

# Modern Astronomical Optics

## 1. Fundamental of Astronomical Imaging Systems

### OUTLINE:

A few key fundamental concepts used in this course:

- Light detection: Photon noise

- Geometrical optics: Pupil and focal plane, Lagrange invariant

- Diffraction: Diffraction by an aperture, diffraction limit

- Spatial sampling

Earth's atmosphere: every ground-based telescope's first optical element

- Effects for imaging (transmission, emission, distortion and scattering) and quick overview of impact on optical design of telescopes and instruments

Astronomical measurements & important characteristics of astronomical imaging systems:

- Collecting area and throughput (sensitivity)

  - flux units in astronomy

- Angular resolution

- Field of View (FOV)

- Time domain astronomy

- Spectral resolution

- Polarimetric measurement

- Astrometry

# Light detection: Photon noise

## Poisson noise

Photon detection of a source of constant flux  $F$ . Mean # of photon in a unit  $dt = F dt$ .

Probability to detect a photon in a unit of time is independent of when last photon was detected → photon arrival times follows Poisson distribution

Probability of detecting  $n$  photon given expected number of detection  $x (= F dt)$ :

$$f(n,x) = \frac{x^n e^{-x}}{n!}$$

$x$  = mean value of  $f$  = variance of  $f$

## Signal to noise ration (SNR) and measurement uncertainties

SNR is a measure of how good a detection is, and can be converted into probability of detection, degree of confidence

Signal = # of photon detected

Noise (std deviation) = Poisson noise + additional instrumental noises (+ noise(s) due to unknown nature of object observed)

Simplest case (often valid in astronomy): Noise = Poisson noise =  $\sqrt{N_{ph}}$

Most of the time, we assume normal distribution (good approximation of Poisson distribution at high flux)

For example:

Telescope observes source for 5s, and detects 200 photon → measured source flux is 40 ph/s with a 3- $\sigma$  measurement error of  $3 \times \sqrt{200}/5 = 8.5 \text{ ph/s}$  → 99.7% probability that actual flux is between 31.5 ph/s and 48.5 ph/s

# Geometrical Optics: Lagrange Invariant

$$H = n \bar{u} y - n u \bar{y}$$

$n$  = ambient refractive index (= 1 in most cases, unless  $H$  is computed inside a lens)

$y$  = chief ray height

$u$  = chief ray angle

$\bar{y}$  = marginal ray height

$\bar{u}$  = marginal ray angle

*(see next slide for visual representation of these terms)*

→ **large field of view and large collecting area requires large optics**

example: 10-m telescope, 1 deg field of view

if beam is compressed to 10cm (100x compression), angle = 100 deg → very difficult to design re-imaging optics of sufficiently high quality

→ **small beam = compressed propagation distances, lots of beam walk and diffraction effects at fixed physical distance from pupil**

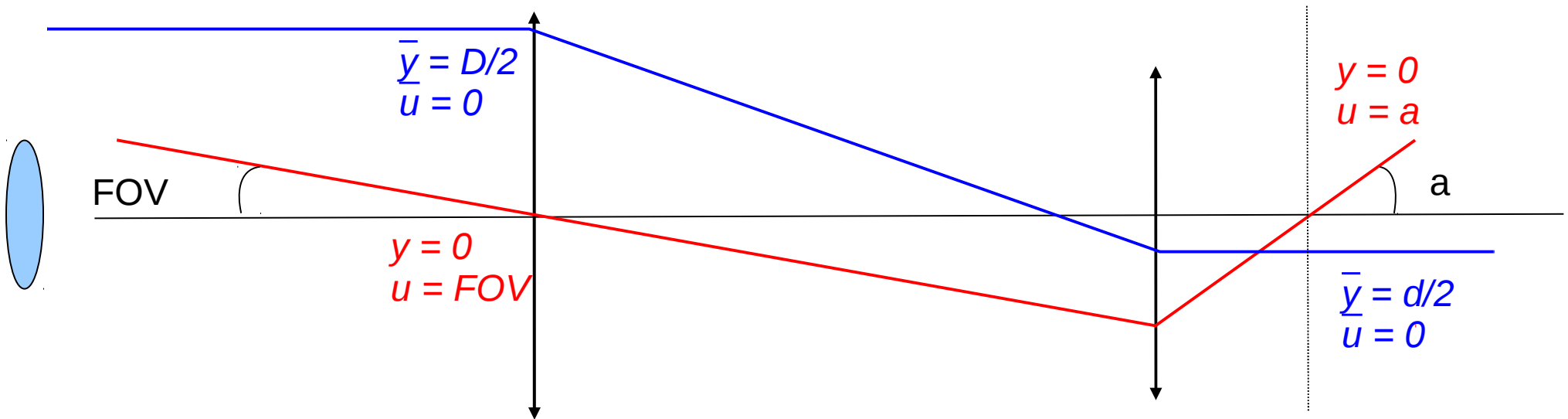
Example: 10-m diameter beam compressed to 10mm (1000x lateral compression)

