Wavelength  $I = f(x, y; \lambda)$  or  $I = f(x, y; \sigma)$
  - Time  $I = f(x, y; \lambda; t)$
  - Polarization  $I = f\{I, Q, U, V; (x, y; \lambda; t)\}$
  - The total number of measurables (degrees of freedom) is:  $7 + n$  where  $n$  is the number of spectral channels

**This information needs to fit into a 2-d display  
changing with time => the instrument!**

# What are optics for remote sensing?

- Optical science
  - Study of the generation, propagation, imaging, measurement and analysis of electromagnetic radiation from 300 nm to ~40 micron wavelength
- Optical engineering
  - Understand requirements, identify system approach, design, specify, test components integrate, align, test and calibrate an optical system to a **fixed cost**.
- Optics Technology
  - Technology development to enable new scientific or engineering measurements

# Analysis tools

- Trigonometry – ray trace
  - Image location, size, orientation, geometric aberration
- Scalar waves – complex variables
  - Diffraction, interferometry, image formation & quality
- Vector waves – polarization – matrix algebra
  - image formation & quality
- Photons – Signal-to-noise
  - Do not “exist” until the detection process!
- Statistics – partial coherence - correlated fluctuations
  - Interferometry and image formation: **where your science is!**
- ~~Quantum mechanics – generation & absorption of light~~



# Tools for optical science and engineering

| Tools              | Image Location | Image Size | Image Orientation | Image Intensity | Image Quality | Physical Properties of the Source |
|--------------------|----------------|------------|-------------------|-----------------|---------------|-----------------------------------|
| Ray Trace          | YES            | YES        | YES               | YES             | NO            | NO                                |
| Scalar Diffraction | NO             | NO         | NO                | NO              | YES           | NO                                |
| Vector Diffraction | NO             | NO         | NO                | YES             | YES           | YES                               |
| Radiometry         | NO             | NO         | NO                | YES             | YES           | YES                               |
| Statistical Theory | NO             | NO         | NO                | YES             | YES           | YES                               |
| Quantum Theory     | NO             | NO         | NO                | YES             | YES           | YES                               |

# Select the tool that applies to the problem you are working

- **In general follow these steps =>**

1. First order design

- image location, size, orientation
- radiometry (through-put or etendu & transmittance)

2. **Geometric aberration ray trace (estimate image quality)**

3. Diffraction with scalar waves (estimate image quality)

4. Vector propagation (polarization aberrations & image quality)

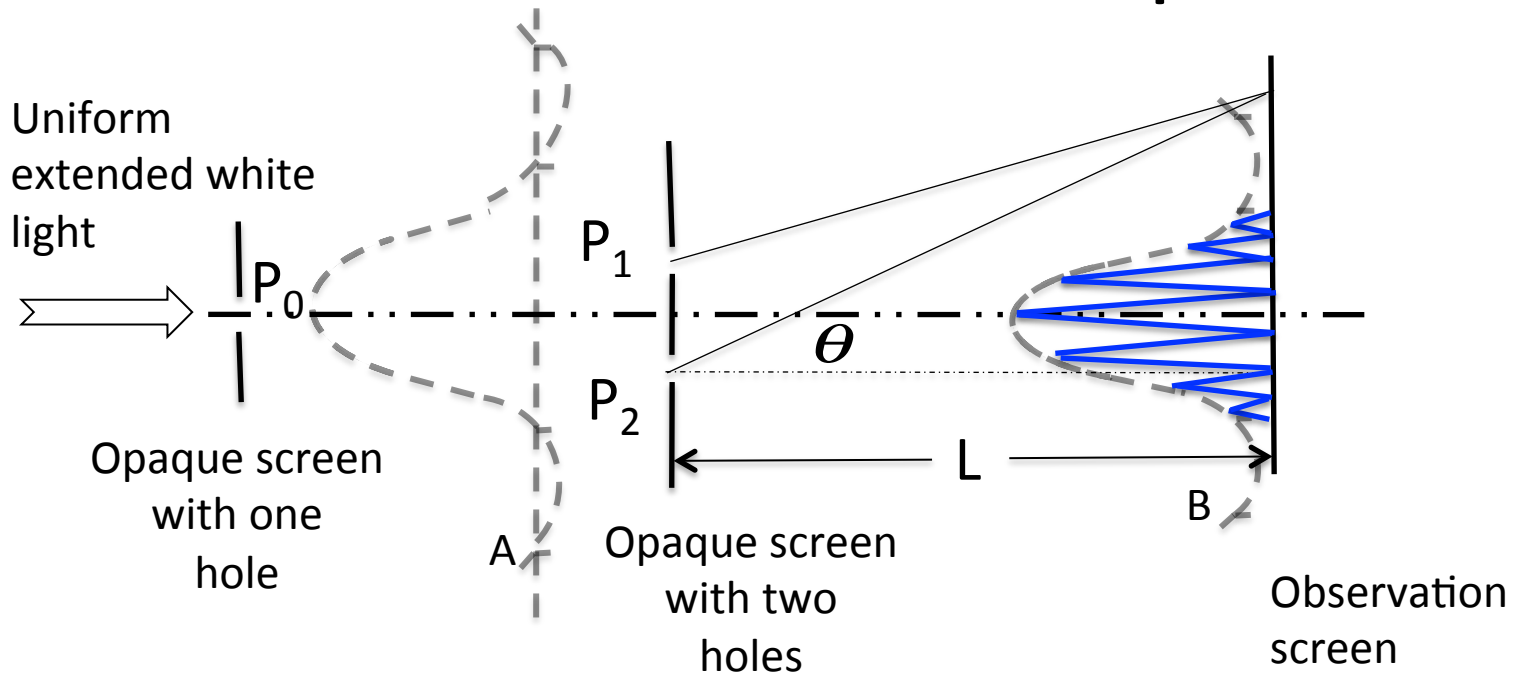
5. Statistical optics (the role of partially coherent waveforms in image quality)

- **Covered by Hect's Optics & inadequate in Hect's Optics**

# **Image formation process modeled by statistical optics**

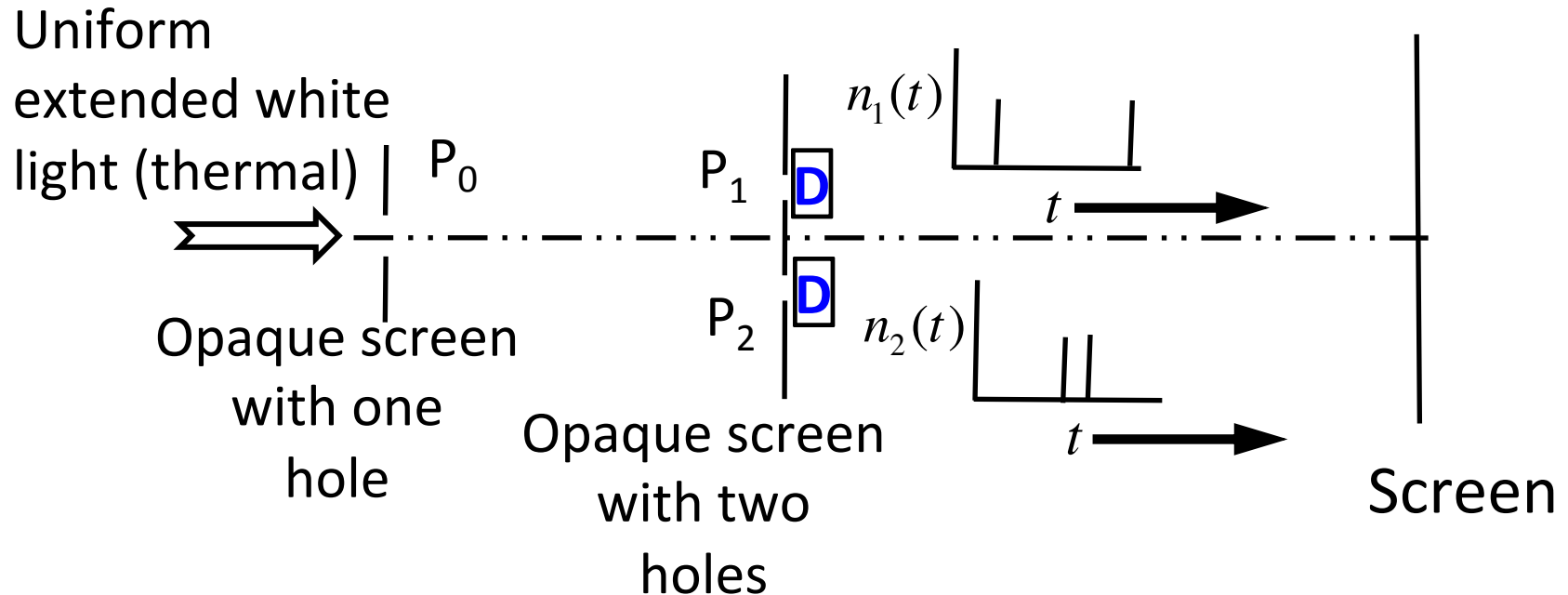
See Born & Wolf *Principles of Optics* Chapter 10  
& J. Goodman's *Statistical optics*  
for mathematical formalism

# Reminder of the double slit experiment



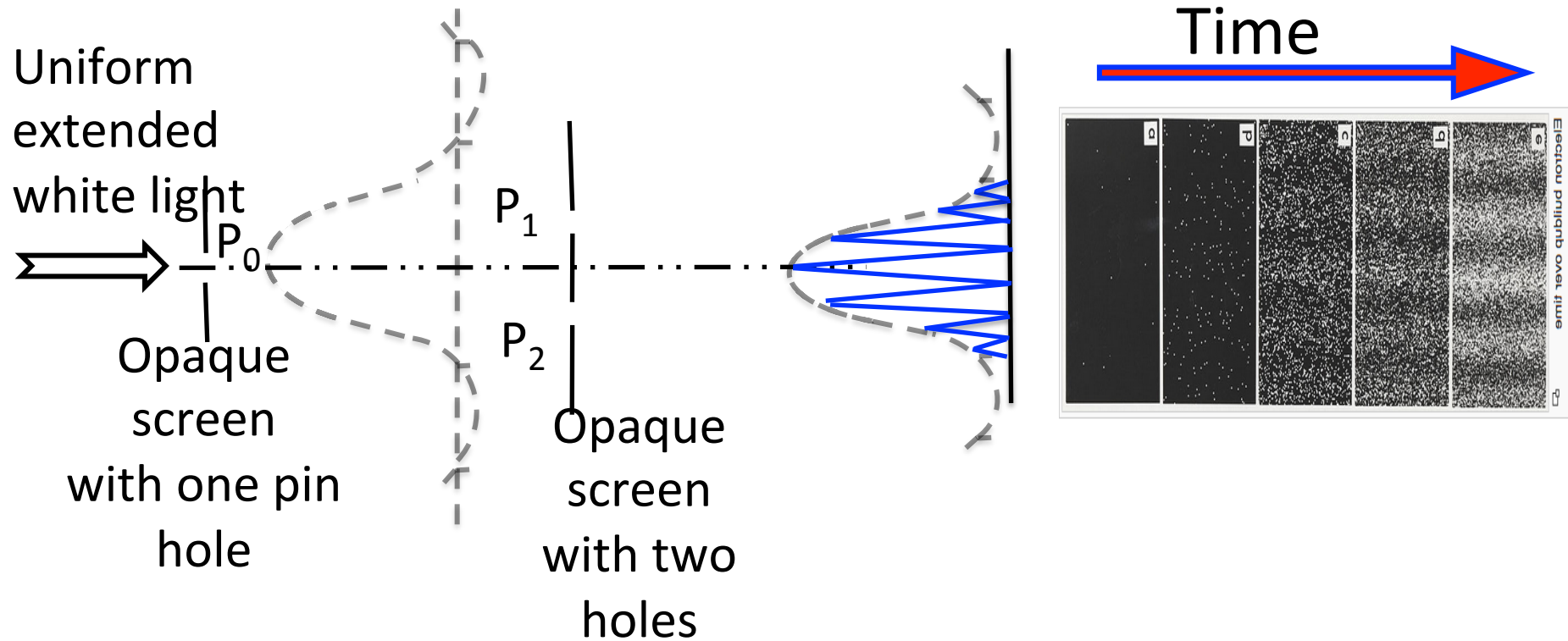
- Curve B is the diffraction pattern from holes  $P_1$  and  $P_2$ 
  - Spacing of the fringes underneath curve B is related to the separation of the holes  $P_1$  and  $P_2$
  - Visibility (contrast) of these fringes underneath curve B is given by the degree of correlation (coherence) of the fluctuating electromagnetic fields between points  $P_1$  and  $P_2$ .

# Wave-Particle Duality of Light: Photon counting



- **D** are 2 identical detectors
- Photons are counted as a function of time
- **Note** the photon arrivals are not simultaneous
- If we remove the detectors D and let light fall on a screen interference fringes will be seen.