In this beam, lateral compression =  $1e6$ : 10mm along the small beam is 10 km along the 10-m diameter beam

**Example: afocal telescope (= beam reducer), input diameter  $D \rightarrow$  output diameter  $d$**   
**In Astronomy, object to be imaged is at infinity**

$$H = -\text{FOV} (D/2)$$

$$H = -a (d/2)$$



Chief ray (starts at edge of object, crosses center of aperture)

PUPIL= where chief ray intersects optical axis = conjugated to aperture stop

Marginal ray (starts at center of object, crosses aperture at its edge)

FOCAL PLANE = where marginal ray intersects optical axis = conjugated to infinity

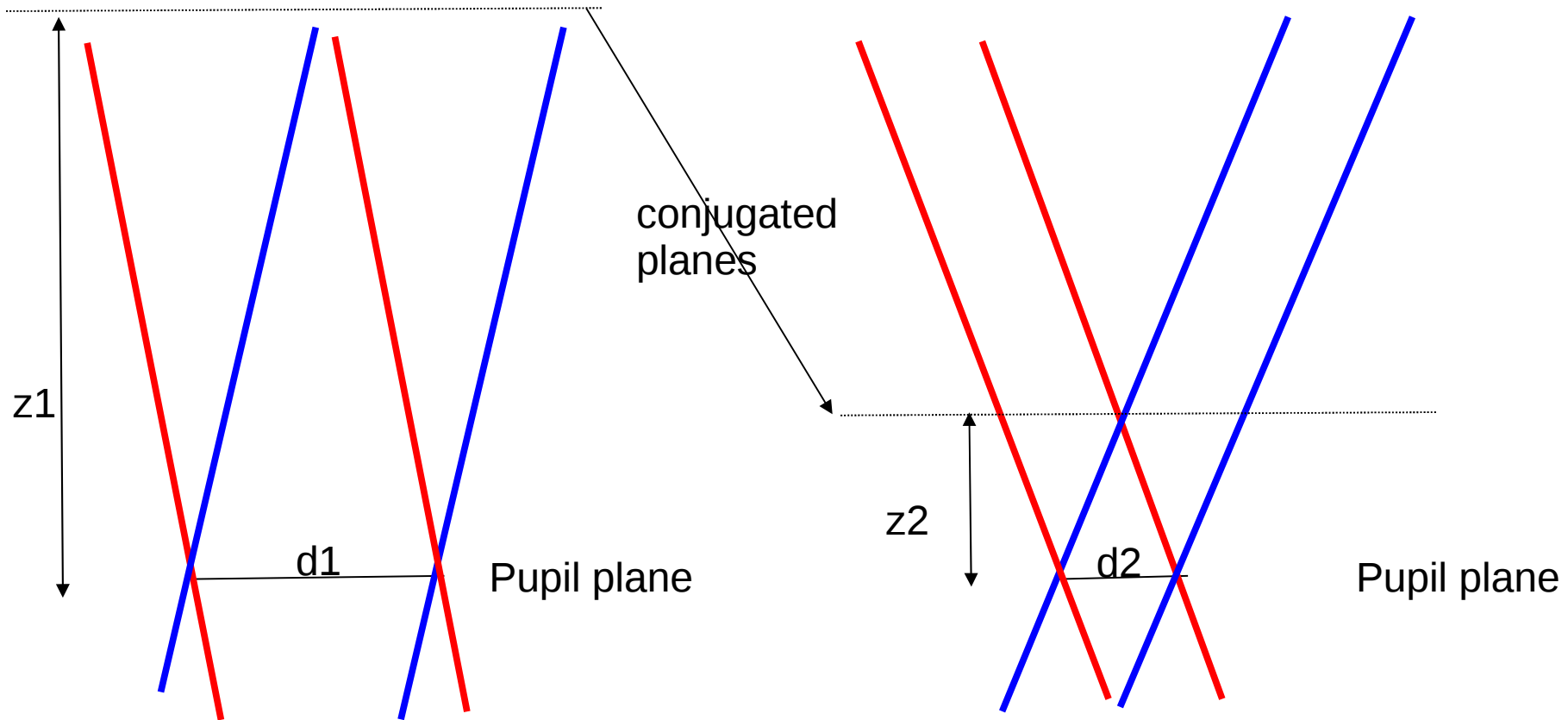
$$a = \text{FOV} (D/d)$$

Compressing the beam by factor  $x$  = multiplying angles by factor  $x$

*Note: The Lagrange invariant can also be seen as conservation of retardation (unit: waves, or  $m$ ) between one size of the beam and the other: reducing the beam size conserves this retardation, and therefore amplifies angles.*