# Wave-Particle Duality of Light: Interference



- **The fringe envelope is the Probability Density Distribution (PDD) of the arrival of photons**
- The fringe pattern evolves during the integration time

# Wave-Particle Duality of Light: Photon counting

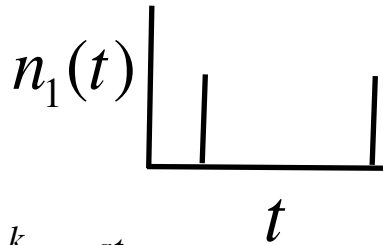
- Assume radiation can be represented as a stationary ergodic ensemble of a stochastic process
- The image is the statistical probability density distribution for the photon arrivals.
- For the case shown;
  - 3,000 photons the image is not distinguishable from noise
  - 12,000 we can get an idea of what the image is
  - 280,000 photons the image is clear



| Image | Number of Photons |
|-------|-------------------|
| a     | $3 \times 10^3$   |
| b     | $1.2 \times 10^4$ |
| c     | $9.3 \times 10^4$ |
| d     | $7.6 \times 10^5$ |
| e     | $3.6 \times 10^6$ |
| f     | $2.8 \times 10^7$ |

# Which tool to use for design?

## Photons



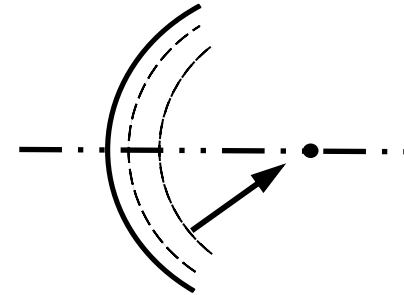
$$P(k;r) = \frac{(a\tau)^k e^{-a\tau}}{k!}; \quad k \text{ is the}$$

number of photons that arrive in time  $\tau$  &  $a$  is a constant.

$$I(x,y) = k(x,y) \cdot t$$

Or

## Waves



$$W(x_i, y_j) = A(x_i, y_j) e^{-i\phi(x_i, y_j)}$$

$$I(x,y) = \sum_{j=1}^N \sum_{i=1}^M |W(x_i, y_j)|^2$$

- **Waves relate directly to engineering parameters that affect the shape of the PDD & we understand how to control that shape**



# Optics for space vs. ground

|   | Ground Observatories                         | Space Observatories   |
|---|--|---|
| <b>Wavelength coverage</b>              | 400 nm to 50 $\mu$ m with absorption windows | $\gamma$ -ray to long-wave radio waves                        |
| <b>Scattered light for coronagraphs</b> | Atmosphere limited to $>10^{-8}$             | Unknown, limited by technology; probably $<10^{-15}$ contrast |
| <b>Angular resolution</b>               | $2 \times 10^{-4}$ arcsec (500-m @ 500 nm)   | Unknown; may be $<10^{-7}$ arcsec (10-km baseline)            |
| <b>Thermal environment</b>              | $\sim 230$ to 310 K                          | Extreme: $\sim 20$ K with sunshade                            |
| <b>Gravity</b>                          | 1-g; The vector changes during the night.    | 0-g   |
| <b>Accessibility</b>                    | Easy-to-fix hardware                         | Telescope inaccessible after launch                           |
| <b>Operation cost</b>                   | Keck $\sim 24$ M/year                        | $\sim 10$ times as much                                       |

# Instrument function

- Remember the optical system is continuous complex to the detector intensity
- Instruments operate on - or manipulate the **complex amplitude** & **phase wavefront** at the telescope focus to reimage an “analyzed” wavefront on the focal plane for detection.
  - Devices that perform this analysis are: prisms, gratings, interferometers (FTS), polarizer's (I,Q,U,V)
  - Detectors only see Intensity
- Need **science measurement** driven innovative instruments to open new windows....
  - Imaging spectrometers
  - Scanners
  - Imaging photopolarimeters

# Integrated modeling & test beds for optical systems

- Need to accurately **predict instrument performance** as a function of **multidisciplinary design variables**
  - Computer models to link thermal, materials, structural, optical and control system
  - Essential to quantifying both subsystem and system-level cross-disciplinary trades in terms of optical performance metrics
- Hardware test beds for to reduce mission risk
  - Technology development
  - Device/subsystem validation

# How do we create a working space telescope?

- Need to be organized!
- For a system to work all of its parts must work together
- Space optical systems require a team
  - Two people work for 500 years OR
  - 100 people for 10 years
- Everybody cannot just work on what they are interested in
  - Leaves gaps
- The role of the technical manager is to organize the effort so that most team members are working on what interests them – **but some uninteresting work must get done**

# Science Mission Directorate (SMD) Process

- The space science community
  - astrophysicists
  - earth & planetary atmospheres, oceans
  - earth & planetary geologists & botanists
- Prioritize **science measurement objectives**
- Engineers assess the technology to make these measurements and the risk of success
- Instrument scientists & optics technologists
  - identify new technology needs
  - develop the needed technology
- NASA groups these **science measurement objectives** into missions

# Develop requirements to communicate to other team members & sponsor

- **Science**
  - “Determine the scope of global warming”
- **Science measurement objectives**
  - “Measure the annual abundance of CO<sub>2</sub> to an accuracy of 0.1%”
- **Functional requirements (constraints on the instrument & system)**
  - “the needed signal to noise is 60:1, global measurements, 5 to 16.  $\mu$  , resolution 0.1  $cm^{-1}$  ...”

# Develop requirements to communicate to other team members & sponsor

- **Create a System architecture**
  - “Telescope with spectrometer, low earth orbit, down link capacity..... ”
- **Develop a point design**
  - “Grating or Fourier transform spectrometer, spectral resolution,  $A\Omega$  ..??”
- **Assess feasibility develop and apply models**
- **Is new technology needed? If yes then . . .**
  - Define clearly functions needed
  - Prepare call for proposals
  - Win contract & start research & development

# **Develop requirements communicate to other team members & sponsor**

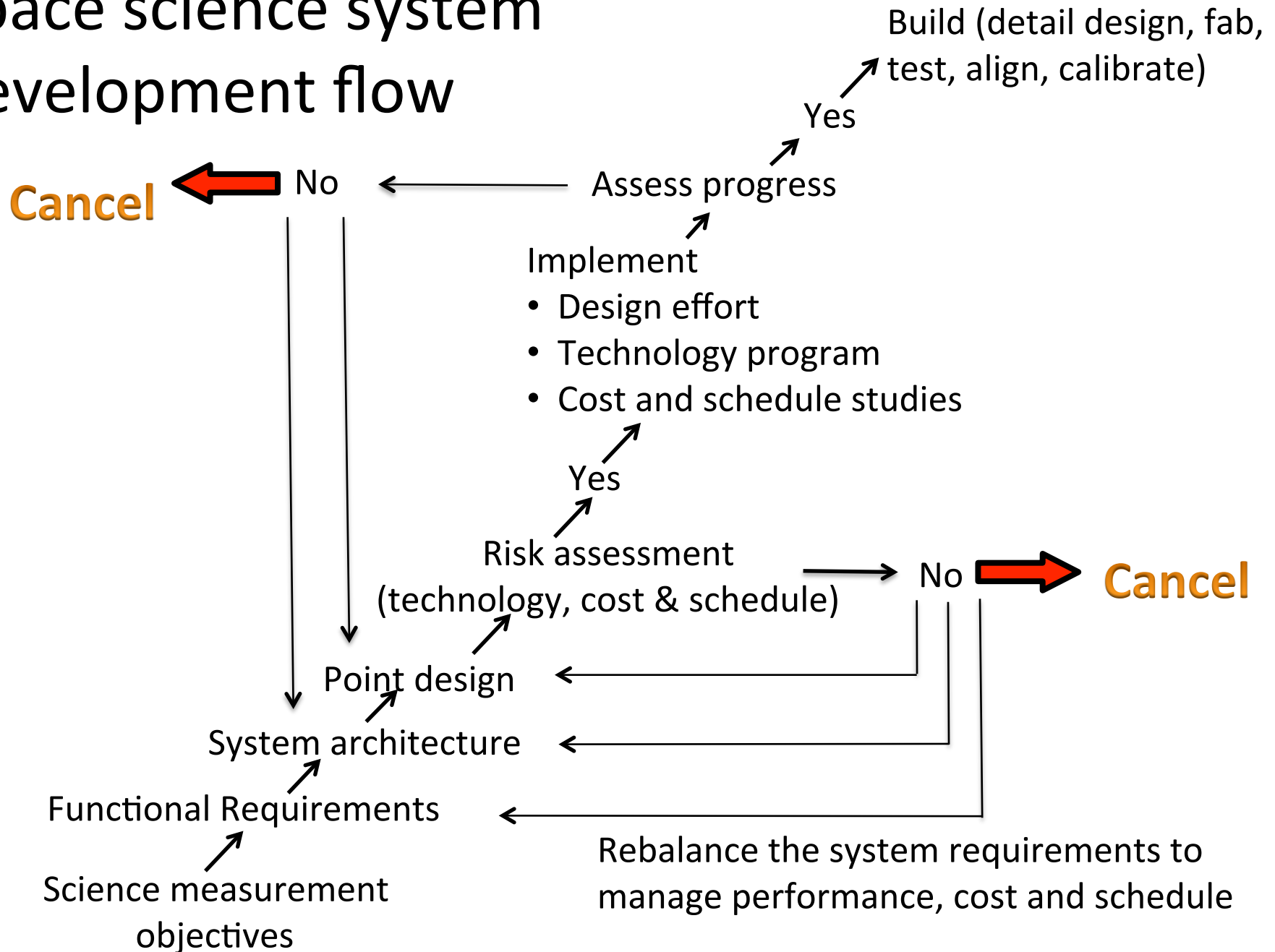
- **Need commitments from individuals to complete tasks**
- **Write contracts based on**
  - **Functional requirements**
  - **Engineering specifications**
  - **Software control, data analysis**



# Functional requirements

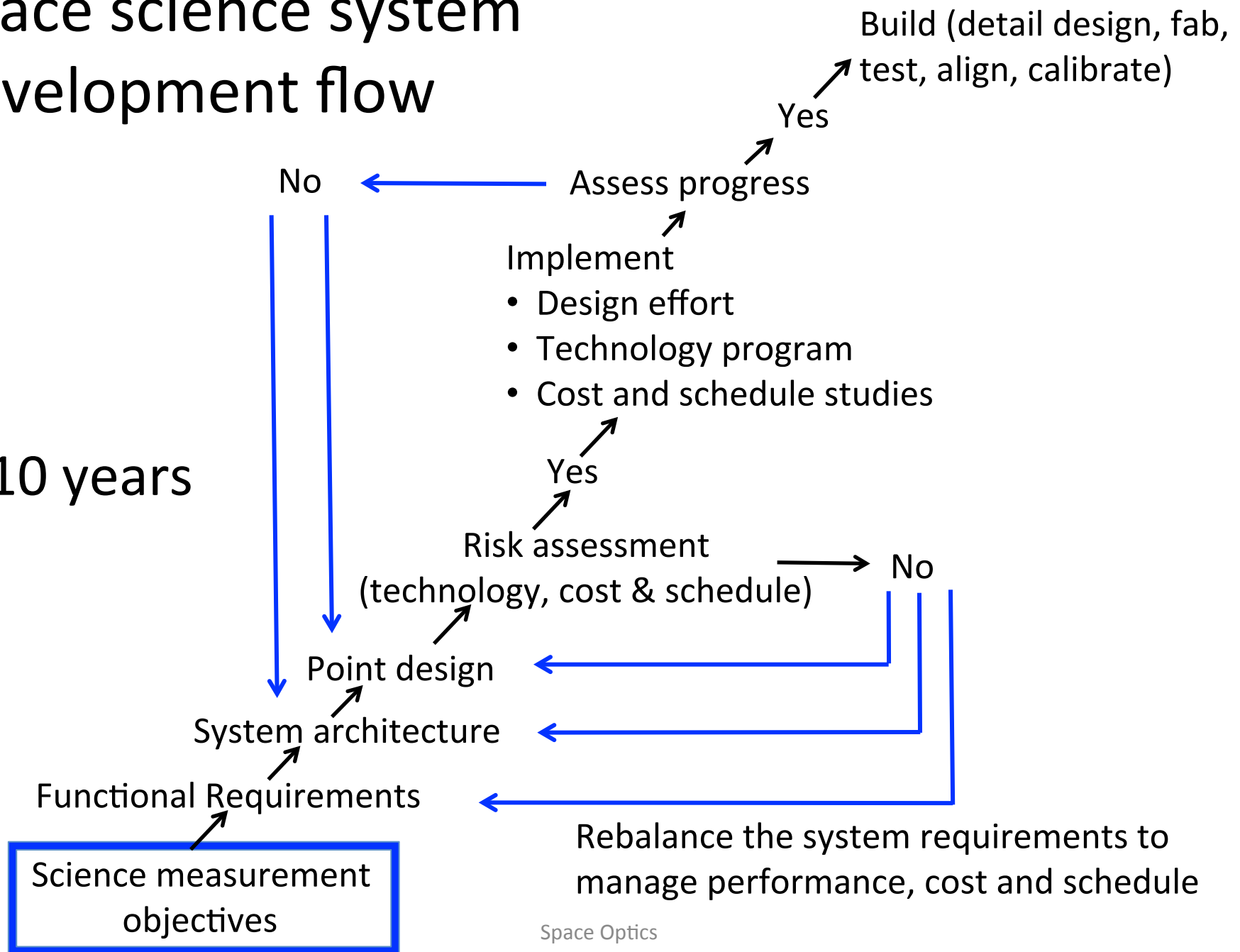
- **Yes**
  - Measure the R=70 spectra between 400 and 600 nm of an exoplanet with a  $\text{SNR} > 5$
- **No**
  - Use a 1-meter telescope with a 600 lines/mm grating and the Falcon 6 rocket fairing launched from Wallops?
- **Identify clearly what your functional requirements are, then look at what is available?**
- **Engineering requirements & specifications are derived from your science measurement functional requirements**
- **Scientists negotiate requirements with engineers**