- $\rightarrow$  impossible to build a wide field of view large telescope using small relay optics !!
- $\rightarrow$  large FOV & large diameter telescopes are challenging to build and have very large optics

Smaller beam : angles get larger



Lagrange invariant  $\rightarrow d_1^2 / z_1 = d_2^2 / z_2$

Reducing beam size by  $x$  compresses propagation distances by  $x^2$

Drawing above provides physical illustration by looking at overlap between beams

Note:

Diffractive propagation equations (Talbot distance) show same beam volume compression effect: Talbot distance goes as  $f^{-2}$ , where  $f$  is the spatial frequency. If the beam is compressed by  $x$ , spatial frequencies are also multiplied by  $x$ , and the Talbot distance is divided by  $x^2$

# Diffraction by an aperture – telescope diffraction limit

Fresnel diffraction integral: 
$$E(x, y, z) = \frac{e^{ikz}}{i\lambda z} \iint E(x', y', 0) e^{\frac{ik}{2z}[(x-x')^2 + (y-y')^2]} dx' dy'$$

In imaging telescope, focal plane is conjugated to infinity (far field)

Fraunhofer is far field approximation of the Fresnel diffraction integral – and can easily be computed as a Fourier transform.

For circular aperture without obstruction : Airy pattern

First dark ring is at  $\sim 1.22 \lambda/D$

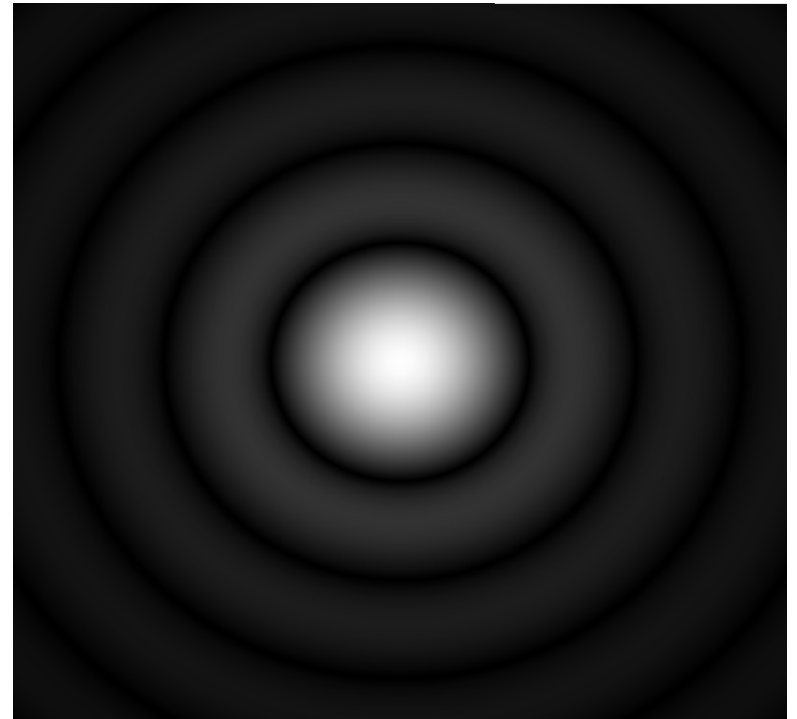
Full width at half maximum  $\sim 1 \lambda/D$

The “Diffraction limit” term =  $1 \lambda/D$

$D=10\text{m}$ ,  $\lambda=0.55 \mu\text{m} \rightarrow \lambda/D = 0.011 \text{ arcsec}$

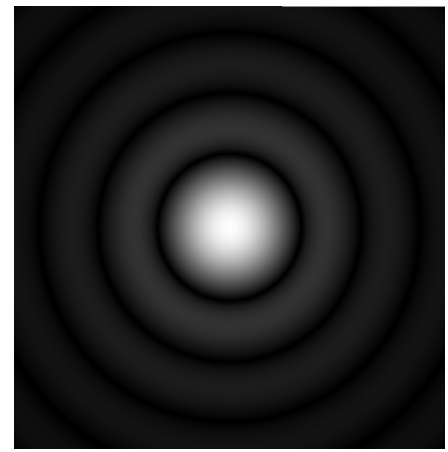
On large telescopes, image angular resolution is limited by atmospheric turbulence on the ground, at about 1 arcsecond

→ Adaptive optics required for  $< \text{arcsecond}$  imaging



***Note: In astronomy, we use arcsecond (1/3600 deg) as unit for small angles***

# Spatial sampling of images



Astronomical imaging systems use arrays of pixels.  
How many pixels across image to capture signal ?

Nyquist-Shannon sampling theorem:

If a function contains no spatial frequency of period smaller than  $P$ , then it is fully specified by its values at interval  $P/2$

The Optical Transfer Function of a telescope goes to zero at  $\lambda/D$ : an noiseless image is band limited (telescope acts as a low pass filter in spatial frequencies)

→ Nyquist limit:

2 pixels per resolution element ( $= \lambda/D$  if diffraction limited)

Sampling and physical size of pixels defines F/ratio of optical beam onto the detector

Example:

Diffraction-limited telescope with Adaptive Optics

$D=5\text{m}$ ,  $\lambda=1.0\text{ }\mu\text{m}$  →  $\lambda/D = 0.04\text{ arcsec}$

Nyquist limit : 20 mas (0.02 arcsec) per pixel

With 20  $\mu\text{m}$  pixels, 1 mas /  $\mu\text{m}$  on the detector: 1 mas  $\times f = 1\text{ }\mu\text{m}$

$f = 206\text{m}$  →  $f/D = 40$

Increasing sampling beyond Nyquist limit doesn't bring new information.

# Flux units in optical astronomy

At optical wavelengths, the most common unit is the astronomical magnitude scale. Historically, from 0 (brightest stars in sky) to 6 (faintest stars visible to the eye in night sky).

**Large number = faint source !!!**

Magnitude scale has since been defined for different colors, and extends beyond visible light to both IR/near-IR and near-UV.

Magnitude scale is logarithmic:

5 magnitudes = 100x flux (1 magn =  $100^{1/5}$  ratio = 2.512 ratio in flux)

$$m = -2.5 \log_{10}(F/F_0)$$

$$F = F_0 2.512^{-m}$$

With  $F_0$  given in table below

Conversion between Jy and  $\text{ph.s}^{-1}.\text{m}^{-2}.\mu\text{m}^{-1}$ :

$$1 \text{ Jy} = 1\text{e-}26 \text{ W.m}^{-2}.\text{Hz}^{-1}$$

(Johnson-Cousins-Glass)

| Band  | B       | V       | R       | I       | J       | H      | K      |
|---|---------|---------|---------|---------|---------|--------|--------|
| effective wavelength ( $\mu\text{m}$ )                              | 0.436   | 0.545   | 0.638   | 0.797   | 1.22    | 1.63   | 2.19   |
| zero mag flux (Jy)  | 4000    | 3600    | 3060    | 2420    | 1570    | 1020   | 636    |
| zero mag flux ( $\text{ph.s}^{-1}.\text{m}^{-2}.\mu\text{m}^{-1}$ ) | 1.38E11 | 9.97E10 | 7.24E10 | 4.58E10 | 1.94E10 | 9.44E9 | 4.38E9 |



# Flux units in optical astronomy

V magnitudes:

Sun : -26

Full moon : -13

Brightest star (Sirius) : -1.4

Faintest naked eye stars: 7

Faintest stars imaged by Hubble Space Telescope: 30

Magnitude scale also used for surface brightness:  $\text{mag} \cdot \text{arcsec}^{-2}$

## Absolute Magnitude

**Astronomical unit (AU)** = Sun-Earth distance =  $1.496 \times 10^8$  m

**parallax** = amplitude of apparent motion of a source on background sky due to Earth's orbit

**parsec (pc)** = parallax of one arcsecond =  $3.0857 \times 10^{16}$  m = 3.26156 light year (ly)

**Absolute magnitude (M)**: apparent magnitude an object would have if located 10 pc from Earth

If object is at 10pc,  $M=m$

If object is at  $D_L$  pc, apparent flux =  $(D_L/10)^{-2}$

$$m = M + 5 (\log_{10}(D_L) - 1)$$

$$M = m - 5 (\log_{10}(D_L) - 1)$$

**Problem #1:**

How big a telescope does it take to image an Earth-like planet at 10pc (32.6 lyr) in 1hr ?