# Space science system development flow

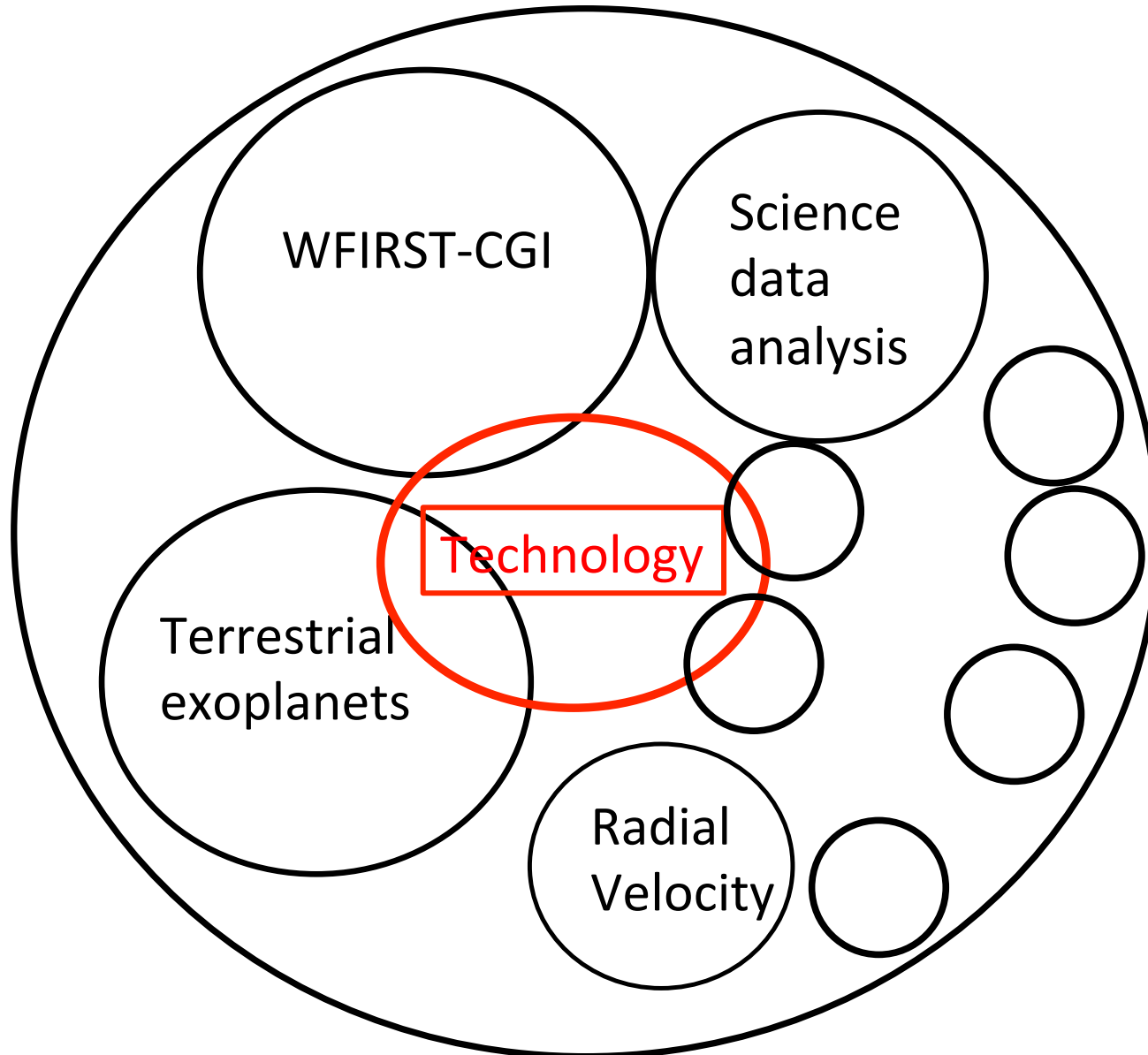


# Space science system development flow

10 years



# program, project, task, technology



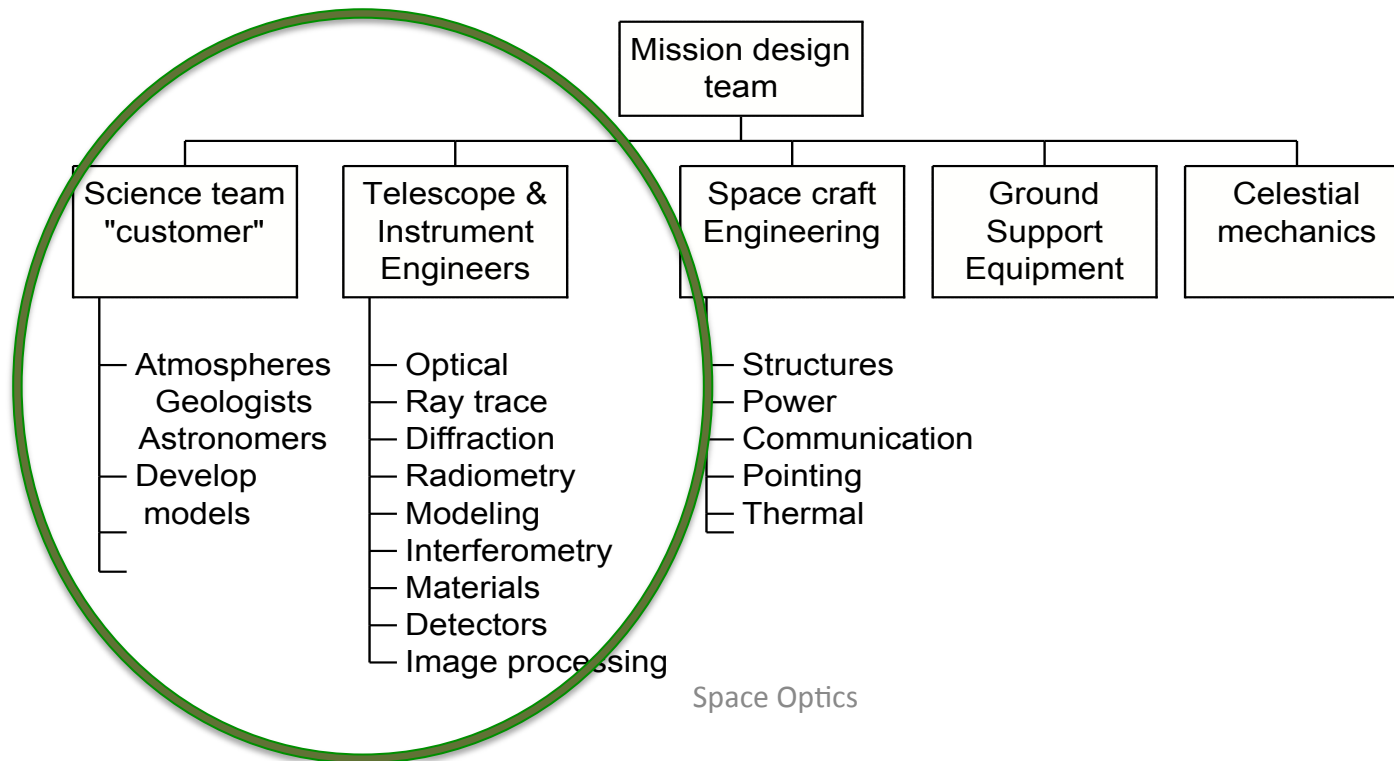
# Language is important

## Program development

- A program contains:
  - Science advocacy
  - **Funding advocacy**
  - **Mission development – instrument vision**
  - **Technology development**
  - **Project – fabrication & test of flight hardware**
    - **project move through time within**
    - **Cost, schedule and performance**
  - **Public awareness**

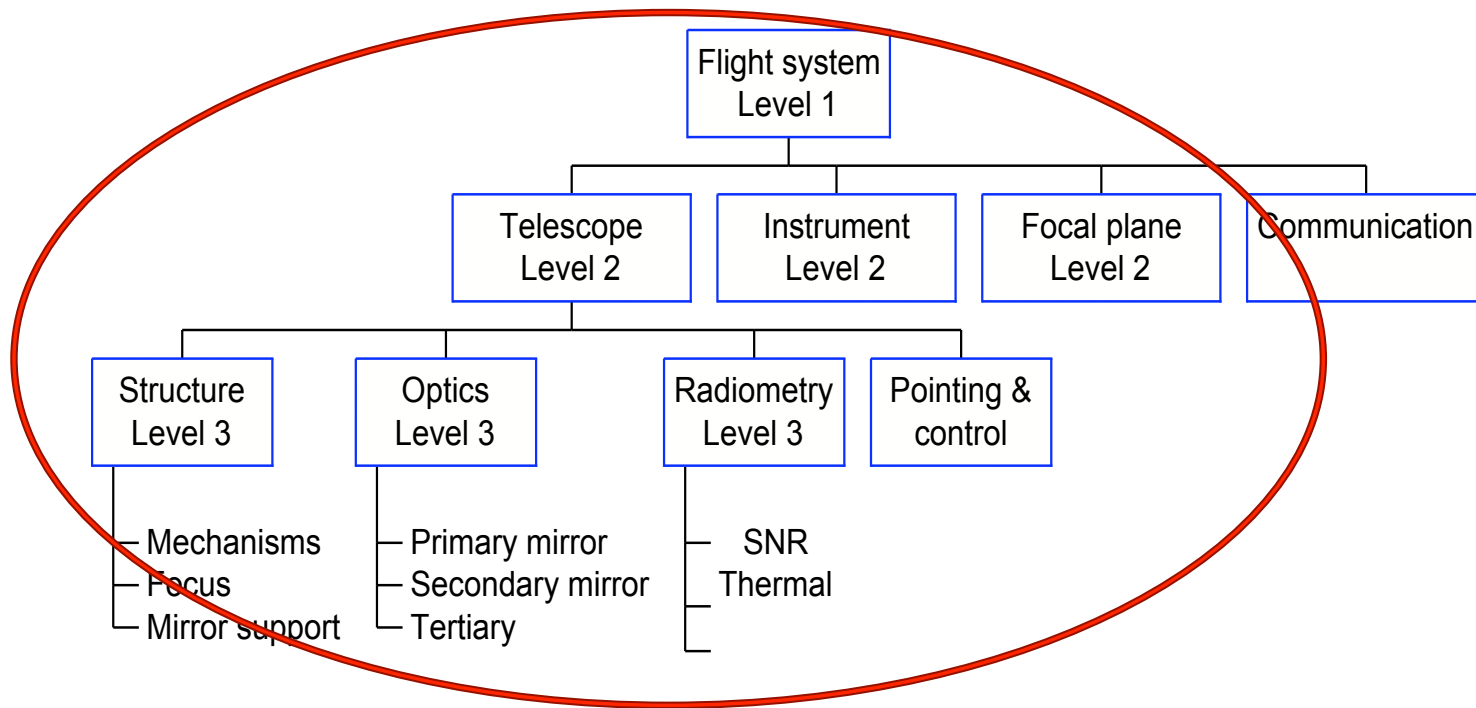
# Mission development

- Create ideas for new missions to make new high priority measurements
- Develop justification for the mission
  - Performance, schedule, cost



# Where do we start?

- Develop the system requirements
- Divide the system up into manageable subsystems
  - Work break-down structure
- One person's subsystem is another person's system.