Assume:

detection SNR = 5

0.1  $\mu\text{m}$  bandpass filter at 0.55  $\mu\text{m}$  (V band)

50% efficiency

no background

Sun V band absolute magnitude = 4.83

Earth is  $1e10$  fainter than Sun

## **Solution to problem #1**

### **How many photons needed ?**

SNR = 5 is reached with 25 photons, for which signal (S) = 25 and noise (N) =  $\sqrt{25} = 5$

### **Zero point of the system as a function of collecting area**

According to the table of magnitude zero points, in one hour, a 0.1  $\mu\text{m}$  wide filter around V band gives for a magnitude zero source :

$$N_0 = 0.1 \mu\text{m} \times 3600\text{s} \times 9.97\text{E}10 \text{ ph.s}^{-1}.\text{m}^{-2}.\mu\text{m}^{-1} = 3.59\text{E}13 \text{ ph.m}^{-2}$$

With the 50% efficiency, the number gets reduced to  $z_p = 1.79\text{E}13 \text{ ph.m}^{-2}$

### **Apparent magnitude of the planet**

The apparent magnitude of the star is:

$$m = M + 5 \times (\log_{10}(D_L) - 1) = M = 4.83$$

The planet is 25 mag fainter (=  $1\text{e-}10$  flux ratio)

$$\rightarrow m = 29.83$$

### **Number of photon collected per hour from the planet**

$$N = z_p \times 2.512^{-m} = 21.0 \text{ ph.m}^{-2}$$

### **Telescope diameter required**

$$\text{Collecting area required} = 25/45.9 = 1.19 \text{ m}^2$$

$$\rightarrow \text{telescope diameter required} = 1.2 \text{ m}$$

(Note: other effects ignored here are the star halo, background, detector noise etc...)

# The first optical element in every ground-based telescope: Earth's atmosphere

## Transmission

Atmosphere is fairly transparent in optical when not cloudy

nearIR: windows of transparency exist, main absorber is water vapor

→ choose right wavelength bands for observations

## Emission: the sky is not fully dark

In visible light: airglow (~100km altitude)

→ optical filtering and/or calibration

In IR: blackbody emission from water vapor

→ high altitude, dry and cold sites better

## Wavefront distortions

fluctuations in refractive index (temperature, humidity, pressure, water content)

introduce wavefront errors

### Atmospheric turbulence

typical angular distortion = 1" = diffraction limit of 10cm telescope in visible

→ *Adaptive optics can mitigate this issue*

### Atmospheric refraction

refraction is chromatic: stars turn into spectra at low elevation

→ *Can be compensated by atmospheric dispersion compensator*

## Rayleigh Scattering

Daytime sky too bright for observations

Moonlight increases sky brightness in visible light (but near-IR is OK)

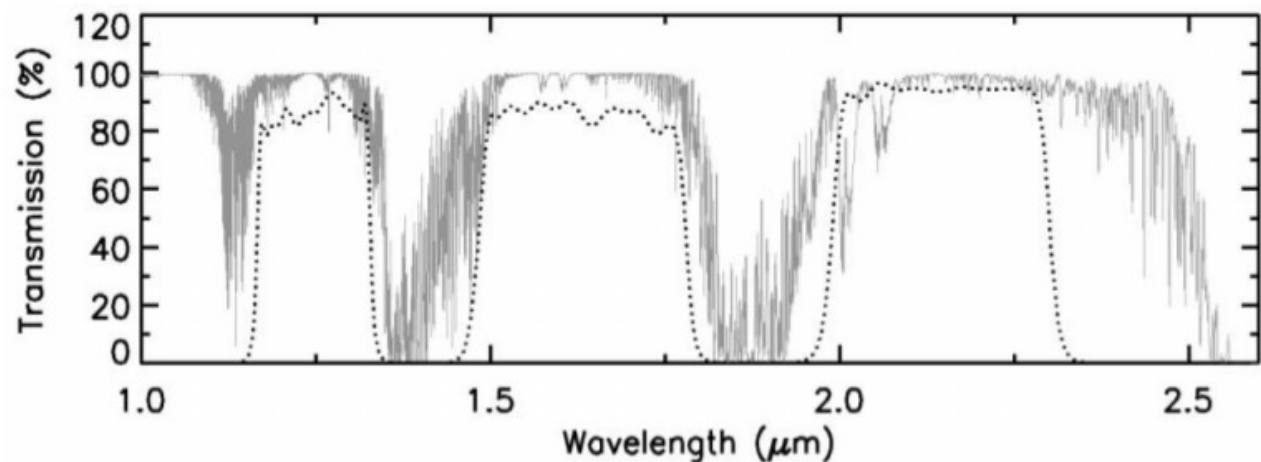
→ observe in the near-IR / IR during bright time, visible during dark time