# Technology before project

- With  $\sim 100$  engineers charging  $\$2 \times 10^6$  per month a project which is manufacturing the instrument cannot stop, **pay engineers to NOT work** and wait for technology to be developed.
- If the technology to design, build, integrate, align, test and calibrate the optical system is not “off the shelf” then a **technology development program** is needed to make the technology “ready for flight” **BEFORE the project receives approval to start.**
- Communicate the readiness of your technology using the technology readiness level **scale (TRL)**



# Integrated modeling & test beds for optical systems

- Need to accurately **predict instrument performance** as a function of **multidisciplinary design variables**
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# Optics technology priorities

- New precision materials & structures to enable
  - Low cost figuring
  - Low-mass, large area deployable mirrors
  - Precision is insensitive to temperature: metering structures – athermalization & optics metrology & control
- Includes
  - Optical finishing, coatings, materials science,
  - The deployment of highly reflecting, polarization controlled, uniform, precision optical surface
- Optical sieves, membrane telescopes and large area nano-structure optical elements (8 to 40 meters)

# Detectors, focal planes, on-board signal processing

- Convert photons to electrons or other forms of energy
  - Large format, very low background & noise
  - Thermal infrared, Sub millimeter - Cryo-coolers
  - X-ray & UV
- Large area, low noise
- Low noise space qualified preamplifiers

# Top technology categories

- 2017-2022 (needed for missions before 2022)
  - High contrast exoplanet technologies
  - Ultra-stable large aperture UV/optical telescopes
  - Quantum optical interferometry (atomic interferometers)
  - Spectrometers for mineralogy
  - Sample handling
  - Extreme environment technologies

Look in-depth at two technology areas:  
**Mirror System & Optical Components**

- Optical metrology & wavefront sensing and control
  - Correct telescopes to diffraction limited performance after construction to reduce cost
  - Enables light weight large aperture telescopes and instruments (e.g. JWST)
- Coronagraphs to control scattered light in telescopes and instruments
  - Solar astronomy  $10^{-7}$
  - Exoplanet research  $10^{-12}$

# Optical metrology & structures

- Technology necessary to hold the optical components of the telescope properly separated and in focus
- Latches, hinges, bonding science, dynamics, materials
- Metrology: lasers, sensors, actuators
- In some cases these optical components may be 10's of meters apart and robotically deploy to a precision less than a millimeter, and self align to less than a wavelength of light.
- Formation flying mirror sats: virtual structures

# Optical metrology & wavefront sensing & control

- Classically:
  - Telescopes use mass to achieve the needed stiffness for  $\lambda / 40$  surface error (12 nm in the visible).
- Today:
  - Large classical telescopes too large to launch!
  - Too massive and too large volume: therefore we use optical metrology, wavefront sensing & control and actuators to get the “stiffness” needed.
  - Replace mass with lightweight sensors, actuators and software



# Space telescope system architectures

- 12 to 20 meter telescope
- JWST type only larger & more complex
  - Unassisted deployment
  - Maybe low risk since JWST experience
  - Dead-end technology
- Evolvable space telescope (EST)
  - Robotic assembly in space
  - Higher risk, new
  - Technology for telescopes of unlimited aperture

# Space telescope system architectures

- Thought process
- Space telescope system engineering
  - Design concept
  - Architecture trades
  - Decisions today map to billions of \$ tomorrow

# Background

- 21<sup>st</sup> century space astronomy needs twelve to twenty meter class space telescopes
- Requirements
  - Cost less than JWST
  - Performance to 100 nm UV wavelength
  - Coronagraph for imaging spectrometry @  $10^{-11}$
  - Polarization preserving (0.01%)
  - ~4-arc minute FOV (or larger)

$$N = \left[ \frac{FOV}{1.2\lambda / d} \right]^2 = 8.4 \cdot 10^8 \approx 4 \text{ giga-pixels}_{\text{nyquist}}$$

# How to

- Reduce cost
  - Minimize # of reflections (precision mechanical structures)
  - Implement the Evolvable Space Telescope (EST)
  - Prime focus
- Increase UV-Vis performance
  - Innovative optical design (imagers & spectrometers)
    - wide FOV with fewer reflections
  - Polarization preserving configurations & coatings

# How important is mirror count?

## Cost to recover mirror losses

- To fit our optical instruments into the telescopes of today, designers use lots of fold mirrors which absorb and scatter valuable radiation.
- Calculate the cost of light lost because of reflections.
  - Reflection losses reduce aperture
  - Cost to recover aperture to compensate losses

# How important is mirror count?

## Cost to recover mirror losses

### Unnecessary reflections are expensive

$A_e$  = the effective aperture

$d_e$  = diameter of the effective aperture

$A_T$  = telescope aperture

$d_T$  = telescope diameter

$\tau$  = transmittance or  
reflectance

$$A_e = \tau A_T$$

$$\pi \frac{d_e^2}{4} = \tau \cdot \pi \frac{d_T^2}{4}$$

$$d_e = d_T \sqrt{\tau}$$

# Reflection losses reduce the effective aperture of a telescope

| # of normal incidence reflections to detector | Tau for R=0.95 | A 10-m aperture is effectively | A 2.4-m aperture is effectively |
|---|----------------|--------------------------------|---------------------------------|
| 1   | 0.95           | 9.7                            | 2.3                             |
| 4   | 0.81           | 8.8                            | 2.1                             |
| 8   | 0.66           | 7.8                            | 1.9                             |
| 12  | 0.54           | 6.9                            | 1.7                             |
| 16  | 0.44           | 6.1                            | 1.5                             |
| 20  | 0.36           | 6.0                            | 1.4                             |
| 24  | 0.29           | 4.8                            | 1.1                             |
| 28  | 0.24           | 4.2                            | 1                               |

**Assume a 10 meter telescope  
can be built for \$3B. What is the cost  
to recover the losses ?**

| # of normal<br>incidence<br>reflections<br>to detector | Tau for<br>R=0.95 | Increase the<br>10m<br>diameter to<br>maintain<br>SNR | Mission cost<br>assuming<br>cost=d <sup>2.0</sup> |
|--|-------------------|---|---|
| 1  | 0.95              | 10.3  | 3.2   |
| 4  | 0.81              | 11.1  | 3.7   |
| 8  | 0.66              | 12.3  | 4.5   |
| 12   | 0.54              | 13.6  | 5.6   |
| 16   | 0.44              | 15.1  | 6.8   |
| 20   | 0.36              | 16.7  | 8.4   |
| 24   | 0.29              | 18.5  | 10.3  |
| 28   | 0.24              | 20.5  | 12.6  |

***Eight  
reflections  
cost > \$1B***



# Process we go through to create a new telescope architecture

- Create the concept
- Conceive an evolvable space telescope (EST)

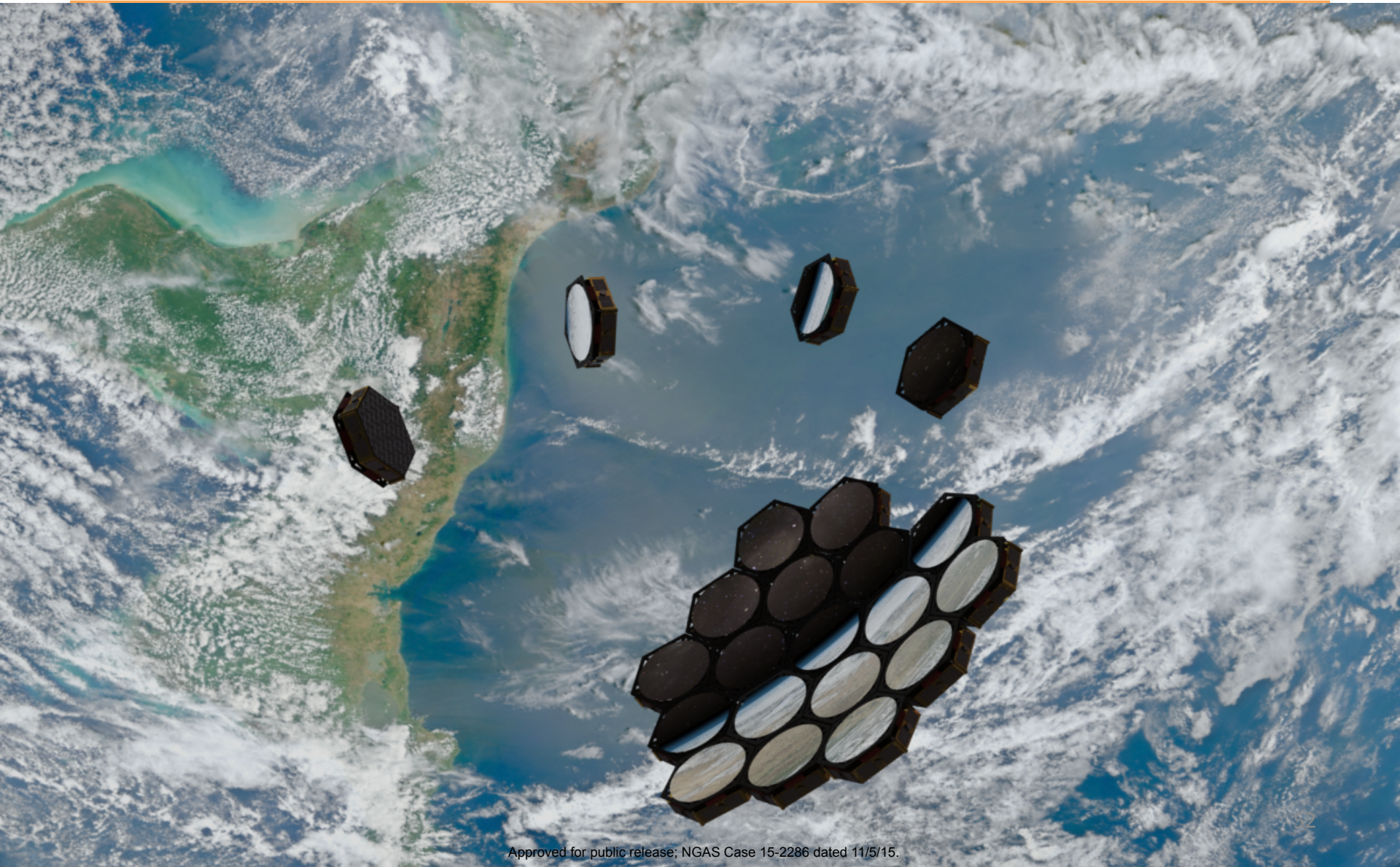
# EST Plan

- By launching the telescope in segments and reuse in-space structural elements =>
- Many of the constraints on
  - Mass,
  - Deployment mechanisms
  - Packaging
  - are removed**

# New paradigm to break cost curve

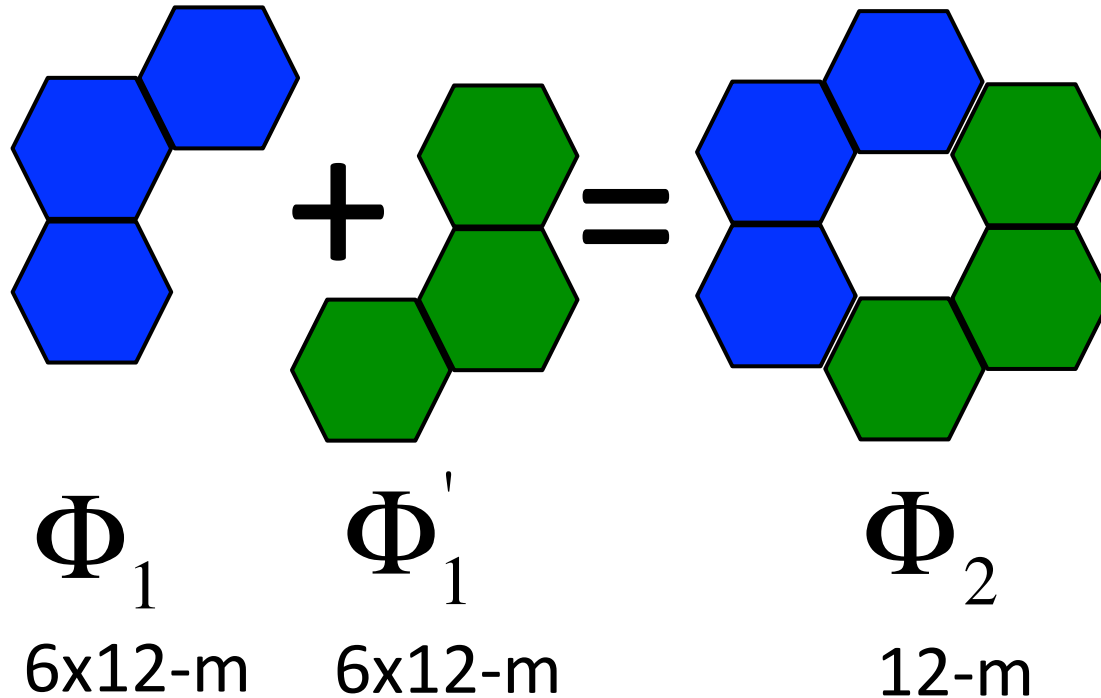
- Partition the telescope into segments
- Launch segments separately
- In space assembly in stages
- Choose stages so each one is astronomically productive
- Today discuss
  - An architecture to do this
  - Optical design & issues
- MacEwen: infrastructure
- Lillie: on-orbit assembly & servicing

# The Evolvable Space Telescope Vision



# Phase 1 and 2 of EST

## 4-m class segments



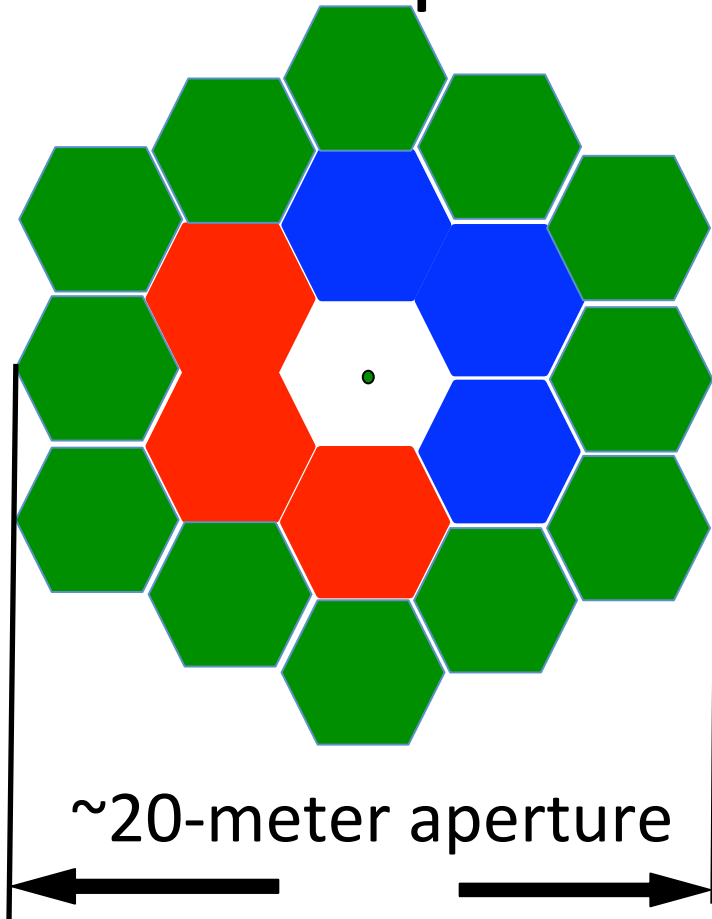
**Off-axis segmented** + **Off-axis segmented** = **Filled 12-meter**