# The first optical element in every ground-based telescope: Earth's atmosphere

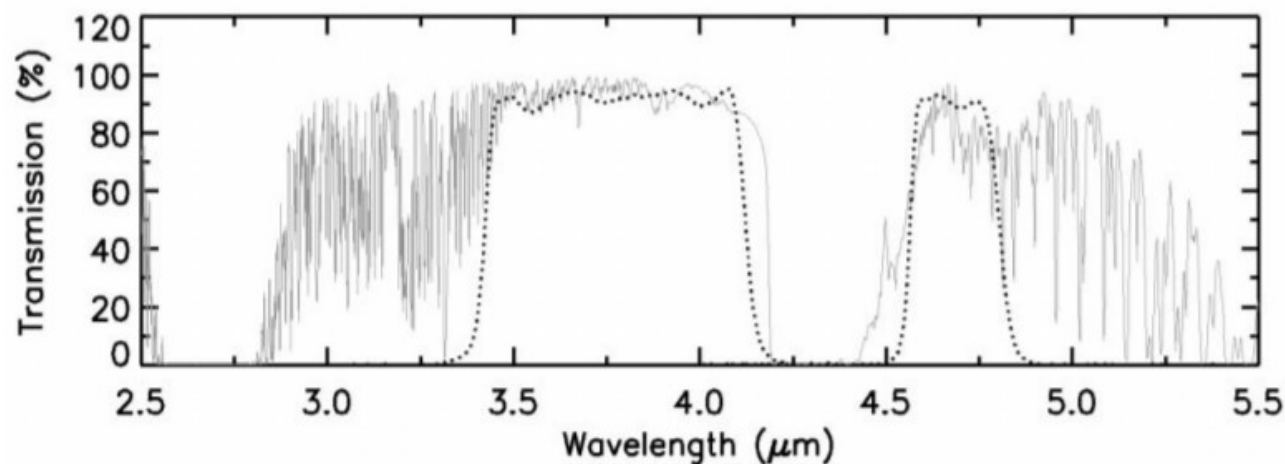
## Transmission & Emission in near IR

In IR: poor transmission = high thermal emission (sky is glowing)

→ IR filters for ground-based observations chosen to match high transmission windows



J, H, Ks, L', and M' filter profiles superposed on the atmospheric transmission at Mauna Kea kindly provided by G. Milone for 1 mm precipitable water vapor and an air mass of 1.0