# Evolvable Space Telescope (EST)

- 1.Stage 1: **First, build, launch, and conduct high value science with a fully functional three 4m segment telescope complete with instruments.**
- 2.Stage 2: **Some years later add a mirror, instrument, and service package to the in-space Stage 1 telescope to create an 8 – 12 meter aperture.**
- 3.Stage 3: **Some years after that add to the in-space Stage 2 telescope, more mirror segments, to make a 14 – 20 meter aperture with new instruments and additional support systems.**

- Science data is obtained continuously beginning with Stage 1 commissioning with only HST-like servicing gaps in the science return

# UVOIR concept built using EST processes & technology



Phases to a 20-meter

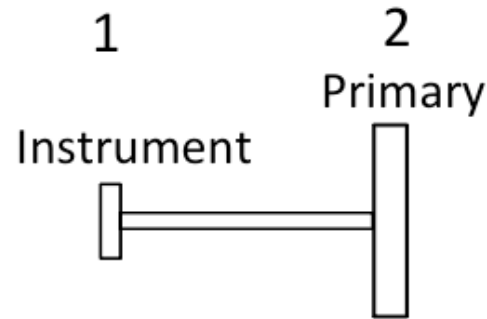
1. 3 segments
2. 3 more segments
3. 12 more segments added at edge

*Is Prime focus an advantage? . . .*

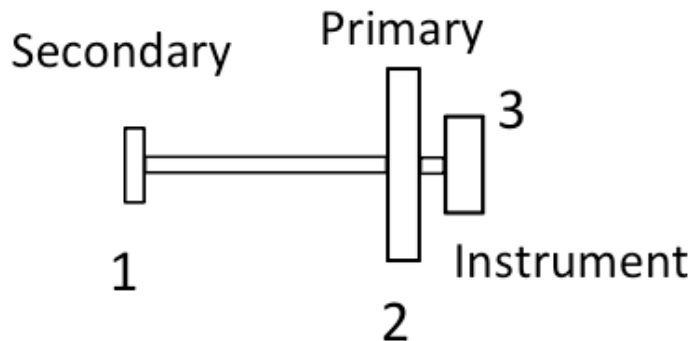


Pointing stability is a big issue  
Prime focus may be more stable

Prime focus  
telescope is a  
2 body problem



Cassegrain  
telescope is a  
3 body problem



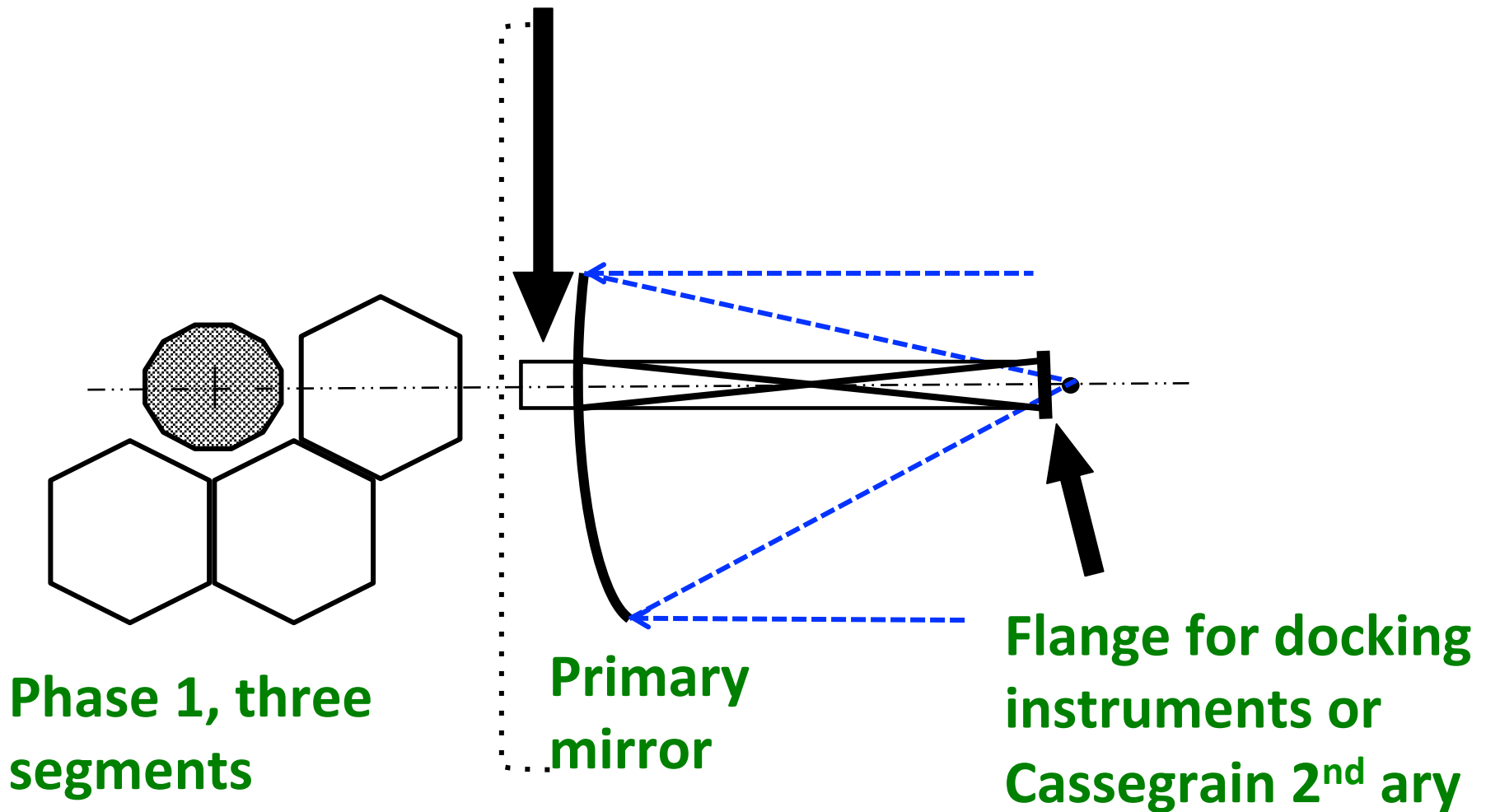
The thermal & vibration structural errors in a  
Cassegrain telescope  
are twice (2x) that for a prime focus system



# Prime focus 6 x 12 m EST

## Metering structure

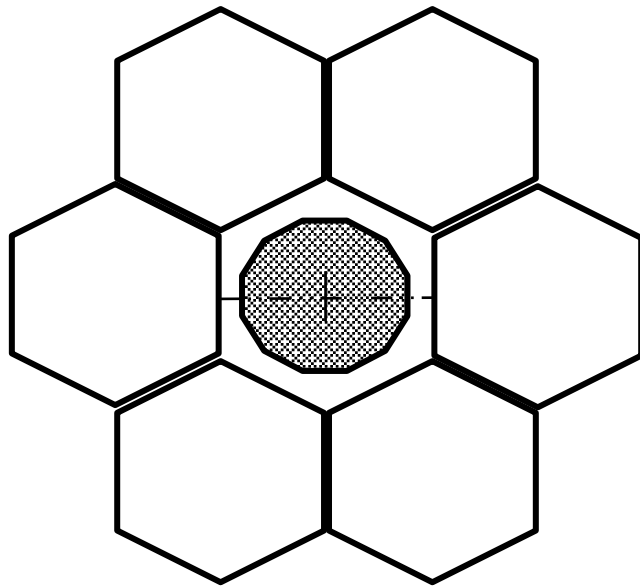
Between vertex of the primary & the flange



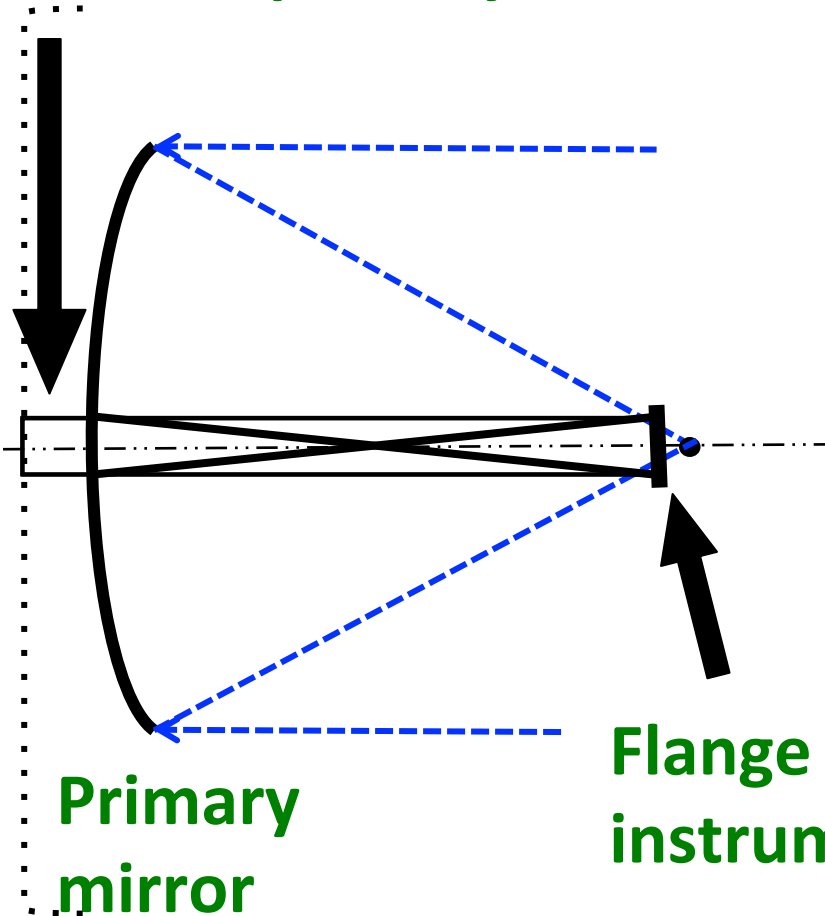
# Prime focus 12-m EST

**Metering structure**

**Between vertex of the primary & the flange**



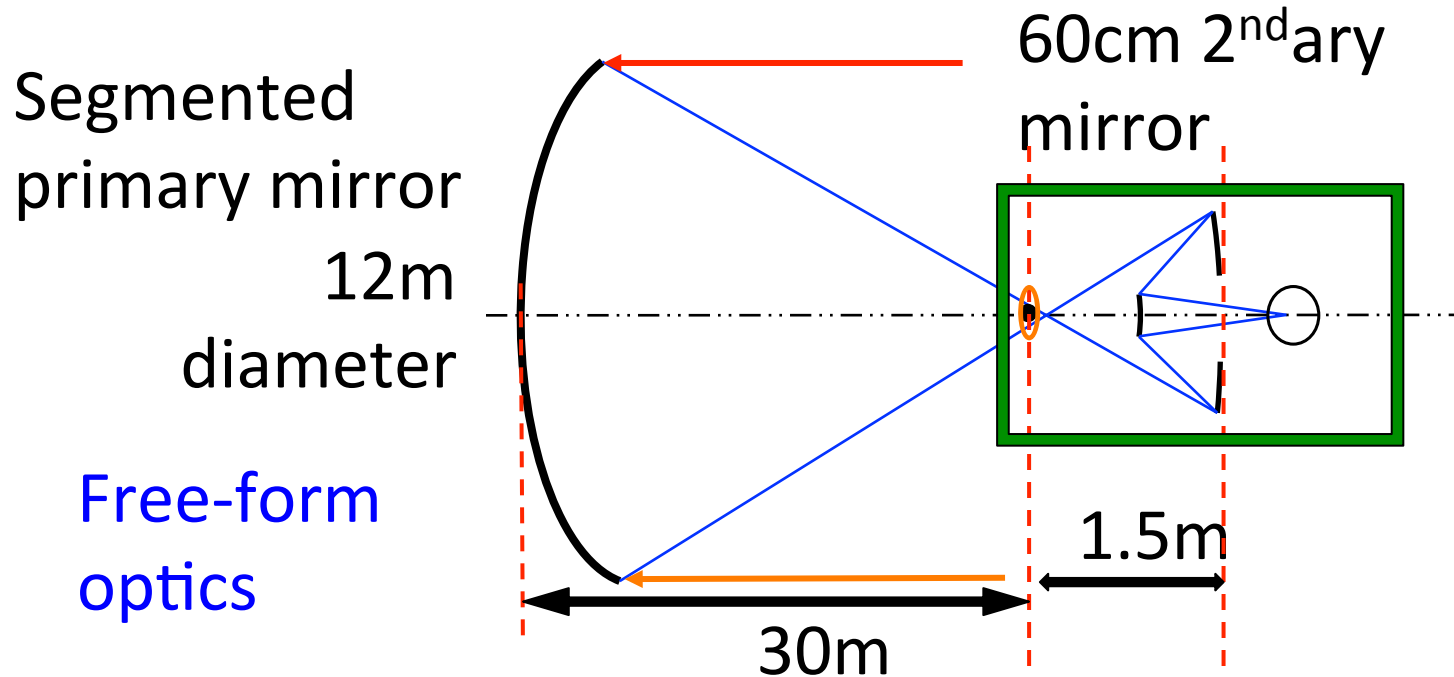
**Phase 2, two sets of  
Three segments=6.**



**Primary  
mirror**

**Flange for docking  
instruments**

# Concept for prime focus UV/OIR imager

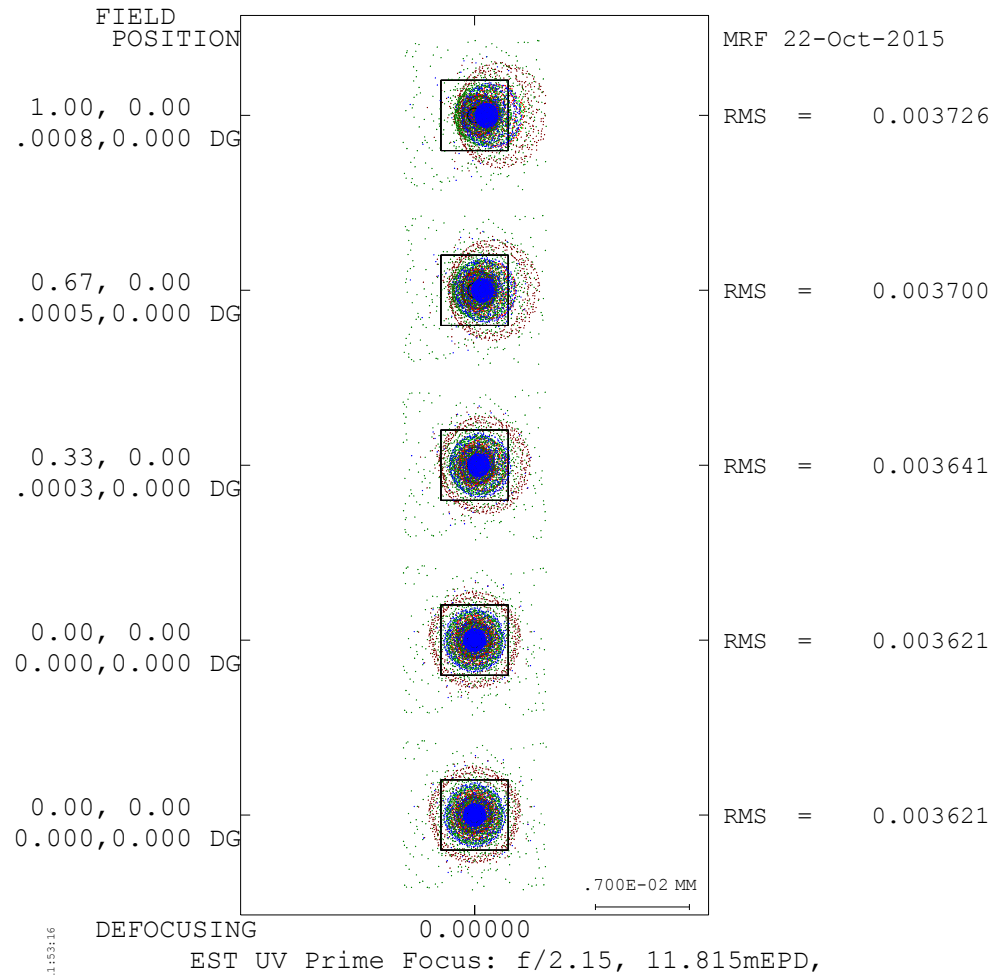


- Low polarization (no fold mirrors)
- High transmittance (few reflections)
- UV transmitting refractive correctors
- Wide field

# Ray-trace quick look at single reflection filled aperture

- 2 Corrector  
glasses: LiF & CaF<sub>2</sub>  
@ f/2.15
- Wavelength range:  
150-250 nm
- Spot diagrams  
over 7.8 arc sec  
FOV

5 micron pixels



# Lyot coronagraph system for prime focus EST

System minification  
is 20:1

**Drawing below is not to scale**

- Segmented active secondary

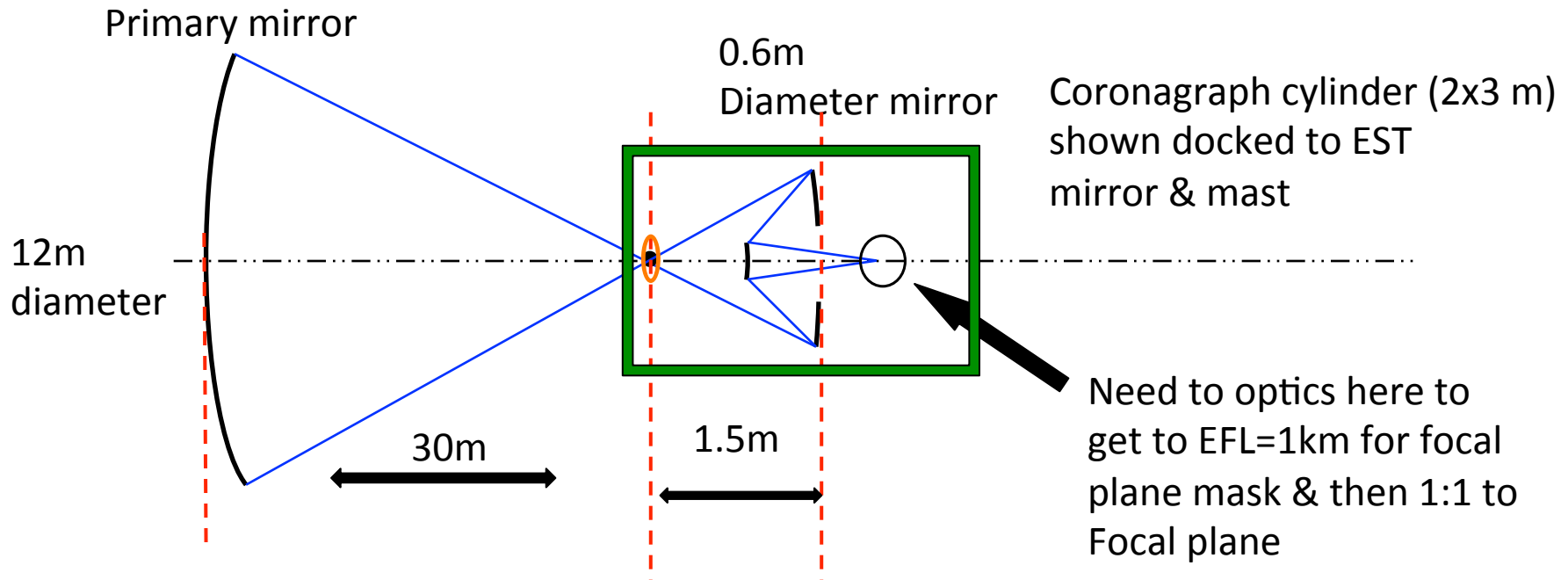
- 0.6 meters diameter

- To image 1:1 the primary segments

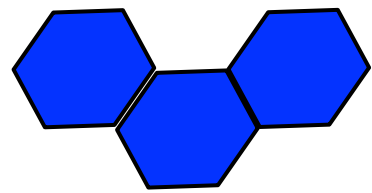
- Solid tertiary

- Refractive correctors

- Stop at prime focus controls scattered light



Breckinridge, Lam & Chipman (2015) [Polarization aberrations in astronomical telescopes](#) PASP **127**, 445 => fold mirrors are bad for coronagraphs => EST gives potential to build a Lyot coronagraph with no fold mirrors – only powered optical elements



# Lyot coronagraph system for prime focus 6x12-m EST

Pupil =>

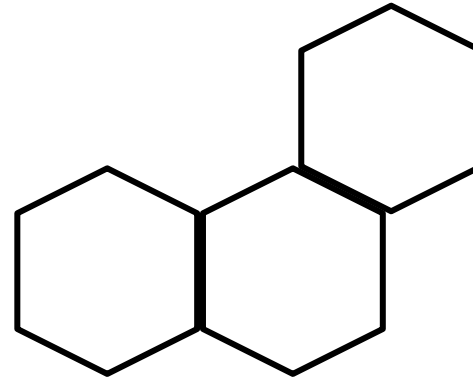
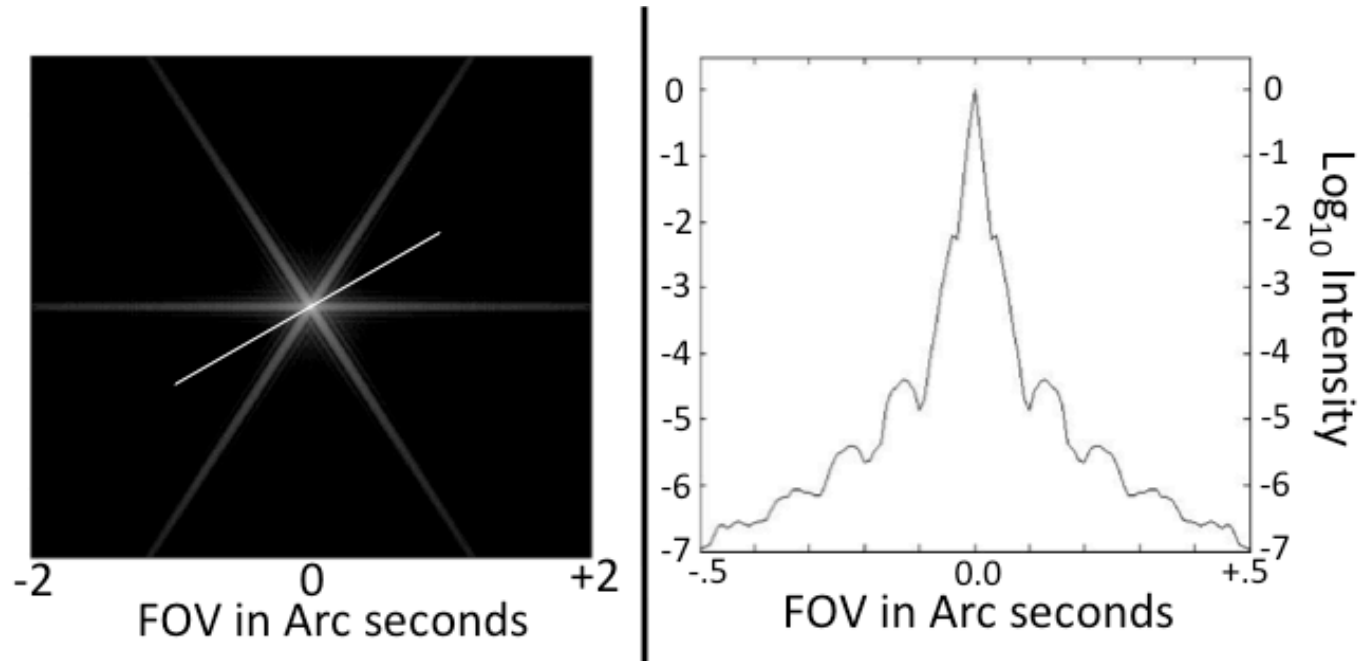