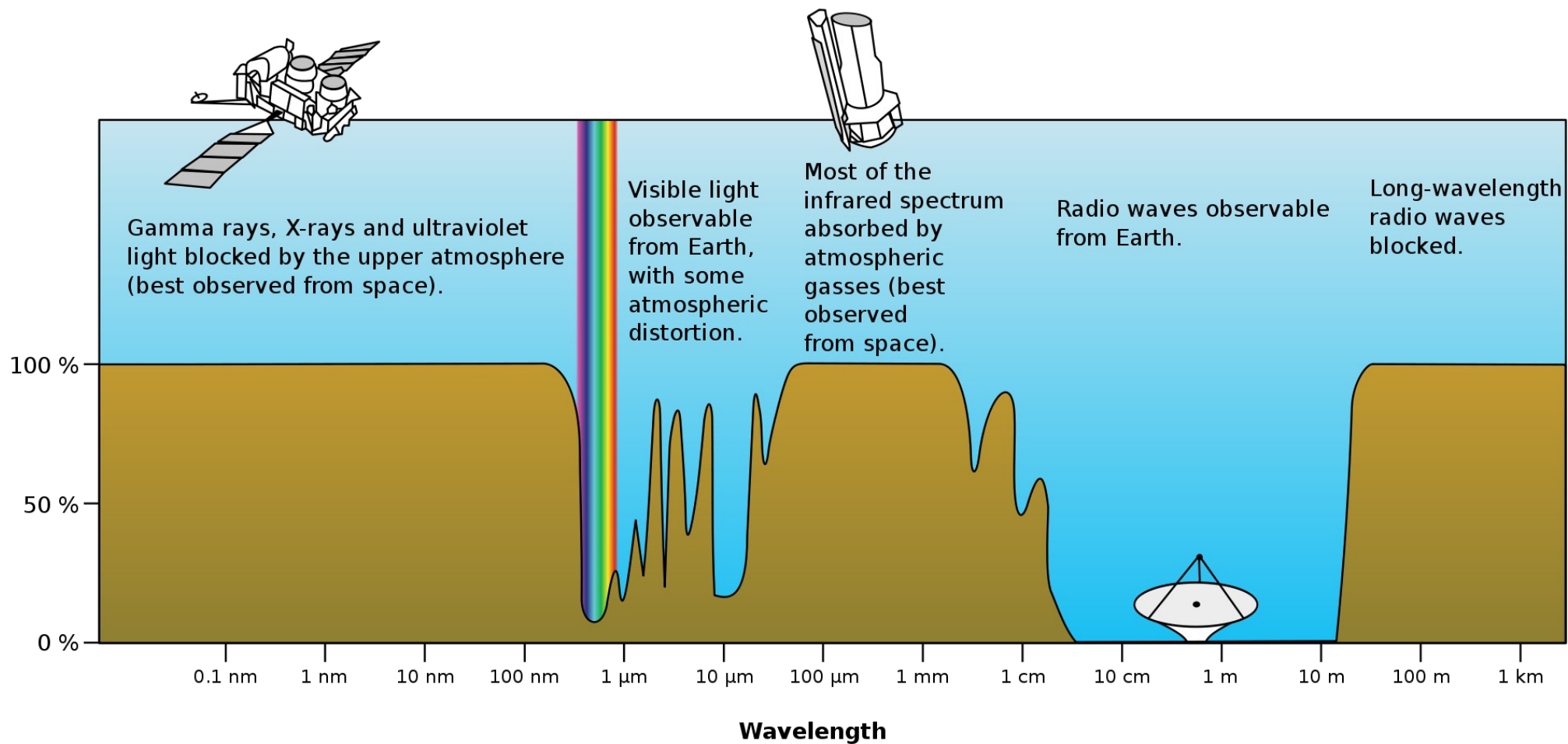
*Tokunaga, Simons & Vacca, 2002*

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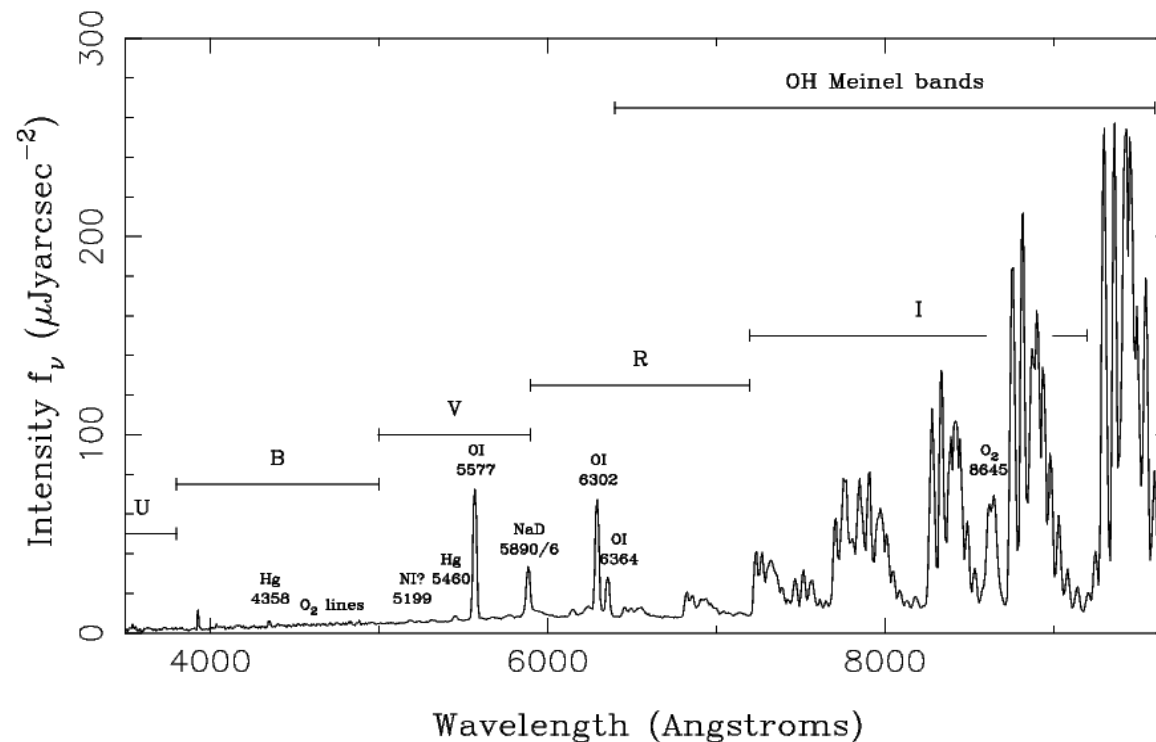


# The first optical element in every ground-based telescope: Earth's atmosphere

## Optical emission : airglow

Emission from OH (red & nearIR), O (visible green line) and O<sub>2</sub> (weak blue light) at ~90km

Airglow is time-variable, has structure over wide angles: it is very important for spectroscopy to either optically filter it out or have a good scheme to calibrate it and subtract it from the spectra