Image  
plane =>



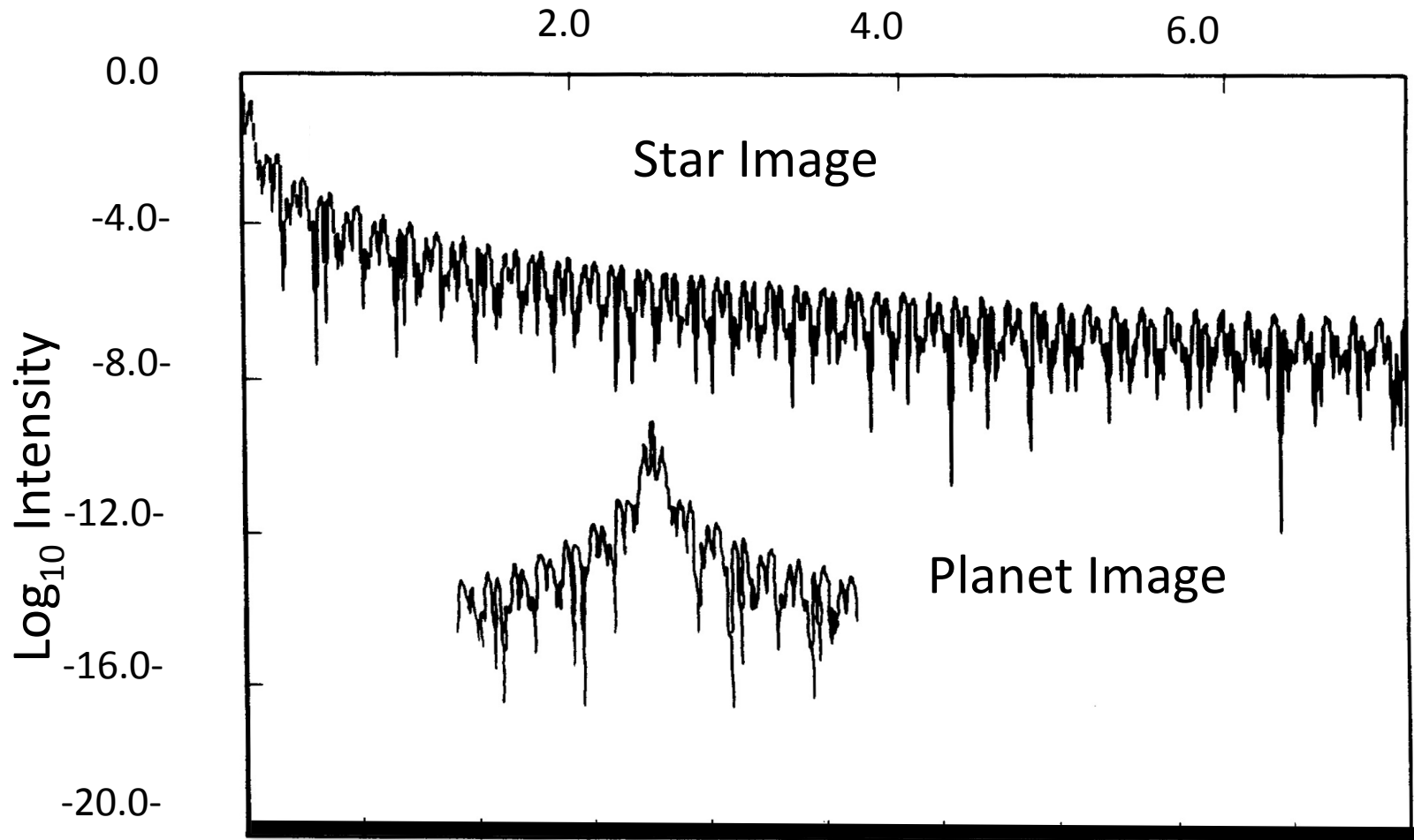
# Prime focus advantages over Cassegrain

- Science applications
  - UV imaging spectroscopy (75 to 250 nm)
  - High contrast exoplanet coronagraphy (  $C_T \approx 10^{-11}$  )
  - Deep field imaging & spectroscopy astrophysics (  $m_V \approx 35$ , for 12-m)
- Prime focus design advantages
  - Low scattered light – less complicated to baffle than Cassegrain
  - One metal/dielectric reflection to UV focal plane
  - One metal/dielectric reflection to a coronagraph mask
  - Thermally induced structural distortion:  $\frac{1}{2}$  Cassegrain
  - Two-reflections to an A/O in an imager
  - Minimum polarization aberrations
  - Fewer sources of polarization anisotropy

# Coronagraphy



**Exoplanets**, which shine in light reflected from their star are much fainter than the star

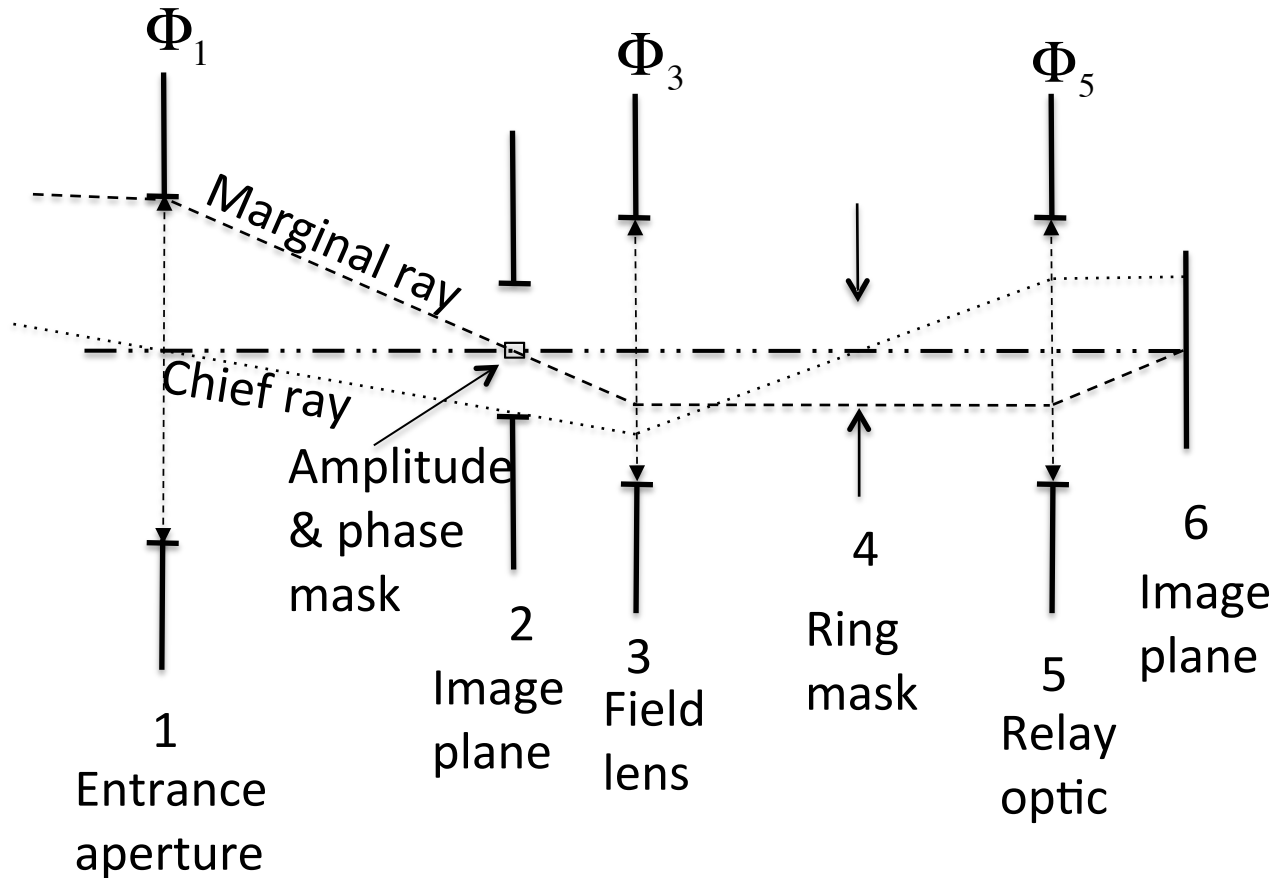


Radial distance from bright star in arc-seconds

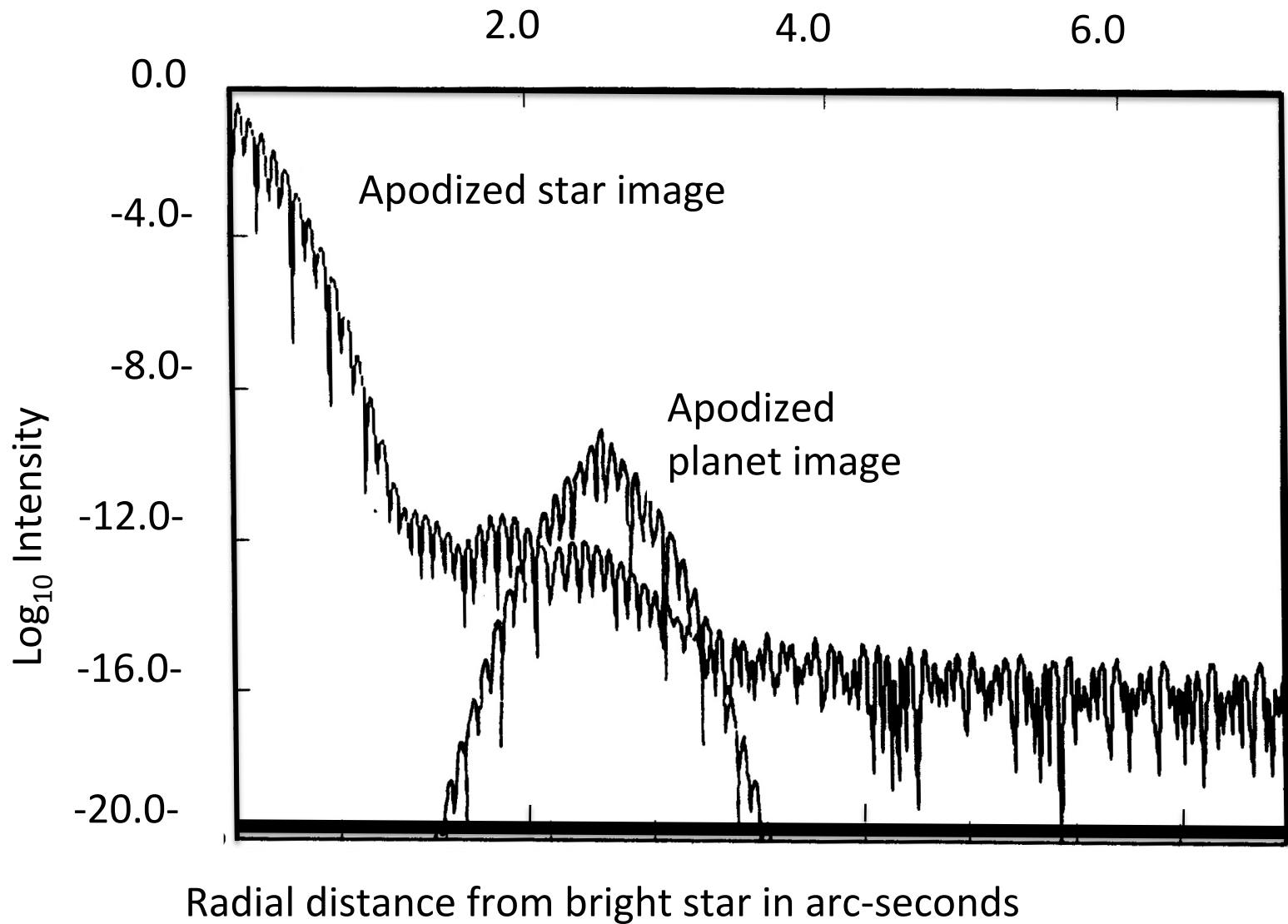
# Coronagraphy

Measure a very faint object in the presence of a very bright one.

solar research  $10^{-8}$  & exoplanet research  $10^{-12}$



# Apodization reveals exo planet



# Thank you

# Class outline

- The challenges of space optics
- Derive étendue, throughput, transmittance
  - Power to the focal plane
- Geometric aberrations: thermal, structural, metrology, tolerancing & A/O
- Scalar wave image formation
- Vector-wave image formation: polarization aberrations
- Hubble trouble

Space Optics (2)  
AstrOpt2016  
Derive Etendu  $A\Omega$ .

J. B. Breckinridge

Adjunct professor

Caltech & College of Optical Sciences

# Transmittance, throughput, & vignetting

- How bright is my image?
- Can I record it?
- Parameters that describe the ability of the optical system to transmit power
- What is the diameter of my optical elements?
- Can they be fabricated or just designed!

# Kirchoff's Laws

If a body of mass is at thermal equilibrium with its surrounding environment, **conservation of energy** requires that

$$\Phi_{incident} = \Phi_{absorbed} + \Phi_{reflected} + \Phi_{transmitted}$$

By dividing both sides by  $\Phi_{incident}$ , we write  **$\alpha + r + t = 1$** , where  $\alpha$  is absorbtance,  $r$  is reflectance, and  $t$  is transmittance. For an opaque body where there is no transmittance ( $t = 0$ ), the radiation is either absorbed or reflected. Therefore,

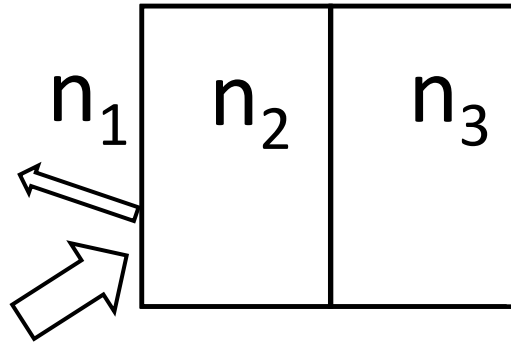
watts absorbed =  $\alpha \cdot E \cdot \text{area} = \varepsilon \cdot M \cdot \text{area} = \text{watts radiated}$ .