# The first optical element in every ground-based telescope: Earth's atmosphere

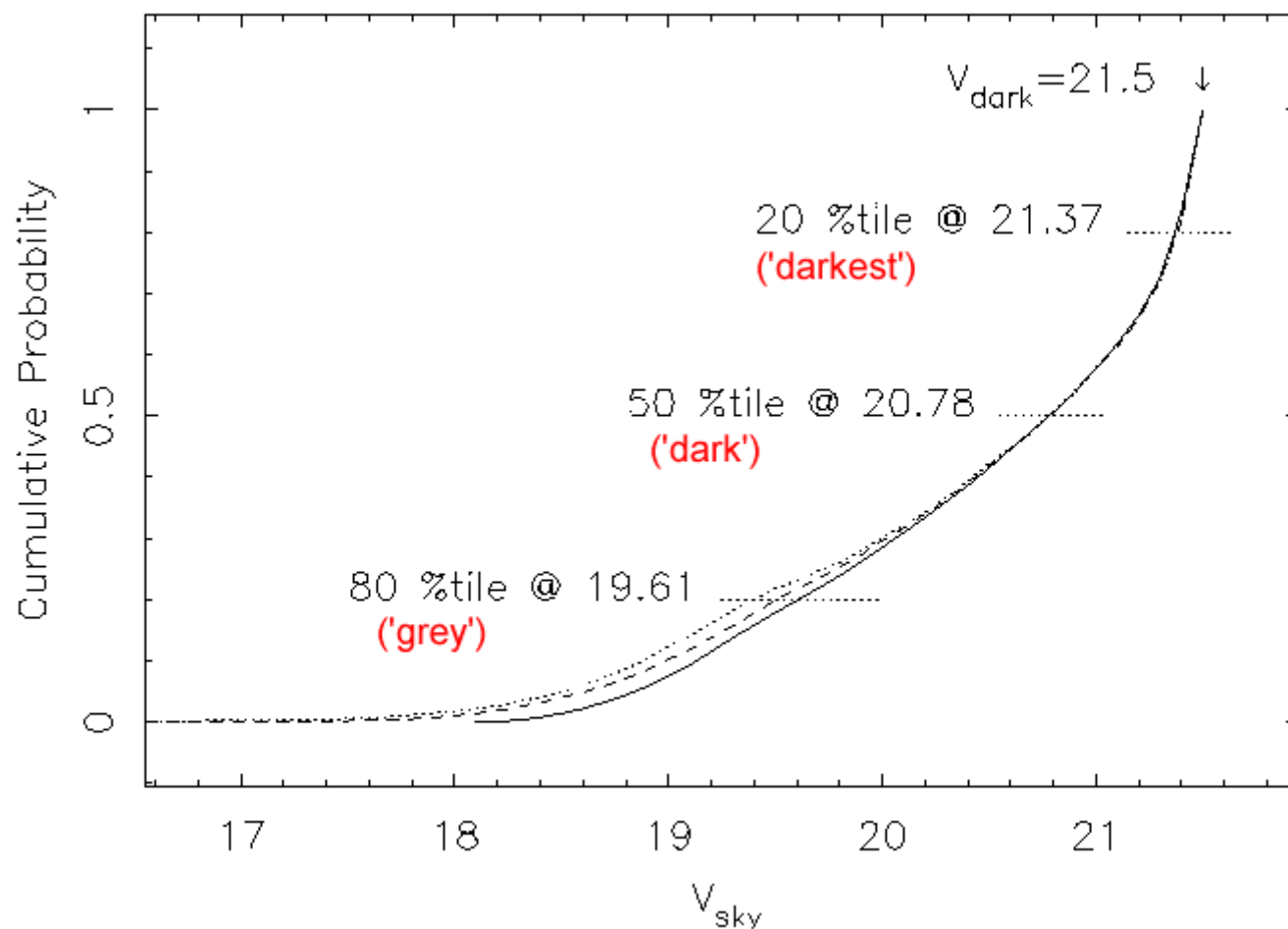
Moonless sky background in the optical (V band):

Airglow :  $m_V = 22.4 \text{ arcsec}^{-2}$

Zodiacal light :  $m_V = 23.3 \text{ arcsec}^{-2}$  (brighter closer to ecliptic)

+ scattered starlight (much smaller)

Total darkest sky background  $\sim m_V = 21.9 \text{ arcsec}^{-2}$  (rarely achieved from ground)



Cumulative probability distributions of V-band sky brightness at an arbitrary phase in the solar cycle for three model observation scenarios  
*Gemini North Telescope*



# The first optical element in every ground-based telescope: Earth's atmosphere

This image shows bands of airglow :



*Credit: D. Duriscoe, C. Duriscoe, R. Pilewski, & L. Pilewski, U.S. NPS Night Sky Program*  
Full resolution image on Astronomy Picture of the Day (APOD), 2009 Aug 27