# Minimize reflectance loss to maximize transmittance

- Antireflection coat has limitations

$$R_i(\lambda) = \frac{I_{\text{Reflected}}(\lambda)}{I_{\text{Incident}}(\lambda)}$$



$$\text{If } n_2(\lambda) = \sqrt{n_1(\lambda)n_3(\lambda)}$$

**Then reflectance is zero &  
transmittance is maximized**

Often a physical material with just the right  $n_2(\lambda)$  does not exist

Power at the focal plane  
is determined by

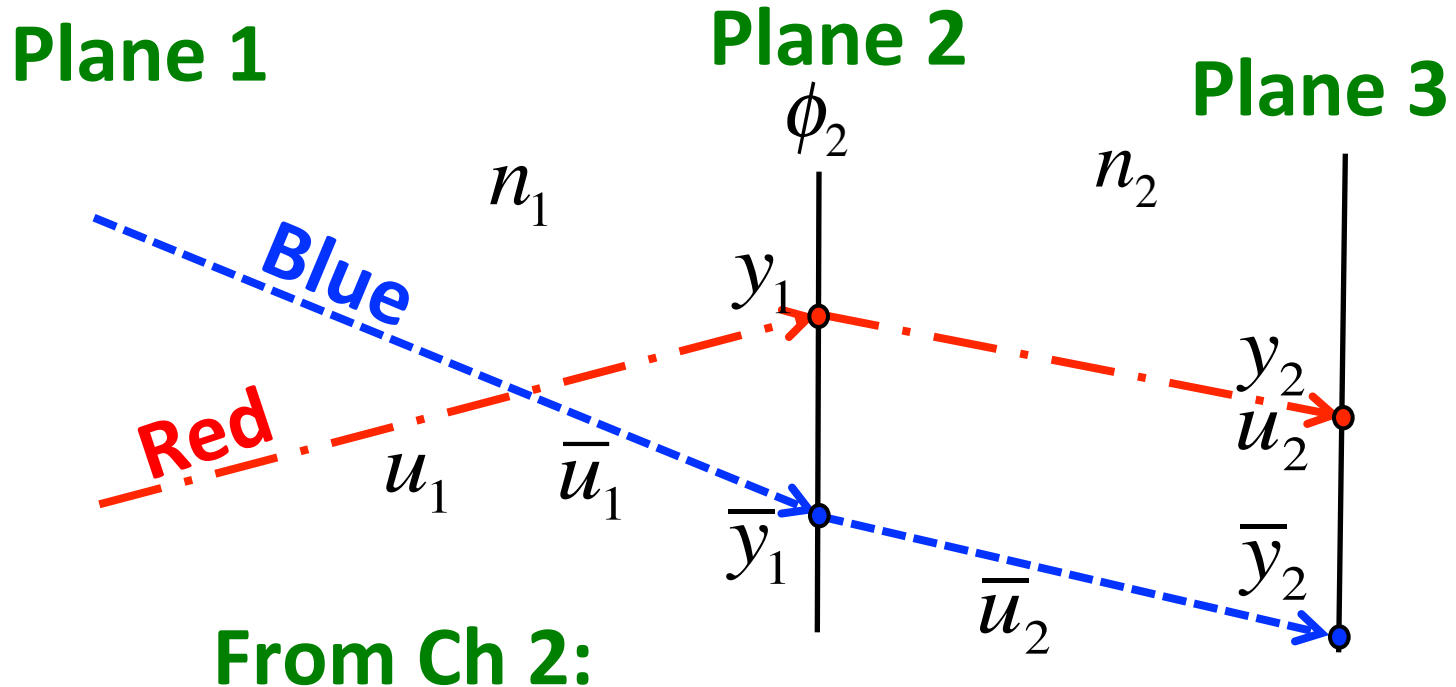
- Transmittance
- **Etendu or “through-put”**
- Polarization (discussed later)

# Étendú or throughput [pp103]

- Calculated using tools of 1<sup>st</sup> order optics
- Expresses the geometric ability of an optical system to pass radiation from object space to image space
- Ray trace can indicate an excellent image but if no light gets through the system, there is no image – SNR=0.0!
- Consider 2 general rays, pass them through the optical system, then look at pupil and image planes

# Étendú or throughput

Consider 2 general rays



$$n_2 u_2 = n_1 u_1 - y_1 \phi_2$$

$$n_2 \bar{u}_2 = n_1 \bar{u}_1 - \bar{y}_1 \phi_2$$

# Étendú or throughput

Consider 2 general rays

$$n_2 u_2 = n_1 u_1 - y_1 \phi_2$$

$$n_2 \bar{u}_2 = n_1 \bar{u}_1 - \bar{y}_1 \phi_2$$

Recall that:  $\phi_2 = (n_1 - n_2)C_2 = \frac{(n_1 - n_2)}{R_2}$

**The optical power is the same for both rays**

$$\frac{(n_2 u_2 - n_1 u_1)}{y_1} = \phi_2 = \frac{(n_2 \bar{u}_2 - n_1 \bar{u}_1)}{\bar{y}_1}$$

Re-group the terms

Then we discover that there is an invariant  
between any two planes in the optical system

Invariant on  
refraction

$$n_1 \bar{u}_1 y_1 - n_1 u_1 \bar{y}_1 = n_2 \bar{u}_2 y_1 - n_2 u_2 \bar{y}_1 = H$$

# Étendú, Helmholtz, LaGrange Invariant

$$n_1(u_1\bar{y}_1 - \bar{u}_1y_1) = n_2(\bar{u}_2y_2 - u_2\bar{y}_2) = H$$

Rewrite this equation with the object plane on the LHS

$$y_1 = 0$$

And and the pupil plane on the right hand side

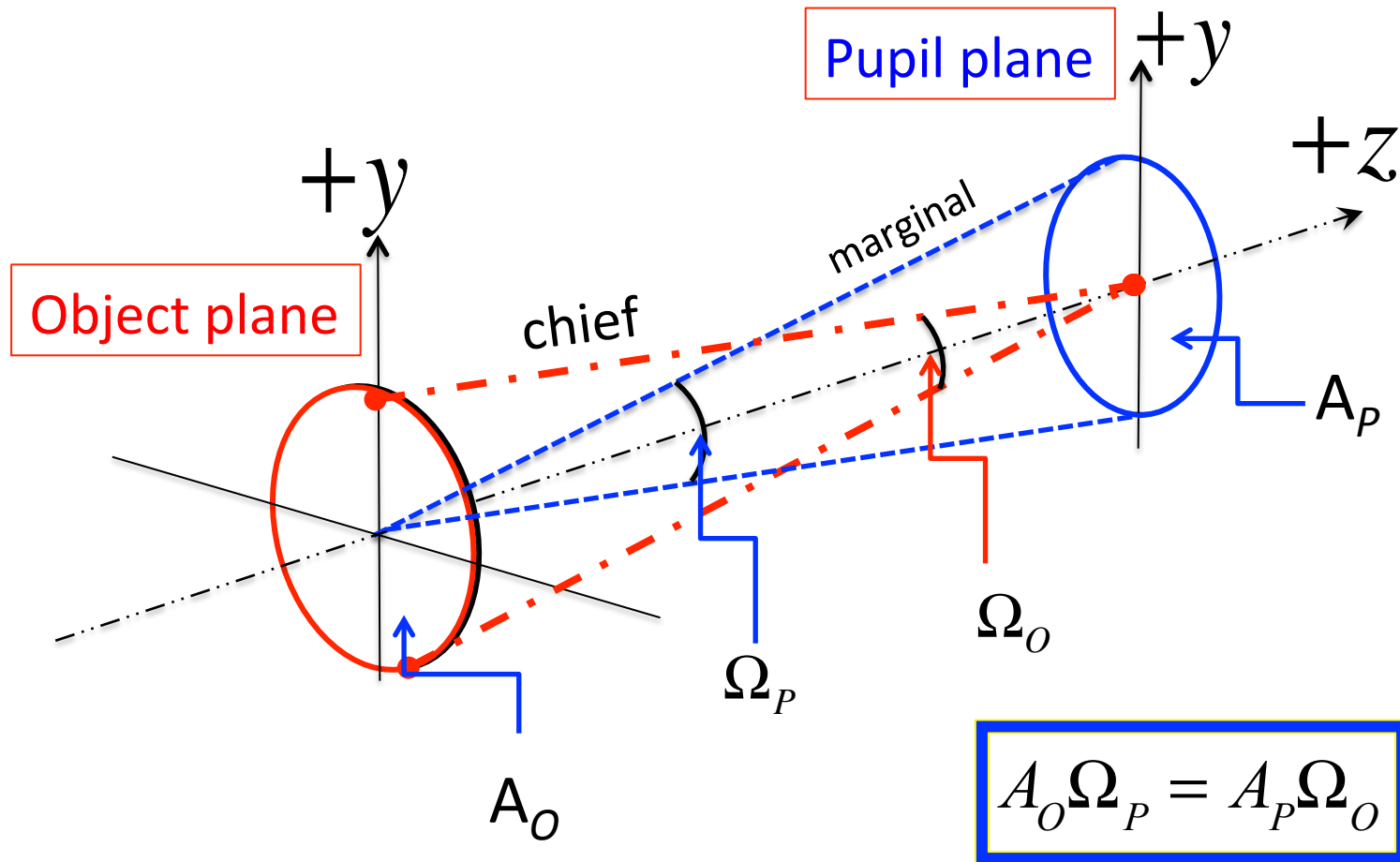
$$\bar{y}_2 = 0$$

Then

$$nu\bar{y}_1 = n\bar{u}y_2 = H$$

H has units of angle  $\times$  distance, e.g., radians  $\times$  centimeters.

# Area solid angle product



# Confusion?

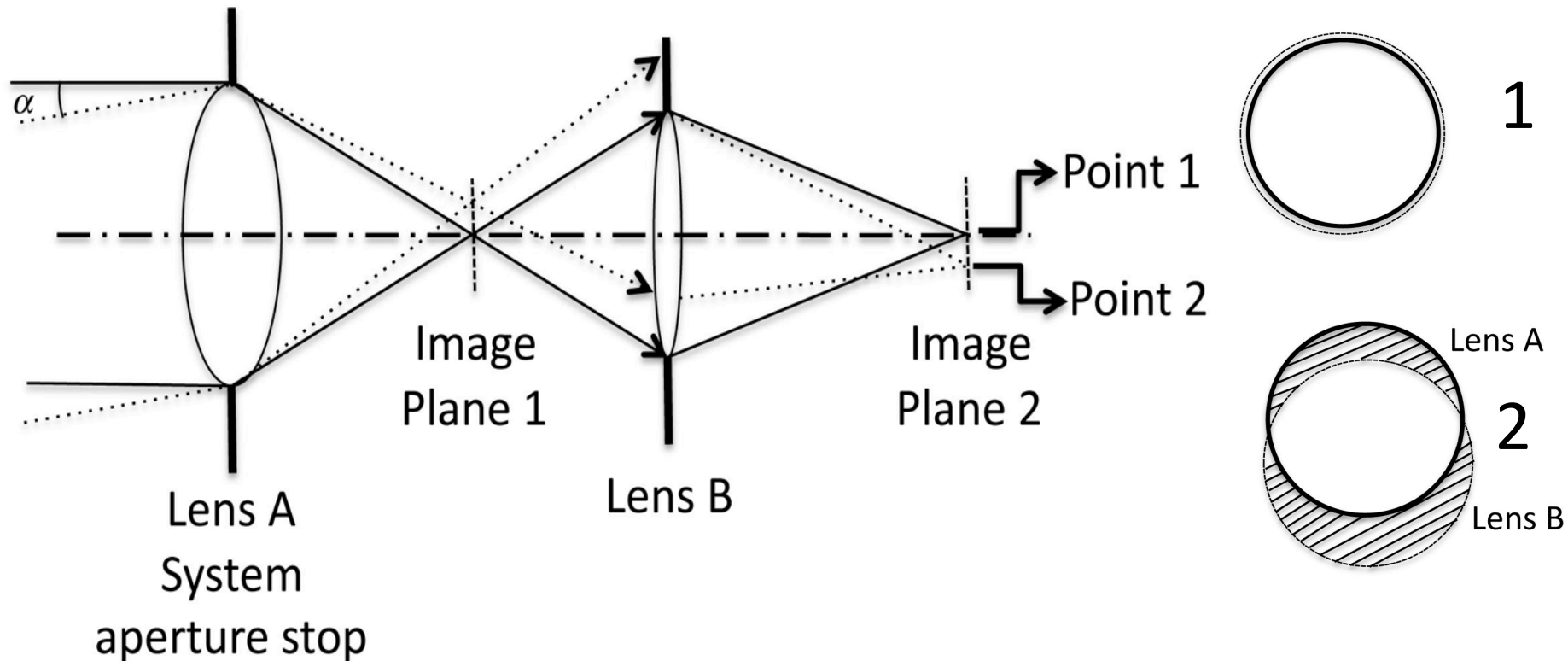
- Transmittance, transmission, transmissivity - **dimensionless**
- Throughput, etendu, Optical Invariant
  - Units of solid angle  $\times$  Area, when calculating optical system capacity to transmit radiative power
  - Units of radians  $\times$  length for optical ray trace design



# Useful relationships

$$\Omega = \frac{\pi}{4 (f \#)^2}$$

# Vignetting: Étendue is not conserved at field points



For no vignetting, the radius of the  $k$ th surface must be

$$R_k \geq |y_k| + |\bar{y}_k|.$$

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